

# [ What is a digital computer? ]

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# [What is computational universality ? ]

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- What is a universal Turing machine?
  - We do know
- What is a universal cellular automaton?
  - Do we know?
- What is a universal symbolic system?
  - We want to know
- What properties has a universal symbolic system?
  - We want to know
- What is a universal analog system?
  - Not here

# [ Some answers ]

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- A digital computer
  - is a 'universal symbolic system'
  - must have 'some' chaos
  - can be Devaney-chaotic



# Computing on symbolic spaces

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# [Plan of the talk]

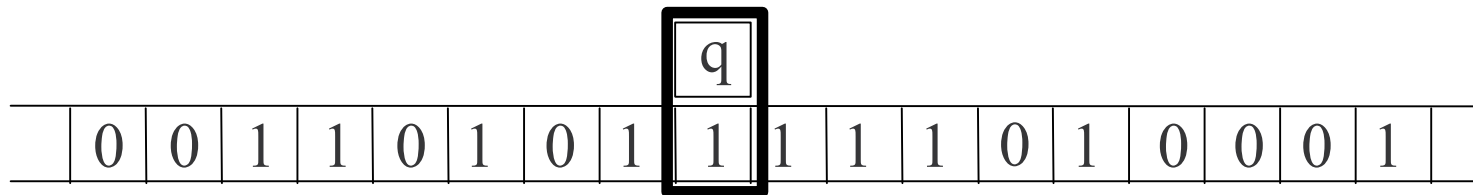
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- A definition of universality in symbolic systems.
  - Building the definition
  - Examples
- Universality and dynamical properties
  - Minimality, equicontinuity, shadowing property
  - Chaos and “edge of chaos”
- Conclusions

# Computational universality...

- ... is defined for *Turing machines*, counter machines, tag systems, etc. (many variants, but all equivalent)
- ... is not uniquely defined for *cellular automata* (many definitions, but not equivalent)
- ... is not uniquely defined for *analog* systems (many definitions, but not equivalent)
- Can we find a *general* definition?
- In this talk: discrete time, symbolic space, deterministic = continuous self-map of  $2^{\mathbb{N}}$

# Turing machines



- Finite or infinite tape
- At every step,
  - change currently read symbol
  - change state of the head
  - shift the tape to the left or to the right
- Map on the set of configurations  $Q \times (2^* \cup 2^{\mathbb{Z}})$

# [What is a dynamical system?]

- Cantor space =  $A^{\mathbb{N}}$  (or  $2^{\mathbb{N}}$  or  $A^{\mathbb{Z}}$  or  $A^{\mathbb{Z}^2}$  or ... )
- Metric:  $d(w_0\dots, w_1\dots) = 2^{-n}$   
( $w$  is a word of length  $n$ )
- *Symbolic dynamical system = continuous self-map of (a closed subset of) the Cantor space*
- *The self-map must be computable.*
- Turing machines, cellular automata, shift, subshifts
- Shift:  $A^{\mathbb{N}} \rightarrow A^{\mathbb{N}} : a_0a_1a_2a_3\dots \rightarrow a_1a_2a_3\dots$

# Topology of the Cantor set

- Cylinder =  $w2^{\mathbb{N}}$  ( $w$  is a finite word)
- Cylinders = balls
- Closed open sets = finite unions of cylinders
- *Clopen sets = countable basis of topology*
- *Clopen set = finite number of bits are specified*
- Clopen set = finitely described

