

# Is protein sequence evolution constant over time?

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**MIEP08**  
**12 June 2008**



Are Markov process models appropriate  
for protein sequence evolution?



**NO**

**?**



# Evidence of non-Markov evolution of amino acid sequences

## **Amino Acid Substitution Matrices From Protein Blocks**

*S. Henikoff and J.G. Henikoff*

*Proceedings of the National Academy of Sciences*

*of the United States of America* 89:10915–10919. 1992

## **Tree-based Maximal Likelihood Substitution Matrices and Hidden Markov Models**

*G. Mitchison and R. Durbin*

*Journal of Molecular Evolution* 41:1139–1151. 1995

## **Amino Acid Substitution During Functionally Constrained Divergent Evolution of Protein Sequences**

*S.A. Benner, M.A. Cohen and G.H. Gonnet*

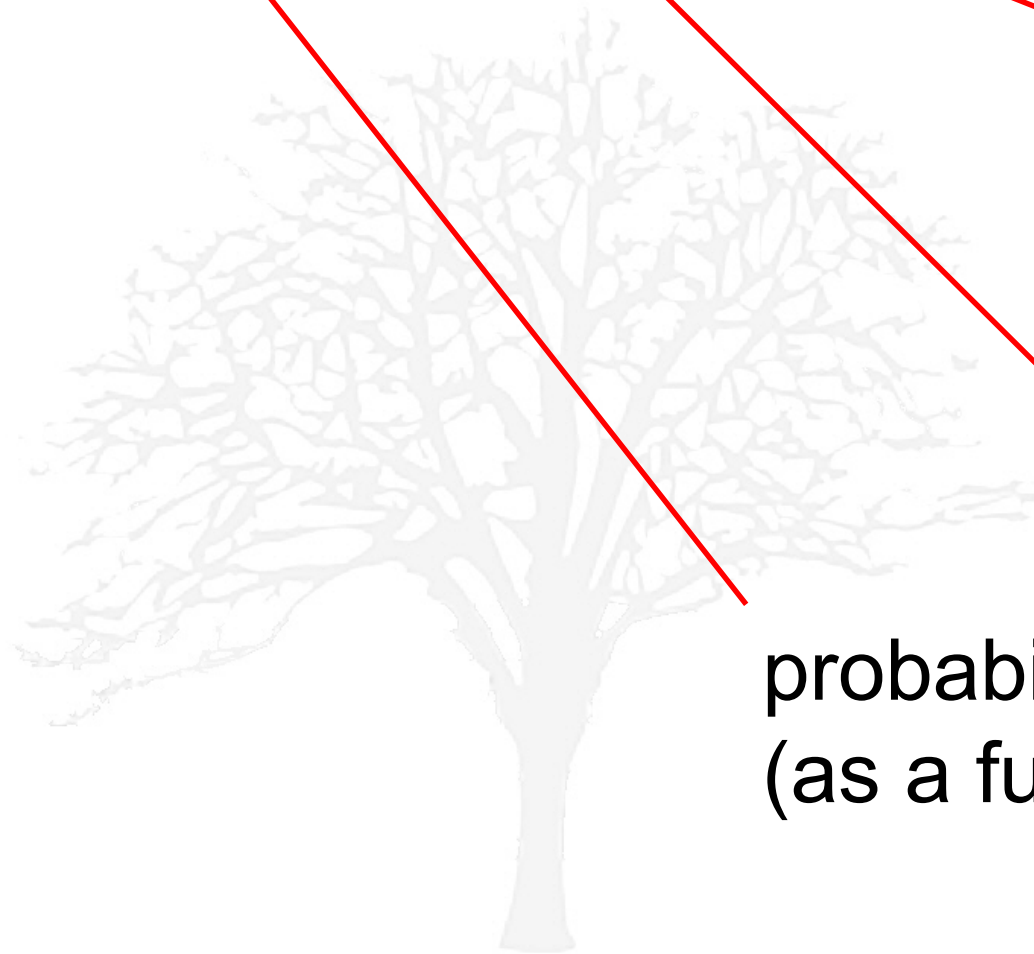
*Protein Engineering* 7:1323–1332. 1994

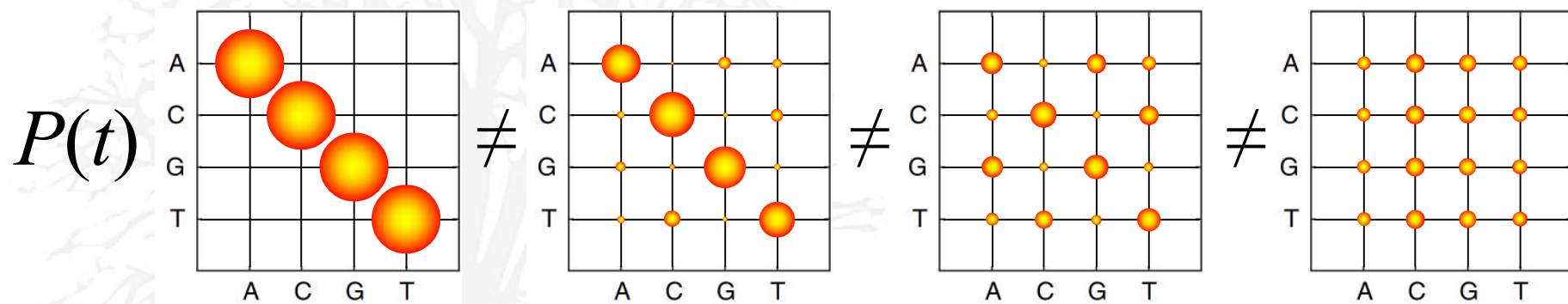
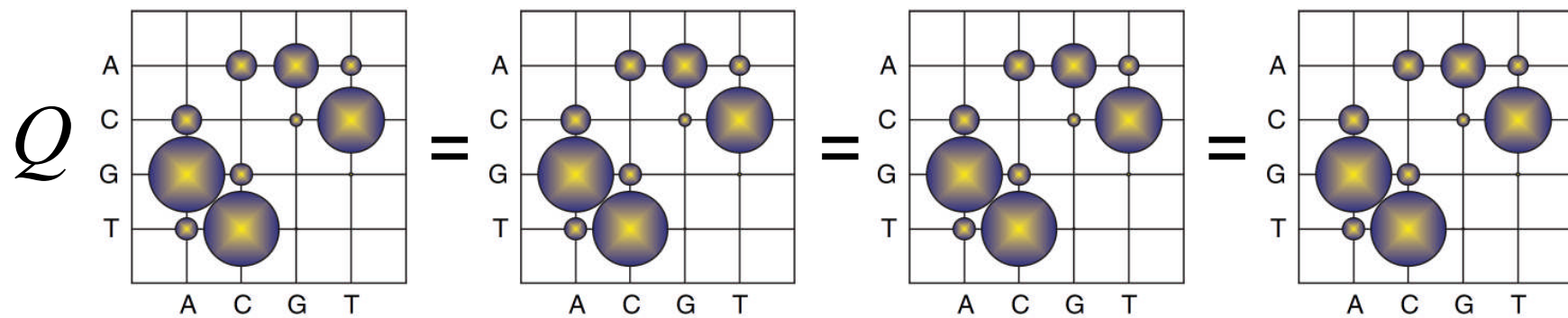
$$P(t) = \exp(tQ) = I + tQ + \frac{(tQ)^2}{2!} + \frac{(tQ)^3}{3!} + \dots$$

