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# NEGATION *for free!*

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## **NEGATION for free!** \*

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**Abstract:** Global constraint design is a key success of CP for solving hard combinatorial problems. Many works suggest that automaton-based definitions and filtering make easier the design of new global constraints. In this paper, from such a design, we present an approach that gives an automaton-based definition of the NEGATION of a global constraint... for free! For a given global constraint C, the idea lies in giving operators for computing an automaton that recognizes only tuples that are not solution of C, and use the REGULAR global constraint to automatically reason on this automaton. We implemented this approach for automaton-based global constraints, including global\_contiguity and  $\leq_{lex}$  constraints, and got experimental results that show that their automatically computed negation is highly competitive with more syntactic transformations.

Key-words: Global Constraints; Negation; Deterministic Finite Automaton.

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## Ngation gratuite des contraintes globales

**Résumé :** Les contraintes globales representent en grande partie la puissance de la PPC. Ces dernires annes, on retrouve de nouvelles representations des contraintes globales par des automates tats finis (DFA) ou encore des MDD (Multivalued Decision Diagram) avec du filtrage gnrique. Dans cet article, partir d'une representation DFA, nous presentons une approche pour la ngation des contraintes globales. En prenant une contrainte globale C, l'ide est de dfinir des oprateurs qui calculent un automate qui ne reconnait que les solutions de  $\neg C$ . Pour le filtrage, nous utilisons la contrainte gnrique REGULAR qui prend l'automate de la version nie de la contrainte. Nous avons expriment cette approche sur deux exemples (i.e., global\_contiguity et Lex), les rsultats sont compars ceux d'une ngation nave d'un niveau syntaxique.

Mots-clés: Contraintes Globales; Ngation; Automate tats finis.

## 1 Introduction

Modern constraint programming languages aim at making easy problems formulation and solving. One of the key success of CP is global constraints design. Since its introduction in [6], automaton-based definition of global constraint has grown and is now recognized as a mainstream technique. Carlsson and Beldiceanu proposed in [6, 3] to use automata representation and reformulation for designing new global constraints from constraint checkers. Pesant proposed in [14] a generic global constraint, the REG-ULAR global constraint which holds if a fixed-length sequence of finite-domain variables represents a word of a given regular language. In another context, Andersen et al. [1] proposed to use the multivalued decision diagram structure (MDD) to replace the domain store where constraints have an MDD-Based presentation.

As suggested by the above mentioned works, building new global constraints is often required to address challenging combinatorial problems. Obviously, having *logical negation* in the tool-box would be interesting to facilitate this process. In our previous works related to program verification [10, 11, 12], we faced the problem of negating existing global constraints. Our (naive) solution involved simple syntactic transformations of the original constraints to easily compute its negation. For example, the negation of: inverse(all[R](i in R) g[i], all[S](j in S) f[j]); in OPL was easily expressed by:

or(i in S) g[f[i]]! = i; or(j in R) f[g[j]]! = j.

As possible, the syntactic transformations can exploit also the existing global constraints to express the negation form of a given constraint. For example, the negation of an atLeast constraint can be expressed using the atMost and vice-versa, GCC by atLeast and atMost, allDifferent by a disjunction of GCC, etc.

However, those syntactic transformations did not capture the essence of *logical negation* and did not filter constraints in a sufficient and consistent way.

In (Constraint) Logic Programming, *negation–as–failure* has been the traditional approach to deal with negation in the general framework of the Clark completion. However, it is well known that *negation–as–failure* corresponds only to logical negation on ground instances. *Constructive negation*, as proposed by Stuckey in [18], presents a sound and complete operational model of negation in the Herbrand Universe. An interesting implementation of this operator in the constraint concurrency model of Oz has been proposed by Schulte in [16]. Constructive constraint negation is general as it can handle any constraint but is also ineffective in terms of filtering. Indeed, no dedicated filtering algorithms is available for the negation of the constraint and thus, these operators are usually not useful to prune the search space. More recently, constraint negation has been considered in the more general context of *logical connectives* [5, 2, 13]. However, in these works, negation is proposed for constraints defined in extension and cannot be applied to global constraints that capture complex relations among a set of variables.

In this paper, we present an approach that takes the automaton-based design of a global constraint as input and automatically returns an automaton-based definition of the NEGATION of this global constraint. For a given global constraint C, the idea is first to give operators for computing a Deterministic Finite Automaton (DFA) that recognizes the tuples that are not solution of C; and second to use the REGULAR global constraint [14] to automatically derive filtering rules for this new automaton.

