# A brief tour of practical data compression

#### DEFLATE

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# Why?

#### Data compression typical use cases

- Archiving :
  - \$ tar cvf directory | gzip > archive.tar.gz
- Transparent bandwith optimization : HTTP/1.1 [RFC2616, Sec. 3.5].

• Image compression (GIF, PNG).

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- Image compression (GIF, PNG).

#### Design consequences

- Separate *pure compression* methods from *formatting* specs.
  - Auxiliary functions like CRC provided above the formatting level.
- DEFLATE is an ubiquitous compression method, valid for : gzip, ZIP, PDF, PNG, PPP, HTTP/1.1, *etc*.

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## What?

#### Archivers

• Archivers group files together into a single one : the archive.

Programs : ar, tar, cpio, pax.

- Basically, bytes concatenation and metadata addition.
- Enable solid compression (references to bytes in other files).

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#### Archivers vs. compressors

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Programs : ar, tar, cpio, pax.

- Basically, bytes concatenation and metadata addition.
- Enable solid compression (references to bytes in other files).

• Compressors perform the actual removal of redundancy. Programs : pack, compress, gzip, bzip2, xz. Incipit tragœdia.

Unix KISS motto : treat separate issues with dedicated tools.

# When?

Name	Technology	Extension	Date released
pack	Huffman	• Z	<1990
compact	Adaptive Huffman	.C	?
compress	LZW	• Z	1984

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## When?

#### Timeline of relevant Unix compression programs

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pack	Huffman	• Z	<1990
compact	Adaptive Huffman	.C	?
compress	LZW	.Z	1984
gzip	LZ77, Huffman	.gz	1996
bzip2	RLE, BWT, MTF, Huffman	.bz2	1997
XZ	LZMA, range encoding	.XZ	2009

## How?

#### Redundancy?

Let X be a source of symbols drawn from  $\mathcal{A}$ , its relative redundancy is :

$$R = 1 - \frac{H(X)}{\log |\mathcal{A}|}.$$

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"Maximum achievable compression rate".

- Thanks for the thermometer, but I really need to DEFLATE.

# How?

## Types of redundancy [Welch, 1984]

• Character distribution : Huffman, range encoding ;

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- Character repetition : RLE ;
- High-usage patterns : Lempel-Ziv ;
- Positional redundancy : Lempel-Ziv, if at all.

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# How?

### Types of redundancy [Welch, 1984]

- Character distribution : Huffman, range encoding ;
- Character repetition : RLE ;
- High-usage patterns : Lempel-Ziv ;
- Positional redundancy : Lempel-Ziv, if at all.

#### Engineering a compressor

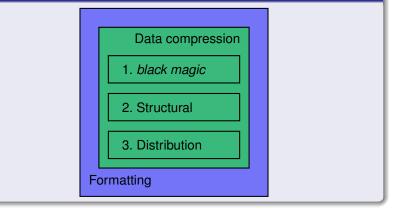
Since LZ can emulate RLE (cf. infra) and it also produces symbols :

- Optionally, pre-process data with black magic (BWT, MTF);
- Remove structural redundancy (frequent patterns) with LZ;
- Remove character distribution redundancy on LZ symbols.

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## Architecture of a compressor

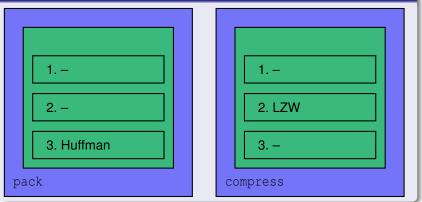
#### A compressor is better seen as a *pipeline* with optional stages



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# Gallery

#### Compression, ca. 1985



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#### Compression, ca. 2010



LZ77 coding Canonical Huffman coding Flow control with blocks

## Why DEFLATE?

#### A handful of excellent reasons

- It's a complete tool, it just lacks top-notch features of LZMA;
- It's fully documented [RFC1951, RFC1952];
- It's free (as in free beer and as in free world, allegedly).

Easiest access to real-world use of LZ.

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LZ77 coding Canonical Huffman coding Flow control with blocks

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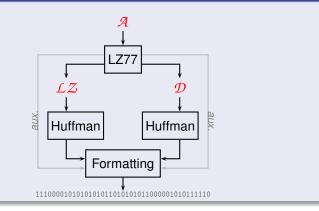
#### A good way of learning a lot (the hard way)

- How is Huffman coding really done? How to tweak the LZ stage?
- "One point that appears to be little appreciated in the literature is that there is no disadvantage incurred, and considerable benefit to be gained, from mapping the source alphabet onto integer symbol numbers [...]" [Moffat & Turpin, 1997].

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## Structure of DEFLATE

#### Use two Huffman coders for different data series



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# The Lempel-Ziv split

#### Timeline of papers

 J. Ziv & A. Lempel, "A Universal Algorithm for Sequential Data Compression", 1977.

 J. Ziv & A. Lempel, "Compression of Individual Sequences via Variable-Rate Coding", 1978.

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#### LZ78 : Explicit construction of dictionary.