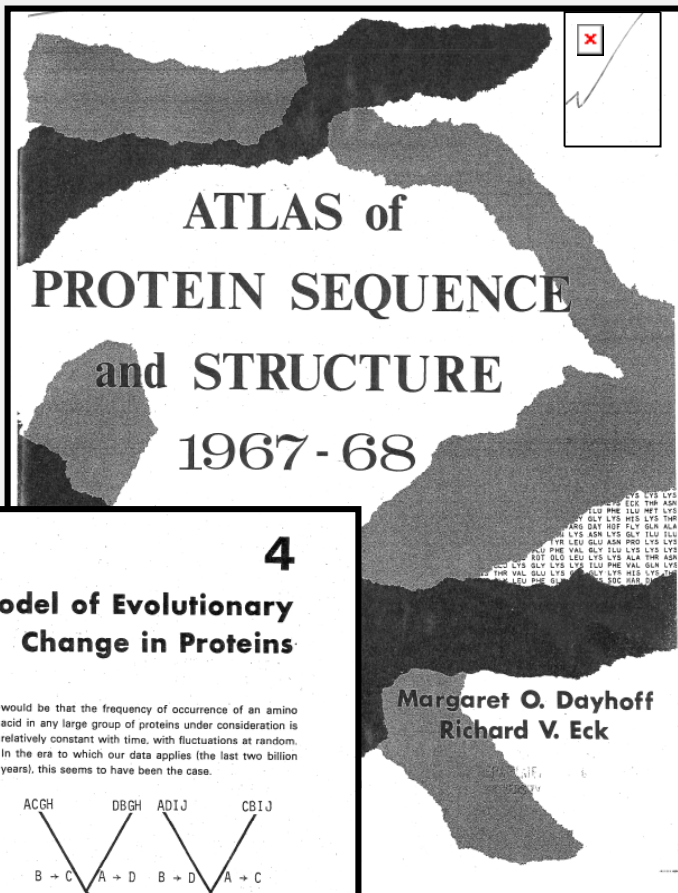
instantaneous  
rate matrix

time

probability of change  
(as a function of time)







It is possible to infer  $P(t)$  from sequence data...

## 4

### A Model of Evolutionary Change in Proteins

What mutations are most likely to be accepted?  
Which amino acids are least likely to change?  
How does the passage of time affect the similarity of related protein sequences?

#### Accepted Point Mutations

An *accepted point mutation* is an exchange of one amino acid for another, accepted by natural selection. It is the result of two distinct processes: the first is the occurrence of the mutation in the gene and the second is its acceptance by natural selection as an improvement. To be accepted, the new amino acid side chain usually functions in a similar way to the old one. This plausible conjecture is supported by the chemical and physical similarities between amino acids which are observed to interchange frequently. Some examples are given in Chapter 5.

Any complete discussion of the observed behavior of amino acids in the evolutionary process must consider the frequency of change of each amino acid to each other one and the propensity of each to remain unchanged. This necessarily implies  $20 \times 20 = 400$  interactions. To collect a useful amount of information on these, a great many observations are necessary. A sufficient body of data is now available in all of the groups of closely related proteins in this Atlas to approximate the true process.

The mutation data which we use is accumulated from the phylogenetic trees and from a few related pairs of sequences. In each tree the sequences of all of the nodal common ancestors are routinely generated. Consider for example the much simplified artificial phylogenetic tree of Figure 4-1.

Figure 4-2 is the matrix of accepted point mutations made from this tree. We assume that the likelihood of amino acid X replacing Y is the same as that of Y replacing X, and hence 1 is entered in box YX as well as in box XY. This assumption seems reasonable, as this likelihood would depend on the product of the frequencies of occurrence of the two acids and on their chemical and physical similarity. A consequence of this reversibility

would be that the frequency of occurrence of an amino acid in any large group of proteins under consideration is relatively constant with time, with fluctuations at random. In the era to which our data applies (the last two billion years), this seems to have been the case.

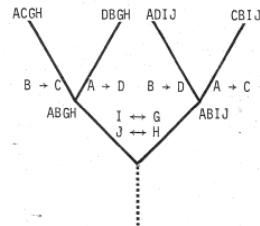
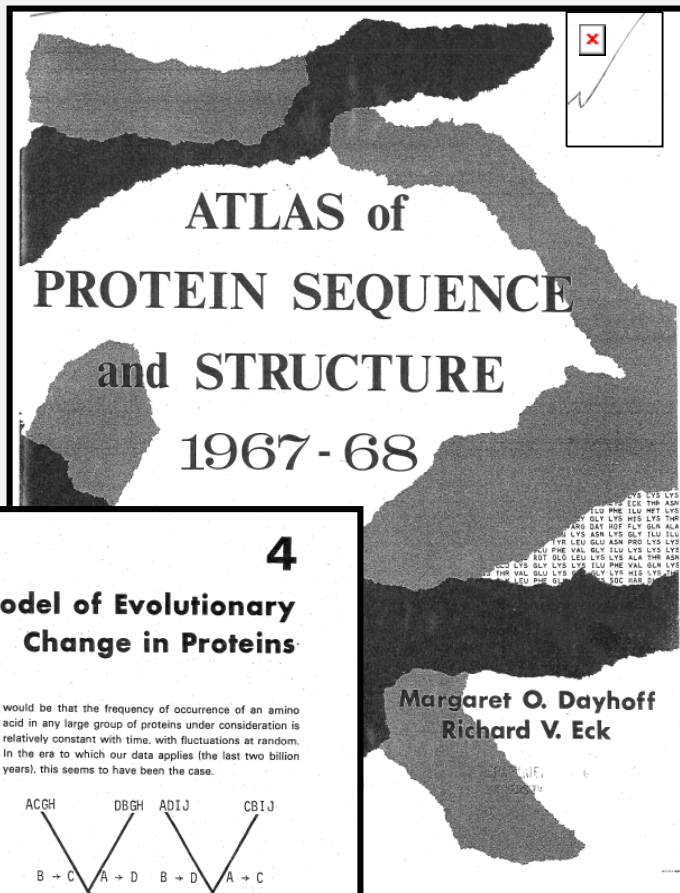


Figure 4-1. Simplified phylogenetic tree. Four "observed" proteins are shown at the top. Inferred ancestors are shown at the nodes. Amino acid exchanges are indicated along the branches.

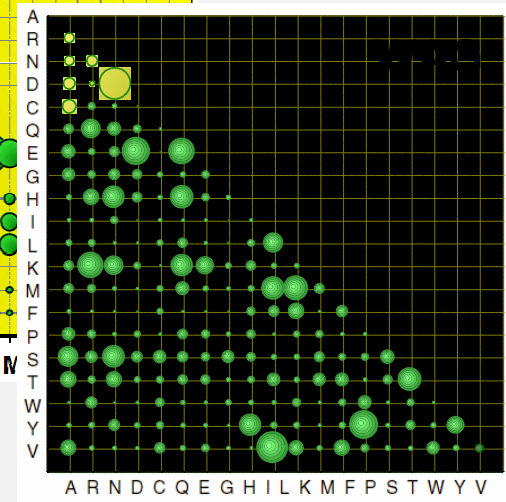
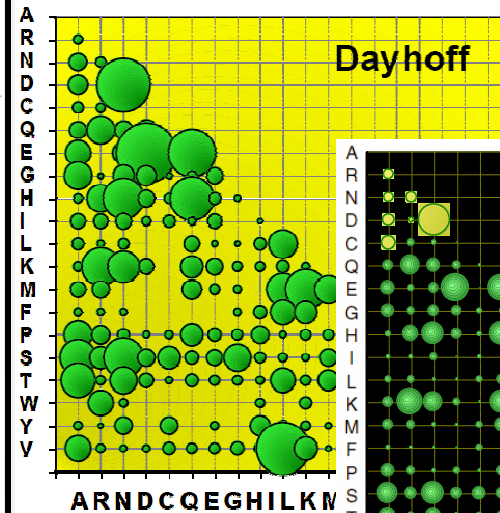
	A	B	C	D	G	H	I	J
A			1	1				
B			1	1				
C	1	1						
D	1	1						
G							1	
H								1
I					1			
J							1	

Figure 4-2. Matrix of accepted point mutations derived from the tree of Figure 4-1.