One can choose an MDD-based design and just swap end-states to get the negation form. But this approach has two limitations: First, it is expensive, because for a given constraint, the generated MDD contains only the feasible paths. To do such negation, the infeasible portion has to be generated as well as the feasible one. Second, for efficiency reasons, MDD-based global constraints are usually represented by fixed-width MDDs [8] which are correct but produce imprecise relaxations. This representation may include assignments violating the constraint, thus, building the negation of a given global constraint by swapping the end-states between accepting and nonaccepting states in a fixed-width MDD, may be unsound. On the contrary, we will see that using a folded DFA for building the negation is guaranteed to be sound.

This paper contains global constraint examples that were automatically negated through our approach, including the negation of the global\_contiguity and  $\leq_{lex}$  constraints. We implemented our approach in Gecode, where a good implementation of REGULAR is available, and got experimental results on these global constraints that show our negation is highly competitive with more syntactic transformations.

The paper is organized as follows. The next section describes the process of constructing the automaton of the negated constraint and using REGULAR. Section 3 illustrates the approach on two constraints: global\_contiguity, and  $\leq_{lex}$ . The experimentations are described in section 4. Section 5 concludes the paper.

## 2 Negation on DFA-based Global Constraints

In this section, we present an efficient method to handle the negation of the automatonbased design global constraints. This kind of global constraint has behind a specific DFA (Deterministic Finite Automaton) as a checker of ground instances. We summarize the approach in two points:

- From the DFA of a given global constraint C, we generate, using an automatic process, the complement which is the DFA of the negation form ( $\neg$ C).

- We derive the filtering algorithm using the REGULAR constraint.

#### 2.1 Notations

A Deterministic Finite Automata (DFA)  $\mathcal{A}$  of a given constraint C is defined as a 7-tuple,  $(X, E, \Psi, \Sigma, \delta, e_0, F)$ , consisting of:

- a sequence of finite-domain variables X (i.e., signature of the constraint C),
- a finite set E of states  $e_i$ ,
- a finite set of labeled states Ψ s.t. source(e<sub>0</sub>): the starting state, node(e<sub>i</sub>): intermediate state, sink(e<sub>i</sub>): sink state<sup>2</sup>, final(e<sub>i</sub>): final state,
- a finite alphabet  $\Sigma$ ,
- a transition function  $\delta$ ,  $(e_i, s, e_j)$  is a transition where  $e_i, e_j \in E, s \in \Sigma \cup \{\$\}^3$ ,
- the start state  $e_0$  where  $source(e_0) \in \Psi$ ,
- a set of final states  $F \subseteq E$  where  $\forall e_i \in F : final(e_i) \in \Psi$ .

We stress the fact that any state  $e_i$  should have exclusively one of the four possible labels in  $\Psi$ . We note  $\mathcal{L}$  the language recognized by  $\mathcal{A}$  (i.e.,  $\mathcal{L}(\mathcal{A})$ ) where  $\mathcal{L} \subseteq \Sigma^*$ .

<sup>&</sup>lt;sup>2</sup>State  $e_i$  is a *sink* state if and only if it is not a *final* state and there are no transitions leading from  $e_i$  to another state.

<sup>&</sup>lt;sup>3</sup>\$ represents the empty transition.

#### 2.2 DFA Complement

To have the complement of a global constraint DFA's we use three operators, namely: *Complete, Swap-state* and *Clean-up* operators.

#### 2.2.1 A Complete DFA

A deterministic automaton  $\mathcal{A}$  of a given constraint C considers essentially the patterns where the constraint is evaluated to the accepted or satisfied states (i.e., *final* states). It does not consider all the possible patterns of the constraint. The patterns that violate the constraint are not considered, because they do not lead to satisfaction states. Thus, to complete an automaton we have to add all possible states in order to be able to consider all the possible patterns of the constraint instance (i.e.,  $\mathcal{C}(\mathcal{A})$ ). Formally speaking, the *complete* operator on  $\mathcal{A}$  returns an extended automaton  $\mathcal{C}(\mathcal{A})$  that takes in account all transitions as well as those leading to a *sink* state.

**Definition 1** ( $\mathcal{C}(\mathcal{A})$ ) The complete automaton of  $\mathcal{A} = (X, E, \Psi, \Sigma, \delta, e_0, F)$  is  $\mathcal{C}(\mathcal{A}) = (X, E', \Psi', \Sigma, \delta', e_0, F)$  s.t.:

- $E' = E \cup \{e_k : \exists s \in \Sigma, e_i \in E \text{ s.t. } (e_i, s, e_k) \notin \delta\}$
- $\Psi' = \Psi \cup \{sink(e_k) : e_k \in E' \setminus E\}$
- $\delta' = \delta \cup \{(e_i, s, e_k) : \exists s \in \Sigma, e_i \in E', e_k \notin E\}$

For |E| = n and  $|\Sigma| = m$ , the *complete* operator adds at most nm states and transitions, and is  $\mathcal{O}(nm)$ . It is correct where it preserves  $\mathcal{L}(\mathcal{A})$  by adding only *sink* states. It is also complete where, for each state of the computed DFA's, there is as outgoing arcs as symbols in  $\Sigma$ .

