

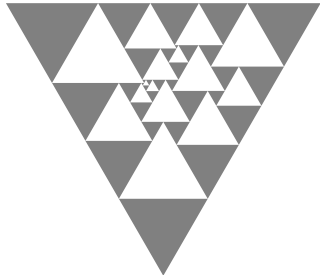
Schnyder Woods and Applications

Spring School [SGT 2018](#)

Seté, June 11-15, 2018

Stefan Felsner

Technische Universität Berlin



Outline

Introduction to Schnyder Woods

Dimension

Drawings

Triangle representations

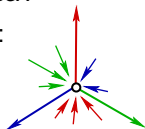
Schnyder Woods

$G = (V, E)$ a plane triangulation,

$F = \{a_1, a_2, a_3\}$ the outer triangle.

A coloring and orientation of the interior edges of G with colors 1, 2, 3 is a **Schnyder wood** of G iff

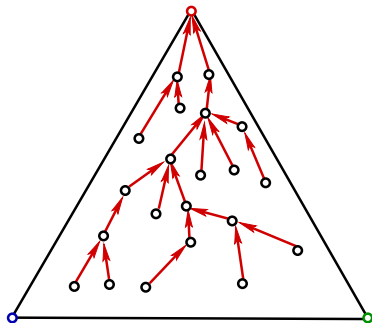
- Inner vertex condition:



- Edges $\{v, a_i\}$ are oriented $v \rightarrow a_i$ in color i .

Schnyder Woods - Trees

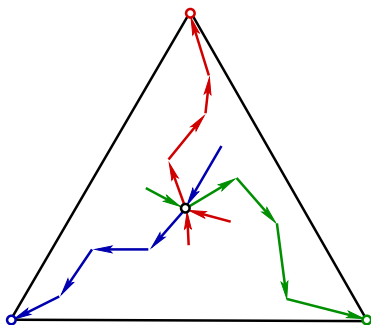
- The set T_i of edges colored i is a tree rooted at a_i .



Proof. Count edges in a cycle — Euler ⚡

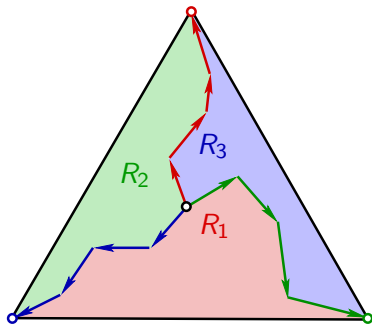
Schnyder Woods - Paths

- Paths of different color have at most one vertex in common.



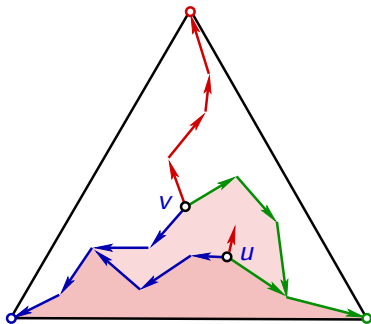
Schnyder Woods - Regions

- Every vertex has three distinguished regions.



Schnyder Woods - Regions

- If $u \in R_i(v)$ then $R_i(u) \subset R_i(v)$.



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Schnyder's Dimension Theorem

Q_i the inclusion order with respect to $R_i(\cdot)$

L_i a linear extension of Q_i

L_i^+ include edges as low in L_i as possible

$\Rightarrow L_1^+, L_2^+, L_3^+$ is a realizer for P_G .

Proof. $e = \{u, v\}$ and edge, $x \notin e$ a vertex.

We need:



Schnyder's Dimension Theorem

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We need:



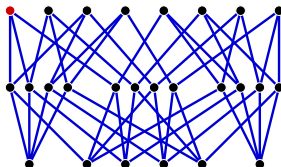
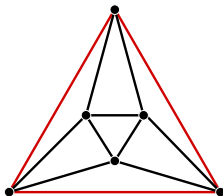
There is an i with $e \in R_i(x)$

$\implies R_i(u) \subset R_i(x)$ and $R_i(v) \subset R_i(x)$

\implies Edge e goes below x in L_i^+ .



Dimension of 3-Polytopes



Theorem [Schnyder 1989].