...and possible to infer  $Q$  from  $P(t)$



**4**

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**Accepted Point Mutations**

An accepted point mutation is an exchange of one amino acid for another, accepted by natural selection. It is the result of two distinct processes: the first is the occurrence of the mutation in the gene and the second is its acceptance by natural selection as an improvement. To be accepted, the new amino acid side chain usually functions in a similar way to the old one. This plausible conjecture is supported by the chemical and physical similarities between amino acids which are observed to interchange frequently. Some examples are given in Chapter 5.

Any complete discussion of the observed behavior of amino acids in the evolution of proteins, the frequency of change, and the propensity of one amino acid to be replaced by another necessarily implies a large amount of information. The amount of information available in all of the observations now available in all of the amino acid sequences in this Atlas to approach the problem is enormous.

The mutation data from the phylogenetic tree of amino acid sequences. In each tree, the common ancestors of the sequences are shown. For example the much simpler tree in Figure 4-1.

Figure 4-2 is the tree made from this tree of amino acid X replacements. X, and hence 1 is an amino acid. This assumption would depend on the occurrence of the amino acid in the physical similarity.

would be that the frequency of occurrence of an amino acid in any large group of proteins under consideration is relatively constant with time, with fluctuations at random. In the era to which our data applies (the last two billion years), this seems to have been the case.