Implementation : T. Welch, "A Technique for High-Performance Data Compression", 1984 (LZW).

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# The Lempel-Ziv split

#### Timeline of papers and implementations

 J. Ziv & A. Lempel, "A Universal Algorithm for Sequential Data Compression", 1977.

#### LZ77 : Sliding window.

Implementation : P. Katz, DEFLATE, 1993 (PKZIPv2).

 J. Ziv & A. Lempel, "Compression of Individual Sequences via Variable-Rate Coding", 1978.

#### LZ78 : Explicit construction of dictionary.

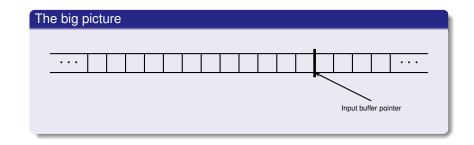
Implementation : T. Welch, "A Technique for High-Performance Data Compression", 1984 (LZW).

<u>Rationale</u> : LZ78 easiest to implement on memory-limited devices than LZ77 (on-chip transparent compression, explicit flush of bounded-size dictionary).

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LZ77 coding Canonical Huffman coding Flow control with blocks

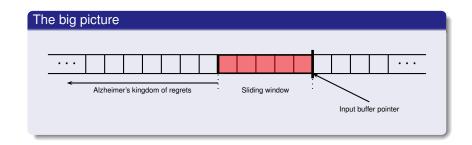
## LZ77 in two lines



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## LZ77 in two lines

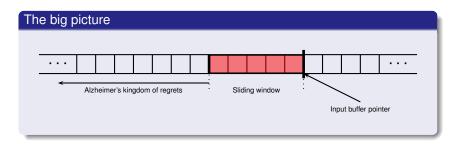


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# LZ77 in two lines



#### Algorithm

- Search for known past strings starting with the same next literals.
- If none is found, output the next literal. Otherwise, output a reference to the *best* past string.

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# LZ77 in practice

#### Symbols

#### Input

Sequence of literals in  $\mathcal{A} = [0 \times 00..0 \times \text{ff}]$ 

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# LZ77 in practice

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Sequence of literals in  $\mathcal{A} = [0 \times 00..0 \times \text{ff}]$ 

Output

```
Sequence of :
```

```
{L(lit)}
{Z(-dist->len)}
```

- : The literal lit  $\in \mathcal{A}$
- {Z(-dist->len)} : A reference to len bytes
  that are dist bytes backwards

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  that are dist bytes backwards

#### Notes

- if len > dist, repeat modulo dist from -dist (RLE++ for free).
- dist is limited to the last 32KiB (size of the sliding window).
- xz/LZMA : Sliding window size of 4GiB (<u>1<sup>st</sup> shot of steroids</u>).

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# LZ77 in pictures



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LZ77 coding Canonical Huffman coding Flow control with blocks

# LZ77 in pictures





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# LZ77 in pictures





# Emit reference { Z (-4->5) } - RLE emulation ··· X A B C D E A B C D A B C D A Y ···

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# LZ77 in details

#### **Dictionary search**

- Various best strings :
  - Latest string : favor Huffman stage
  - Longest string
  - Lazy : does emitting literals first allow to find a longer string?
    - Kind of future-aware lookup.

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- Hash computed on the next 3 literals.

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# LZ77 in details

#### **Dictionary search**

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    - Kind of future-aware lookup.
- Internal data structure : hash chains (array of linked lists).
- Hash computed on the next 3 literals.
- xz/LZMA (2<sup>nd</sup> shot of steroids) :
  - Data structure : array of binary search trees.
  - Multi-threaded search based on the next 2, 3 or 4 literals.
  - Hundreds of MiBs of RAM frequently needed.
  - Cannot always afford it (kernel-specific stripped-down version).

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# Sample run (LZ77 stage)

#### Strategy : newest string (to be continued)

a {L(0x61)} b {L(0x62)} C {L(0x63)} d {L(0x64)} abc {Z(-4->3)} abc {Z(-4->3)} bcd {Z(-3->3)} dabc {Z(-7->4)} bcd {Z(-6->3)}

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# Encoding LZ symbols

#### Encoding literals and reference lengths (producing up to 5 aux. bits)

#### lit's and len's share the same alphabet $LZ = \mathcal{A} \cup [256..285]$

я	Literal		266	Lengths 13-14	+1 bit	277	Lengths 67-82	+4 bits
256	EndOfBlock		267	Lengths 15-16	+1 bit	278	Lengths 83-98	+4 bits
257	Length 3		268	Lengths 17-18	+1 bit	279	Lengths 99-114	+4 bits
258	Length 4		269	Lengths 19-22	+2 bits	280	Lengths 115-130	+4 bits
259	Length 5		270	Lengths 23-26	+2 bits	281	Lengths 131-162	+5 bits
260	Length 6		271	Lengths 27-30	+2 bits	282	Lengths 163-194	+5 bits
261	Length 7		272	Lengths 31-34	+2 bits	283	Lengths 195-226	+5 bits
262	Length 8		273	Lengths 35-42	+3 bits	284	Lengths 227-257	+5 bits
263	Length 9		274	Lengths 43-50	+3 bits	285	Length 258	
264	Length 10		275	Lengths 51-58	+3 bits			
265	Lengths 11-12	+1 bit	276	Lengths 59-66	+3 bits			