If G is a plane triangulation with a face F , then

- $\dim(P_{VEF}(G) \setminus F) = 3$
- $\dim(P_{VEF}(G)) = 4$

Theorem [Brightwell+Trotter 1993].

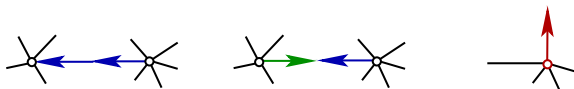
If G is a 3-connected plane graph with a face F , then

- $\dim(P_{VEF}(G) \setminus F) = 3$
- $\dim(P_{VEF}(G)) = 4$

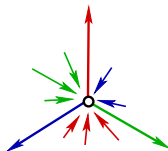
Schnyder Woods

Axioms for 3-coloring and orientation of bi-edges:

(W1 - W2) Rule of edges and half-edges:

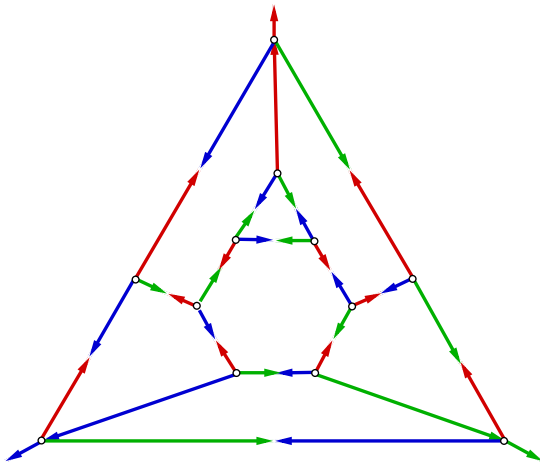


(W3) Rule of vertices:

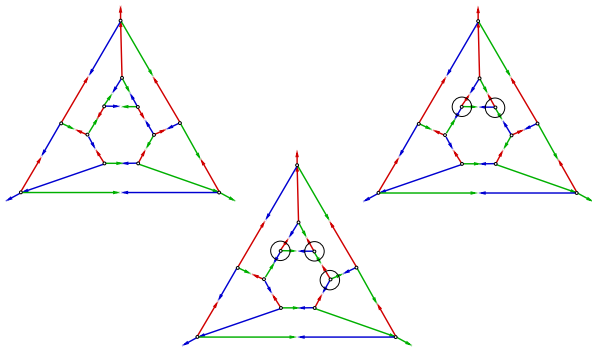


(W4) There is no interior face whose boundary is a directed cycle in one color.

We need W4!

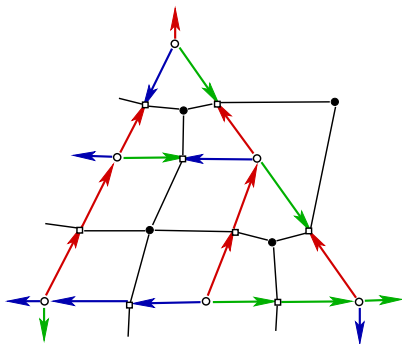


3-Orientations?



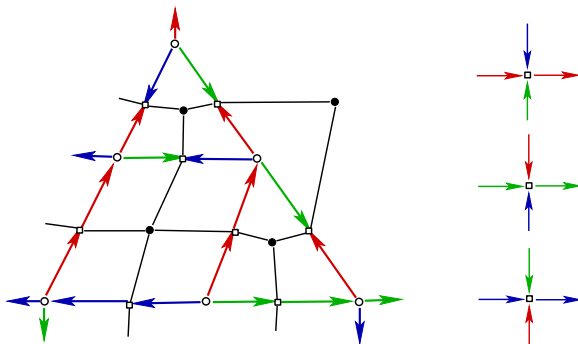
Primal and Dual

A Schnyder wood of G induces a Schnyder wood of the dual of G .



