

Groupe de travail APR, LIP6
Paris – 18/11/2011

Structure and enumeration of level-k phylogenetic networks

Philippe Gambette



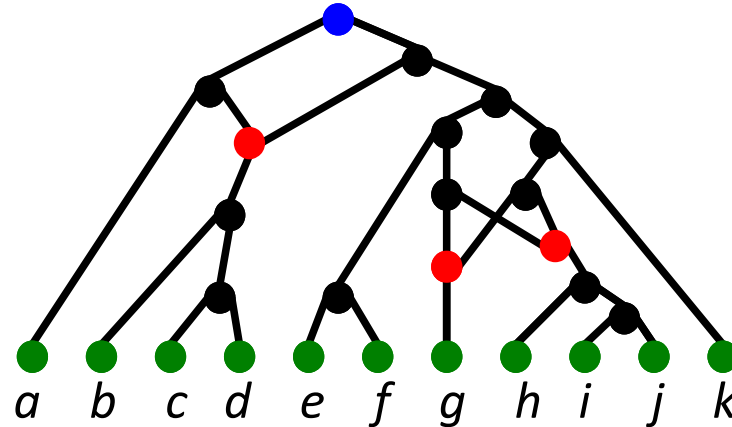
Outline

- Phylogenetic motivations
- Level- k network reconstruction
- Structure of level- k networks
- Counting level-1 and 2 networks

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- Level- k network reconstruction
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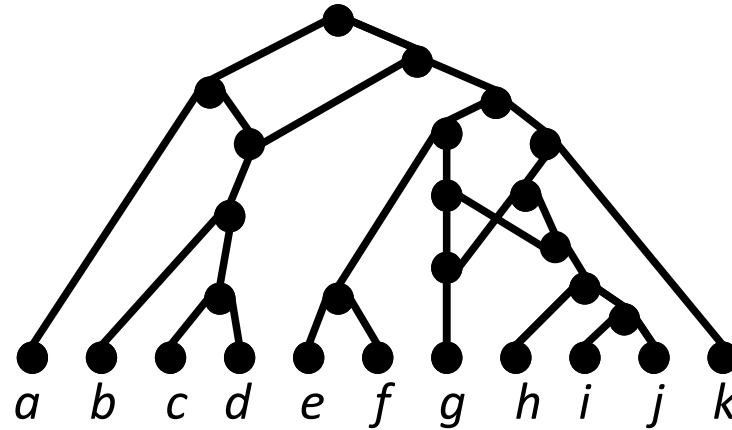
Rooted binary phylogenetic networks



leaves bijectively labeled by current species
+ internal vertices (extinct species) :

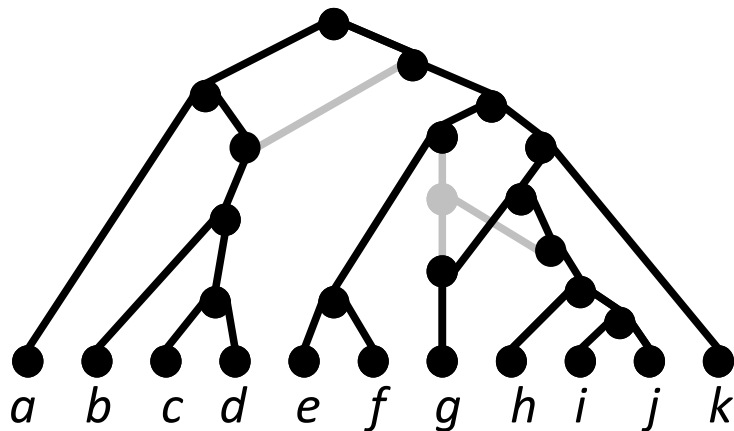
- **root**
- **split vertices** (speciation)
- **hybrid vertices** (hybridization, horizontal gene transfer)

Rooted binary phylogenetic networks

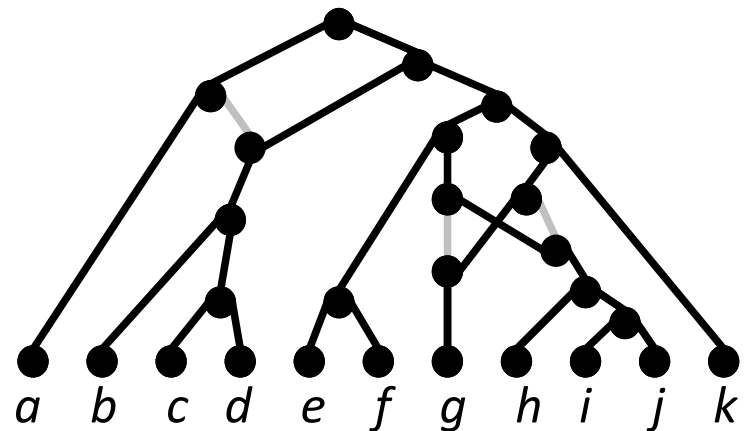


Model: each gene comes from one parent:

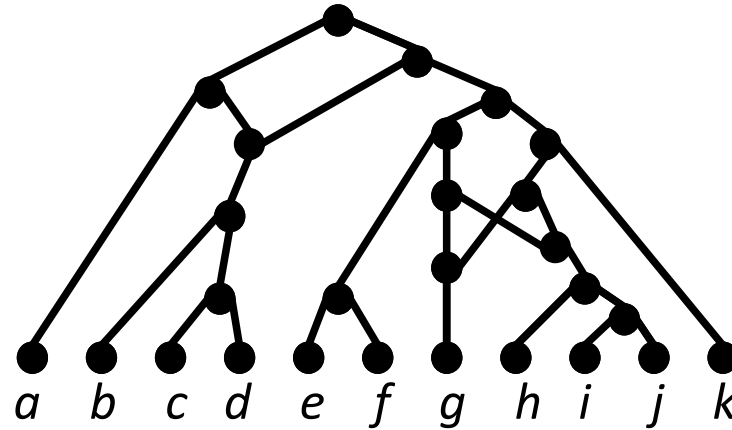
Gene 1



Gene 2

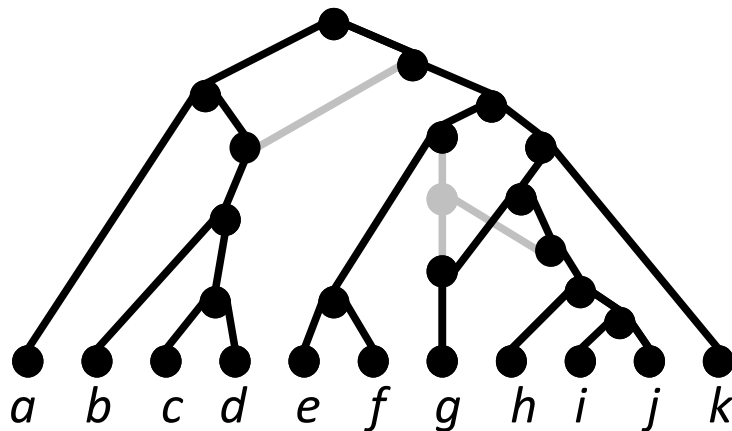


Rooted binary phylogenetic networks



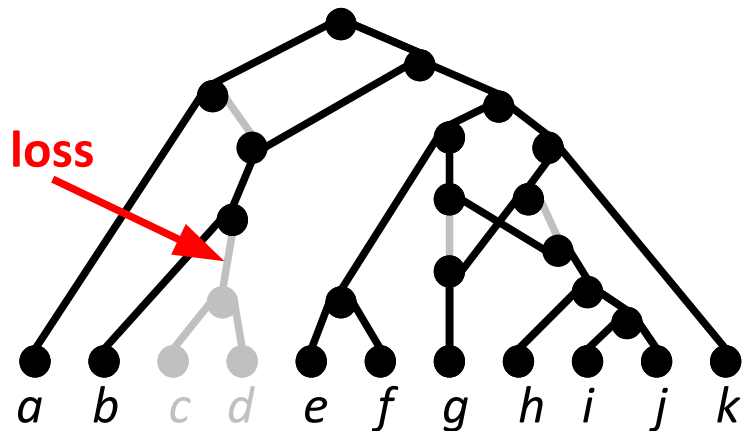
Model: each gene comes from one parent:

Gene 1



Gene 2

gene loss

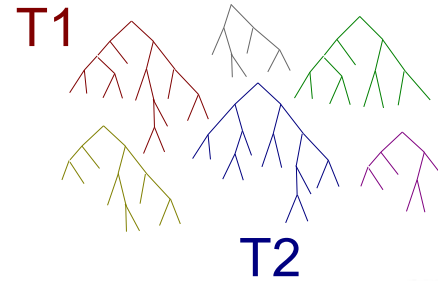
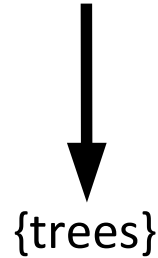




Combinatorial phylogenetic network reconstruction

species 1 : AATTGCAG TAGCCCAAAAT
species 2 : ACCTGCAG TAGACCAAT
species 3 : GCTTGCCG TAGACAAGAAT
species 4 : ATTTGCAG AAGACCAAAT
species 5 : TAGACAAGAAT
species 6 : ACTTGCAG TAGCACAAAAT
species 7 : ACCTGGTG TAAAAAT

G1 G2

{gene sequences}



HOGENOM database  Phyl-ARIANE
Dufayard, Duret, Penel, Gouy,  ANR
Rechenmann & Perrière, *BioInf*, 2005

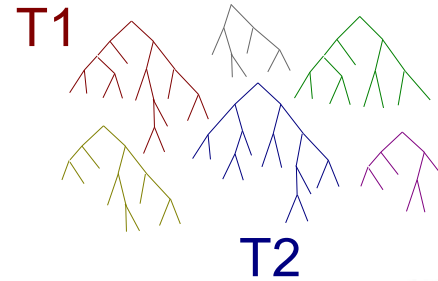
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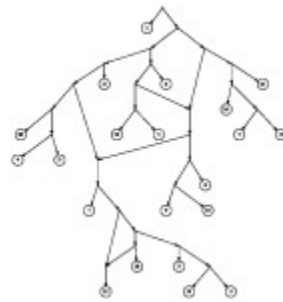
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network



contains the trees
+ "optimal"

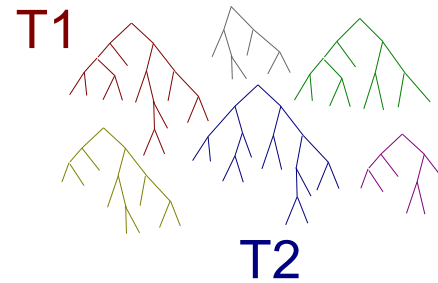
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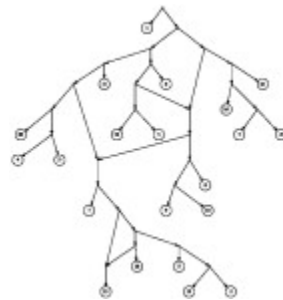
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NP-complete for 2 rooted trees

Combinatorial phylogenetic network reconstruction

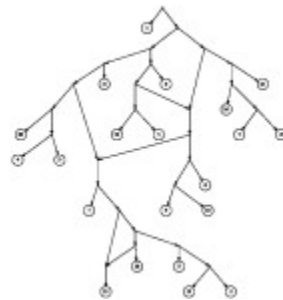
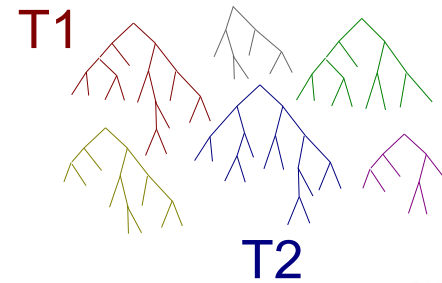
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G1 G2