# [Back to Turing]

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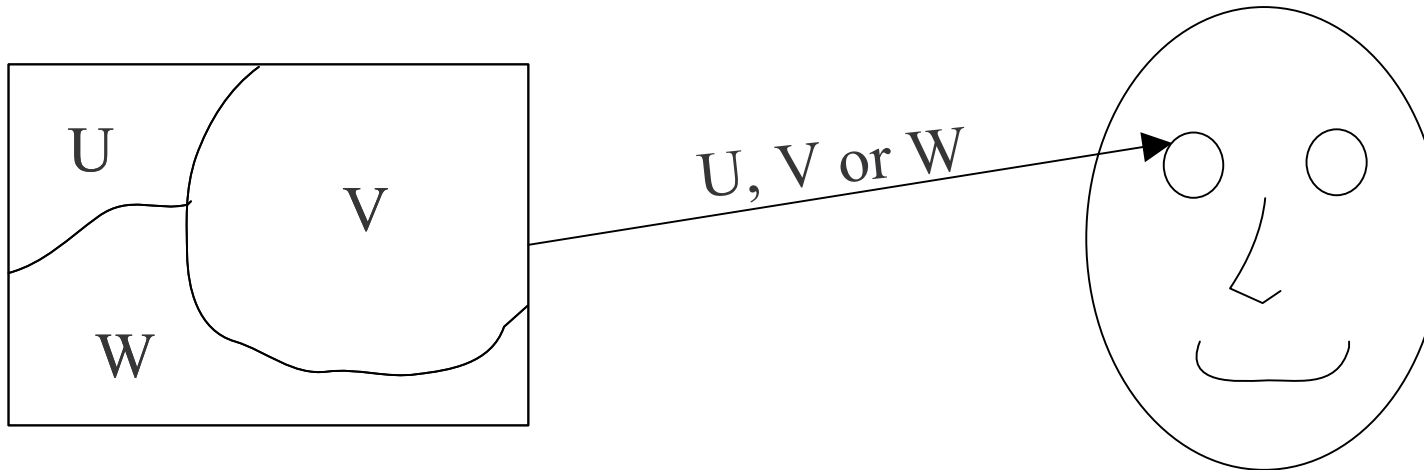
- Computation = Brain + paper + pencil
- Brain = finite automaton (head)
- 'I am starting to compute' = initial state
- 'I have ended the computation' = final state
- Paper = tape
- *Computation = Turing machine*
- Universal Turing machine =  
Turing machine with r.e.-complete halting  
problem (Davis)

# [Universal symbolic system]

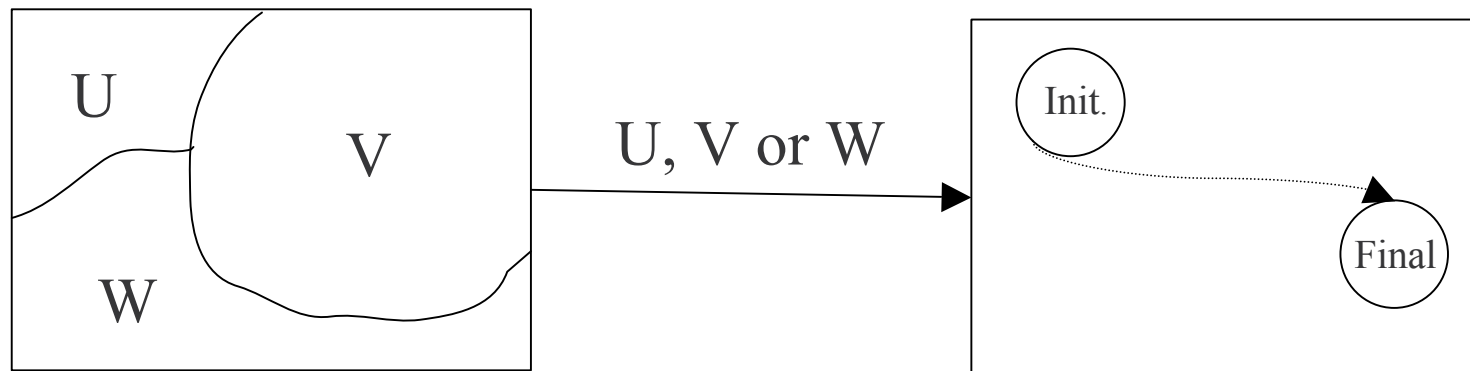
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- Computation = brain + dynamical system
- The brain observes, does not control the system
- *Brain = finite automaton*
- 'I am starting to compute' = initial state
- 'I have ended the computation' = final state
- *Observe the system through finite partition*
- *Symbolic system: clopen partition*

# [ Universal symbolic system ]



Is there a trajectory leading the initial state to the final state of observer automaton?



