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Input-Sensitive Enumerations

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SGT 2018, Sète, France, 13.06.2018

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Enumerations

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Input-sensitive vs. output-sensitive enumerations

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Input-sensitive enumeration

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- We use the classical worst case running time analysis.
- If the number of objects to be enumerated is *exponential* (in the worst case), then an input-sensitive enumeration algorithm runs in *exponential* time.
- We use *exact exponential-time algorithms*, in particular *branching algorithms*.

Exercises

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Input-sensitive enumeration

An input-sensitive algorithm solving an enumeration problem

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- Can be used to solve the decision, optimization and counting versions of the problem.
- The running time of the algorithm provides an *upper bound* for the maximum number of enumerated objects.
- Moreover, the algorithm can be used to obtain better bounds.

Upper and Lower bounds

Suppose that there is a family of instances \mathcal{I} of an enumeration problem such that for every $n \in \mathbb{N}$, \mathcal{I} contains an instance I with |I| = n and the number of enumerated objects for $I \in \mathcal{I}$ is f(|I|).

Upper and Lower bounds

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Then f(n) provides an unconditional *running time lower bound* for every enumeration algorithm.

Our aim is

- Construct an enumeration algorithm with the "best" running time.
- Construct the "best" lower bound.
- Ideally, we wish to get (asymptotically) tight upper and lower bounds for running time and combinatorial bounds for the number of enumerated objects.

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Plan of the lectures

• Introduction to branching enumeration algorithms and their analysis.

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- Advanced analysis of branching algorithms; the "Measure and Conquer" technique.

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- Branch & reduce algorithms.
- Splitting algorithms

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- Branching algorithms could be simple and easy to implement.
- Typically, they use polynomial space.
- Could be efficient in practice.

Cons

- Difficult to analyze.
- Could be difficult to apply for some classes of problems.

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Maximal independent sets

A set of vertices X of a graph G is *independent* if the vertices of X are pairwise non-adjacent.

Maximal independent sets

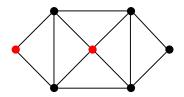
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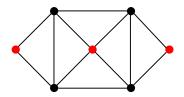
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Maximal independent sets

Problem (Maximal Independent Set Enumeration)

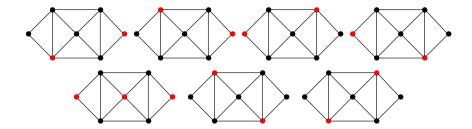
- **Input:** A graph G.
- Task: Enumerate all maximal independent sets of G.

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Enumeration of MIS

Enum MIS(G, S)

Input : A graph G, a set S of vertices already selected to be in a MIS, $S \cap V(G) = \emptyset$

Output: All sets $X \cup S$ for MIS S of G.

if $V(G) = \emptyset$ then | output *S*

else

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find a vertex v \in V(G) of minimum degree d;
call Enum MIS(G - N_G[v], S \cup \{v\});
for x \in N_G(v) do
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Call **Enum** $MIS(G, \emptyset)$ to enumerate all MIS of G.

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Correctness

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Observation: Let X be an independent set in G, then

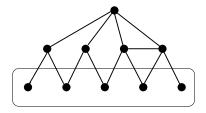
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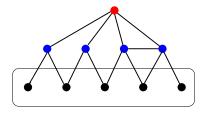
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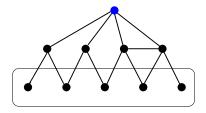
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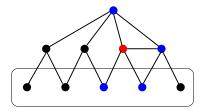
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Exercise: Give a formal inductive correctness proof.

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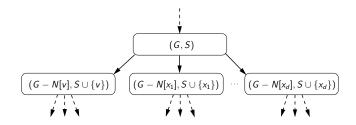
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Consider the search tree produced by the algorithm.

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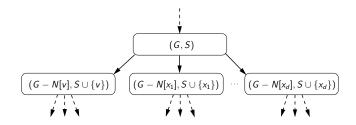
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Observe that the algorithm produced maximal independent sets in the leaves of the search tree.

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Consider G that gives the maximum number of leaves.

Let x_1, \ldots, x_d be the neighbors of v chosen by the algorithm.

$$L(n) \leq L(n - |N_G[v]|) + L(n - |N_G[x_1]|) + \ldots + L(n - |N_G[x_d]|).$$

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Recall that

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Therefore,

$$L(n) \leq (d+1)L(n-(d+1)).$$

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The recurrences:

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Exercise: Show that

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$$\begin{split} L(n) \leq & (d+1)L(n-(d+1)) \leq (d+1)3^{(n-(d+1))/3} \\ = & (d+1)3^{(d+1)/3} \cdot 3^{n/3} \leq 3^{n/3}. \end{split}$$

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We write $f(n) = O^*(g(n))$ to denote that there is a polynomial p(n) such that $f(n) \le g(n)p(n)$.

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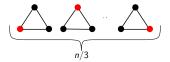
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Lower bound:



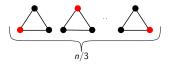
Enumeration of MIS

Theorem

Maximal independent sets of an n-vertex graph can be enumerated in time $O^*(3^{n/3})$ ($O(1.4423^n)$).

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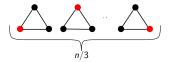
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We can enumerate MIS in time $O^*(3^{n/3})$ but cannot do it faster than $\Omega(3^{n/3})$.

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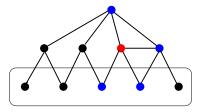
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Warning: The algorithm can produce duplicates.



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Recall that the search tree for G has at most $3^{n/3}$ leaves and the algorithm produced MIS for the leaves of the search tree.