{gene sequences}

{trees}

network

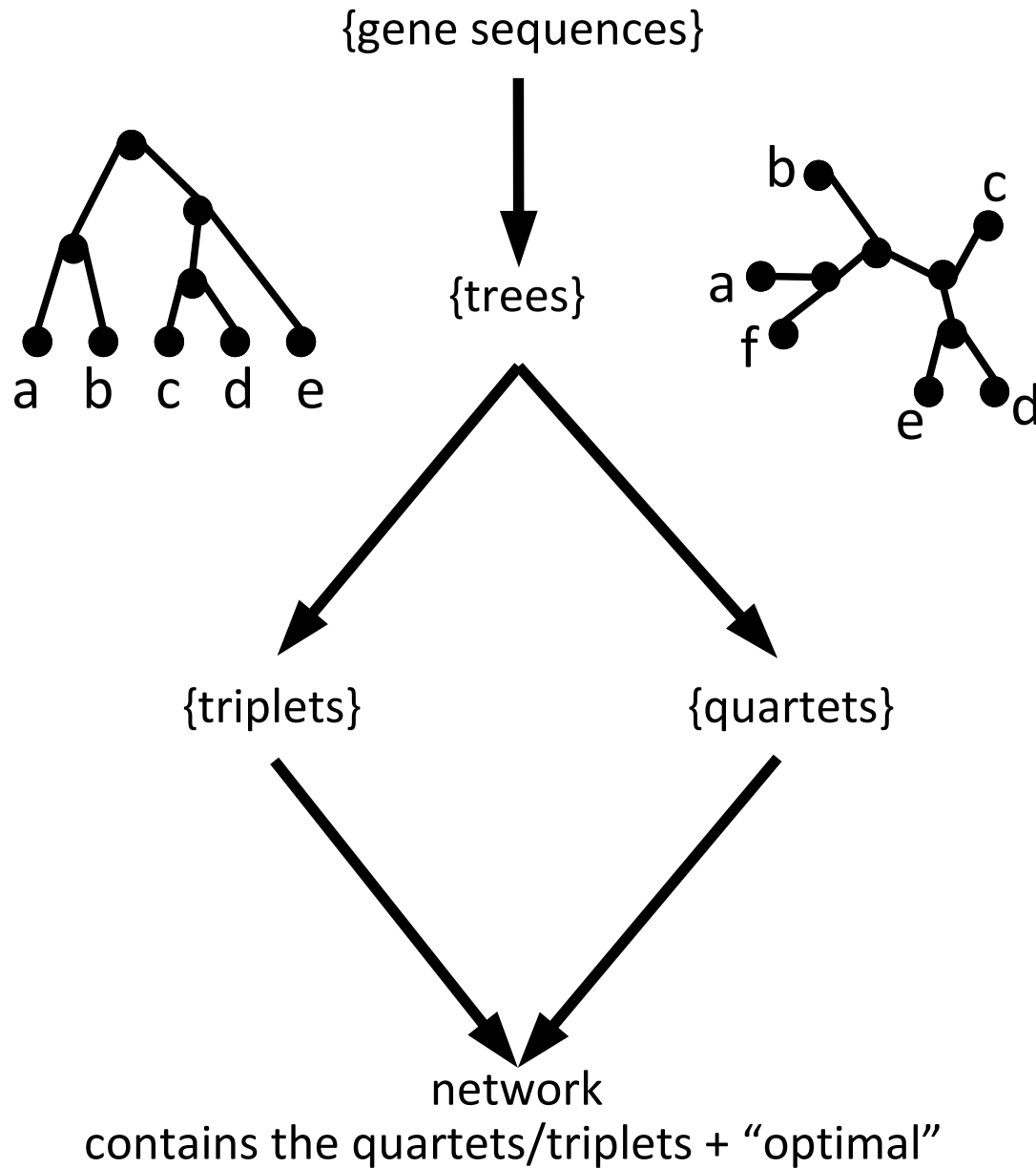


contains the trees
+ "optimal"

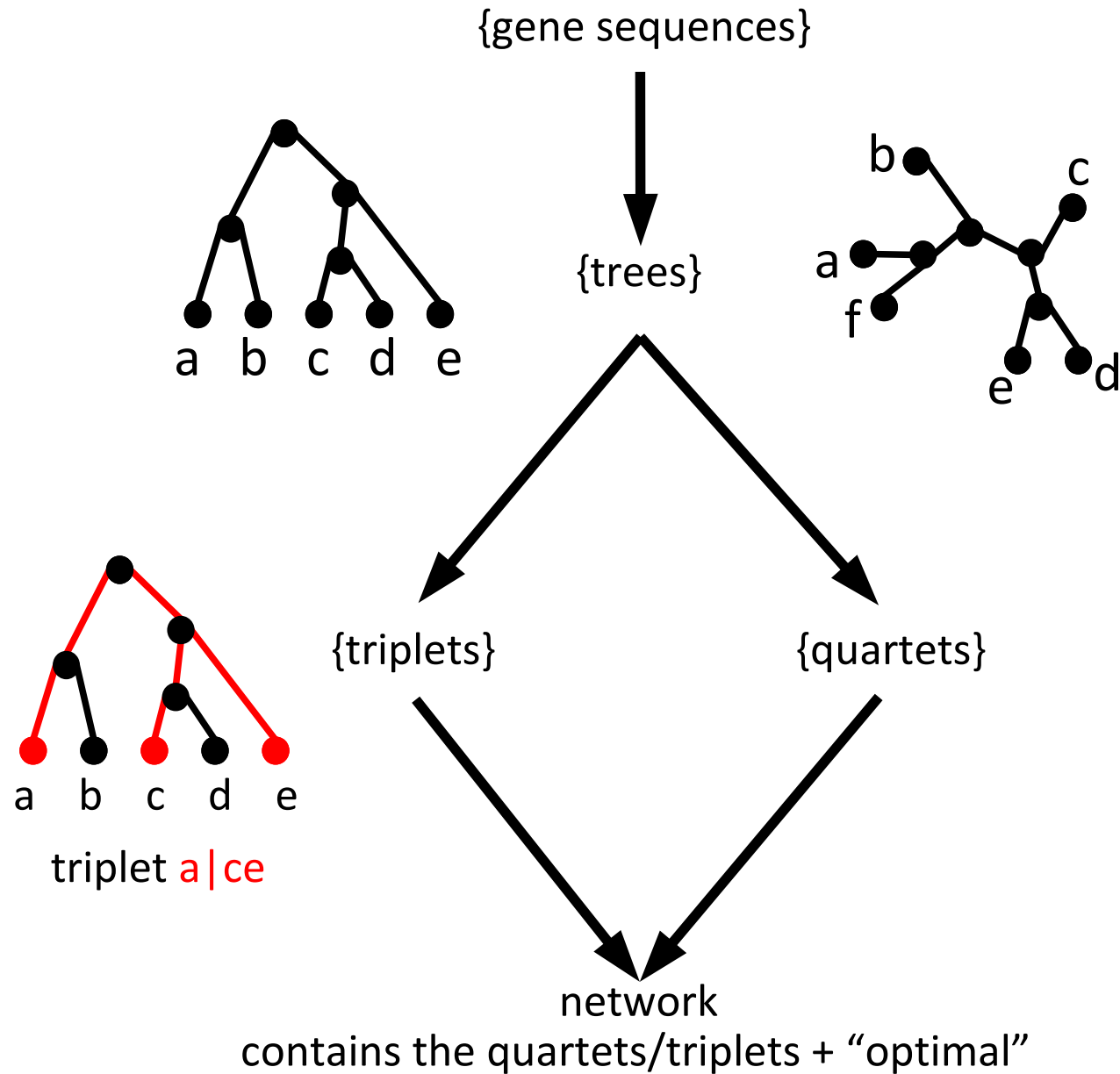
NP-complete for 2 rooted trees

HOGENOM database  Phyl-ARIANE
Dufayard, Duret, Penel, Gouy,  ANR
Rechenmann & Perrière, *BioInf*, 2005
> 500 species, >70 000 trees

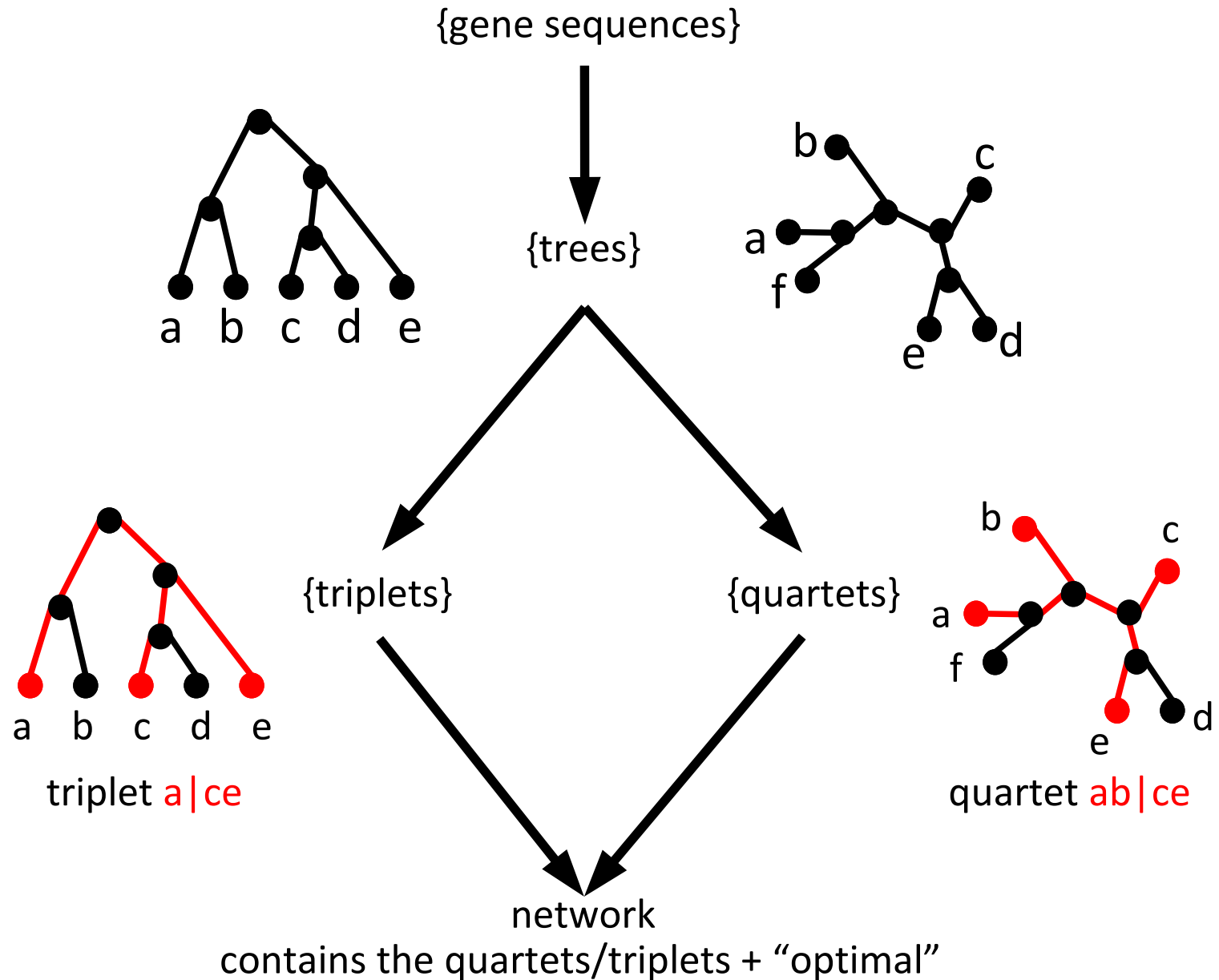
Reconstruction from triplets / quartets



Reconstruction from triplets / quartets



Reconstruction from triplets / quartets



Reconstruction from triplets / quartets

Checking the solution:

Finding **all triplets** of a rooted network: $O(n^3)$

Byrka, Gawrychowski, Huber & Kelk, *JDA*, 2010

Reconstruction from triplets / quartets

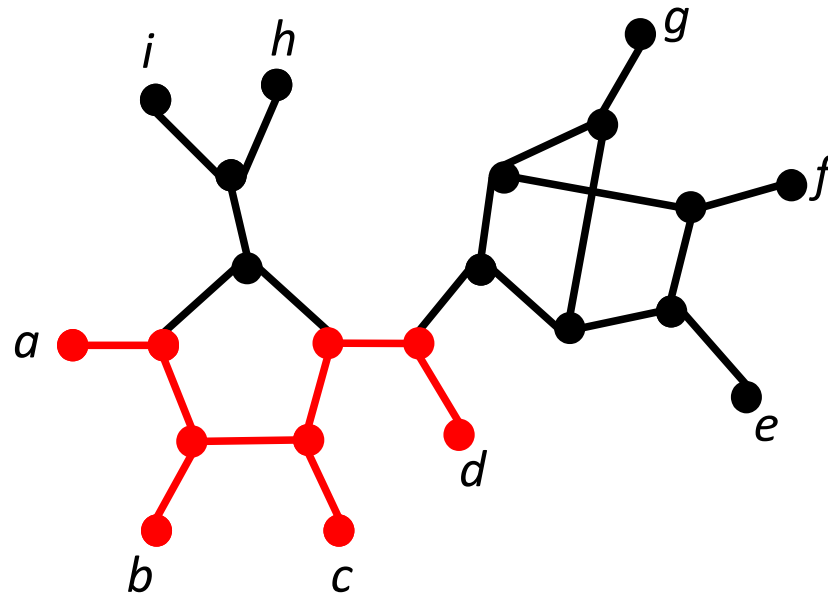
Checking the solution:

Finding **all triplets** of a rooted network: $O(n^3)$

Byrka, Gawrychowski, Huber & Kelk, *JDA*, 2010

Finding **all quartets** of an unrooted network?