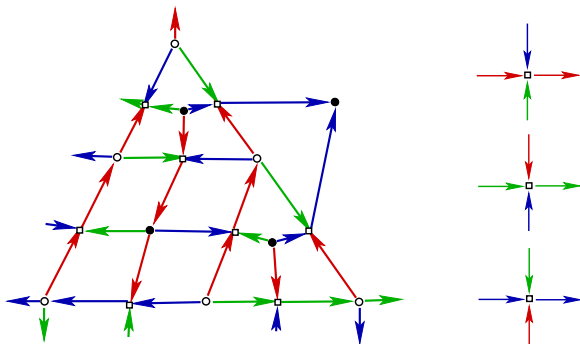
Primal and Dual

A Schnyder wood of G induces a Schnyder wood of the dual G^* .



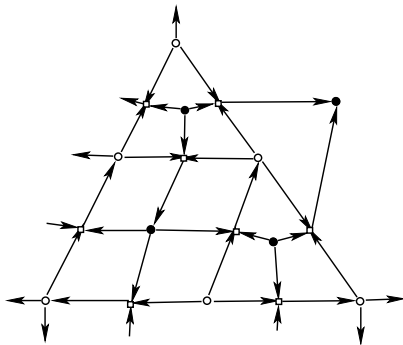
Primal and Dual

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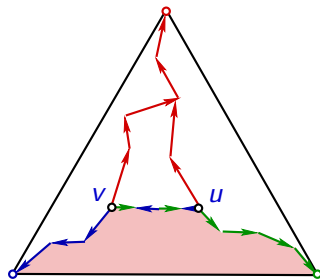
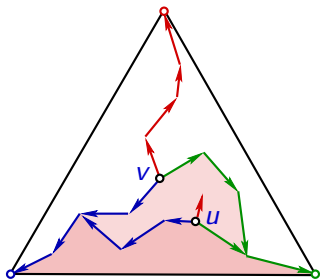
3-Orientations – Rescued

Theorem. Primal dual Schnyder woods are in bijection with primal dual 3-orientations.



Schnyder Woods - Regions

- If $u \in R_i^o(v)$ then $R_i(u) \subset R_i(v)$.
- If $u \in \partial R_i(v)$ then $R_i(u) \subseteq R_i(v)$
(equality, iff there is a bi-directed path between u and v .)



Brighwell Trotter Theorem

- P a 3-polytope with face F .
- G the graph of P with outer face F .
- S a Schnyder wood of G .

Define $<_i$ on V_P for $i = 1, 2, 3$

- (1) $u <_i v$ if $R_i(u) \subset R_i(v)$
- (2) $u <_i v$ if $R_i(u) \parallel R_i(v)$ and $R_{i+1}(u) \subset R_{i+1}(v)$.

Lemma. The relation $<_i$ is acyclic.

Theorem. L_1, L_2, L_3 is a realizer for $P_{VEF}(G) \setminus F$
(L_i a linear extension of $<_i$).

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Drawings

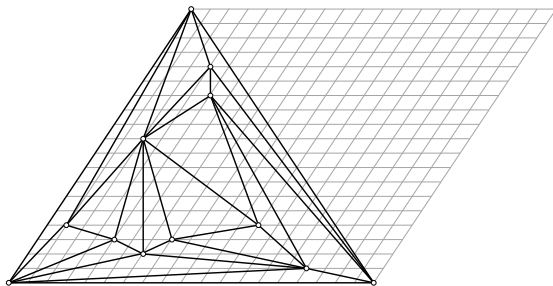
Triangle representations

Schnyder's Second Theorem

Theorem [Schnyder 1989].

A planar triangulation G admit a straight line drawing on the $(2n - 5) \times (2n - 5)$ grid.

Example.



Schnyder's Straight Line Embeddings

$\phi : \text{regions} \rightarrow \mathbb{R}^+$ is **S-good** iff

$$(1) \quad R_i(u) \subset R_i(v) \implies \phi(R_i(u)) < \phi(R_i(v))$$

$$(2) \quad \phi(R_1(v)) + \phi(R_2(v)) + \phi(R_3(v)) = C$$

Theorem. The embedding $v \rightarrow f(v) = (\phi(R_1(v)), \phi(R_2(v)))$ is a straight line embedding in the $C \times C$ square.