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Let \mathcal{A} be an enumeration algorithm that lists all maximal independent sets (cliques) with polynomial delay. Then \mathcal{A} runs in time $O^*(3^{n/3})$.

Branching algorithms

Branching algorithms

Branching algorithms are recursively applied to (specially tailored) instances of a problem using *reduction* and *branching* rules.

• Reduction rules

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 - solve the problem for an instance by recursively solving t ≥ 2 (smaller) instances,
 - typically run in polynomial time (without recursive calls).

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Search trees

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Search trees

Search trees are used to illustrate, understand and analyze branching algorithms:

• *Root*: assign the input to the root.

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- *Node*: assign to each node a problem instance.
- *Child*: each instance produced by a branching rule is assigned to a child.
- *Leaf*: outputs are assigned to leaves.

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Analysis of branching algorithms

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 - if each reduction and branching rule can be done in polynomial time, then we have running time O^{*}(L(s)),
 - *L*(*s*) gives a combinatorial upper bound for the number of enumerating objects.
- *Constructing lower bounds*: family of instances with a specific lower bound on the number of enumerating objects.

Bounding the number of leaves

Let A be a recursive branching algorithm that uses a single branching rule that generates $r \ge 2$ instances of the problem.

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It is said that

$$b=(t_1,\ldots,t_r)$$

is the *branching vector* for the rule.

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Let L(s) be the maximum number of leaves of a search tree for the instances I with $\mu(I) = s$.

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Claim: p(x) has a unique positive real root λ and $L(s) = O^*(\lambda^s)$. It is said that $\lambda = \lambda(t_1, \ldots, t_r)$ is the *branching number* of *b*.

Bounding the number of leaves

Given a branching vector

$$b=(t_1,\ldots,t_r),$$

we solve the equation

$$x^t - x^{t-t_1} - \ldots - x^{t-t_r} = 0$$

for $t = \max\{t_1, \ldots, t_r\}$ and find the *branching number*

$$\lambda = \lambda(t_1,\ldots,t_r).$$

Then we obtain the bound

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Claim: If $\mu(I) \leq n$ for the inputs containing *n*-vertex graphs, then

$$L(n)=O^*(\lambda^n).$$

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Exercise: Show that $L(s) \leq \lambda^s$.

Analyzing collections of recurrences

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For **Enum** MIS(G, S), we have

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 and $\lambda_{d+1} = (d+1)^{1/(d+1)}$.

Then

$$\lambda = \max_{d \ge 0} \lambda_{d+1} = 3^{1/3}.$$

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Analyzing collections of recurrences

We consider all branching rules and construct the family of branching vectors

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Often it could be shown that $L(s) \leq \lambda^s$.

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Nevertheless, it is usually sufficient to consider few "worst" branching vectors and find

$$\lambda = \max\{\lambda(b^{(i)}) \mid b^{(i)} \in \mathcal{B}\}.$$

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Properties of branching vectors

Let $b = (t_1, ..., t_r)$, $r \ge 2$ and $t_i > 0$ for $i \in \{1, ..., r\}$.

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$$\lambda(t_1,...,t_r) > 1.$$

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Let a, b, c > 0. Then

- $\lambda(c,c) \leq \lambda(a,b)$ if c = (a+b)/2,
- $\lambda(a + \varepsilon, b \varepsilon) < \lambda(a, b)$ for 0 < a < b and $0 < \varepsilon < (b a)/2$.

Some branching numbers

Branching numbers for $b = (t_1, t_2)$:

	1	2	3	4	5	6
1	2.0000	1.6181	1.4656	1.3803	1.3248	1.2852
2	1.6181	1.4143	1.3248	1.2721	1.2366	1.2107
3	1.4656	1.3248	1.2560	1.2208	1.1939	1.1740
4	1.3803	1.2721	1.2208	1.1893	1.1674	1.1510
5	1.3248	1.2366	1.1939	1.1674	1.1487	1.1348
6	1.2852	1.2107	1.1740	1.1510	1.1348	1.1225

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Improving branching

Typical difficulty with the analysis of branching algorithms is that we get a "bad" branching rule that cannot be avoided.

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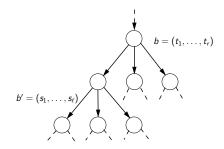
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Sometimes it is possible to combine a "bad" branching with a consecutive "good" one.

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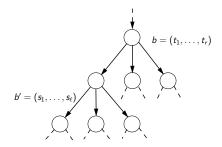
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New branching vector: $c = (t_1 + s_1, \ldots, t_1 + s_\ell, t_2, \ldots, t_r)$.

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Enumeration of minimal hitting sets

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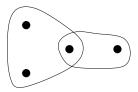
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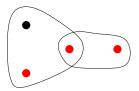
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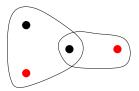
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We consider the problem for \mathcal{S} containing subsets of size at most 3.

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The measure of the instance is the size of

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Reduction rules

If *F* = ∅, then check whether X is a minimal hitting set for S and output X if it holds.

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 - Set $\mathcal{F}' = \{S \in \mathcal{F} \mid x \notin S\}$,
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Branching rule

Select $S \in \mathcal{F}$.



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Branching vectors and numbers

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- there are at most 1.8394ⁿ minimal hitting sets if S contains sets of size at most 3.

- 1. Enumerate all maximal matchings in a graph.
 - Construct an algorithm that runs in time $O^*(c^m)$ for c < 2 where *m* is the number of edges.
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- (*) Improve the running time $O(1.8394^n)$ for the enumeration of minimal hitting sets.