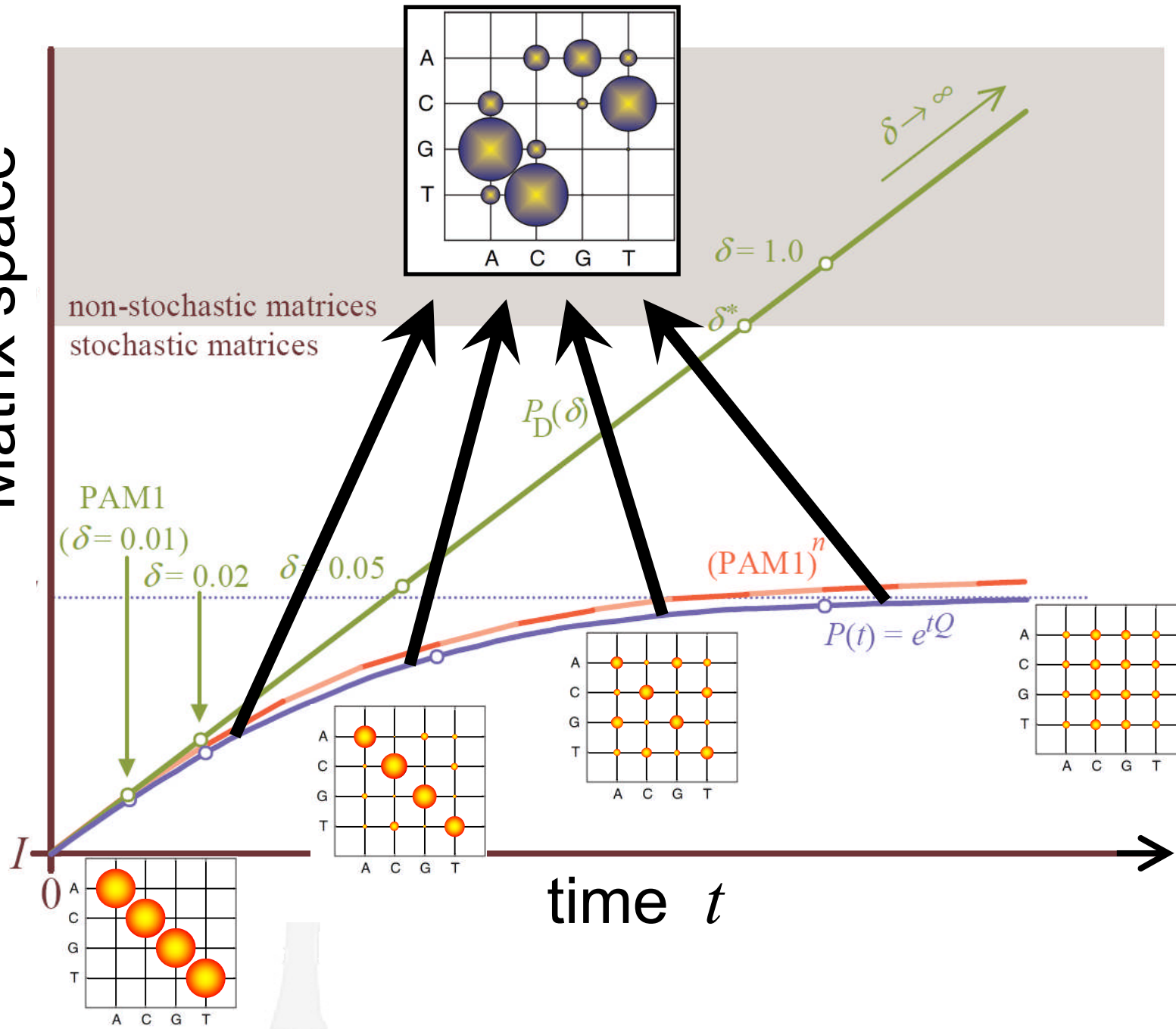
Margaret O. Dayhoff  
Richard V. Eck

## Different Versions of the Dayhoff Rate Matrix

Carolyn Kosiol and Nick Goldman  
*Mol. Biol. Evol.* 22(2):193–199, 2005

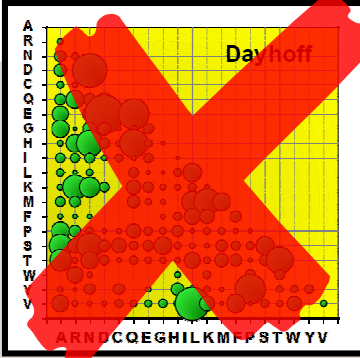
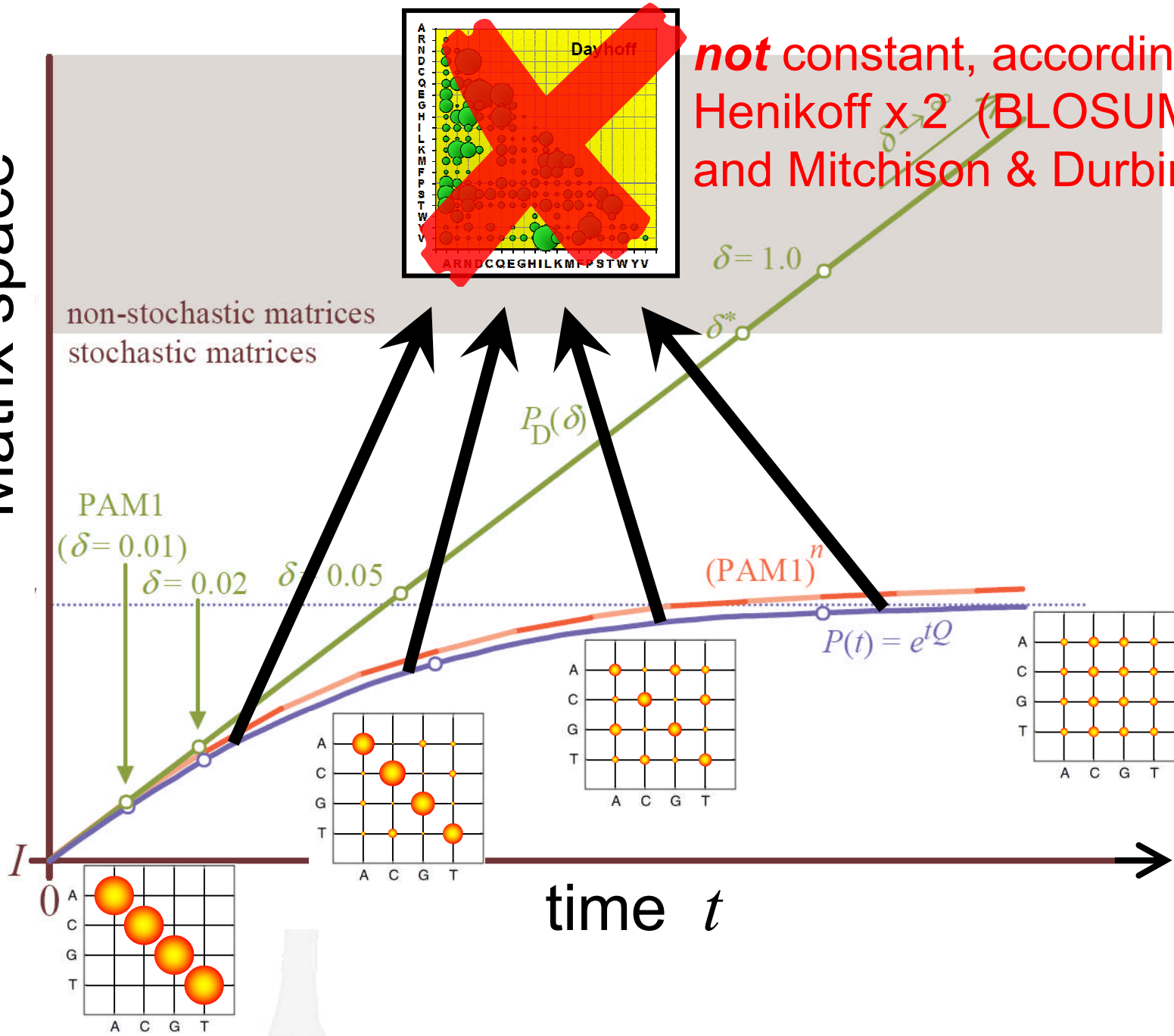
Many phylogenetic inference methods are based on Markov models of sequence evolution. These are usually expressed in terms of a matrix ( $Q$ ) of instantaneous rates of change but some models of amino acid replacement, most notably the PAM model of Dayhoff and colleagues, were originally published only in terms of time-dependent probability matrices ( $P(t)$ ). Previously published methods for deriving  $Q$  have used eigen-decomposition of an approximation to  $P(t)$ . We

# “Matrix space”

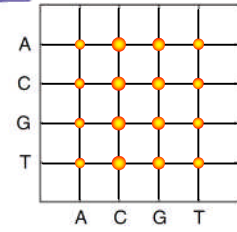
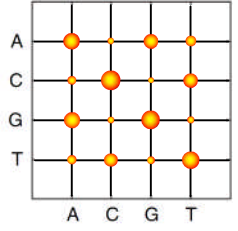
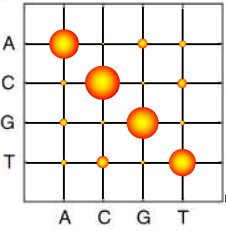
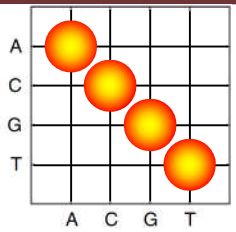


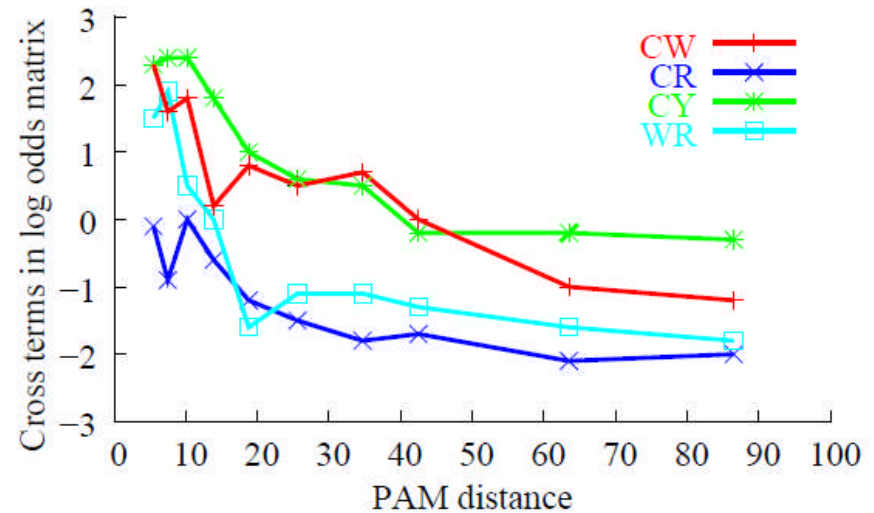
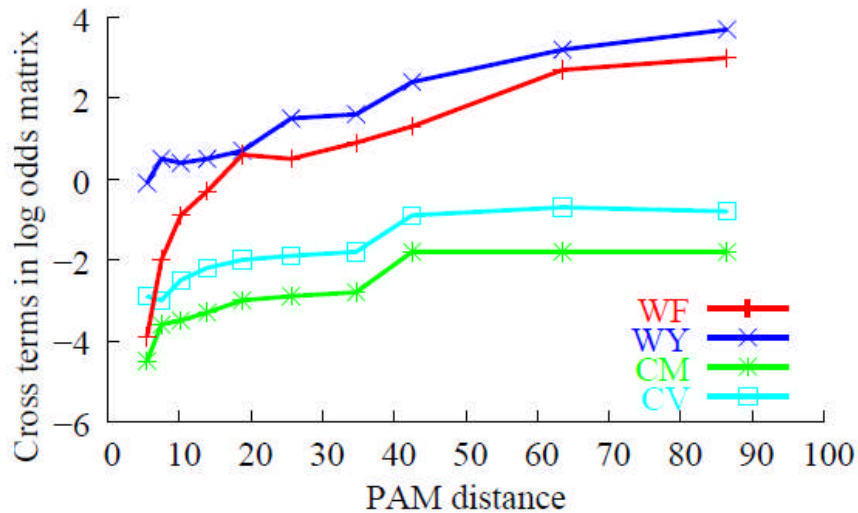


