

18/09/2023. Lecture 2.

1 Secret sharing.

In this chapter we discuss the notion of *secret sharing* and discuss simple examples of secret sharing schemes. We begin with a brief motivation. Assume that we want to distribute a *secret*  $k$  (it can be a password, a secret code for a safebox, ...) among a group of  $n$  people (participants of the secret sharing scheme). We do not want to let any individual participant know this secret; we require that only *authorised groups* of participants are able to reveal it.

*Example 1.* We may require that only all  $n$  participants together can get the secret.

*Example 2.* We may require that only the majority of participants (i.e., every group that consists of more than  $n/2$  participants) can get the secret.

*Example 3.* We may fix a threshold  $t$  between 1 and  $n$  and require that every group of at least  $t$  participants can get the secret. Observe that Example 1 above is a special case of this rule for  $t = n$ , and Example 2 is a special case of this rule for  $t = \lceil (n + 1)/2 \rceil$ .

The groups of participants that are *not authorised* should not have *any* information about the secret. Let us proceed with a more formal definition.

Let  $\mathcal{K}$  be the space of all potential secrets. (In all our examples below we let  $\mathcal{K} = \{0, 1\}^m$  for some integer  $m$  or  $\mathcal{K} = \mathbb{Z}/p\mathbb{Z}$  for some integer number  $p$ .) A secret sharing scheme with  $n$  is a randomised algorithm (*Dealer*) that samples for each  $k \in \mathcal{K}$  a probability distribution  $p_k(s_1, \dots, s_n)$

$$\text{Prob}^{(k)}[S_1 = s_1, \dots, S_n = s_n] = p_k(s_1, \dots, s_n),$$

the distribution of random *shares* compatible with the key  $k$ . These distributions must respect the following two conditions.

- (I) For every *authorised* group of participants  $\{i_1, \dots, i_r\}$ , the random variables  $\langle S_{i_1}, \dots, S_{i_r} \rangle$  contain enough information to reconstruct the secret key  $k$ . This means that for every vector of value  $(s_{i_1}, \dots, s_{i_r})$  there can be only one secret  $k \in \mathcal{K}$  such that

$$\text{Prob}^{(k)}[S_{i_1} = s_{i_1}, \dots, S_{i_r} = s_{i_r}] > 0.$$

- (II) For every *non authorised* group of participants  $\{i_1, \dots, i_\ell\}$ , the random variables  $\langle S_{i_1}, \dots, S_{i_\ell} \rangle$  contain *no* information on  $k$ . This means that for all  $k \in \mathcal{K}$  the restrictions of the distribution

$$\text{Prob}^{(k)}[S_1 = s_1, \dots, S_n = s_n]$$

on the coordinates  $i_1, \dots, i_\ell$  are identical<sup>1</sup>.

*Example 1 revisited (only all  $n$  participants together know the secret).* We let  $\mathcal{K} = \{0, 1\}^m$  and define the scheme as follows. For every secret  $k = (k_1 \dots k_m) \in \{0, 1\}^m$  we sample the shares  $S_1, \dots, S_{n-1}$  as

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<sup>1</sup>In the examples that we discuss below, the joint distributions  $(S_{i_1}, \dots, S_{i_\ell})$  for non authorised groups are always the uniform distributions of  $\ell$  random variables, though the general definition admits more complicated constructions.

independent uniformly distributed binary strings in  $\{0, 1\}^m$ . The last share  $S_n$  (for the  $n$ -th participant) is defined as the bitwise XOR of  $S_1, \dots, S_{m-1}$  and the  $m$ -bit secret  $k_1 \dots k_m$ .