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# Encoding LZ symbols

#### Encoding reference distances (producing up to 13 aux. bits)

#### dist's enjoy their separate alphabet $\mathcal{D} = [0..29]$

0	1		15	193-256	+6 bits
1	2		16	257-384	+7 bits
2	3		17	385-512	+7 bits
3	4		18	513-768	+8 bits
4	5-6	+1 bit	19	769-1024	+8 bits
5	7-8	+1 bit	20	1025-1536	+9 bits
6	9-12	+2 bits	21	1537-2048	+9 bits
7	13-16	+2 bits	22	2049-3072	+10 bits
8	17-24	+3 bits	23	3073-4096	+10 bits
9	25-32	+3 bits	24	4097-6144	+11 bits
10	33-48	+4 bits	25	6145-8192	+11 bits
11	49-64	+4 bits	26	8193-12288	+12 bits
12	65-96	+5 bits	27	12289-16384	+12 bits
13	97-128	+5 bits	28	16385-24576	+13 bits
14	129-192	+6 bits	29	24577-32768	+13 bits

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# Huffman coding

#### Timeline of papers

 D.A. Huffman, "A Method for the Construction of Minimum-Redundancy Codes", 1952
 The basic idea. Problem : How to (avoid to ?) transmit frequency tables ?

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## Huffman coding

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   Adaptive Huffman : Start from an empty p.m.f., use
   NewSymbol and update frequencies and codes from time to time.

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## Huffman coding

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   Adaptive Huffman : Start from an empty p.m.f., use
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- A. Moffat & A. Turpin, "On the Implementation of Minimum Redundancy Prefix Codes", 1997
   Canonical Huffman : It is sufficient to send the lengths of the code.

Canonical Huffman coding

## Canonical Huffman codes

#### Apply an *ordering* constraint on classical Huffman code lengths

The Huffman codes used for each alphabet in the DEFLATE format have two additional rules [RFC1951, Sec. 3.2.2] .

All codes of a given bit length have lexicographically consecutive values, in the same order as the symbols they represent ;

Shorter codes lexicographically precede longer codes. (2)

Canonical Huffman coding

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### Computing Huffman codes for real

1. Get classical Huffman codes

А	00
В	1
С	011
-	

D 010

Canonical Huffman coding

## Canonical Huffman codes

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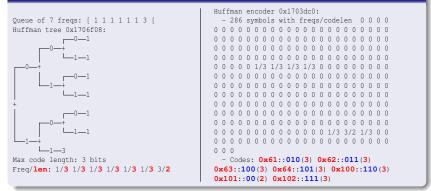
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Computing Huffman codes for real				
1. Get classical Huffman codes		n codes	2. Canonicalize	
A	00		А	10
В	1	$\rightarrow$	В	0
С	011		С	110
D	010		D	111

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## Sample run ( $\mathcal{LZ}$ excerpt)

### Yes, it's ASCII art. Important things are in red, and so are codes.



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# *Encoding* canonical Huffman codes

### Another real world example of code lengths sequence

- Now how redundant is this?

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# *Encoding* canonical Huffman codes

#### Another real world example of code lengths sequence

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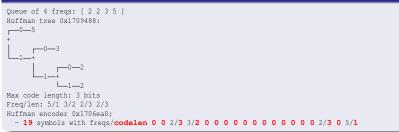
## Canonical Huffman codes alphabet ${\mathcal H}$

- 0-15 Represent code lengths of 0-15.
  - 16 <u>Copy the previous code</u> length 3-6 times. The next 2 bits indicate repeat length (0 = 3, ..., 3 = 6).
  - 17 Repeat a code length of 0 for 3-10 times (3 bits of length).
  - 18 *Repeat a code* length of 0 for 11-138 times (7 bits of length).

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# Sample run ( $\mathcal{H}$ excerpt)

#### Huffman codes for coding Huffman codes ;-)



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## DEFLATE block format

#### Formatting imposes most of the implementation structure

## Block header

1 bit	BFINAL (1 iff last block)
2 bits	BTYPE (10 for dynamic Huffman codes)
5 bits	$HLIT =  \mathcal{LZ}  - 257$ (257-286)
5 bits	HDIST =  D  - 1 (1-32)
4 bits	$HCLEN =  \mathcal{H}  - 4$ (4-19)
(HCLEN + 4) x 3 bits	Lengths for $\mathcal{H}$ (*)

 $\begin{array}{l} \text{HLIT} + 257 \text{ code lengths for } \mathcal{LZ} \text{, using Huffman codes for } \mathcal{H} \\ \text{HDIST} + 1 \text{ code lengths for } \mathcal{D} \text{, using Huffman codes for } \mathcal{H} \end{array}$ 

(\*) "These code lengths are interpreted as 3-bit integers (0-7), in the order : 16, 17, 18, 0, 8, 7, 9, 6, 10, 5, 11, 4, 12, 3, 13, 2, 14, 1, 15."