# “Matrix space”



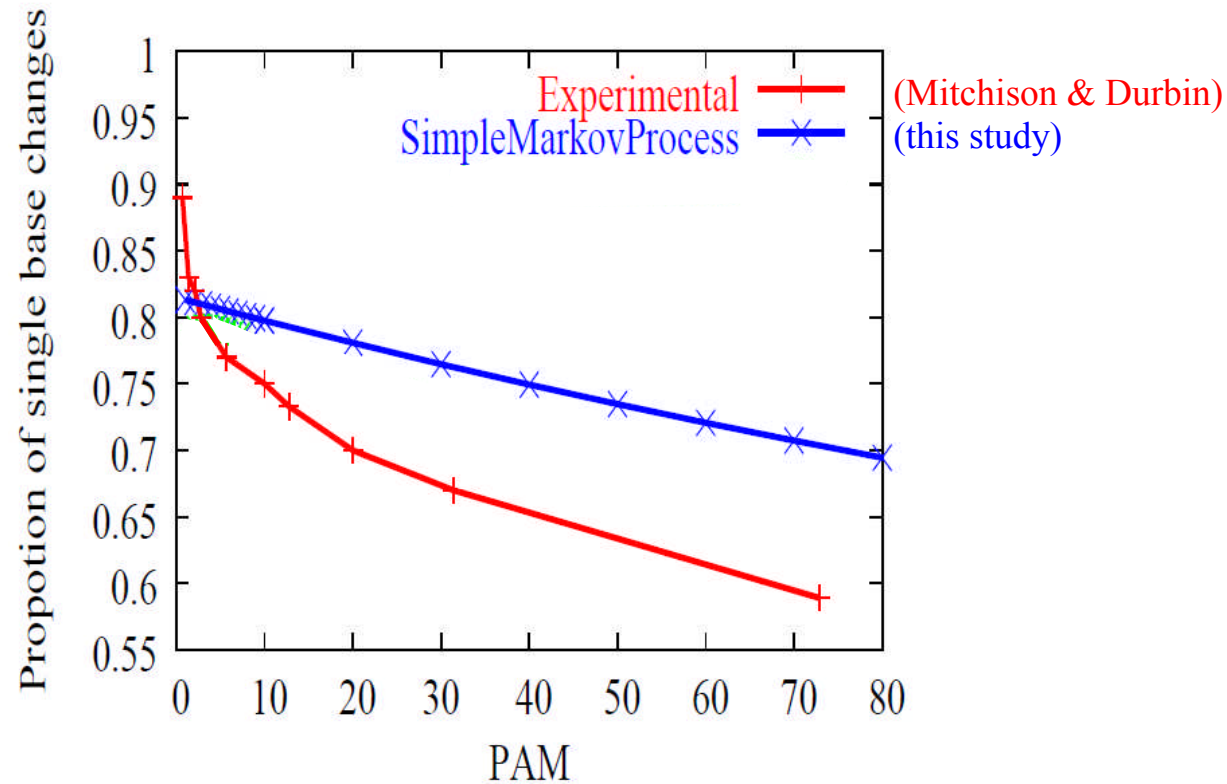
**not constant, according to Henikoff x2 (BLOSUM) and Mitchison & Durbin**



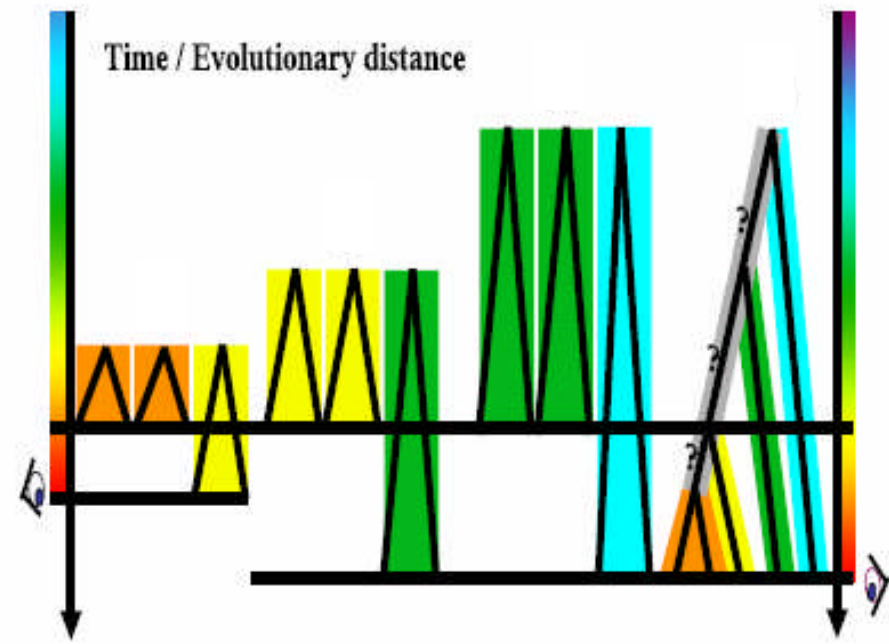
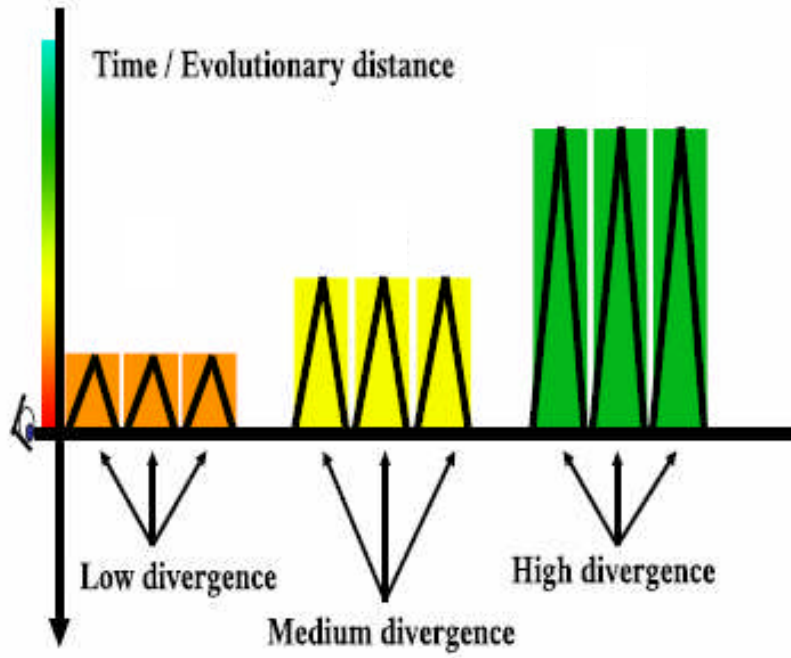