# [Universal symbolic systems]

- *Universal* if ‘Is there a trajectory leading the initial state to the final state of observer automaton ?’ is r.e.-complete
- We can express
  - ‘Is there a trajectory from clopen  $U$  to clopen  $V$  ?’
  - ‘Is there a trajectory from  $U$  to  $V$  that avoids  $W$  ?’
  - etc.
- Robustness to noise on initial condition
- Non-deterministic computation
- Preserved by (effective) conjugacies and extensions
- Model-checking; variant with Büchi automata

# [ Examples ]

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- Universal Turing machines are universal
- A universal *cellular automaton*
- A universal *subshift* (=subsystem of the shift)
- A *chaotic* universal symbolic system
  
- Game of Life?
- $3n+1$  map?
- Analytic functions on  $p$ -adic integers? On adelic integers?

# [Another attempt: point to point]

- Turing machine: *universal* iff point-to-point reachability is r.e.-complete (Davis)
- Dynamical system: universal iff point-to-point reachability is r.e.-complete?
- But, in a general dynamical system:
  - uncountably many points
  - choice of a countable set of points is arbitrary
  - physically unrealizable (noise, finite precision)
  - shift with countable initial points is universal

# Linking computation to dynamics

- Wolfram: universal cellular automata are thought to be in “class IV”
- Edge of chaos: a universal system is
  - not too predictable (asymptotically stable fixed point)
  - not too unpredictable (chaos, full shift)

# [A universal system...]

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- ... has a *proper subsystem* (is not minimal)
- ... has a *sensitive* point (small perturbations can be amplified, butterfly effect)
- ... has not the *shadowing property* (cannot be 'simulated')
- Examples:  
minimal subshifts, full shift, subshifts of finite type, identity are not universal

# [Shadowing property]

- A system  $f$  has the *shadowing property* if for all  $\varepsilon$  there is a  $\delta$  such that every  $\delta$ -pseudo-orbit is  $\varepsilon$ -shadowed by some point

- A  $\delta$ -pseudo-orbit is a sequence  $(x_n)_n$  such that

$$\forall n : d(x_{n+1}, f(x_n)) < \delta$$

- A sequence  $(x_n)_n$  is  $\varepsilon$ -shadowed by  $y$  if

$$\forall n : d(x_n, f^n(y)) < \varepsilon$$

- Intuition: a numerical trajectory is very close to an actual trajectory of the system

# [Chaos]

- Devaney: a dynamical system is *chaotic* if
  - it is *sensitive to initial conditions*:  
$$\exists \hat{\alpha} \quad \forall \ddot{\alpha}, x \quad \exists y, n : d(x, y) < \ddot{\alpha} \quad \text{and} \quad d(f^n(x), f^n(y)) > \hat{\alpha}$$
  - and periodic points are dense
  - and it is transitive: it is possible to go from any open set to any open set
- Chaos has often shadowing property, not always

# [Chaos

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- *There is a chaotic universal system*
- Undecidable property = ‘Can we go from U to V and avoid W ?’
- *‘Edge of chaos’ is false in this setting*
- ‘Edge of shadowing property’

# Conclusions

- A general *definition* of universality for symbolic systems
- Sufficient *conditions* for non-universality: minimality, equicontinuity, shadowing property, but not chaoticity
- Open problems:
  - extension of definition and results to *analog* systems, e.g., is a three-body system universal?
  - *complexity* theory, e.g.,  $P=NP$  ?

# Universal Turing machines are universal

- Space of configurations =  $Q \times (2^* \cup 2^{\mathbb{Z}})$
- Can be recoded as a closed subset of  $2^{\mathbb{N}}$
- Finite words = isolated points = clopen sets
- Halting problem = “Is there a trajectory from  $U$  to  $V$  ?” for  $U$  isolated clopen set

# [Analog computation]

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- How to choose a countable family of open sets ?
- How to encode a real number into a sequence of bits ?
- All choices equivalent ?
- Give a special role to rationals ?

# [Is the full shift universal?]

- Shift:  $A^{\mathbb{N}} \rightarrow A^{\mathbb{N}} : a_0a_1a_2a_3 \dots \rightarrow a_1a_2a_3 \dots$   
(A finite)
- Initial configuration:
  - $1^n 0^t 2^\infty$  if data  $n$  halts on the UTM in time  $t$
  - $1^n 0^\infty$  if data  $n$  doesn't halt on the UTM
- *Computable*
- The halting problem: “Will we reach symbol 2?” is r.e.-complete
- Hard part of the computation hidden in encoding the initial condition