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2 Compressed block data, using Huffman codes for  $\mathcal{LZ}$  and  $\mathcal D$ 

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- 2 Compressed block data, using Huffman codes for  $\mathcal{LZ}$  and  $\mathcal D$
- O EndOfBlock, using the Huffman codes of  $\mathcal{LZ}$

(\*) "These code lengths are interpreted as 3-bit integers (0-7), in the order : 16, 17, 18, 0, 8, 7, 9, 6, 10, 5, 11, 4, 12, 3, 13, 2, 14, 1, 15."

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# Sample run (putting it all together)

## 1/3 Writing block header info (final, dynamic Huffman)

 $\{1\} \ \{10\}$ 

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# Sample run (putting it all together)

## 1/3 Writing block header parms (HLIT, HDIST, HCLEN)

#### 

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# Sample run (putting it all together)

### 1/3 Writing block header, fixed-width Huffman lengths

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# Sample run (putting it all together)

#### 1/3 Writing block header, variable-width Huffman lengths

{1} {10} <u>29</u>+257 {10111 } <u>29</u>+1 {10111 } <u>12</u>+4 {001 1} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000]] 2 [010] 0 [000] 3 [11]0 (18,86) 0 [0] (011010|1)

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# Sample run (putting it all together)

#### 1/3 Writing block header, variable-width Huffman lengths

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# Sample run (putting it all together)

#### 1/3 Writing block header, variable-width Huffman lengths

{1} {10} <u>29+257</u> {10111} <u>29+1</u> {10111} <u>12+4</u> {001]1} <u>3</u> [110] <u>0</u> [000] <u>1</u> [1100] <u>0</u> [000] <u>0</u>

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# Sample run (putting it all together)

#### 1/3 Writing block header, variable-width Huffman lengths

{1} {10} <u>29</u>+257 {10111 } <u>29</u>+1 {10111 } <u>12</u>+4 {001 1} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 2 [010] 0 [000] 3 [11]0 (18,86) 0 0 0 0 0 0 0 0 0 0 0 0 0 [0] (011010[1) 3 [10] (16.0) \* \* \* [**111**] (**00**]) (18,127) 0 

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# Sample run (putting it all together)

#### 1/3 Writing block header, variable-width Huffman lengths

{1} {10} 29+257 {10111} 29+1 {10111} 12+4 {0011} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 2 [010] 0 [000] 3 [11]0 (18,86) 0 [0] (011010[1) 3 [10] (16.0) \* \* \* [**111**] (**00**]) (18,127) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 [0] (0100100) 2 [1]10 (16,0) \* \* \* [11] (00) (18,13) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 [0]] (1011000) (wrote 286+30 code lengths) >ed >9d >87 >00 >00 >00 >00 >c2 >58 >3b >fe >0c >2d >92 >1d

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# Sample run (putting it all together)

#### 2/3 Writing compressed data

{1} {10} <u>29</u>+257 {10111 } <u>29</u>+1 {10111 } 12+4 {001 1} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000]] 2 [010] 0 [000] 3 [11]0 (18,86) 0 [0] (011010[1) 3 [10] (16.0) \* \* \* [111] (00]) (18.127) 0 [0] 0 0 0 0 0 0 0 0 0 0 [0]] (1011000) (wrote 286+30 code lengths) >ed >9d >87 >00 >00 >00 >00 >c2 >58 >3b >fe >0c >2d >92 >1d {L(0x61)} [0|10] >0d

LZ77 coding Canonical Huffman coding Flow control with blocks

# Sample run (putting it all together)

#### 2/3 Writing compressed data

{1} {10} <u>29</u>+257 {10111 } <u>29</u>+1 {10111 } 12+4 {001 1} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 2 [010] 0 [000] 3 [11]0 (18,86) 0 [0] (011010[1) 3 [10] (16.0) \* \* \* [111] (00]) (18.127) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 [0] 0 [0] (0100100) 2 [1]10 (16,0) \* \* \* [111] (00) (18,13) 0 [0]] (1011000) (wrote 286+30 code lengths) >ed >9d >87 >00 >00 >00 >00 >c2 >58 >3b >fe >0c >2d >92 >1d {L(0x61)} [0]10] >0d {L(0x62)} [011] {L(0x63)} [100] >39 {L(0x64)} [101] {Z(-4->3)} [00] [01] {Z(-3->3)} [0]0] [00] >45