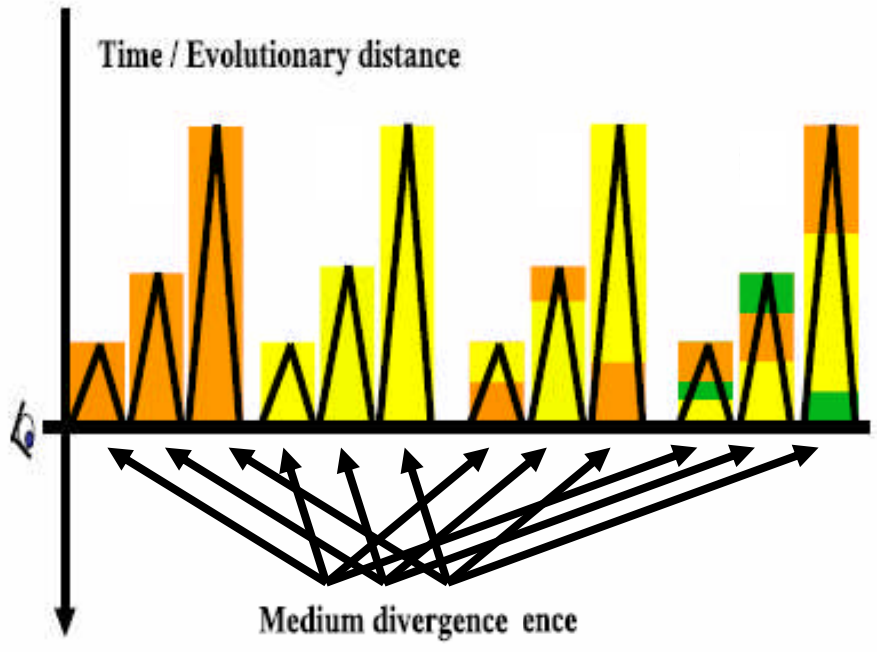
Benner *et al.* found rate matrix elements varied with observed divergence

They argued that the genetic code influences the matrix strongly at early stages of divergence, while physicochemical properties are dominant at later stages



Mitchison & Durbin found the accumulation of amino acid replacements that could be generated by a single nucleotide change was inconsistent with a simple Markov process





So, how *will* we explain the evidence of non-Markov behaviour? — the aggregated Markov process (AMP):

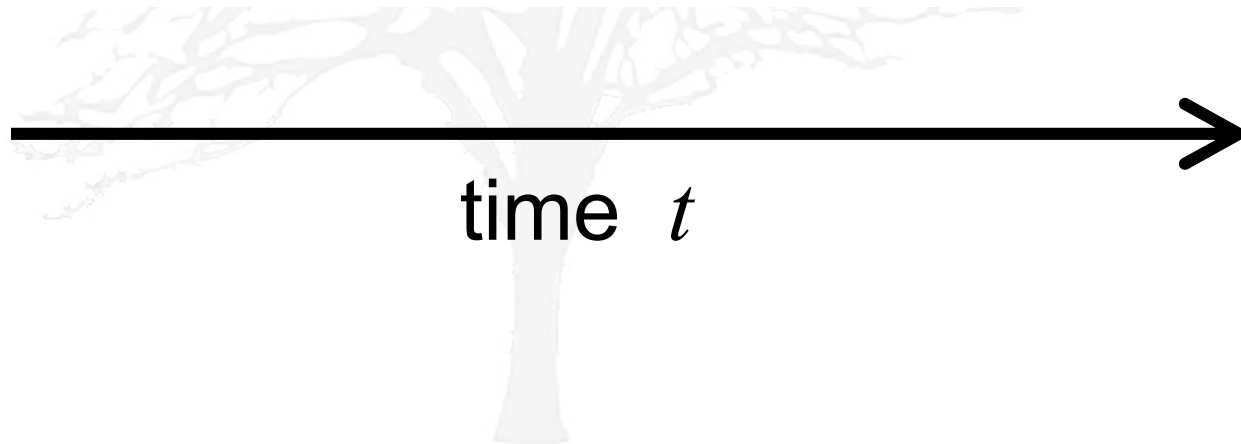
...  $\rightarrow X(t_k) = CTT \rightarrow X(t_{k+1}) = CCT \rightarrow \dots$  Markov process (codon evolution)

$\downarrow f$

$\downarrow f$

Deterministic function on states (genetic code)

...  $Y(t_k) = L$   $Y(t_{k+1}) = P$  ... Non-Markov process (protein evolution)





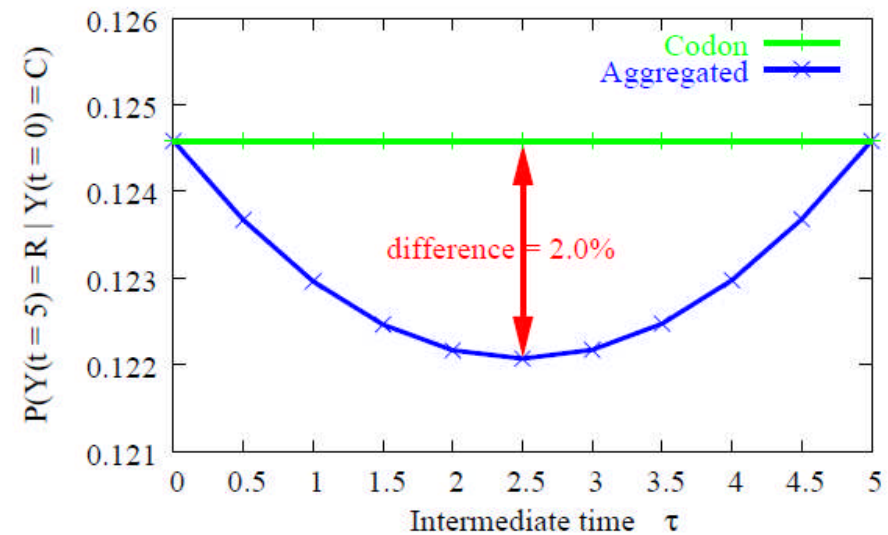
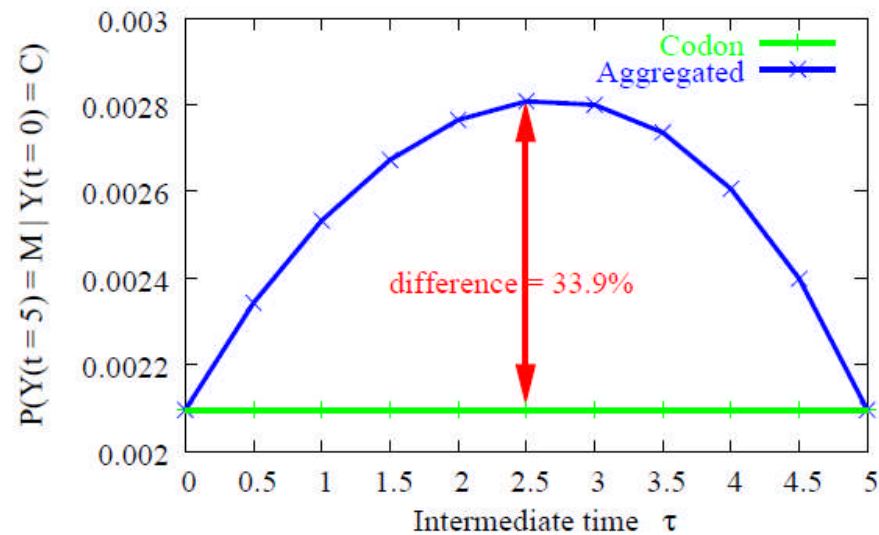
$$q_{ij, i \neq j} = \begin{cases} 0 & \text{if } i \text{ or } j \text{ is a stop codon or requires } > 1 \text{ nucleotide substitution} \\ \pi_j & \text{if } i \rightarrow j \text{ synonymous transversion} \\ \pi_j \kappa & \text{if } i \rightarrow j \text{ synonymous transition} \\ \pi_j \omega & \text{if } i \rightarrow j \text{ nonsynonymous transversion} \\ \pi_j \kappa \omega & \text{if } i \rightarrow j \text{ nonsynonymous transition} \end{cases}$$