#### 2.2.2 A DFA Swap-state

The *swap-state* S swaps the *sink* states to *final* and vice-versa.

**Definition 2** (S(A)) Let us take the automaton  $\mathcal{A} = (X, E, \Psi, \Sigma, \delta, e_0, F)$ , a swapstate on  $\mathcal{A}$  is  $\mathcal{S}(\mathcal{A}) = (X, E, \psi', \Sigma, \delta, e_0, F')$  s.t.:  $\forall e_i, e_j \in E : final(e_i), sink(e_j) \in \Psi$  $\Rightarrow sink(e_i), final(e_j) \in \Psi'$ 

It is obvious to say that the *swape-state* operator is correct and complete where all (and only) *sink* (resp. *final*) states are swapped.

#### 2.2.3 A DFA Clean-up

The *Clean-up* operator on a DFA  $\mathcal{A}$ , noted  $\mathcal{U}(\mathcal{A})$ , is the inverse function of the *complete* operator (i.e.,  $\mathcal{U}(\mathcal{C}(\mathcal{A})) = \mathcal{C}(\mathcal{U}(\mathcal{A})) = \mathcal{A})$ , where the *clean-up* reduces the automaton by removing all transitions leading to a *sink* state.

**Definition 3** ( $\mathcal{U}(\mathcal{A})$ ) The clean-up operator of  $\mathcal{A} = (X, E, \Psi, \Sigma, \delta, e_0, F)$  is  $\mathcal{U}(\mathcal{A}) = (X, E', \Psi', \Sigma, \delta', e_0, F)$  s.t.:

- $E' = E \setminus \{e_k : sink(e_k) \in \Psi\}$
- $\Psi' = \Psi \setminus \{sink(e_k) : e_k \in E\}$
- $\delta' = \delta \setminus \{(e_i, s, e_k) \in E \times \Sigma \times E : sink(e_k) \in \Psi\}$

The *clean-up* operator preserves  $\mathcal{L}(\mathcal{A})$  where it cannot remove a *final* or a *node* state (*correctness*). At the end, the computed DFA's removes all *sink* states and transitions leading to its (*completeness*).

Using the three operators seen before, we get the complement of a given DFA of a global constraint.

**Theorem 1** Let A a DFA.  $\overline{A}$  is the complement s.t.:

$$\bar{\mathcal{A}} = \mathcal{U}(\mathcal{S}(\mathcal{C}(\mathcal{A})))$$

Proof

Let  $\mathcal{L}(\mathcal{A})$  (resp.  $\mathcal{L}(\mathcal{B})$ ) a regular language for some DFA  $\mathcal{A} = (X, E, \Psi, \Sigma, \delta, e_0, F)$ (resp.  $\mathcal{B} = (X, E', \Psi', \Sigma, \underline{\delta'}, e_0, F')$ ) s.t.  $\mathcal{B} = \mathcal{U}(\mathcal{S}(\mathcal{C}(\mathcal{A})))$ ).  $\mathcal{B}$  is the complement of  $\mathcal{A}$  iff  $\overline{\mathcal{L}(\mathcal{A})} = \mathcal{L}(\mathcal{B})$  (i.e.,  $\overline{\mathcal{L}(\mathcal{A})} = \Sigma^* - \mathcal{L}(\mathcal{A})$  as stated by [9]).

- $w \in \mathcal{L}(\mathcal{A}) \Rightarrow w \notin \mathcal{L}(\mathcal{B})$ : Let  $w \in \mathcal{L}(\mathcal{A})$ , so  $\exists e_i \text{ s.t.}(e_0, w, e_i) \in \delta^*$  and  $final(e_i) \in \Psi$ .  $e_i$  is a *final* state in  $\mathcal{C}(\mathcal{A})$  (**Def.1**) where it is swapped to *sink* state by  $\mathcal{S}(\mathcal{C}(\mathcal{A}))$  (**Def.2**). By  $\mathcal{U}(\mathcal{S}(\mathcal{C}(\mathcal{A}))) e_i$  will be removed as it is *sink* state, so  $final(e_i) \notin \Psi'$  (**Def.3**) and  $w \notin \mathcal{L}(\mathcal{B})$ .
- $w \in \mathcal{L}(\mathcal{B}) \Rightarrow w \notin \mathcal{L}(\mathcal{A})$ : In the same way, the inverse is also true.