LZ77 coding Canonical Huffman coding Flow control with blocks

# Sample run (putting it all together)

#### 2/3 Writing compressed data

{1} {10} <u>29</u>+257 {10111 } <u>29</u>+1 {10111 } 12+4 {001 1} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000]] 2 [010] 0 [000] 3 [11|0] (18,86) 0 [0] (01101011) 3 [10] (16.0) \* \* \* [111] (001) (18.127) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 [0]] (1011000) (wrote 286+30 code lengths) >ed >9d >87 >00 >00 >00 >c2 >58 >3b >fe >0c >2d >92 >1d  $\{L(0x61)\}$  [0]10] >0d  $\{L(0x62)\}$  [011]  $\{L(0x63)\}$  [100] >39  $\{L(0x64)\}$  [101]  $\{Z(-4->3)\}$  [00] [01]  $\{Z(-3->3)\}$  [0|0] [00] >45  $\{Z(-7->4)\}$  [111] [11]] (0) >f8

LZ77 coding Canonical Huffman coding Flow control with blocks

# Sample run (putting it all together)

#### 2/3 Writing compressed data

{1} {10} <u>29</u>+257 {10111 } <u>29</u>+1 {10111 } 12+4 {001 1} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000]] 2 [010] 0 [000] 3 [11|0] (18,86) 0 [0] (01101011) 3 [10] (16.0) \* \* \* [111] (001) (18.127) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 [0]] (1011000) (wrote 286+30 code lengths) >ed >9d >87 >00 >00 >00 >c2 >58 >3b >fe >0c >2d >92 >1d  $\{L(0x61)\}$  [0]10] >0d  $\{L(0x62)\}$  [011]  $\{L(0x63)\}$  [100] >39  $\{L(0x64)\}$  [101]  $\{Z(-4->3)\}$  [00] [01]  $\{Z(-3->3)\}$  [0]0] [00] >45  $\{Z(-7->4)\}$  [111] [11] (0) >f8  $\{Z(-6->3)\}$  [00] [10] (1)

LZ77 coding Canonical Huffman coding Flow control with blocks

# Sample run (putting it all together)

#### 3/3 Writing EndOfBlock

{1} {10} 29+257 {10111} 29+1 {10111} 12+4 {0011} 3 [110] 0 [000] <u>1</u> [1|00] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 0 [000] 2 [010] 0 [000] 3 [110] (18,86) 0 [0] (01101011) 3 [10] (16.0) \* \* \* [111] (001) (18.127) 0 0 0 0 0 0 0 0 0 0 0 [0]] (1011000) (wrote 286+30 code lengths) >ed >9d >87 >00 >00 >00 >00 >c2 >58 >3b >fe >0c >2d >92 >1d  $\{L(0x61)\}$  [0]10] >0d  $\{L(0x62)\}$  [011]  $\{L(0x63)\}$  [100] >39  $\{L(0x64)\}$  [101]  $\{Z(-4->3)\}$  [00] [01]  $\{\overline{z}(-3-3)\}$  [0] [0] [0]  $\rightarrow 45$   $\{\overline{z}(-7-3)\}$  [111 [11] (0)  $\rightarrow 58$   $\{\overline{z}(-6-3)\}$  [00] [10] (1)  $\{L(0x100)\}$ [11|0] {Flushing 7 bits} 00000001 >e8 >00

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LZ77 coding Canonical Huffman coding Flow control with blocks

# Building blocks and formatting

#### Setting the block size and chaining blocks

- Use fixed-size blocks of LZ symbols, may flush block early based on dictionary analysis.
- References may spawn across blocks.
- Blocks are output one after another.

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LZ77 coding Canonical Huffman coding Flow control with blocks

# Building blocks and formatting

### Setting the block size and chaining blocks

- Use fixed-size blocks of LZ symbols, may flush block early based on dictionary analysis.
- References may spawn across blocks.
- Blocks are output one after another.

## Formatting mantra

"In other words, if one were to print out the compressed data as a sequence of bytes, starting with the first byte at the \*right\* margin and proceeding to the \*left\*, with the most-significant bit of each byte on the left as usual, one would be able to parse the result from right to left, with fixed-width elements in the correct MSB-to-LSB order and Huffman codes in bit-reversed order (i.e., with the first bit of the code in the relative LSB position)." [RFC1951]

LZ77 coding Canonical Huffman coding Flow control with blocks

# Building blocks and formatting

### Setting the block size and chaining blocks

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"In other words, if one were to print out the compressed data as a sequence of bytes, starting with the first byte at the \*right\* margin and proceeding to the \*left\*, with the most-significant bit of each byte on the left as usual, one would be able to parse the result from right to left, with fixed-width elements in the correct MSB-to-LSB order and Huffman codes in bit-reversed order (i.e., with the first bit of the code in the relative LSB position)." <sup>[RFC1951]</sup>

A black magic approach : bzip2 nformations

Encore

# Sample run (summary)

### Printing some interesting figures

Gzip (39 runs)

```
INFLATE (167 runs)
    - 1 blocks
    - Compression summary (80.38% preamble bits):
    Preamble: 15*8 + 7 bits
    Data : 3*8 + 7 bits
    Total : 19*8 + 6 bits
    Ratio : 109.72% (18->20 bytes)
Lempel-Ziv coder (8 runs)
    - 17 bytes -> 7 LZ symbols
    Dictionnary (16 hash chains, 15 keys): 0 1 0 0 1 0 0 0 2 0 0 7 0 0 3 1
    Longest string: 4 bytes
CRC (17 runs)
    - Value: b0fdc05f
```