*Example 1' (again, only all  $n$  participants together know the secret).* We let  $\mathcal{K} = \mathbb{Z}/p\mathbb{Z}$  and define the scheme as follows. For every secret  $k \in \mathbb{Z}/p\mathbb{Z}$  we sample the shares  $S_1, \dots, S_{n-1}$  as independent uniformly distributed random values in  $\mathbb{Z}/p\mathbb{Z}$ . The last share  $S_n$  (for the  $n$ -th participant) is defined as

$$k - S_1 - \dots - S_{n-1} \pmod{p}.$$

*Example 2 revisited (threshold secret sharing scheme for  $n = 3$  and  $t = 2$ , every two participants of three know the secret).* We fix a prime number  $p > 3$  and let  $\mathcal{K} = \mathbb{Z}/p\mathbb{Z}$ . We fix three (pairwise distinct) non-zero elements  $a_1, a_2, a_3 \in \mathbb{Z}/p\mathbb{Z}$ . For every secret  $k \in \mathbb{Z}/p\mathbb{Z}$  the Dealer sample the shares  $S_1, S_2, S_3$  as follows. We choose a random element  $c \in \mathbb{Z}/p\mathbb{Z}$ , define a function (a polynomial of degree at most 1)

$$f(x) = cx + k \pmod{p}$$

and let

$$S_1 = f(a_1), S_2 = f(a_2), S_3 = f(a_3).$$

In other words, we choose a random polynomial  $f(x) = cx + c_0$  incident to the point  $(0, k)$  (i.e., the constant terms is equal to  $c_0 = k$ ) and take its values at the points  $a_i$  as the shares of the secret  $S_i$  for  $i = 1, 2, 3$ .

In the class we verified that this construction satisfies the definition of a secret sharing scheme.

*Example 3 revisited: threshold secret sharing scheme for  $t = 3$  and  $n = 5$  (every three participants know the secret).* We fix a prime number  $p > 3$  and let  $\mathcal{K} = \mathbb{Z}/p\mathbb{Z}$ . We fix 5 (pairwise distinct) non-zero elements  $a_1, \dots, a_5 \in \mathbb{Z}/p\mathbb{Z}$ . For every secret  $k \in \mathbb{Z}/p\mathbb{Z}$  we sample the shares  $S_1, \dots, S_5$  as follows. We choose at random (uniformly and independently) elements  $c_1, c_2 \in \mathbb{Z}/p\mathbb{Z}$ , define a function (a polynomial of degree at most 2)

$$f(x) = c_2x^2 + c_1x + k$$

and let  $S_i = f(a_i)$  for  $i = 1, \dots, 5$ .

In the class we proved that these schemes respect conditions (I) and (II) from the definition of a secret sharing scheme.

*Digression 1: arithmetic modulo a prime number.* If  $p$  is a prime number then for every integer  $a \neq 0 \pmod{p}$  there exists an integer  $a'$  such that  $a \cdot a' = 1 \pmod{p}$ . In other words, every non-zero element in  $\mathbb{Z}/p\mathbb{Z}$  has an inverse.

Given  $p$  and  $a$  we can find such an  $a'$  algorithmically. A naive is the brute-force search: we try all numbers in the list  $1, 2, \dots, p - 1$  until we find  $a'$  such that  $a \cdot a' = 1 \pmod{p}$ . A more efficient approach uses the extended euclidean algorithm.

*Digression 2: roots of a polynomial.* To show that the secret sharing scheme defined above satisfies the conditions (I) and (II), we used a well-known theorem from algebra:

**Theorem 1.** *Let  $p$  be a prime number and  $c_0, \dots, c_{d-1}$  be elements from  $\mathbb{Z}/p\mathbb{Z}$ . Then the polynomial*

$$f(x) = c_0 + c_1x + \dots + c_dx^d \pmod{p}$$

*cannot have more than  $d$  roots in  $\{0, 1, \dots, p - 1\}$  (unless all  $c_i$  are equal to zero).*

**Corollary 1.** *The graphs of two polynomials  $g(x)$  and  $h(x)$  of degree  $\geq d$  have at most  $d$  points of intersection in the arithmetic  $\mathbb{Z}/p\mathbb{Z}$ , i.e., there is at most  $d$  points  $a_i \in \{0, \dots, p-1\}$  such that*

$$g(a_i) = h(a_i) \pmod{p}.$$

*Sketch of the proof.* The degree of the polynomial  $f(x) := g(x) - h(x)$  is at most  $d$ , and therefore it cannot have more than  $d$  roots modulo  $p$ .

N.B.: We stress that Theorem 1 is true only for prime numbers  $p$ .

## References

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