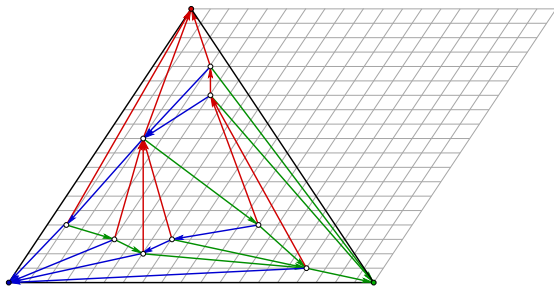
- $\{x, y\} \in E$ and $z \notin \{x, y\} \implies f(z) \notin [f(x), f(y)]$
because $x, y \in R_i(z)$ for some $i \in \{1, 2, 3\}$.

- $\{u, v\}, \{x, y\} \in E$
 $x, y \in R_i(u), x, y \in R_j(v), u, v \in R_k(x), u, v \in R_l(y)$
 $\implies i = j$ or $k = l$

Grid Embeddings – Faces

The count of faces contained in a region is S-good.

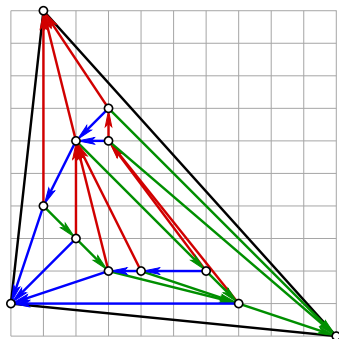
Corollary. Planar triangulations admit a straight line drawing on the $(f - 1) \times (f - 1)$ grid ($f = 2n - 4$).



Grid Embeddings – Vertices

Counting vertices in regions, including vertices on right path, excluding vertices on left path yields:

Theorem [Schnyder '90]. Planar triangulations admit a straight line drawing on the $(n-2) \times (n-2)$ grid.



We may have

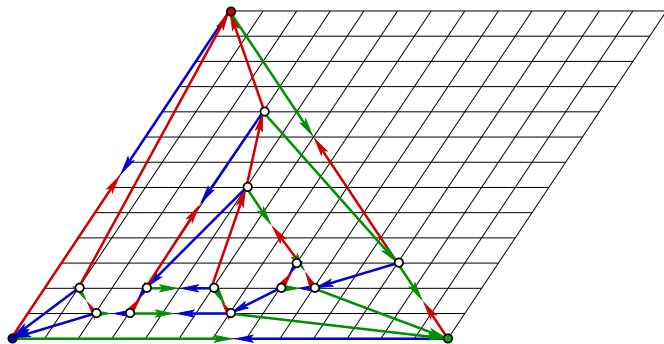
$$R_i(u) \subset R_i(v) \text{ and } \phi(R_i(u)) = \phi(R_i(v)),$$

still:

- There is no vertex on an edge.
- Edges are separated.

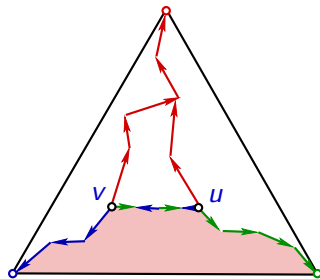
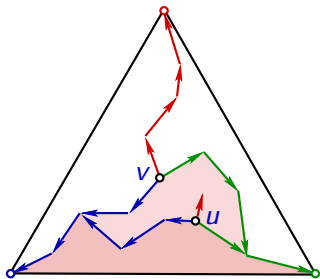
Convex Drawings of 3-Connected Plane Graphs

Theorem. 3-connected planar graphs admit convex drawings on the $(f - 1) \times (f - 1)$ grid.



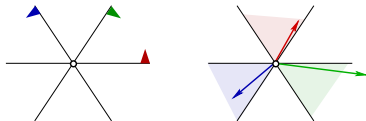
Schnyder Woods - Regions

- If $u \in R_i^o(v)$ then $R_i(u) \subset R_i(v)$.
- If $u \in \partial R_i(v)$ then $R_i(u) \subseteq R_i(v)$
(equality, iff there is a bi-directed path between u and v .)



Idea of the Proof

(1) The wedges of a vertex.



(2) No vertex on an edge.