quartet *ab|cd*



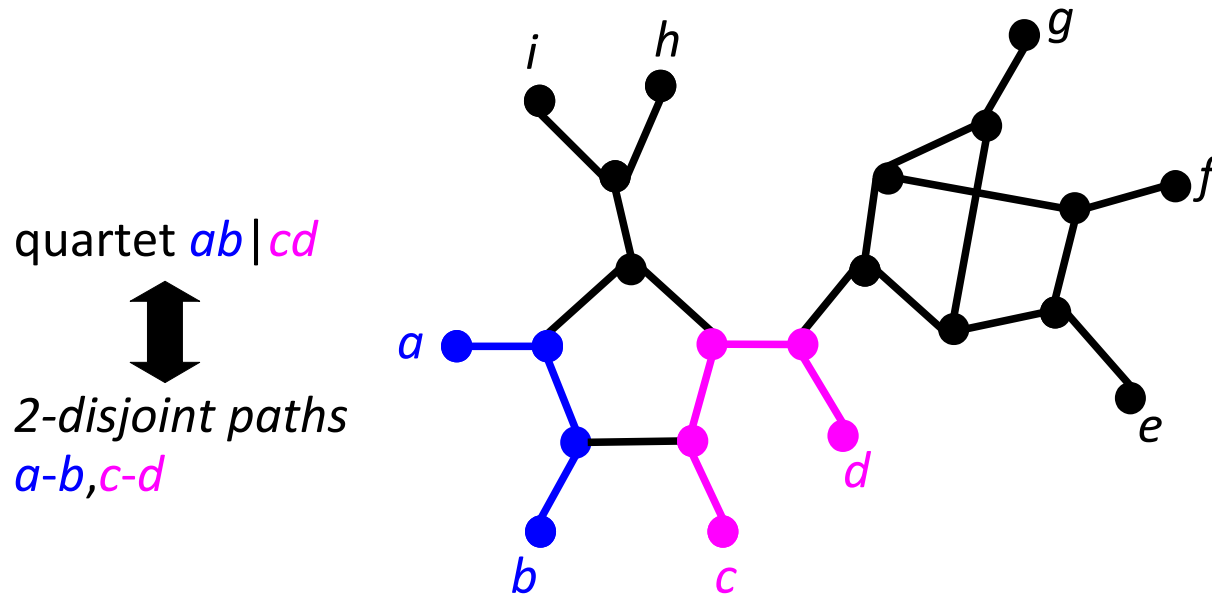
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Byrka, Gawrychowski, Huber & Kelk, *JDA*, 2010

Finding **all quartets** of an unrooted network?



Reconstruction from triplets / quartets

Checking the solution:

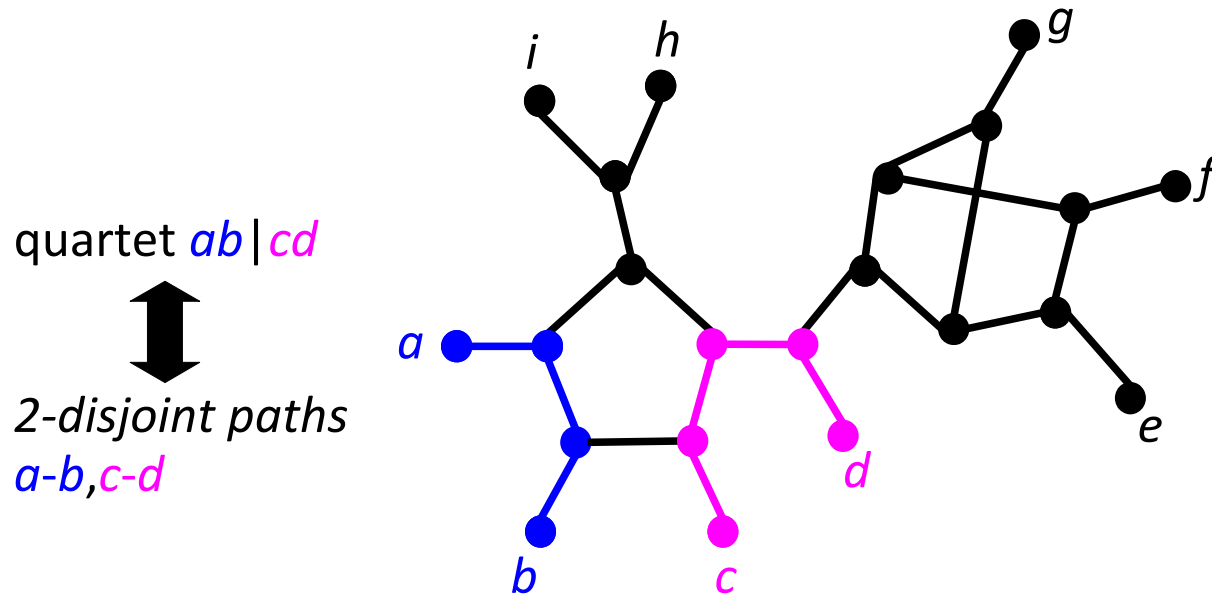
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Byrka, Gawrychowski, Huber & Kelk, *JDA*, 2010

Finding **all quartets** of an unrooted network: $O(n^6)$

2-Disjoint Paths in a graph of degree ≤ 3 : $O(n(1+\alpha(n,n)))$

Tholey, *SOFSEM'09*, 2009

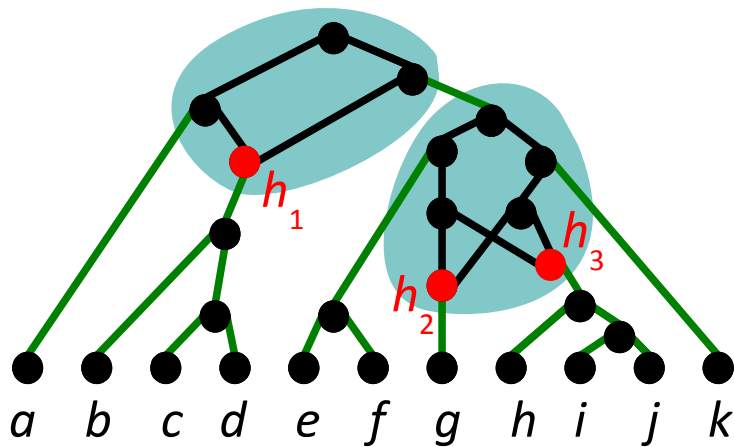


Plan

- Phylogenetic motivations
- **Level- k network reconstruction**
- Structure of level- k networks
- Counting level-1 and 2 networks

Level- k networks

level: how “far” is the network from a tree ?
small level \Rightarrow tree structure \Rightarrow fast algorithms

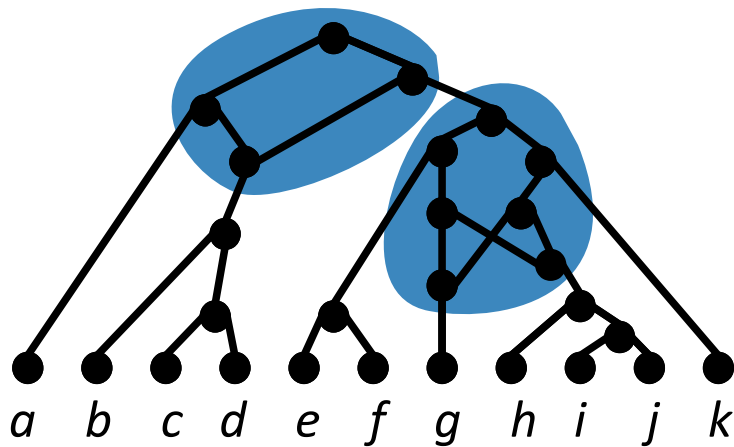


level-2 network

level =
maximum number of **hybrid vertices**
by **bridgeless component (blob)** of
the underlying undirected graph.

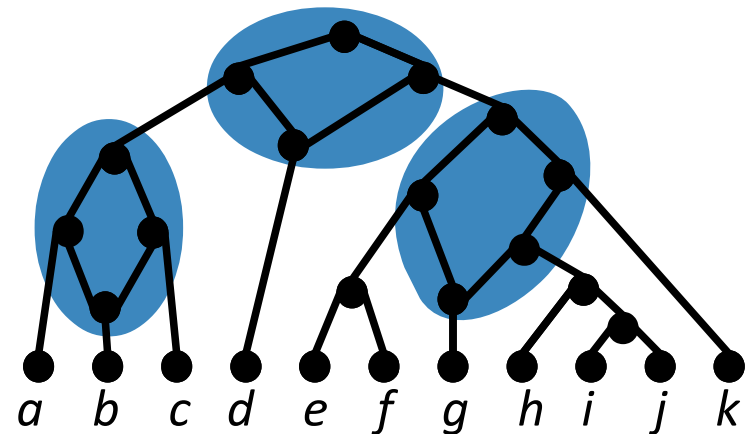
Level- k networks

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level-2 network

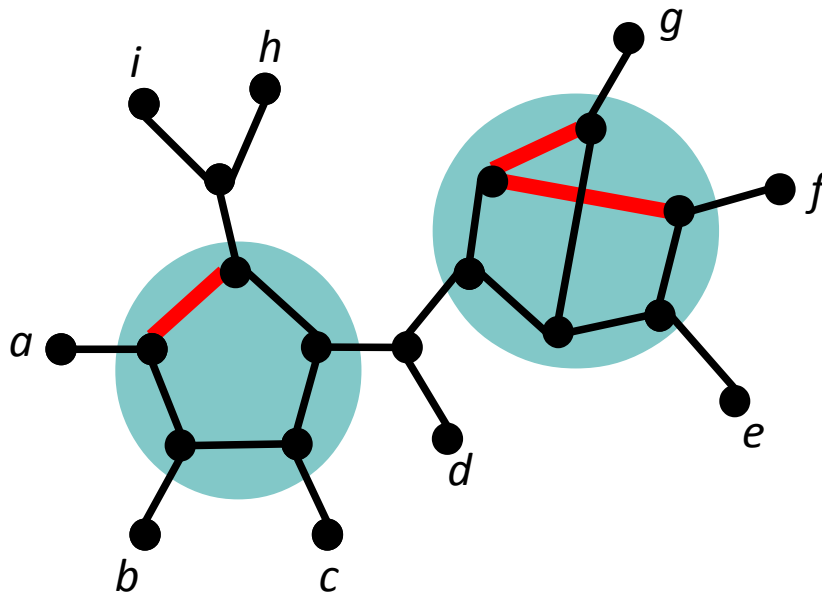
level-1 network
("galled tree")



level =
maximum number of **hybrid vertices**
by *blob*.

Unrooted level- k networks

level: how “far” is the network from an unrooted tree ?
small level \Rightarrow tree structure \Rightarrow fast algorithms

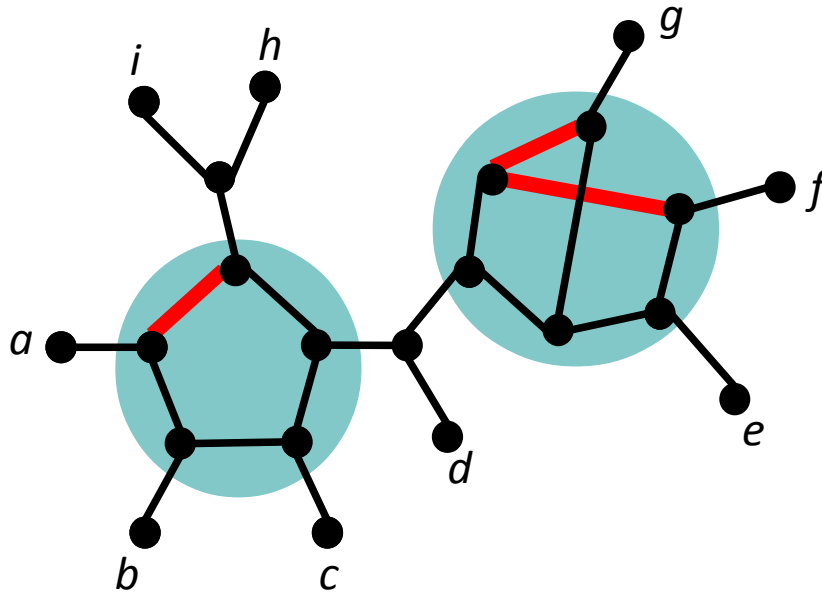


level =
maximum number of **edges to remove**, by *blob*, to obtain a tree.

unrooted level-2 network

Unrooted level- k networks

level: how “far” is the network from an unrooted tree ?
small level \Rightarrow tree structure \Rightarrow fast algorithms