**Property 1** The complement of a regular language is regular [9].

The property guarantees that the complement of a given DFA automaton  $\mathcal{A}$  (i.e., the recognized regular language  $\mathcal{L}$ ) is a DFA (i.e., regular language).

**Property 2** *The complement of a* DFA *of a given constraint* C *represents the* DFA *of the negated form*  $\neg$ C.

A DFA of a given constraint C represents the solution set of the constraint, therefore all instantiations that do not belong to this solution set are recognized by the complement DFA. So, the complement DFA represents the solution set of  $\neg C$ .

#### 2.3 Filtering the negation with the REGULAR constraint

Having the automaton is not enough to get a filtering algorithm of the negation of a given global constraint: rules associated to the regular expressions recognized by the automaton have to be considered [6]. While automatic construction of the automaton of the negation is easy, finding filtering rules is difficult, especially when generalized *arc*-consistency is required. In the general case, as the automaton for the negation is a DFA that can be augmented with counters, the generic global constraint GRAMMAR could be used to automatically derive filtering rules [17, 15]. However, this constraint has exponential cost w.r.t. the states of the automaton. When strings are of fixed length, [7] pointed out an approach where the GRAMMAR constraint is processed with the REGU-LAR global constraint [14] by transforming the push-down automaton associated to the constrained grammar to a finite-state automaton. Thus, in our approach, we selected the REGULAR global constraint to encode generic filtering rules for the negation of global constraints.

A regular language membership constraint is a constraint C on a sequence of finitedomain variables x associated with a DFA  $\mathcal{A} = (X, E, \Psi, \Sigma, \delta, e_0, F)$  s.t:

 $regular(\mathbf{x}, \mathcal{A}) = \{ \tau : \tau \text{ tuple of } \mathbf{x} \text{ recognized by } \mathcal{A} \}$ 

The consistency algorithm of the REGULAR constraint has three main phases. The *forward*, the *backward* and the *maintaining* phases collect states from E that support the pair  $(x_i, v_i)$  (i.e.,  $v_i \in D_{x_i}$ ).

The *forward* phase unfolds the DFA  $\mathcal{A}$  by constructing the corresponding Multivalued Decision Diagram (MDD) which is an acyclic graph by construction. The MDD contains different layers  $L_i$  ( $L_1, L_2, \ldots, L_n$ ). Each layer contains states from E where arcs appear between consecutive layers. The first layer  $L_1$  contains only the start state  $e_0$  (*source*( $e_0$ )  $\in \Psi$ ). We unfold the DFA from  $L_1$  to  $L_n$  according to the transition function  $\sigma$ .

The *backward* phase removes states and the corresponding incoming arcs from layer  $L_n$  to  $L_1$ . We start by removing from the last Layer  $L_n$  all no *final* states and their incoming arcs. For a layer  $L_i$ , we remove all states and their incoming arcs that have no outgoing arcs.

There is also a *maintaining* phase if a domain reduction is provoked by another constraint. Here the MDD needs to be maintained by removing all arcs corresponding to the removed value. We remove also, for each layer, all unreachable states or those without outgoing arcs.

To show how REGULAR is used in our framework, we illustrate the automatic derivation for the negation of global\_contiguity and Lex in the next section.

## **3** Case Studies

In this section we take two case studies to illustrate our approach, namely global\_contiguity and  $\leq_{lex}$  constraints. We construct DFA of the negated form and we get the filtering algorithm using the REGULAR constraint.

#### 3.1 Case study: ¬global\_contiguity

The global\_contiguity(var) [3, 4] is defined on a vector of variables var. Each variable var[i] can take value in  $\{0, 1\}$ . The global\_contiguity constraint holds since the valuation of the sequence of variables var contains no more than one group of contiguous 1. For example, if we take a sequence of 10 variables, the sequence 0011110000 is a correct sequence where 0011100110 is not.

The DFA of global\_contiguity constraint is given in Fig. 1 part(a). It corresponds to:

$$\begin{split} \mathcal{A} &= (var, \{e_0, e_1, e_2, e_3\}, \Psi, \{0, 1\}, \delta, e_0, \{e_3\}), \\ \Psi &= \{source(e_0), node(e_1), node(e_2), final(e_3)\}, \\ \delta &= \{(e_0, 0, e_0), (e_0, 1, e_1), (e_0, \$, e_3), (e_1, 1, e_1), (e_1, 0, e_2), \\ (e_1, \$, e_3), (e_2, 0, e_2), (e_2, \$, e_3)\}. \end{split}$$

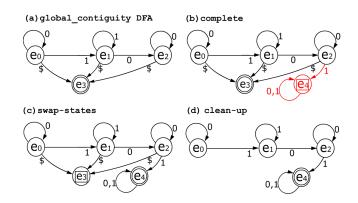


Figure 1: Complement DFA of the global\_contiguity constraint.