(3) Edges are disjoint

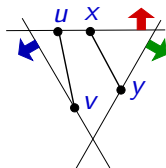
$$\{u, v\}, \{x, y\} \in E$$

$$x, y \in R_i(u), x, y \in R_j(v), u, v \in R_k(x), u, v \in R_l(y)$$

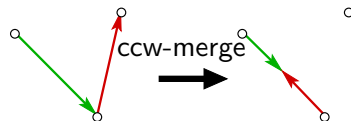
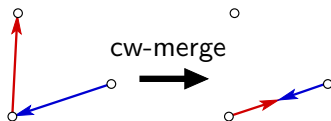
does **not** imply $i = j$ or $k = l$

The hard case:

$$x, y \in R_1(u) \text{ and } u, v \in R_1(x)$$



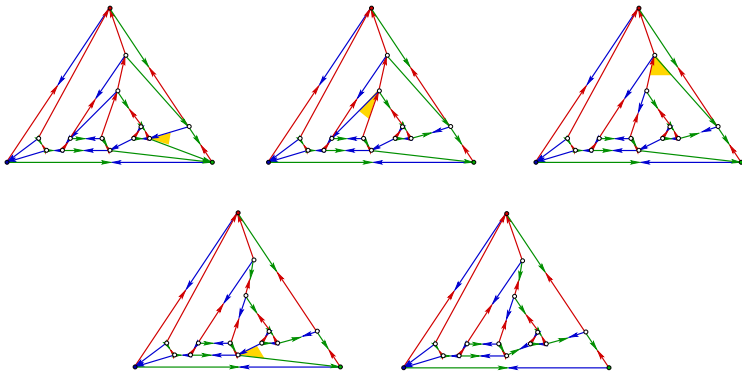
Improving the Area



Merging edges preserves the Schnyder wood properties.

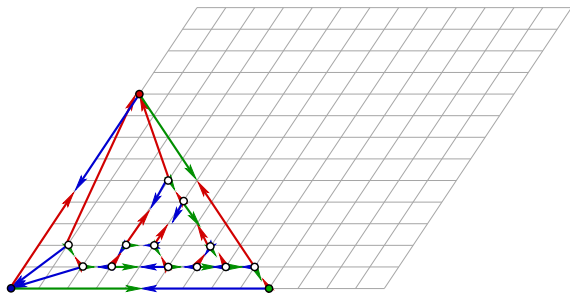
Step I: Reduction

Reduce the face count by merging edges.



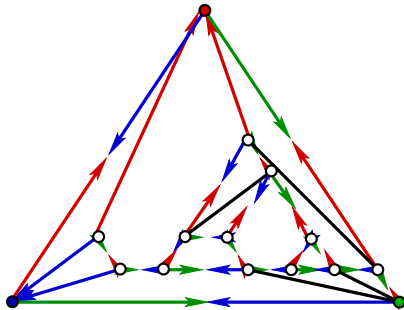
Step II: Drawing

Draw the reduced graph by counting faces on the $(f^\downarrow - 1) \times (f^\downarrow - 1)$ grid.



Step III: Drawing More

Reinsert the 'merge edges'.



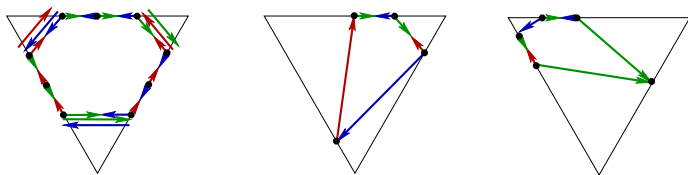
Correctness

Upon reinsertion of merge edges:

- No crossings.

(True when all merges are of same kind.)

- Convex faces.



Area Improvement with Merges

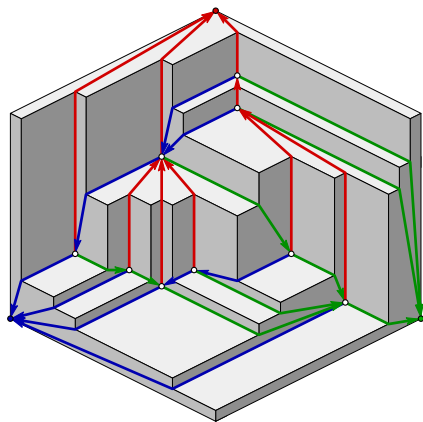
Let $\Delta_{S_{\text{Min}}}^{\ominus} \geq 0$ be the number of faces with a counterclockwise edge in each color in S_{Min} .