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Encore

## EndOfTalk

## Closing words

A reader finally reaching this point (sweating profusely with such deep concentration on so many details) may respond with the single word "insane." This scheme of Phil Katz for compressing the two prefix-code tables per block is devilishly complex and hard to follow, but it works [<sup>Salomon, p. 238</sup>]

A black magic approach : bzip2 Informations

## Structure of bzip2

## Roots dating back to 1983



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A black magic approach : bzip2

Encore

# How Kolmogorov rescued Shannon (take #2)

#### Assessing entropy coding alone

I should definitely exploit local correlations !

A black magic approach : bzip2

Encore

# How Kolmogorov rescued Shannon (take #2)

### Assessing entropy coding alone

- I should definitely exploit local correlations ! Structural vs. *combinatorial* processing before entropy coding.
- Combinatorial black magic Often advertised as *free lunch* (from Shannon's point of view). In practice, you often have to pay a small log(n) fee.

A black magic approach : bzip2 Informations

Encore

# How Kolmogorov rescued Shannon (take #2)

### Assessing entropy coding alone

- I should definitely exploit local correlations ! Structural vs. combinatorial processing before entropy coding.
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   Often advertised as *free lunch* (from Shannon's point of view).
   In practice, you often have to pay a small log(n) fee.

## How to make local correlations shine?

Block-sorting transform (BWT)
 Bijectively re-order data flow favoring local correlations.

A black magic approach : bzip2

Encore

# How Kolmogorov rescued Shannon (take #2)

## Assessing entropy coding alone

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## How to make local correlations shine?

Block-sorting transform (BWT) Bijectively re-order data flow favoring local correlations.

Entropic regularization (MTF) Adapt encoding of the above to exhibit a distribution more amenable to compression.

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

## Forward re-ordering of n = 11 input literals (n = |ABRACADABRA|)

Init

ABRACADABRA

A black magic approach : bzip2 Informations

Encore

## The Burrows-Wheeler transform (BWT)

### Forward re-ordering of n = 11 input literals (n = |ABRACADABRA|)

Compute all circular permutations

ABRACADABRA

AABRACADABR

RAABRACADAB

BRAABRACADA

ABRAABRACAD

DABRAABRACA

ADABRAABRAC

CADABRAABRA

ACADABRAABR

RACADABRAAB

BRACADABRAA

A black magic approach : bzip2 Informations

Encore

## The Burrows-Wheeler transform (BWT)

### Forward re-ordering of n = 11 input literals (n = |ABRACADABRA|)

### Sort lexicographically

ABRACADABRA AABRACADABR RAABRACADAB BRAABRACADA ABRAABRACAD DABRAABRACA ADABRAABRAC CADABRAABRA ACADABRAABR RACADABRAAB BRACADABRAA AABRACADABR ABRAABRACAD ABRACADABRA ACADABRAABR ADABRAABRAC BRAABRACADA CADABRAABRA DABRAABRACA BRACADABRAA RAABRACADAB RACADABRAAB

A black magic approach : bzip2 Informations

Encore

## The Burrows-Wheeler transform (BWT)

### Forward re-ordering of n = 11 input literals (n = |ABRACADABRA|)

Prepend #perm to output

ABRACADABRA AABRACADABR RAABRACADAB BRAABRACADA ABRAABRACAD DABRAABRACA ADABRAABRAC CADABRAABRA ACADABRAABR RACADABRAAB BRACADABRAA

AABRACADABR ABRAABRACAD ABRACADABRA #2 ACADABRAABR ADABRAABRAC BRAABRACADA CADABRAABRA DABRAABRACA BRACADABRAA RAABRACADAB RACADABRAAB

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of <i>n</i> input literals ( $n =  RDARCAAAABB $ )
Init
R
D
А
R
C
A
A
A
A
В
В

A black magic approach : bzip2

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  RDARCAAAABB $ )
Sort
A
A
A
A
A
В
В
С
D
R
R

FC

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  RDARCAAAABB $ )
Paste
RA
DA
AA
RA
CA
AB
AB
AC
AD
BR
BR

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  RDARCAAAABB $ )
Sort
AA
AB
AB
AC
AD
BR
BR
CA
DA
RA
RA

FC

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  RDARCAAAABB $ )
Paste
RAA
DAB
AAB
RAC
CAD
ABR
ABR
ACA
ADA
BRA
BRA

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Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  $ RDARCAAAABB $ $ )
Sort
AAB
ABR
ABR
ACA
ADA
BRA
BRA
CAD
DAB
RAA
RAC

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  RDARCAAAABB $ )	
Paste	
RAAB	
DABR	
AABR	
RACA	
CADA	
ABRA	
ABRA	
ACAD	
ADAB	
BRAA	
BRAC	