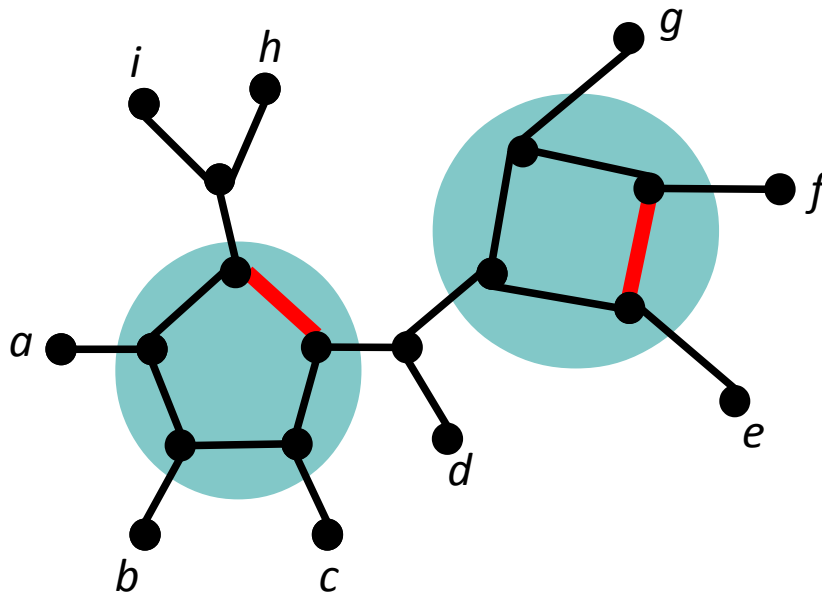


level =
maximum number of **edges to remove**, by **blob**, to obtain a tree.
= maximum ***cyclomatic number*** of the blobs

unrooted level-2 network

Unrooted level- k networks

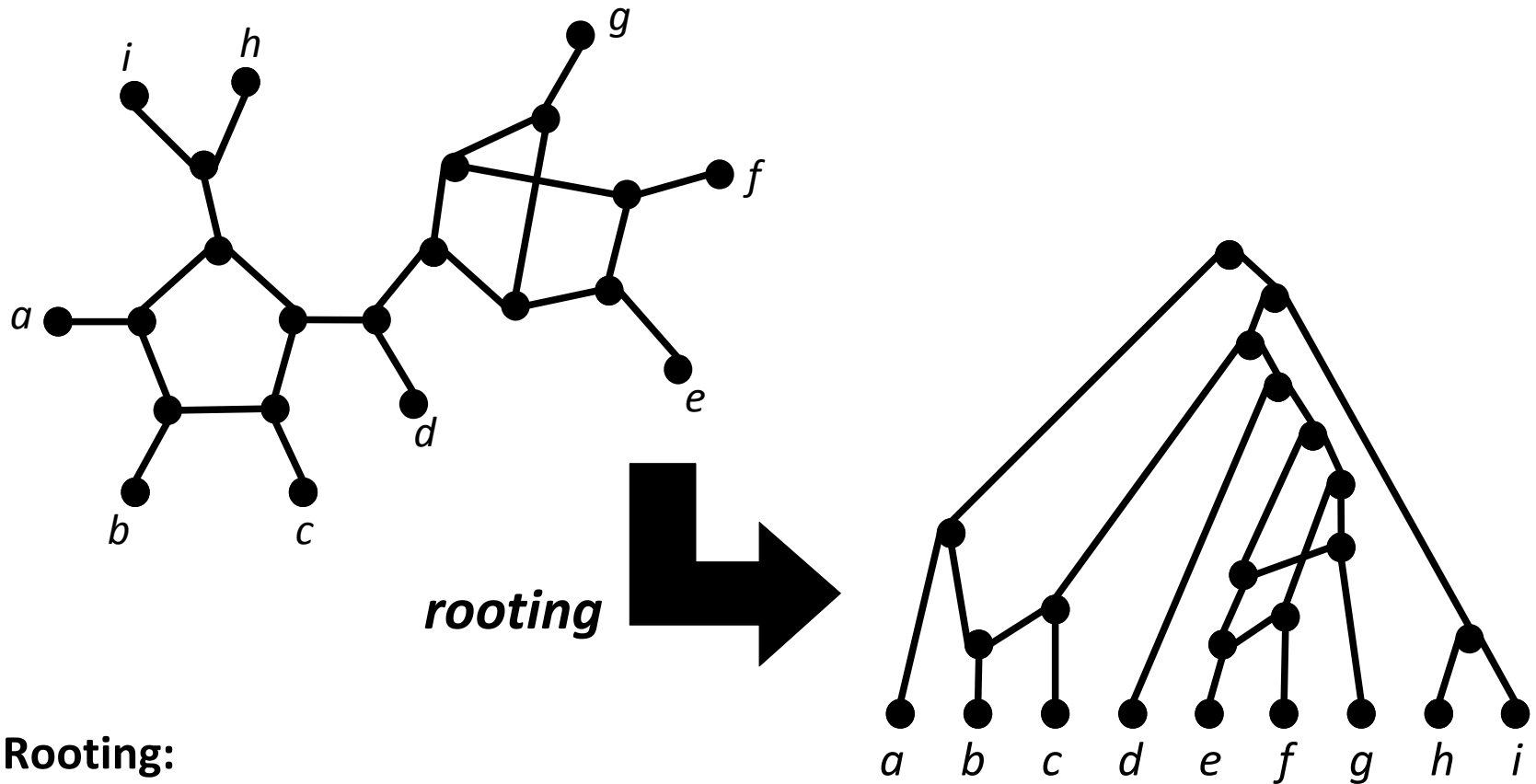
level: how “far” is the network from an unrooted tree ?
small level \Rightarrow tree structure \Rightarrow fast algorithms



level =
maximum number of **edges to remove**, by *blob*, to obtain a tree.

unrooted level-1 network \Rightarrow tree of cycles
(unrooted galled tree)

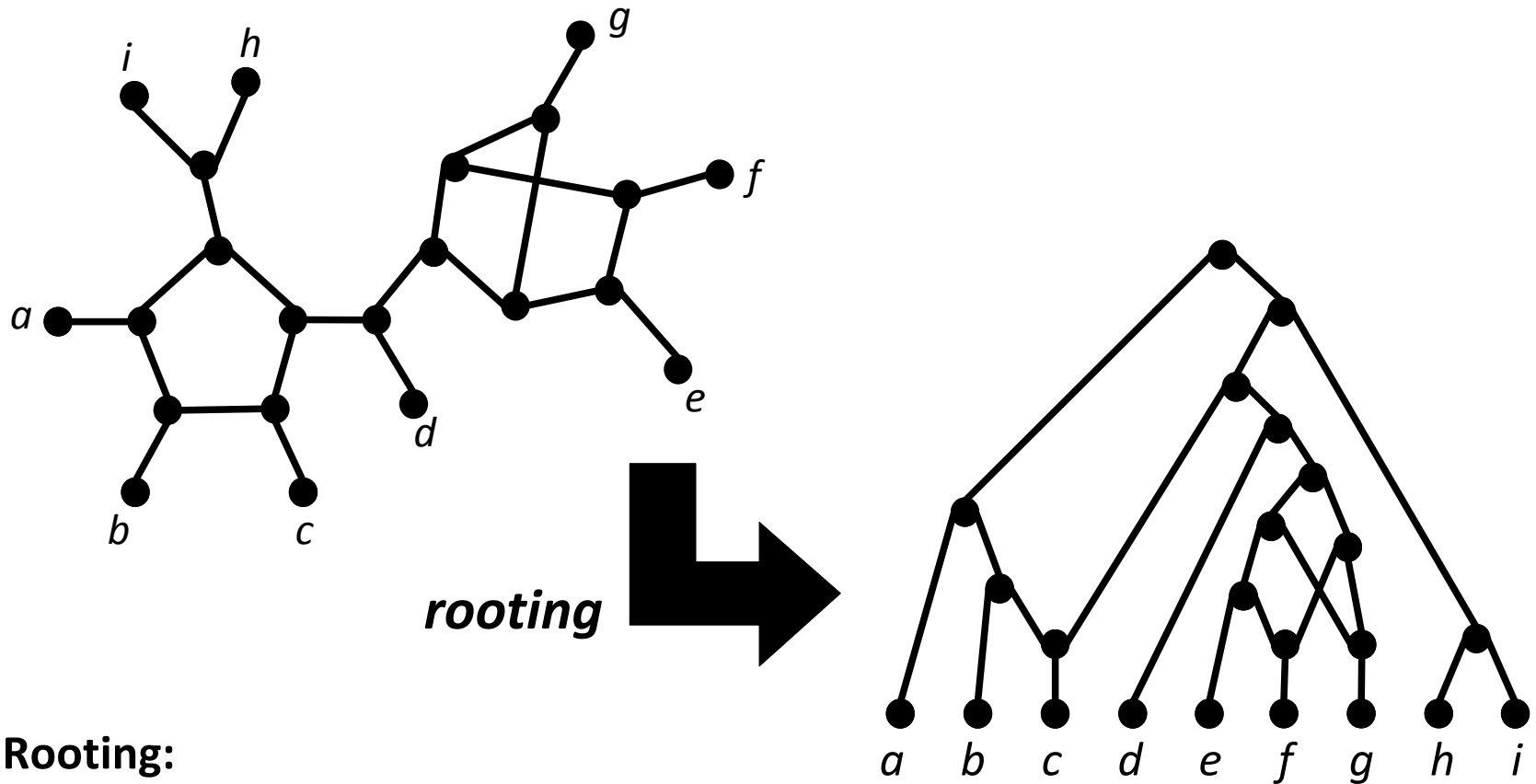
Equivalence between rooted and unrooted level



Rooting:

- choosing a root
- choosing an orientation for the edges

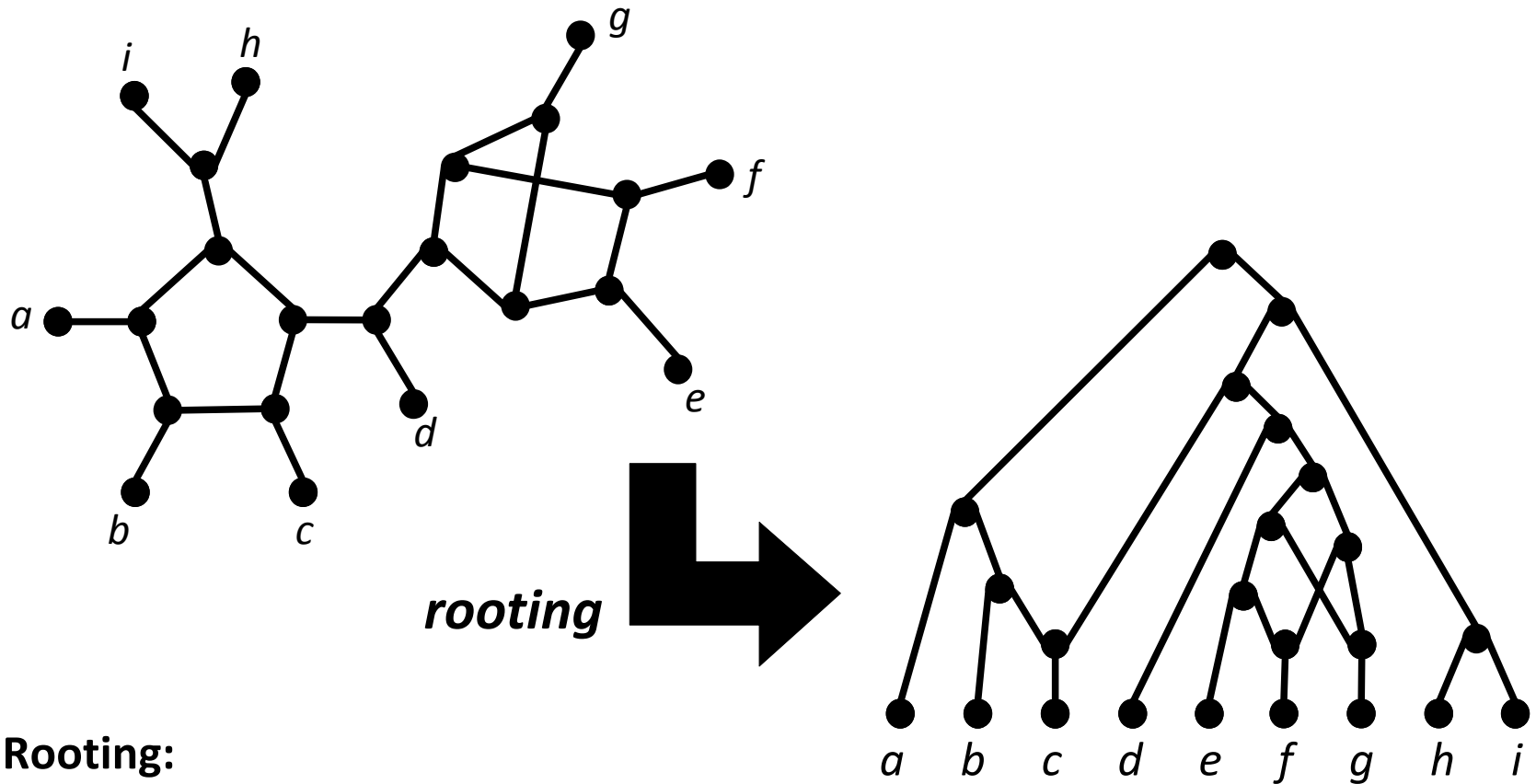
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Equivalence between rooted and unrooted level