$$\kappa = 2.5 \quad \omega = 0.2$$

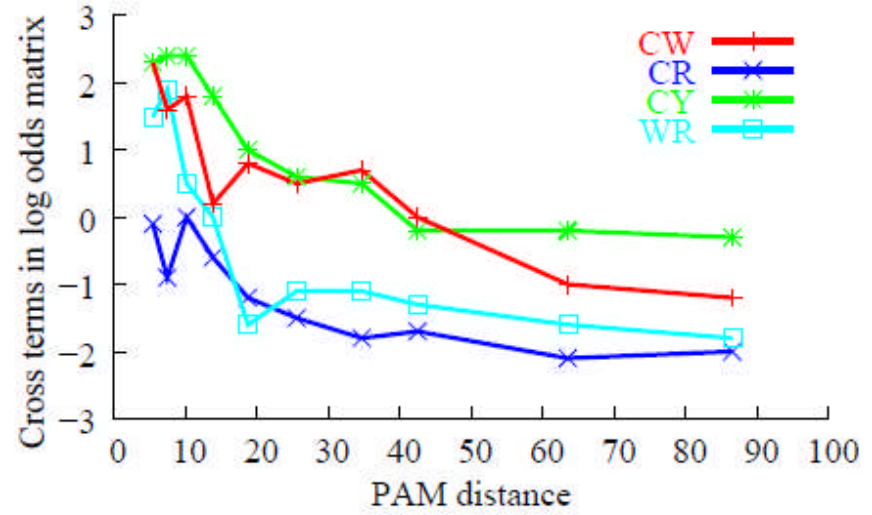
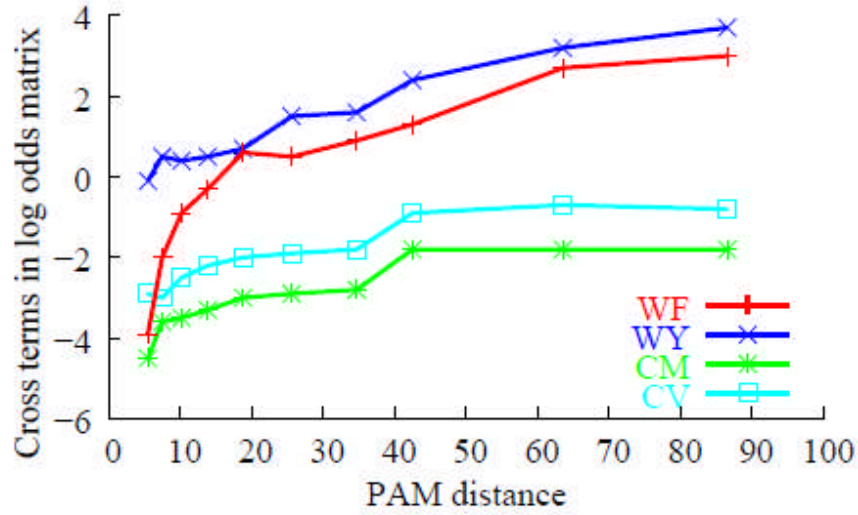
$$\begin{aligned} r_1 &= 0.00001, & r_2 &= 0.0001, & r_3 &= 0.0001, & r_4 &= 0.001, \\ r_5 &= 0.01, & r_6 &= 0.1 & r_7 &= 0.15, & r_8 &= 0.2, \\ r_9 &= 0.3, & r_{10} &= 0.5, & r_{11} &= 2.0, & r_{12} &= 8.73889 \end{aligned}$$

# Aggregated Markov processes are not Markov:

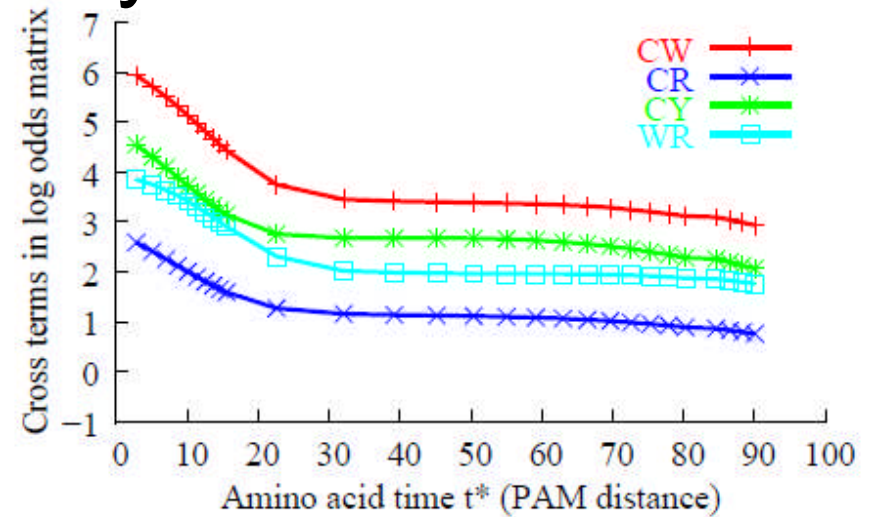
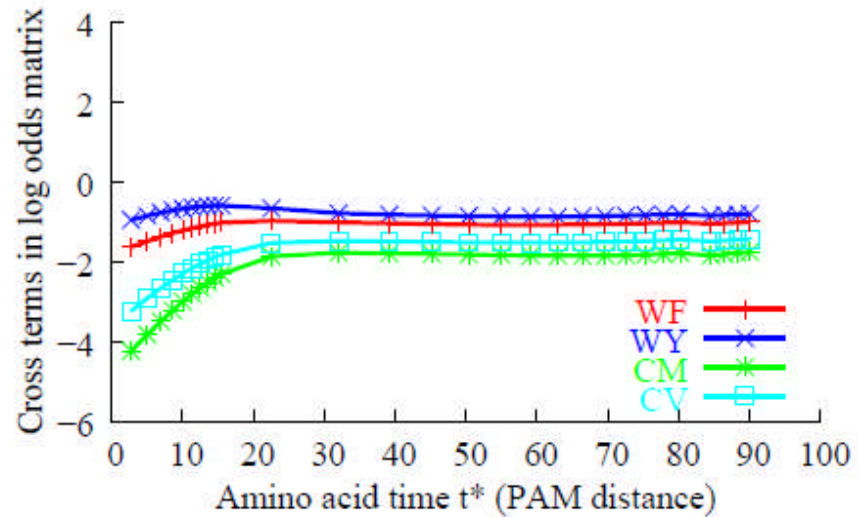
$$P(Y(t_1) = M | Y(t_0) = C) = \sum_{i=1}^{20} P(Y(t_1) = M | Y(\tau) = A_i) \times P(Y(\tau) = A_i | Y(t_0) = C)$$