To construct the complement of the DFA shown in Fig.1 part(a), we first call the *complete* operator (Fig.1 part(b)) where the *sink* state  $e_4$  is added to complete the automaton. Second, the *swap-states* operator swaps the added state  $e_4$  to *final* and the *final* state  $e_3$  is swapped to *sink* state (Fig.1 part(c)). The *clean-up* step removes the resulting *sink* state  $e_3$  (Fig.1 part(d)).

Once the DFA of the negated form constructed, we exploit the filtering algorithm of the REGULAR constraint.

The regular expression of the consistent tuples of global\_contiguity is given by:

 $0^*1^*0^*$ 

If we consider the negation form, we have as regular expression of the consistent tuples of  $\neg$ global\_contiguity:

 $0^*11^*00^*1\{0,1\}^*$ 

These two regular expressions can be easily modeled in any CP language containing the REGULAR constraint.

The fact that the automaton of global\_contiguity constraint is defined on the variables values, enabled to exploit efficiently and directly the REGULAR constraint.

Let us take an example with four variables  $(x_1, x_2, x_3, x_4)$ . Fig.2 shows the three phases of REGULAR consistency algorithm. The MDD is constructed with four layers

corresponding to the variables. The *forward* phase unfolds the negated DFA of Fig.1 where the *backward* phase removes 9 arcs and 6 states. If another constraint reduces the domain of  $x_3$  by removing the value 0, the *maintaining* phase removes 6 arcs and 3 states to have at the end only two solutions (1010 and 1011).

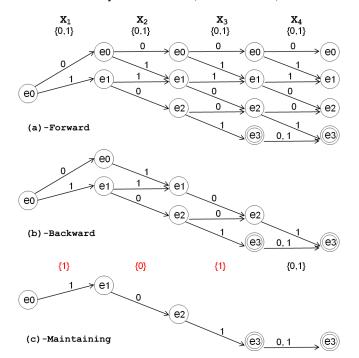


Figure 2: REGULAR constraint on ¬global\_contiguity with four variables.

#### 3.2 Case study: ¬Lex

The lexicographic ordering constraint[3, 4]  $\vec{x} \leq_{lex} \vec{y}$  over two vectors of variables  $\vec{x} = \langle x_0, x_1, ..., x_{n-1} \rangle$  and  $\vec{y} = \langle y_0, y_1, ..., y_{n-1} \rangle$  holds iff n = 0, or  $x_0 < y_0$ , or  $x_0 = y_0$ , and  $\vec{x} = \langle x_1, ..., x_{n-1} \rangle \leq_{lex} \langle y_1, ..., y_{n-1} \rangle$ . The automaton is defined on the relation between every two consecutive variables. In order to exploit the REGULAR constraint, we should transform the Lex constraint as following:

$$\vec{x} \leq_{lex} \vec{y} \equiv LexRel(r_0, r_1, \dots, r_{n-1})$$

where  $r_i \in \{<, =, >\}$ , and  $rel(r_i, x_i, y_i) \equiv x_i r_i y_i$ . The automaton of  $LexRel(r_0, r_1, ..., r_{n-1})$  is given in Figure 3 **part(a)** where it corresponds to:  $\mathcal{A} = ((\vec{x}, \vec{y}), \{e_0, e_1, e_2\}, \Psi, \{=, <, >\}, \delta, e_0, \{e_1, e_2\}),$   $\Psi = \{source(e_0), final(e_1), final(e_2)\},$  $\delta = \{(e_0, =, e_0), (e_0, <, e_1), (e_0, \$, e_2), (e_1, =, e_1), (e_1, <, e_1), (e_1, >, e_1)\}.$ 

This constraint can be easily implemented with the REGULAR constraint, where the accepted regular expression is:

$$=^* | =^* < \{=, <, >\}^*$$

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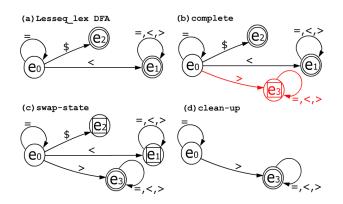
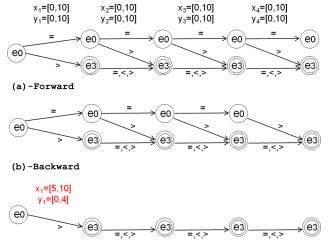


Figure 3: Complement DFA of the  $\leq_{lex}$  constraint.