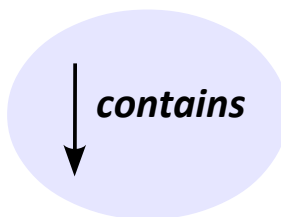
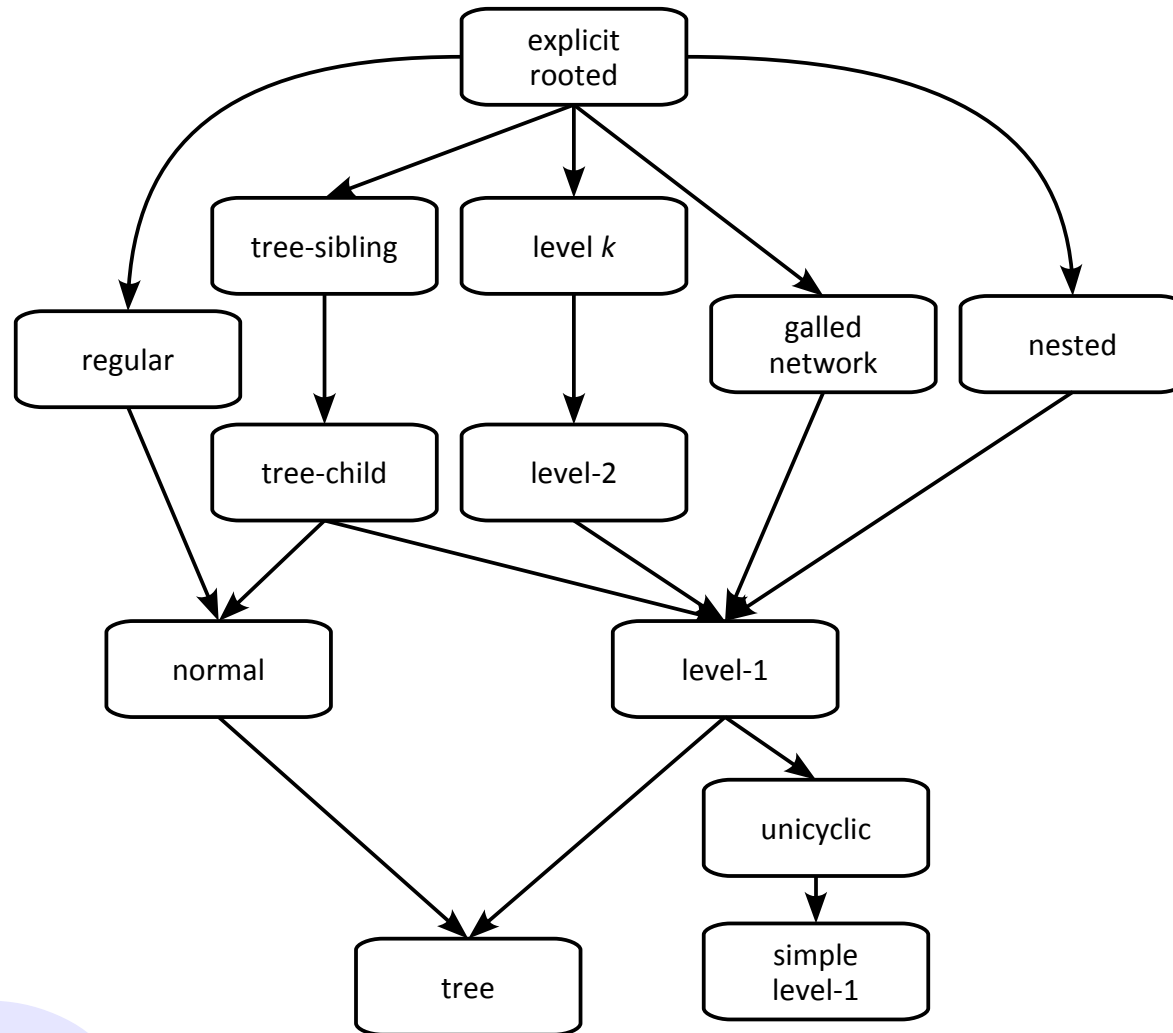
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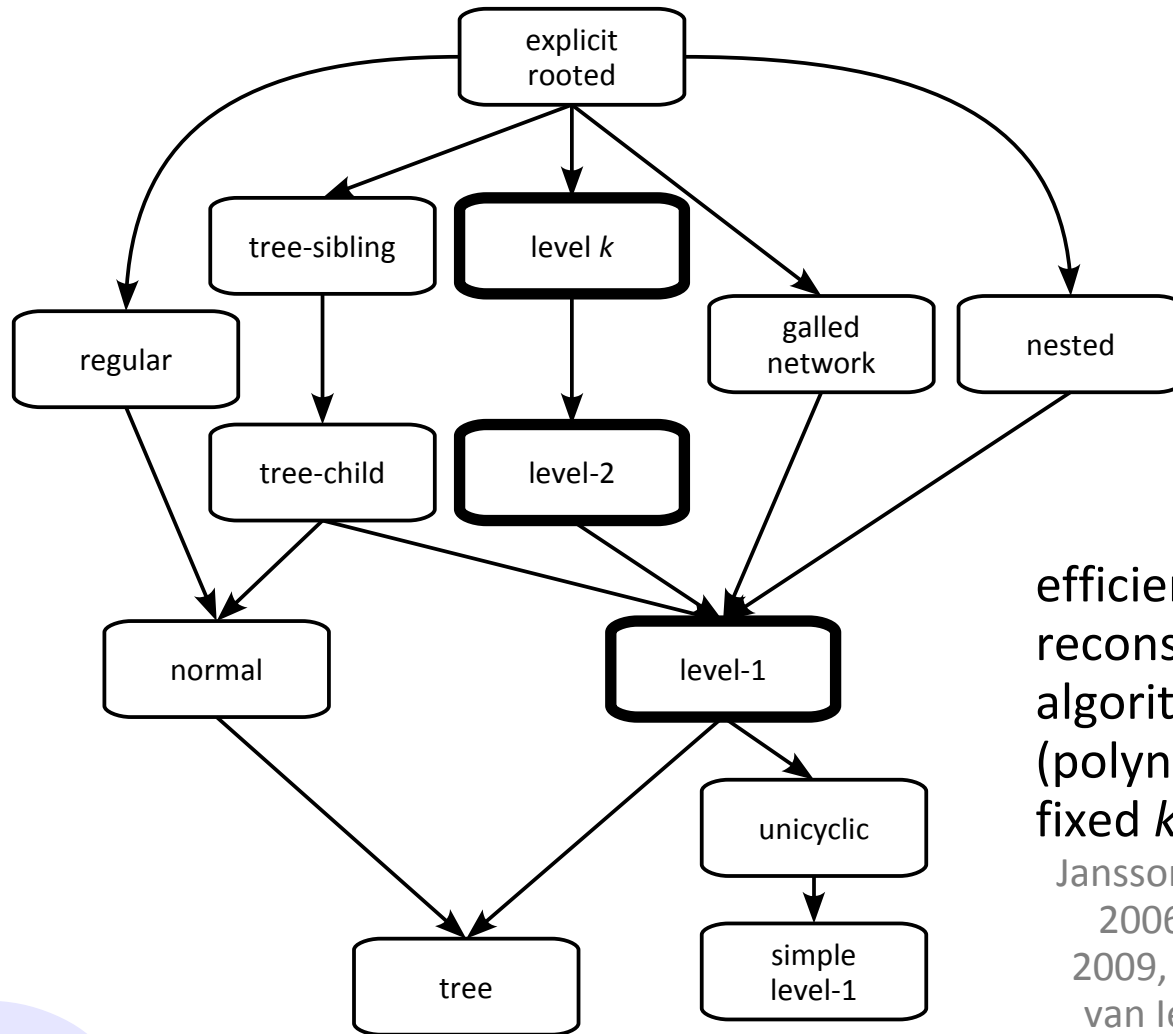
- **many** possible rootings (possibly exponential in the level)
- **same level** (invariant)

Phylogenetic network subclass hierarchy



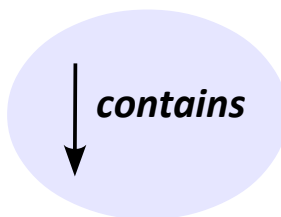
rooted binary phylogenetic networks

Phylogenetic network subclass hierarchy



efficient
reconstruction
algorithms
(polynomial for
fixed k)

Jansson, Nguyen & Sung
2006, van Iersel *et al.*
2009, To & Habib 2009,
van Iersel & Kelk 2010,
van Iersel *et al.* 2010



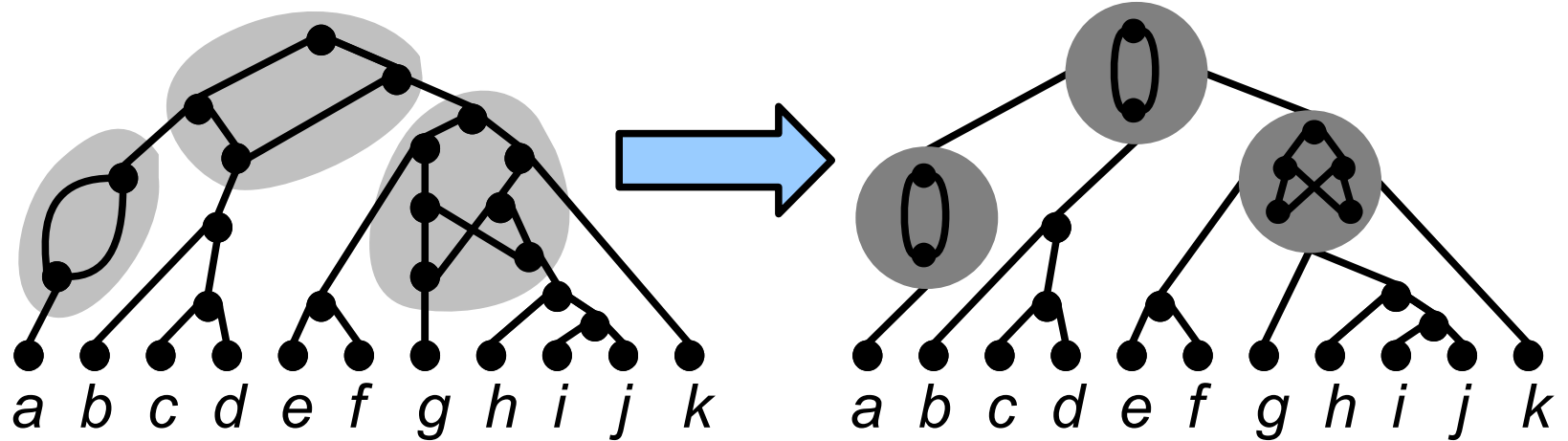
rooted binary phylogenetic networks

Plan

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- Level- k network reconstruction
- **Structure of level- k networks**
- Counting level-1 and 2 networks

Decomposition of level- k networks

We formalize the decomposition into blobs:



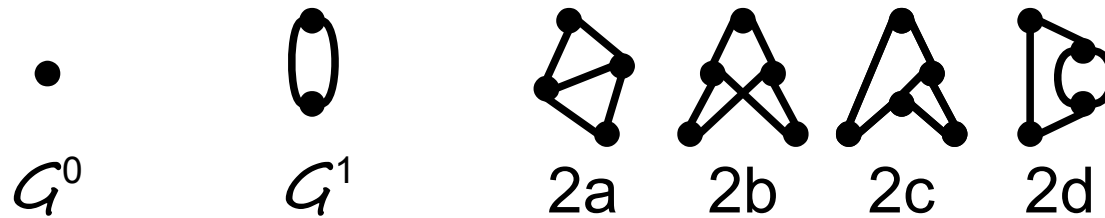
N , level- k network.

N decomposed as a **tree** of simple graph patterns: **generators**.

Generators introduced by van Iersel & al (Recomb 2008) for the restricted class of simple level- k networks.

Level- k generators

A **level- k generator** is a level- k network with no cut arc.



The **sides** of the generator are:

- its arcs
- its reticulation vertices of outdegree 0

Decomposition theorem of level- k networks

N is a level- k network

iff

there exists a sequence $(l_j)_{j \in [1,r]}$ of r locations

(arcs or reticulation vertices of outdegree 0)

and a sequence $(G_j)_{j \in [0,r]}$ of generators of level at most k , such that:

- $N = \text{Attach}_k(l_r, G_r, \text{Attach}_k(\dots \text{Attach}_k(l_2, G_2, \text{Attach}_k(l_1, G_1, G_0)) \dots))$,
- or $N = \text{Attach}_k(l_r, G_r, \text{Attach}_k(\dots \text{Attach}_k(l_2, G_2, \text{SplitRoot}_k(G_1, G_0)) \dots))$.