FC

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =  RDARCAAAABB $ )
Sort
AABR
ABRA
ABRA
ACAD
ADAB
BRAA
BRAC
CADA
DABR
RAAB
RACA

FC

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =$	RDARCAAAABB )
---	---------------

FC

Paste

RAABR

DABRA

AABRA

RACAD

CADAB

ABRAA

ABRAC

ACADA

ADABR

BRAAB

BRACA

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of $n$ input literals ( $n =$	RDARCAAABB)
---	-------------

FC

Sort

AABRA

ABRAA

ABRAC

ACADA

ADABR

BRAAB

BRACA

CADAB

DABRA

RAABR

RACAD

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Paste

RAABRA

DABRAA

AABRAC

RACADA

CADABR

ABRAAB

ABRACA

ACADAB

ADABRA

BRAABR

BRACAD

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Sort

AABRAC

ABRAAB

ABRACA

ACADAB

ADABRA

BRAABR

BRACAD

CADABR

DABRAA

RAABRA

RACADA

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Paste

- RAABRAC
- DABRAAB
- AABRACA
- RACADAB
- CADABRA
- ABRAABR
- ABRACAD
- ACADABR
- ADABRAA
- BRAABRA
- BRACADA

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Sort

AABRACA

ABRAABR

ABRACAD

ACADABR

ADABRAA

BRAABRA

BRACADA

CADABRA

DABRAAB

RAABRAC

RACADAB

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Paste

RAABRACA

DABRAABR

AABRACAD

RACADABR

CADABRAA

ABRAABRA

ABRACADA

ACADABRA

ADABRAAB

BRAABRAC

BRACADAB

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

Sort

AABRACAD

ABRAABRA

ABRACADA

ACADABRA

ADABRAAB

BRAABRAC

BRACADAB

CADABRAA

DABRAABR

RAABRACA

RACADABR

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Paste

RAABRACAD

DABRAABRA

AABRACADA

RACADABRA

CADABRAAB

ABRAABRAC

ABRACADAB

ACADABRAA

ADABRAABR

BRAABRACA

BRACADABR

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

Sort

AABRACADA

ABRAABRAC

ABRACADAB

ACADABRAA

ADABRAABR

BRAABRACA

BRACADABR

CADABRAAB

DABRAABRA

RAABRACAD

RACADABRA

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

FC

#### Paste

- RAABRACADA
- DABRAABRAC
- AABRACADAB
- RACADABRAA
- CADABRAABR
- ABRAABRACA
- ABRACADABR
- ACADABRAAB
- ADABRAABRA
- BRAABRACAD
- BRACADABRA

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

### Sort

- AABRACADAB
- ABRAABRACA
- ABRACADABR
- ACADABRAAB
- ADABRAABRA
- BRAABRACAD
- BRACADABRA
- CADABRAABR
- DABRAABRAC
- RAABRACADA
- RACADABRAA

A black magic approach : bzip2 Informations

Encore

## The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

#### Paste

- RAABRACADAB
- DABRAABRACA
- AABRACADABR
- RACADABRAAB
- CADABRAABRA
- ABRAABRACAD
- ABRACADABRA
- ACADABRAABR
- ADABRAABRAC
- BRAABRACADA
- BRACADABRAA

A black magic approach : bzip2 Informations

Encore

## The Burrows-Wheeler transform (BWT)

### Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

### Sort

AABRACADABR ABRAABRACAD ABRACADABRA ACADABRAABR ADABRAABRAC BRAABRACADA BRACADABRAA CADABRAABRA DABRAABRACA RAABRACADAB RACADABRAAB

A black magic approach : bzip2 Informations

Encore

# The Burrows-Wheeler transform (BWT)

Reverse re-ordering of *n* input literals (n = |RDARCAAAABB|)

Reached n literals, selecting output block #2

AABRACADABR ABRAABRACAD ABRACADABRA ACADABRAABR ADABRAABRAC BRAABRACADA BRACADABRAA CADABRAABRA DABRAABRACA RAABRACADAB RACADABRAAB

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### **Encoding** ABRACADABRA

Stack ABCDEFGHIJKLMNOPQRSTUVWXYZ

Output

### Encoding RDARCAAAABB

Stack ABCDEFGHIJKLMNOPQRSTUVWXYZ
Output

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding <u>ABRACADABRA</u>

Stack ABCDEFGHIJKLMNOPQRSTUVWXYZ

Output 0

### Encoding RDARCAAAABB

 Stack
 ABCDEFGHIJKLMNOPQRSTUVWXYZ

 Output
 17

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack ABCDEFGHIJKLMNOPQRSTUVWXYZ

Output 0,1

### Encoding RDARCAAAABB

StackRABCDEFGHIJKLMNOPQSTUVWXYZOutput17,4

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack BACDEFGHIJKLMNOPQRSTUVWXYZ

Output 0,1,17

### Encoding RDARCAAAABB

StackDRABCEFGHIJKLMNOPQSTUVWXYZOutput17,4,2

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack RBACDEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2