Negating form of the  $\leq_{lex}$  constraint is shown in **part(d)** of the Figure 3 which represents the complement of the DFA of  $\leq_{lex}$ . **part (b,c,d)** shows respectively the *complete*, *swap-state* and *clean-up* steps to obtain the complement. From the complement of this constraint (i.e., DFA of  $\neg \leq_{lex}$ ) we get the associated regular expression:

$$=^{*}>\{=,<,>\}^{*}$$

With the regular expression of the negated  $\leq_{lex}$ , we are able to exploit efficiently and directly the REGULAR constraint for filtering.



(c)-Maintaining

Figure 4: REGULAR constraint on  $\neg \leq_{lex}$  with four variables.

Let us take a simple example with  $\vec{x} = [x_1, x_2, x_3, x_4]$  and  $\vec{y} = [y_1, y_2, y_3, y_4]$ where each variable takes a value in [0, 10]. Fig.4 shows the three phases of REGULAR consistency algorithm on  $\neg \leq_{lex}$ . The MDD is constructed with four layers corresponding to the variables  $(x_i, y_i)$ . The *forward* phase unfolds the negated DFA of Fig.3 and the *backward* phase removes the state  $e_0$  form the last layer. In the case of a reduction by other constraints where the domain of  $x_1$  is reduced to [5, 10] and  $y_1$  to [0, 4], the *maintaining* phase removes 6 arcs and 3 states.

## 4 Experimental validation

The goal of our experimental validation was to check that an automaton-based negated global constraint version (gotten for free through the presented framework) was more effective than a version where the negation is syntactically computed. For both the global\_contiguity and  $\leq_{lex}$  constraints, we built Gecode models and run our experiments on Intel Core2Quad CPU, Q6600 of 2.4 GHz, Linux machine with 3 Go of RAM.

#### 4.1 global\_contiguity

The declarative specification of global\_contiguity can be given by:

 $global\_contiguity(\mathbf{x}) \equiv \forall i, j \in 1..n : i < j \ s.t.$  $(x_i = 1) \land (x_j = 0) \Rightarrow (\forall k \in j + 1..n : x_k = 0)$ 

Where, the negated form can be declaratively given by:

- $\neg$ global\_contiguity(x)  $\equiv \exists i, j \in 1..n : i < j \ s.t.$
- $(x_i = 1) \land (x_j = 0) \land (\exists k \in j + 1..n : x_k = 1)$

The Table 1 contains our experimental results on global\_contiguity. We give a comparison between the implementation of the declarative specification of ¬global\_contiguity and DFA-based implementation using our negation approach and the REGULAR constraint. The reported results are on different instances (from 200 to  $11.10^3$  variables) where a solving to get the first 100 solutions is launched. The results are on time/memory consumptions, number of propagations and the generated nodes. For each instance, from 200 to  $10^3$ , the DFA-Based negation gives an interesting and impressive results comparing to the syntactic transformations based negation. For example, let us take the instance of  $10^3$  variables, the syntactic approach take more than five minutes and 2.5 Go of memory. With our DFA approach, the solving to get the first 100 solutions takes only 32 ms and 9 Mo of memory. For big instances (more than  $10^3$  variables), the syntactic approach reports an out-of-memory. Our approach stills giving interesting results also for the huge instance  $(11.10^3 \text{ variables})$  with 32 sec.. Fig. 5 shows the increase of time consumption of a solving to get the first 100 solutions with a syntactic negation and a DFA-based negation according to the grow-up of instances. The time consumption in the syntactic transformations increase following an exponential, where the increase time using our approach is in a linear way.

#### 4.2 $\leq_{leq}$

The declarative specification of  $\leq_{leq}$  on  $\vec{x} = \langle x_0, x_1, ..., x_{n-1} \rangle$  and  $\vec{y} = \langle y_0, y_1, ..., y_{n-1} \rangle$  can be given as follows:

$$\vec{x} \leq_{leq} \vec{y} \equiv (n=0) \lor (x_0 < y_0) \lor$$

| var   | syntactic | transform | ations bas | $DFA-\mathtt{based}\mathtt{negation}$ |          |         |        |        |  |  |  |
|-------|-----------|-----------|------------|---------------------------------------|----------|---------|--------|--------|--|--|--|
|       | Т         | М         | Р          | N                                     | Т        | М       | Р      | N      |  |  |  |
| 200   | 53.13     | 24.39     | 65 111     | 396                                   | 2.09     | 0.42    | 366    | 397    |  |  |  |
| 300   | 186.07    | 77.90     | 116 535    | 496                                   | 3.31     | 0.77    | 468    | 497    |  |  |  |
| 400   | 509.93    | 179.22    | 170 209    | 596                                   | 4.81     | 1.559   | 566    | 597    |  |  |  |
| 500   | 1 082.35  | 344.86    | 244 235    | 696                                   | 6.69     | 2.20    | 668    | 697    |  |  |  |
| 600   | 1 936.68  | 589.79    | 315 320    | 796                                   | 8.77     | 2.96    | 766    | 797    |  |  |  |
| 700   | 3 125.01  | 930.34    | 411 935    | 896                                   | 11.43    | 4.80    | 868    | 897    |  |  |  |
| 800   | 4 735.71  | 1 381.68  | 500 407    | 996                                   | 14.19    | 6.03    | 966    | 997    |  |  |  |
| 900   | 6 760.44  | 1 960.24  | 619 627    | 1 096                                 | 17.27    | 7.28    | 1 068  | 1 097  |  |  |  |
| 1 000 | 19 407.02 | 2 681.01  | 725 507    | 1196                                  | 22.88    | 8.53    | 1 166  | 1 197  |  |  |  |
| 1 100 | —         | OOM       | _          | _                                     | 24.17    | 9 925   | 1 268  | 1 297  |  |  |  |
| 1 200 | —         | OOM       | _          | _                                     | 28.67    | 14 214  | 1 366  | 1 397  |  |  |  |
| 1 300 | _         | OOM       | —          | _                                     | 32.78    | 16 330  | 1 468  | 1 497  |  |  |  |
| 1 400 | —         | OOM       | —          | _                                     | 37.58    | 18 766  | 1 566  | 1 597  |  |  |  |
| 1500  | _         | OOM       | —          | _                                     | 42.69    | 21 266  | 1 668  | 1 697  |  |  |  |
| 1 600 | _         | OOM       | —          | _                                     | 47.83    | 23 702  | 1 766  | 1 797  |  |  |  |
| 1 700 | _         | OOM       | _          | _                                     | 53.34    | 26 276  | 1 868  | 1 897  |  |  |  |
| 1 800 | _         | OOM       | _          | _                                     | 59.32    | 28 712  | 1 966  | 1 997  |  |  |  |
| 1 900 | _         | OOM       | _          |                                       | 65.09    | 31 212  | 2 068  | 2 097  |  |  |  |
| 11000 |           | OOM       |            | _                                     | 1 923.53 | 896 958 | 11 166 | 11 197 |  |  |  |

Table 1: Experimental results on ¬global\_contiguity.

 $\texttt{T}:\texttt{time}(\texttt{ms}), \, \texttt{M}:\texttt{memory}(\texttt{MB}), \, \texttt{P}:\texttt{propagations}, \, \texttt{N}:\texttt{nodes}, \, \texttt{OOM}: \texttt{Out}-\texttt{Of}-\texttt{Memory}$ 

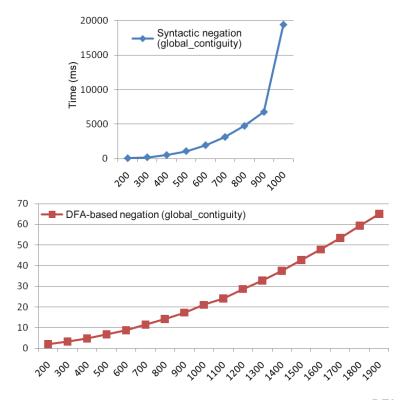


Figure 5: Time consumptions for  $\neg$ global\_contiguity (syntactic and DFA-Based negation).

$$(x_0 = y_0 \land < x_1, ..., x_{n-1} > \leq_{\texttt{lex}} < y_1, ..., y_{n-1} >)$$

where the negation form is simply:

$$\neg (\vec{x} \leq_{lex} \vec{y}) \equiv ((n=1) \land (x_0 > y_0)) \lor ((n>1) \land ((x_0 > y_0)) \lor ((x_1, ..., x_{n-1} > \neg \leq_{lex} < y_1, ..., y_{n-1} >)))$$

This is a first transformation to get the negation of  $\leq_{lex}$ . One can also express the negation using the global constraint  $>_{lex}$  which is available on Gecode:

$$\neg (\vec{x} \leq_{lex} \vec{y}) \equiv \vec{x} >_{leq} \vec{y}$$

The Table 2 contains results on the syntactic negation and the  $>_{lex}$  global constraint. We compare the two results with our DFA-based negation approach. The reported results are on 200 to  $8.10^3$  variables instances. The DFA-based negation is better than the syntactic negation and the original constraint  $>_{lex}$ . Let us take the big instance with  $8.10^3$  variables, our generic approach to negate  $\leq_{lex}$  have a time consumption three times less than the syntactic negation and two times less than  $>_{lex}$  constraint. For memory consumption, the  $>_{lex}$  have a consumption two times or more than the DFA-based negation. Through these comparaisons, we see that the DFA-based negation is widely better than the syntactic negation and remains very competitive with its equivalent well established global constraint  $>_{lex}$ .