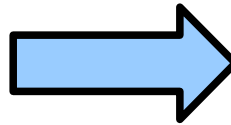
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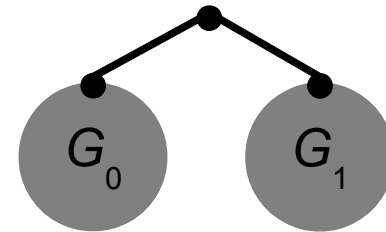
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$\text{SplitRoot}_k(G_1, G_0)$



Decomposition theorem of level- k networks

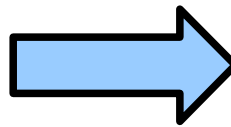
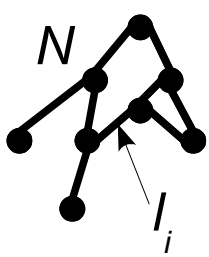
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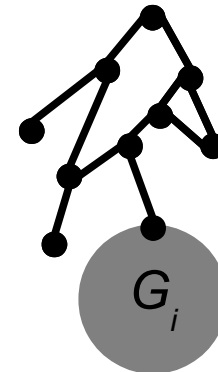
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l_i is an arc of N



$\text{Attach}_k(l_i, G_i, N)$



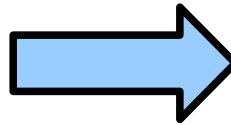
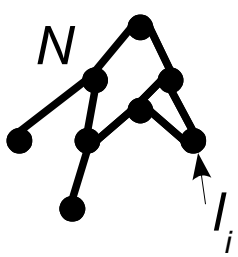
Decomposition theorem of level- k networks

N is a level- k network

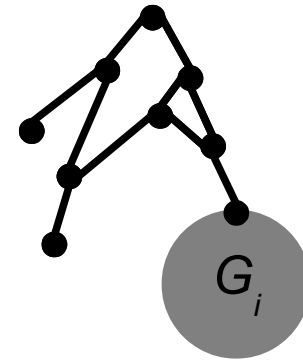
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l_i is a reticulation vertex of N



$\text{Attach}_k(l_i, G_i, N)$



Decomposition theorem of level- k networks

N is a level- k network

iff

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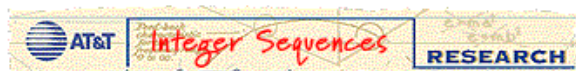
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This decomposition is **not unique!**

recursive decomposition later, for level-1...

Construction of level- k generators

Case analysis by van Iersel & al to find the 4 level-2 generators
Exponential algorithm by Steven Kelk to find the 65 level-3 generators.



Greetings from [The On-Line Encyclopedia of Integer Sequences!](#)

[Hints](#)

Search: 1, 4, 65

Displaying 1-2 of 2 results found.

page 1

Format: long | [short](#) | [internal](#) | [text](#) Sort: relevance | [references](#) | [number](#) Highlight: on | [off](#)

[A041119](#) Denominators of continued fraction convergents to $\sqrt{68}$. +20
2

1, 4, 65, 264, 4289, 17420, 283009, 1149456, 18674305, 75846676, 1232221121, 5004731160, 81307919681, 330236409884, 5365090477825, 21790598321184, 354014663616769, 1437849252788260, 23359602708228929 ([list](#); [graph](#); [listen](#))

OFFSET 0, 2

CROSSREFS Cf. [A041118](#).
Sequence in context: [A138835](#) [A119601](#) [A058438](#) this_sequence [A015475](#) [A025585](#)
[A048828](#)

Adjacent sequences: [A041116](#) [A041117](#) [A041118](#) this_sequence [A041120](#) [A041121](#)
[A041122](#)

KEYWORD nonn,cofr,easy

AUTHOR njas

[A015475](#) q-Fibonacci numbers for $q=4$. +20
1

0, 1, 4, 65, 4164, 1066049, 1091638340, 4471351706689, 73258627454030916, 4801077413298721817665, 1258573637505038759624004676, 1319710110525284599824799048959041 ([list](#); [graph](#); [listen](#))

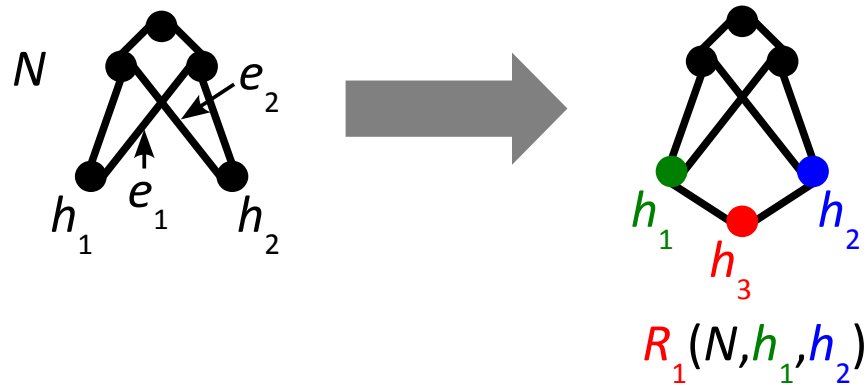
OFFSET 0, 3

FORMULA $a(n) = 4^{(n-1)} a(n-1) + a(n-2)$.

CROSSREFS Sequence in context: [A119601](#) [A058438](#) [A041119](#) this_sequence [A025585](#) [A048828](#)

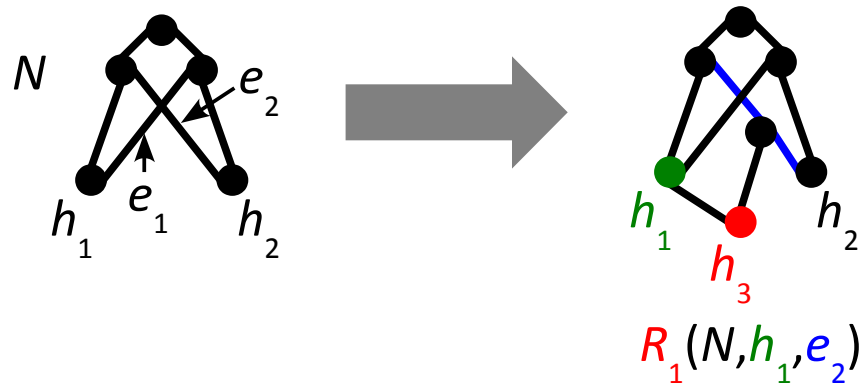
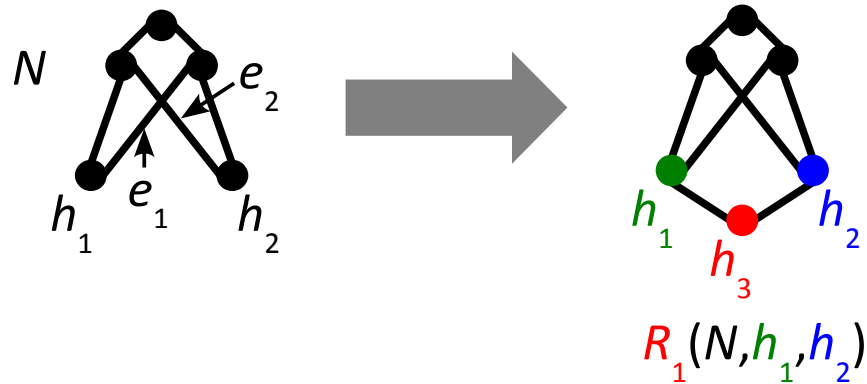
Construction of level- k generators

Construction rules of level- $(k+1)$ generators from level- k generators



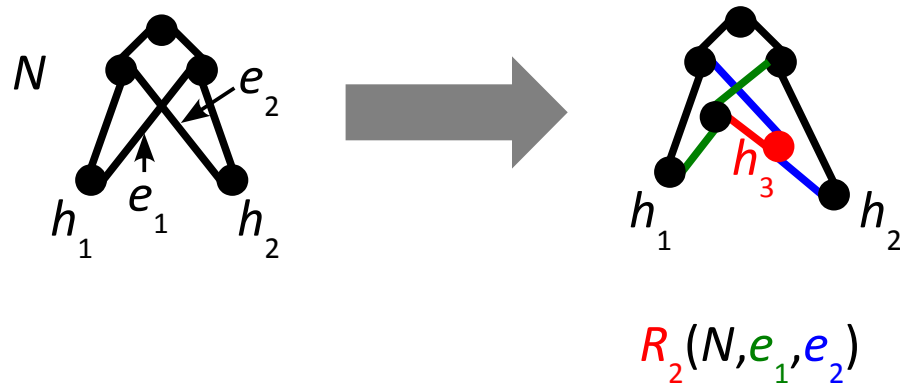
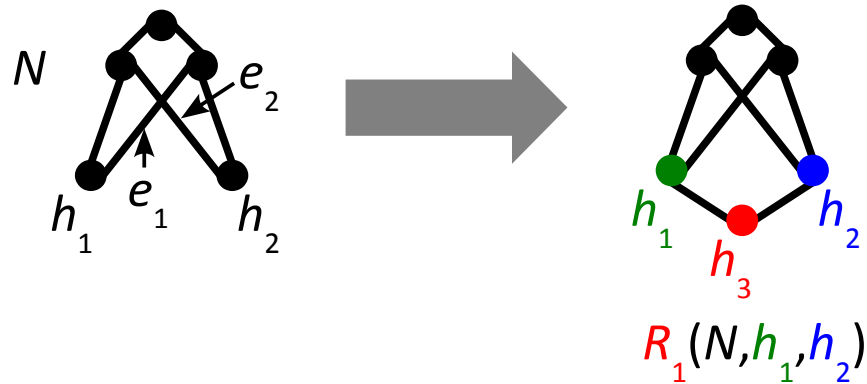
Construction of level- k generators

Construction rules of level- $(k+1)$ generators from level- k generators



Construction of level- k generators

Construction rules of level- $(k+1)$ generators from level- k generators



Upper bound on the number of level- k generators

R_1 and R_2 can be applied at most on all pairs of sides

A level- k generator has at most $5k$ slides:

$$g_{k+1} < 50 k^2 g_k$$

Upper bound:

$$g_k < k!^2 50^k$$

Theoretical corollary:

There is a polynomial algorithm to build the set of level- $(k+1)$ generators from the set of level- k generators.

→ polynomial time algorithms to reconstruct level- k networks with fixed k

Kelk, Scornavacca & van Iersel, *TCBB*, 2011

Practical corollary:

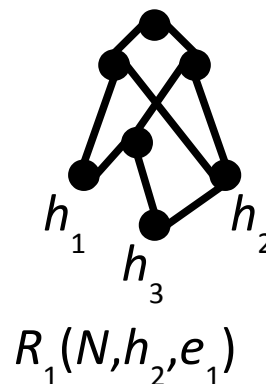
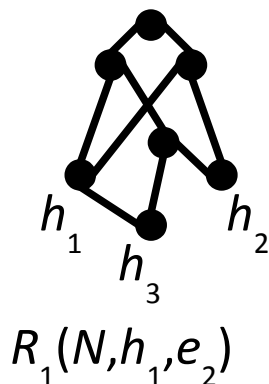
$$g_4 < 28350$$

→ it is possible to enumerate all level-4 generators.

Construction of level- k generators

Problem:

Some of the level- $(k+1)$ generators obtained from level- k generators are isomorphic!



→ difficult to count

→ possible generation up to level 5 :
1, 4, 65, 1993, 91454



Greetings from [The On-Line Encyclopedia of Integer Sequences!](http://www.oeis.org/)

[Hints](#)

Search: 1, 4, 65, 1993

I am sorry, but the terms do not match anything in the table.

Gambette, Berry & Paul, CPM 2009

<http://www.lirmm.fr/~gambette/ProgGenerators.php>

Lower bound on the number of level- k generators

Lower bound:

$$g_k \geq 2^{k-1}$$

There is an **exponential number** of generators!

Idea:

Code every number between 0 and $2^{k-1}-1$ by a level- k generator.