### Encoding RDARCAAAABB

Stack ADRBCEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

#### Encoding ABRACADABRA

Stack ARBCDEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3

#### Encoding RDARCAAAABB

Stack RADBCEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack CARBDEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3,1

#### Encoding RDARCAAAABB

Stack CRADBEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4,2

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack ACRBDEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3,1,4

#### Encoding RDARCAAABB

Stack ACRDBEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4,2,0

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack DACRBEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3,1,4,1

#### Encoding RDARCAAAABB

Stack ACRDBEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4,2,0,0

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

### Encoding ABRACADABRA

Stack ADCRBEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3,1,4,1,5

#### Encoding RDARCAAAABB

Stack ACRDBEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4,2,0,0,0

A black magic approach : bzip2 Informations

Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

#### Encoding ABRACADABRA

Stack BADCREFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3,1,4,1,5,4

#### Encoding RDARCAAAABB

Stack ACRDBEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4,2,0,0,0,4

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Encore

Move-To-Front coding (MTF) – a.k.a. Ryabko's book stack

#### Encoding ABRACADABRA

Stack RBADCEFGHIJKLMNOPQSTUVWXYZ

Output 0,1,17,2,3,1,4,1,5,4,2

#### Encoding RDARCAAAABB

Stack BACRDEFGHIJKLMNOPQSTUVWXYZ

Output 17,4,2,2,4,2,0,0,0,4,0

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## LZ77 vs. LZ78

## LZ77 deficiencies leading to LZ78<sup>[Salomon]</sup>

Limitation of look-ahead buffer size.
 Does not hold for DEFLATE.

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# LZ77 vs. LZ78

## LZ77 deficiencies leading to LZ78<sup>[Salomon]</sup>

- Limitation of look-ahead buffer size.
   Does not hold for DEFLATE.
- 2 Patterns in the input data should occur close together.
  - How universal is that?

A black magic approach : bzip2 Informations

# LZ77 vs. LZ78

### LZ77 deficiencies leading to LZ78<sup>[Salomon]</sup>

- Limitation of look-ahead buffer size.
   Does not hold for DEFLATE.
- 2 Patterns in the input data should occur close together.
  - How universal is that?
  - True for DEFLATE (well, up to 32KiB), very much less for xz/LZMA.
  - In practical implementations of LZ78, memory is limited too (dictionary freeze, reset or update) – but it's used more wisely.

A black magic approach : bzip2 Informations

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# Shannon

### Shannon

Information is a measure of innovation in the data flow coming in.

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# Shannon's fat vs. Kolmogorov's memories

#### Shannon

Information is a measure of innovation in the data flow coming in.

#### Kolmogorov

Information is a measure of complexity in the data flow seen so far.

A black magic approach : bzip2 Informations

## Deep trends

### Zurek's physical entropy

The sum of Shannon and Kolmogorov informations is (almost) constant.

An easy (awkward ?) way : self-extracting archives (LZMA).

A black magic approach : bzip2 Informations

## **Deep trends**

### Zurek's physical entropy

The sum of Shannon and Kolmogorov informations is (almost) constant.

An easy (awkward ?) way : self-extracting archives (LZMA).

#### Bennett

Information is a measure of time complexity when executing Kolmogorov's "code".

- In short : Space (Kolmogorov) complexity shouldn't ignore time complexity so badly.
- Link with Martin-Löf's definition of "reasonable" means of testing for randomness?

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# Space complexities

#### Choose the one you need !

- Kolmogorov
  - Length of the smallest computer program that will generate the input.
- Lempel-Ziv
  - Size of LZ78 dictionary, approximates the above.

A black magic approach : bzip2 Informations

# Space complexities

### Choose the one you need !

- Kolmogorov
  - Length of the smallest computer program that will generate the input.
- Lempel-Ziv
  - Size of LZ78 dictionary, approximates the above.
- Subword
  - Number of distinct subwords of length n
- Palindromic
  - Number of distinct palindroms of length n
- Abelian
  - Subword, up to permutations

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A black magic approach : bzip2 Informations

Encore

# The Figure in the Carpet

#### What information?

- Fundamentally dependent on the goal !
  - Let  $S_1 = A_1 ||D_1|$  and  $S_2 = A_2 ||D_2|$  with data  $D_x (|D_1| \approx |D_2|)$ , "decompressors"  $A_x (|A_1| > |A_2|)$  with resp. time complexities O(n) vs.  $O(n \log n)$ .
  - From Bennett's point of view, which is best?

A black magic approach : bzip2 Informations

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   DNA machinery & code target survival in a given environment. (maybe on a slightly deeper ground than perfect transmission ;-))

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A black magic approach : bzip2 Informations

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  - From Bennett's point of view, which is best?
- I shall study DNA code with Shannon's information !
   DNA machinery & code target survival in a given environment. (maybe on a slightly deeper ground than perfect transmission;-))
- As for transmission...
  - skimming the entropy fat off back references proved efficient;
  - automata for transforming bits and copying bytes.