| DFA - based negation                     | N  | 400   | 500   | 600   | 700   | 800   | 906   | $1 \ 000$ | 1 100 | 1 200    | $2\ 200$   | 3 200      | 4 200    | $5\ 200$   | 6 200        | 7 200        | 8 200      |
|--|----|-------|-------|-------|-------|-------|-------|-----------|-------|----------|------------|------------|----------|------------|--------------|--------------|------------|
|  | ፈ  | 302   | 402   | 502   | 602   | 702   | 802   | 902       | 1 002 | 1 102    | 2 102      | 3 102      | 4 102    | $5\ 102$   | 6 102        | 7 102        | 8 102      |
|  | М  | 0.82  | 2.10  | 3.00  | 4.32  | 7.75  | 9.00  | 11.41     | 15.03 | 16.57    | 65.46      | 147.19     | 255.35   | 395.56     | 567.67       | 770.78       | 1 004.37   |
|  | н  | 4.27  | 7.07  | 11.16 | 15.28 | 20.30 | 26.28 | 32.62     | 39.70 | 47.38    | 161.96     | 344.10     | 597.54   | 915.20     | $1 \ 310.84$ | 1 772.84     | 2 309.79   |
| >lex                                     | N  | 400   | 500   | 600   | 700   | 800   | 900   | $1 \ 000$ | 1 100 | 1200     | 2 200      | 3 200      | 4 200    | 5 200      | 6 200        | 7 200        | 8 200      |
|  | ፈ  | 2 341 | 3 311 | 4 341 | 5 311 | 6341  | 7 311 | 8 341     | 9 311 | 10.341   | 20.341     | 30.341     | 40 341   | 50341      | 60 341       | 70 341       | 80 341     |
|  | М  | 2.03  | 4.69  | 7.64  | 11.87 | 16.03 | 20.90 | 26.73     | 33.59 | 40.50    | 153.91     | 352.69     | 625.27   | 970.92     | 1 388.53     | 1 879.97     | 2446.10    |
|  | н  | 6.60  | 12.07 | 19.09 | 27.65 | 38.13 | 49.67 | 62.72     | 77.12 | 92.54    | 334.72     | 731.38     | 1 300.39 | 2 059.30   | 3 010.67     | 4 115.96     | 5 681.13   |
| sed negation                             | N  | 400   | 500   | 600   | 700   | 800   | 006   | 1 000     | 1 100 | 1 200    | 2 200      | 3 200      | 4 200    | 5 200      | 6 200        | 7 200        | 8 200      |
| syntactic transformations based negation | д, | 1 944 | 2 708 | 3 544 | 4 308 | 5 144 | 5 908 | 6 744     | 7 508 | 8 344    | 16 344     | 24 344     | 32 344   | 40 344     | 48 344       | 56 344       | 64 344     |
|  | M  | 1.67  | 3.50  | 6.42  | 9.56  | 13.35 | 17.38 | 22.00     | 27.12 | 33.02    | 123.06     | 270.51     | 475.89   | 738.53     | $1 \ 059.63$ | $1 \ 440.67$ | 1 880.27   |
|  | н  | 7.00  | 13.24 | 21.45 | 30.86 | 43.32 | 56.77 | 71.89     | 90.12 | 107.97   | 402.09     | 889.68     | 1 591.25 | 2 527.08   | 3 758.49     | 5 194.56     | 6 951.67   |
| var                                      |    | 200   | 300   | 400   | 500   | 600   | 200   | 800       | 900   | $10^{3}$ | $2.10^{3}$ | $3.10^{3}$ | $10^{3}$ | $5.10^{3}$ | $10^{3}$     | $7.10^{3}$   | $8.10^{3}$ |

## 5 Conclusion

In this paper, we have proposed an approach to get automatically a filtering algorithm for the negation of an automaton-based global constraint. Our approach is built over automata operations and exploits the REGULAR global constraints to automatically derive filtering rules for the negation. Through experiments, we evaluated this approach on two well-known global constraints, namely the negation of global\_contiguity and  $\leq_{lex}$ , for which we automatically derived filtering algorithms. The **Gecode** models and results show that our versions are efficient. We forecast 1) to extend our approach to push-down automata by using the generic GRAMMAR constraint and 2) to extend it to logical connectives (i.e., conjunction, disjunction) between global constraints.

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