Lower bound on the number of level- k generators

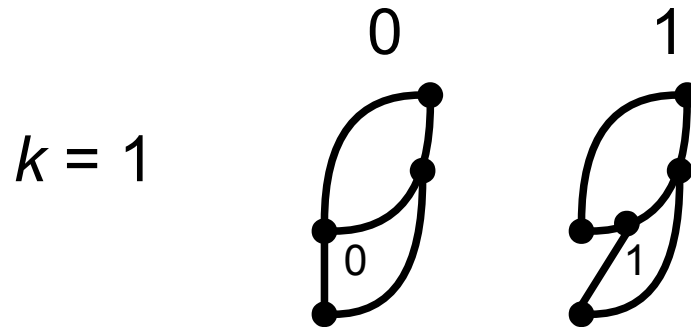
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Lower bound on the number of level- k generators

Lower bound:

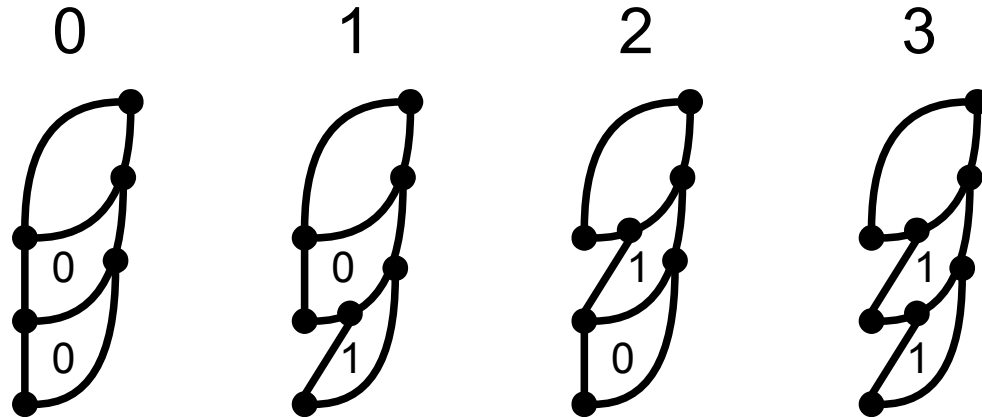
$$g_k \geq 2^{k-1}$$

There is an **exponential number** of generators!

Idea:

Code every number between 0 and $2^{k-1}-1$ by a level- k generator.

$k = 2$



Lower bound on the number of level- k generators

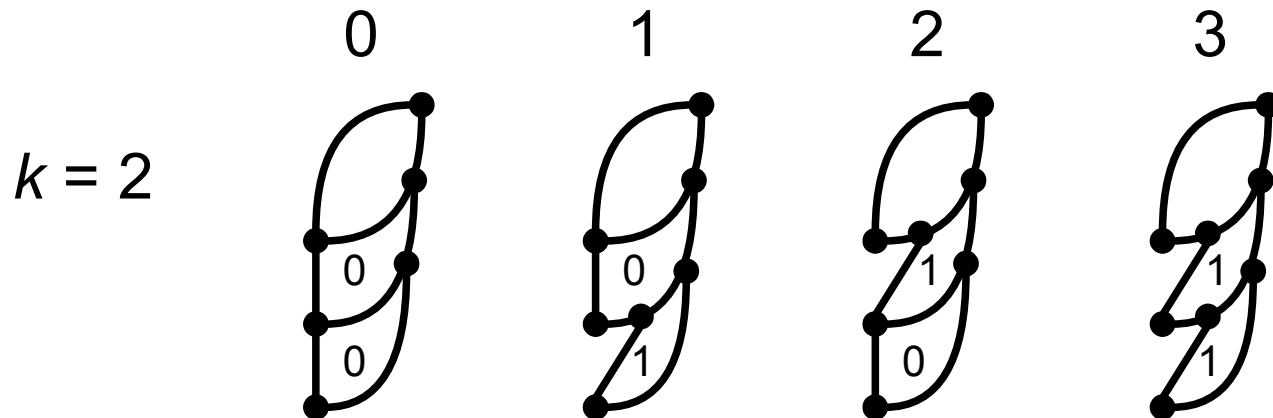
Lower bound:

$$g_k \geq 2^{k-1}$$

There is an **exponential number** of generators!

Idea:

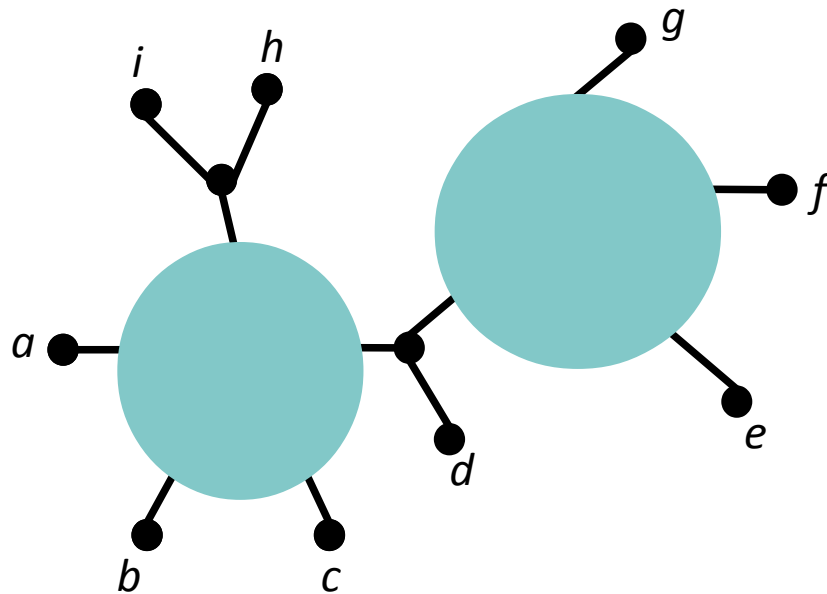
Code every number between 0 and $2^{k-1}-1$ by a level- k generator.



Practical corollary:

Phylogenetic reconstruction algorithms based on generators are not practical.

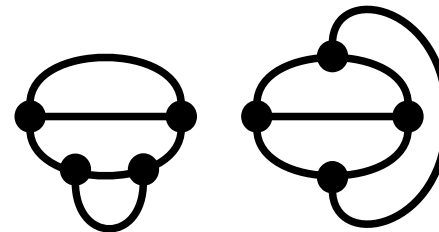
Unrooted level- k networks



level =
maximum number of **edges to remove**, by **blob**, to obtain a tree.

unrooted level- k network \Rightarrow tree of **blobs**
 \Rightarrow tree of **generators** of level $\leq k$

Unrooted level- k generators: bridgeless loopless 3-regular multigraphs with $2k-2$ vertices



level-3 generators

Plan

- Phylogenetic motivations
- Level- k network reconstruction
- Structure of level- k networks
- Counting level-1 and 2 networks

Counting labeled level- k networks

Unrooted level-1 networks:

explicit formula for n leaves, c cycles, m edges involved in the cycles.

Semple & Steel, *TCBB*, 2006

Counting labeled unrooted level-1 networks

Unrooted level-1 networks:

explicit formula for n leaves, c cycles, m edges involved in the cycles.

Pointing + bijection:

Bijection between labeled unrooted level-1 networks with $n+1$ leaves and labeled pointed level-1 networks with n leaves.

Counting labeled unrooted level-1 networks

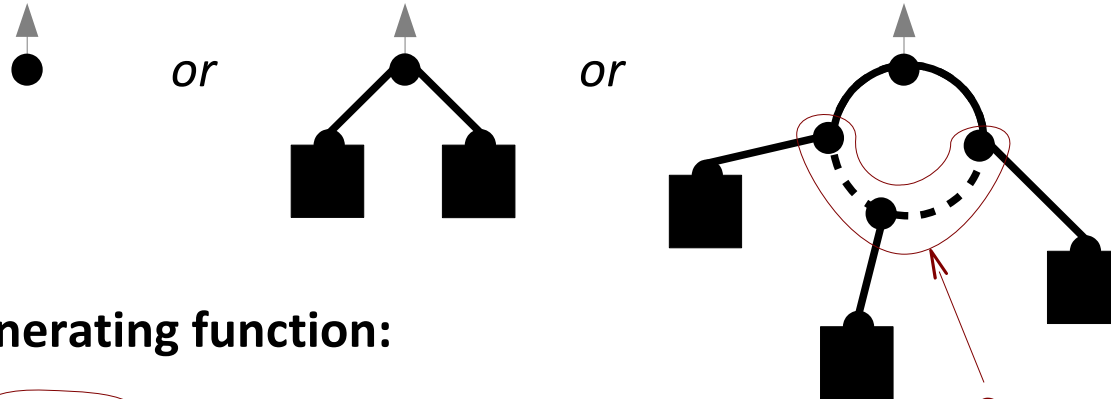
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Recursive decomposition of pointed level-1 networks with n leaves:



Exponential generating function:

$$G = x + \frac{1}{2}G^2 + \frac{1}{2} \frac{G^2}{(1-G)}$$

$\text{Seq}_{\geq 2}$, any direction

Counting labeled unrooted level-1 networks

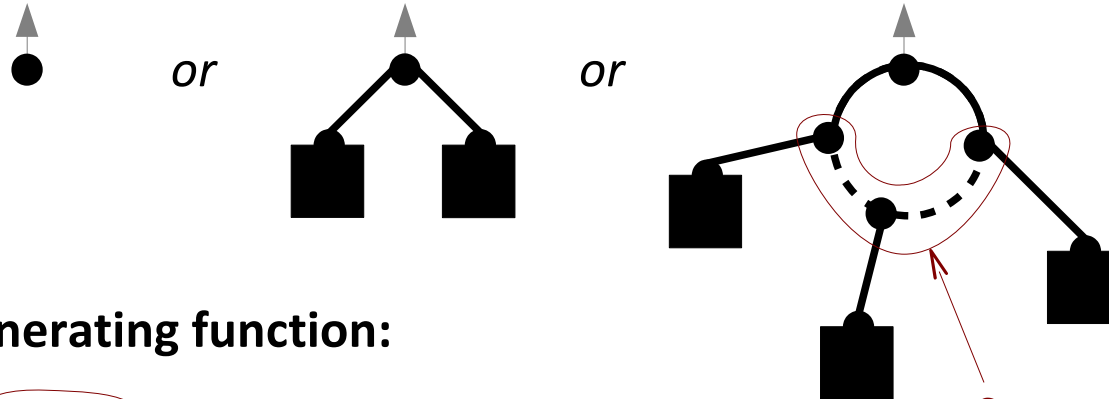
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Exponential generating function:

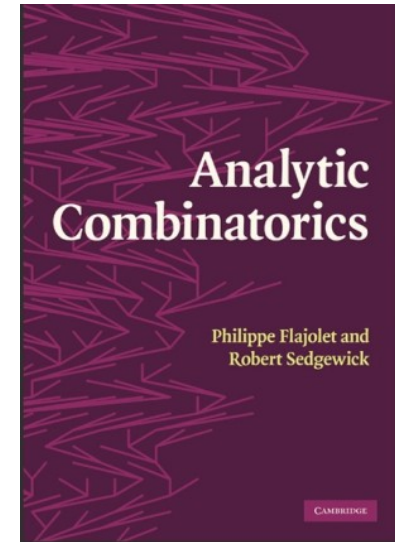
$$G = x + \frac{1}{2} G^2 + \frac{1}{2} \frac{G^2}{(1-G)}$$

Counting labeled unrooted level-1 networks

Exponential generating function:

$$G = x + \frac{1}{2}G^2 + \frac{1}{2} \frac{G^2}{(1-G)}$$

Using the Singular Inversion Theorem (Theorem VI.6 of



Philippe Flajolet and
Robert Sedgewick

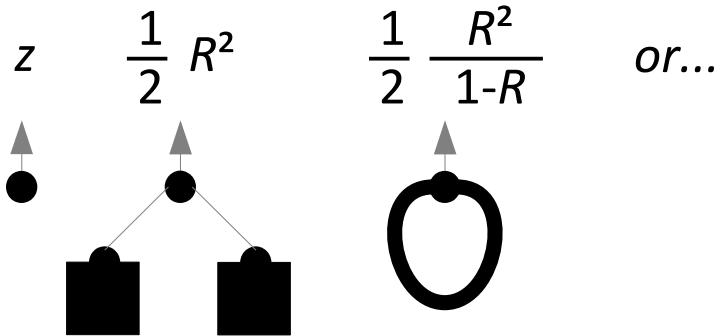
):

$$\frac{y(n)}{n!} \approx 0,1467 \frac{5,1376^n}{\sqrt{\pi n^3}} \approx 0,0827 \frac{5,1376^n}{\sqrt{n^3}}$$

$$\text{so } y(n) \approx 0,2074 \frac{n^{n-1}}{1,8904^n}$$

Counting labeled unrooted level-2 networks

Recursive decomposition of pointed level-2 networks with n leaves:




— Seq_{≥1}, any direction


■ Seq_{≥2}, any direction

→ Seq_{≥1}

➔ Seq_{≥2}

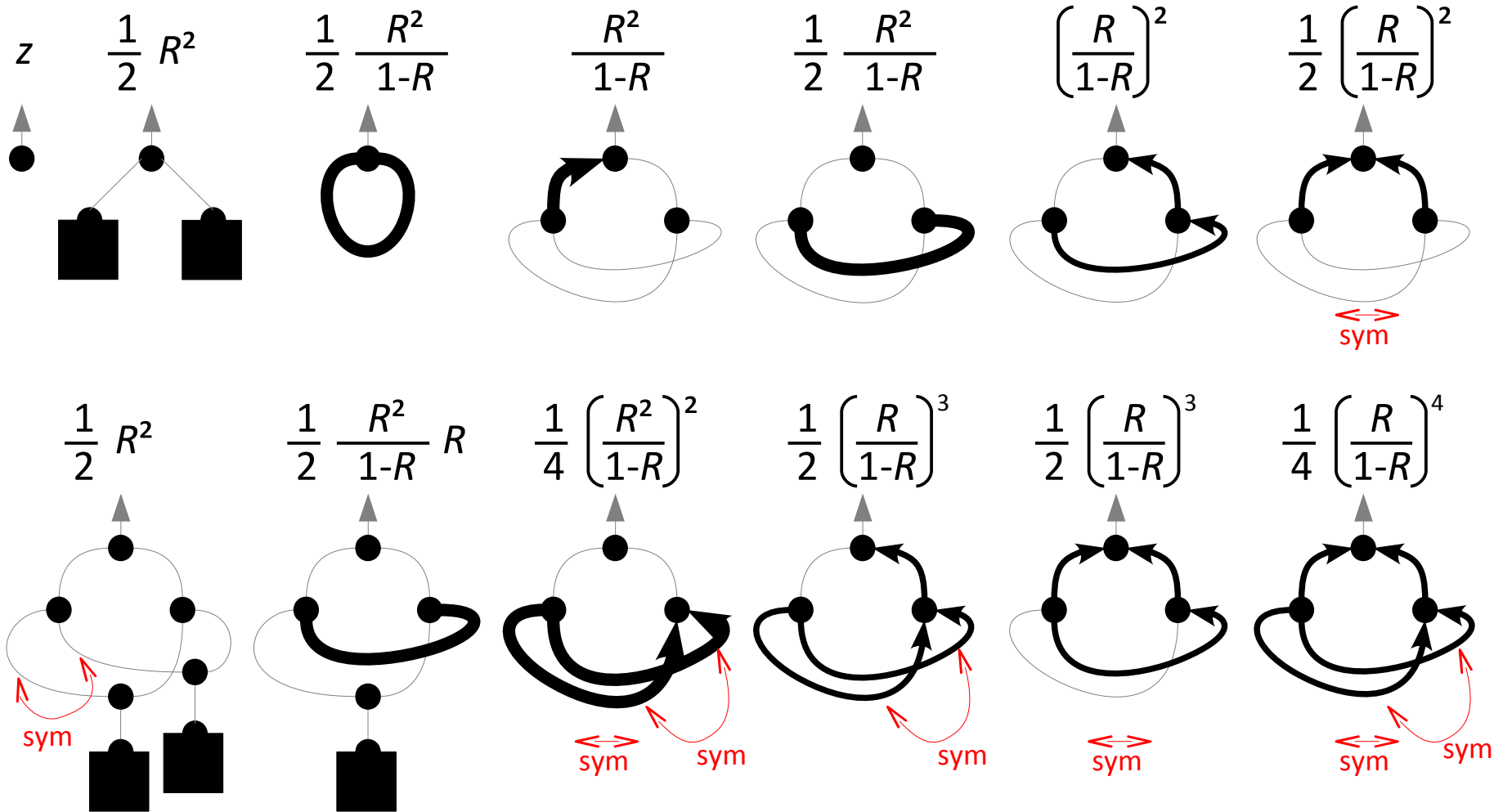
— simple edge

 edge symmetry

 horizontal symmetry with new orientation for lower edges

Counting labeled unrooted level-2 networks

Recursive decomposition of pointed level-2 networks with n leaves:



— $\text{Seq}_{\geq 1}$, any direction
 — $\text{Seq}_{\geq 2}$, any direction

→ $\text{Seq}_{\geq 1}$
 → $\text{Seq}_{\geq 2}$

— simple edge
 ↻ edge symmetry

↔ sym horizontal symmetry with new orientation for lower edges

Counting labeled unrooted level-2 networks

Recursive decomposition of pointed level-2 networks with n leaves:

$$R = z + \frac{R^2}{2} + \frac{R^2}{2(1-R)} + \frac{R^2}{1-R} + \frac{R^2}{2(1-R)} + \frac{R^2}{(1-R)^2} + \frac{R^2}{2(1-R)^2} \\ + \frac{R^2}{2} + \frac{R^3}{2(1-R)} + \frac{R^4}{4(1-R)^2} + \frac{R^3}{2(1-R)^3} + \frac{R^3}{2(1-R)^3} + \frac{R^4}{4(1-R)^4}$$

Rewrite:

$$R = z\phi(R) \text{ where } \phi(R) = \frac{1}{1 - \frac{3r^5 - 20r^4 + 46r^3 - 46r^2 + 18r}{4(r-1)^4}}$$

Counting labeled unrooted level-2 networks

Recursive decomposition of pointed level-2 networks with n leaves:

$$R = z + \frac{R^2}{2} + \frac{R^2}{2(1-R)} + \frac{R^2}{1-R} + \frac{R^2}{2(1-R)} + \frac{R^2}{(1-R)^2} + \frac{R^2}{2(1-R)^2} \\ + \frac{R^2}{2} + \frac{R^3}{2(1-R)} + \frac{R^4}{4(1-R)^2} + \frac{R^3}{2(1-R)^3} + \frac{R^3}{2(1-R)^3} + \frac{R^4}{4(1-R)^4}$$

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Lagrange inversion:

$$r(n) = n![z^n]R(z) = \frac{n!}{n}[\lambda^{n-1}]\phi^n(\lambda),$$

Taylor expansions of $\varphi_n(\lambda)$:

number of leaves	2	3	4	5	6	7
unrooted level-2	-	9	282	14 697	1 071 750	100 467 405

Counting labeled unrooted level-2 networks

Recursive decomposition of pointed level-2 networks with n leaves:

$$R = z + \frac{R^2}{2} + \frac{R^2}{2(1-R)} + \frac{R^2}{1-R} + \frac{R^2}{2(1-R)} + \frac{R^2}{(1-R)^2} + \frac{R^2}{2(1-R)^2} \\ + \frac{R^2}{2} + \frac{R^3}{2(1-R)} + \frac{R^4}{4(1-R)^2} + \frac{R^3}{2(1-R)^3} + \frac{R^3}{2(1-R)^3} + \frac{R^4}{4(1-R)^4}$$

Rewrite:

$$R = z\phi(R) \text{ where } \phi(R) = \frac{1}{1 - \frac{4r^5 - 21r^4 + 46r^3 - 46r^2 + 18r}{4(r-1)^4}}.$$

Lagrange inversion:

$$r(n) = n![z^n]R(z) = \frac{n!}{n}[\lambda^{n-1}]\phi^n(\lambda),$$

Taylor expansions + Newton formula:

$$r(n) = (n-1)! \sum_{\substack{0 \leq s \leq q \leq p \leq k \leq i \leq n-1 \\ j = n-1-i-k-p-q-s \geq 0 \\ i \neq 0}} \binom{n+i-1}{i} \binom{4i+j-1}{j} \binom{i}{k} \binom{k}{p} \binom{p}{q} \binom{q}{s} \\ \times \left(\frac{-4}{21}\right)^s \left(\frac{9}{2}\right)^i \left(\frac{23}{9}\right)^k (-1)^p \left(\frac{-21}{46}\right)^q.$$

Counting labeled level- k networks

Unrooted level-1 networks:

explicit formula for n leaves, c cycles, m edges involved in the cycles

Semple & Steel, *TCBB*, 2006

+ asymptotic evaluation for n leaves: $\approx 0.207 \frac{n^{n-1}}{1.890^n}$

Rooted level-1 networks :

Explicit formula for n leaves, c cycles, m edges across cycles

+ asymptotic evaluation for n leaves: $\approx 0.134 2.943^n n^{n-1}$

Unrooted level-2 networks :

Explicit formula for n leaves : $(n-1)! \sum_{\substack{0 \leq s \leq q \leq p \leq k \leq i \leq n-1 \\ j = n-1-i-k-p-q-s \geq 0 \\ i \neq 0}} \binom{n+i-1}{i} \binom{4i+j-1}{j} \binom{i}{k} \binom{k}{p} \binom{p}{q} \binom{q}{s} \left(\frac{-4}{21}\right)^s \left(\frac{9}{2}\right)^i \left(\frac{23}{9}\right)^k (-1)^p \left(\frac{-21}{46}\right)^q$

number of leaves	2	3	4	5	6	7
unrooted level-1	-	2	15	192	3 450	79 740
rooted level-1	3	36	723	20 280	730 755	32 171 580
unrooted level-2	-	9	282	14 697	1 071 720	100 461 195

Thank you for your attention!

Co-authors of these results

Vincent Berry & Christophe Paul (LIRMM, Montpellier)

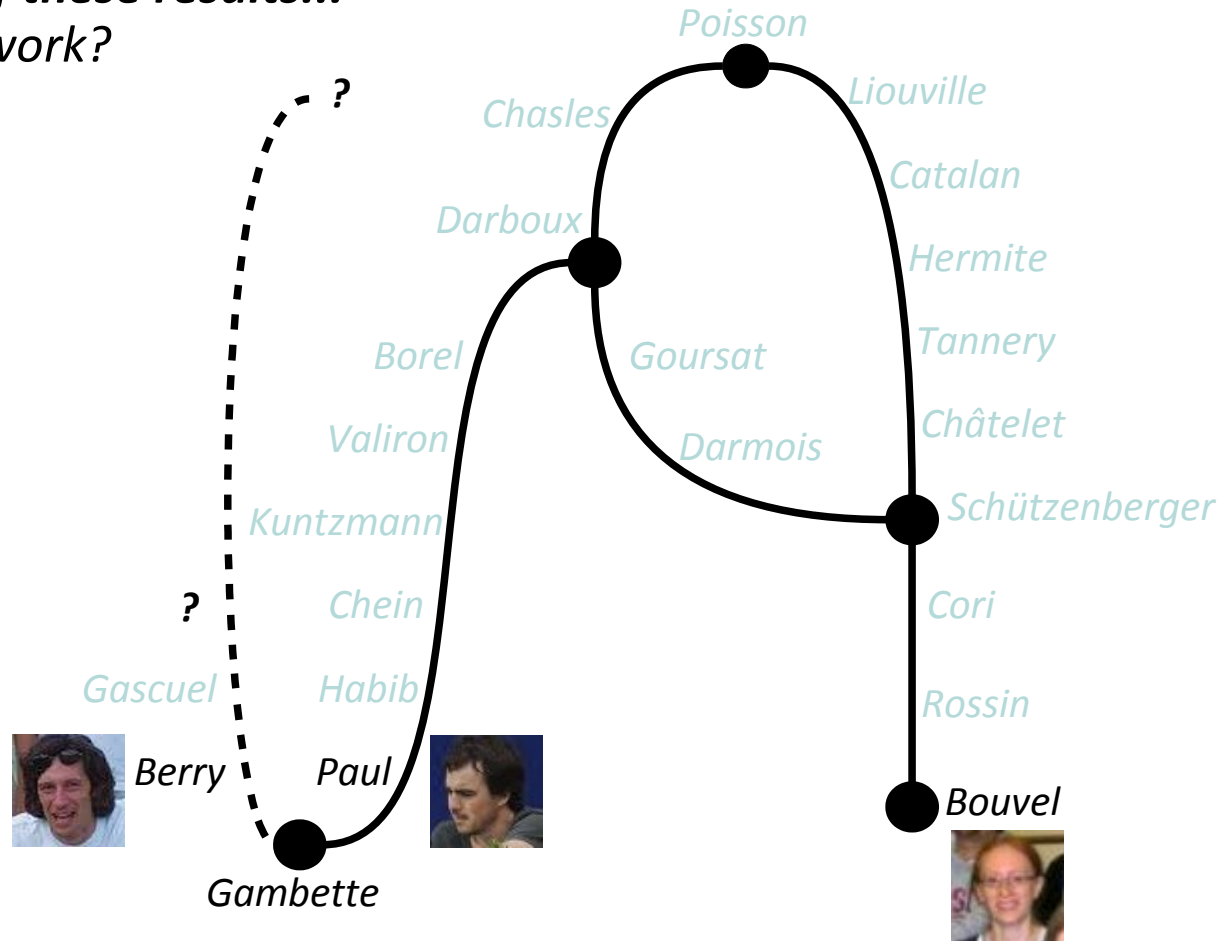
Mathilde Bouvel (LABRI, Bordeaux)

Thanks to the LABRI for their *Junior Guest* grant in April 2011!



Thank you for your attention!

*Co-authors of these results...
A level-2 network?*



Thank you for your attention!

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A level-3 network