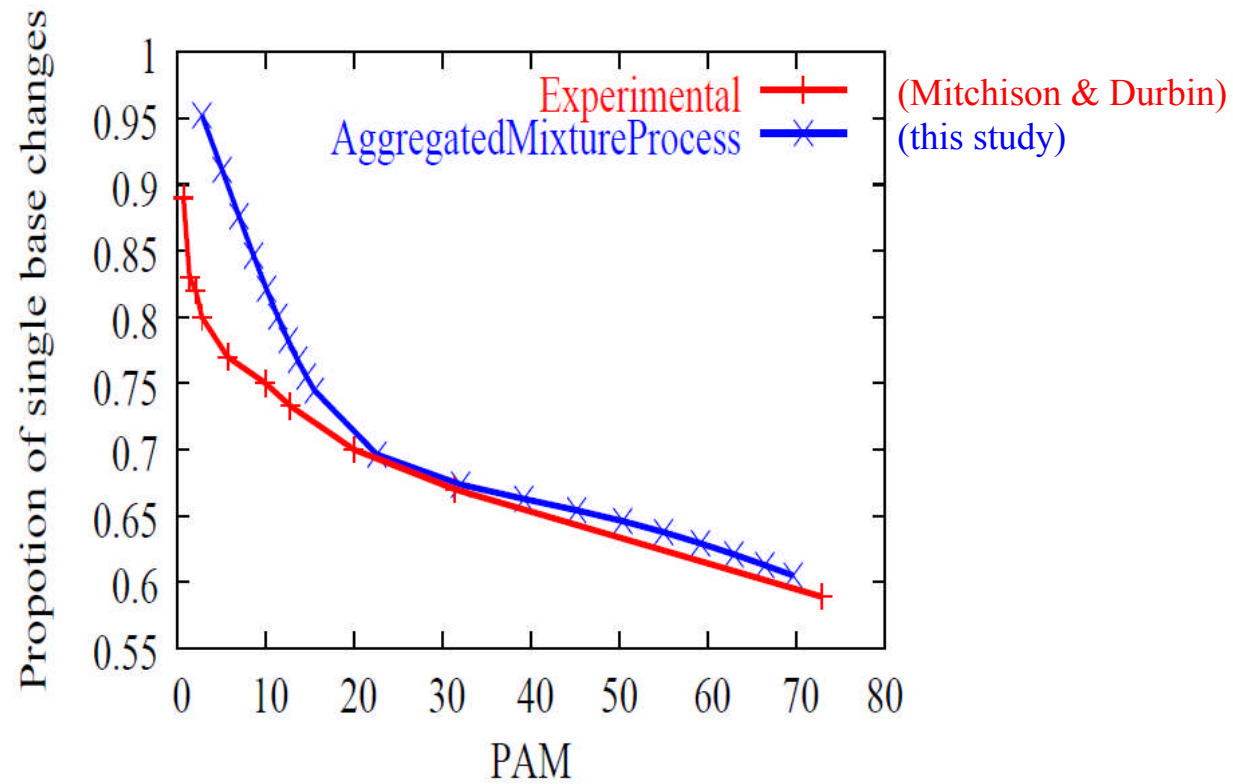
# Benner *et al.* evidence:



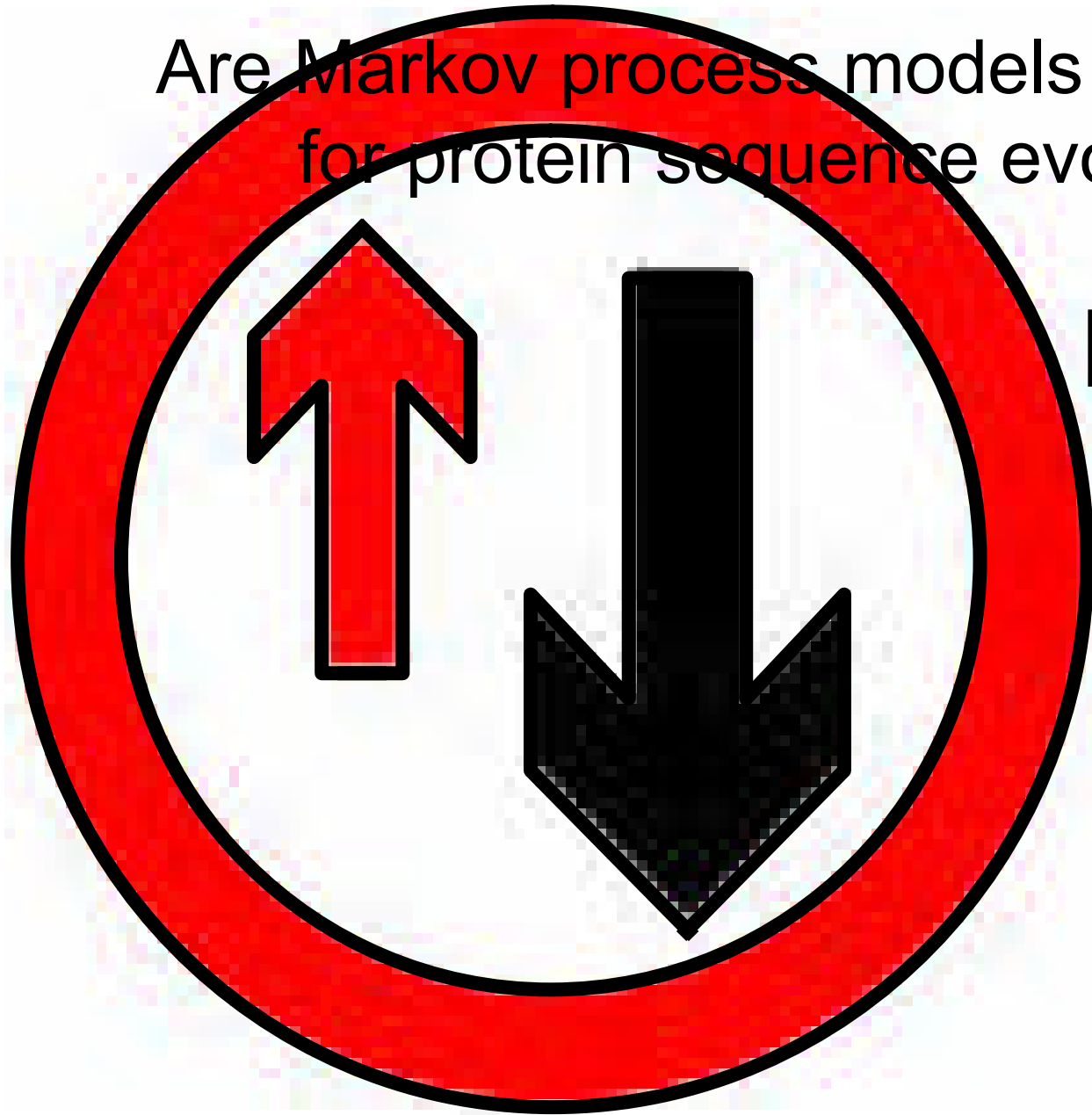
# this study:



# Mitchison & Durbin evidence:



Are Markov process models appropriate  
for protein sequence evolution?



**PROCEED  
WITH  
CAUTION**

## Things to remember from Nick's talk:

- ⤴⤵ evolution should look the same whether we study it 100MYA or 1MYA or 1YA or today or tomorrow or ...
- ⤴⤵ published evidence of non-Markov protein evolution can be explained by a time-independent codon model-based AMP
- ⤴⤵ we may proceed with current approaches to sequence evolution based on Markov models!
- ⤴⤵ possible consequences: non-Markov evolution of:
  - ⤴⤵ protein sequences
  - ⤴⤵ purine/pyrimidine (R/Y) encoded DNA (nucleotide-based AMP)