Theorem. A planar triangulation with n vertices has a straight line drawing on a grid of size $(n - 1 - \Delta_{S_{\text{Min}}}^{\ominus}) \times (n - 1 - \Delta_{S_{\text{Min}}}^{\ominus})$.

Theorem. A 3-connected planar graph G with n vertices has a convex drawing on a grid of size $(n - 1 - \Delta_{S_{\text{Min}}}^{\ominus}) \times (n - 1 - \Delta_{S_{\text{Min}}}^{\ominus})$.

Drawings on Orthogonal Surfaces

Using all three face count coordinates we obtain an embedding of T on an orthogonal surface.



Orthogonal Surfaces and Dimension

A surface S_X is **rigid** iff

$e = (x, y) \in G_S$ and $z \neq x, y$ implies $z \not\leq e = x \vee y$.

Remark. A rigid orthogonal surface supports a unique Schnyder wood of a 3-connected planar graph.

Theorem [Miller '02].

If G is the graph of a rigid orthogonal surface and P a 3-polytope with graph G , then $\dim(\mathcal{F}_P \setminus F_\infty) = 3$.

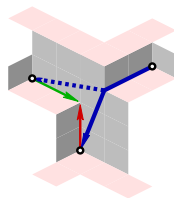
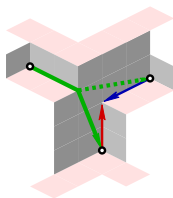
Theorem [Brightwell+Trotter '92].

If P is a 3-polytope and F is any face of P , then

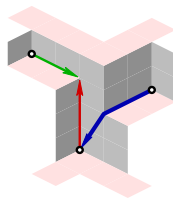
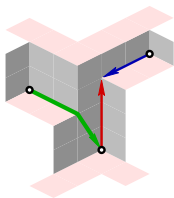
- $\dim(\mathcal{F}_P \setminus F) = 3$
- $\dim(\mathcal{F}_P) = 4$

From Graphs to Rigid Surfaces

Two types of non-rigid edges

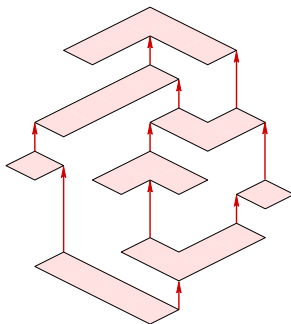
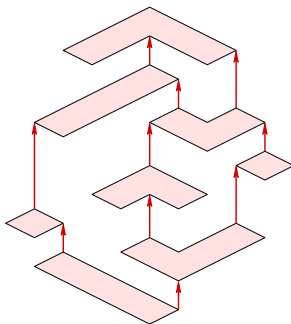


resolved by shifting red flats.



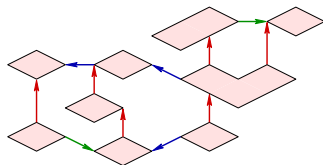
Shifting Flats

Red flats form a 2-dimensional order P_r . Compatible height functions of red flats correspond to order preserving maps $\phi : P_r \rightarrow \mathbb{R}$.



Shifting Flats

Adding arcs to prevent non-rigid edges doesn't spoil acyclicity.



Theorem. There are compatible assignments for the heights of flats of all three colors which together yield a rigid orthogonal surface supporting the original Schnyder wood.

\Rightarrow the Brightwell–Trotter Theorem.

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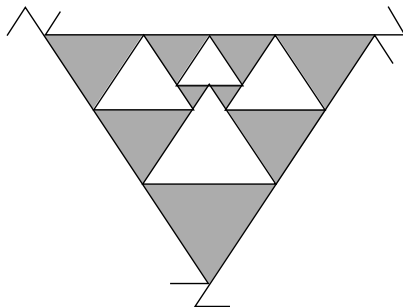
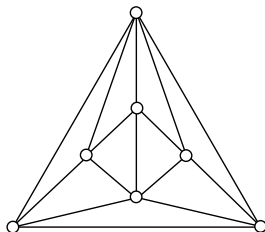
Drawings

Triangle representations

Homothetic Triangle Contact Representations

Theorem [Gonçalves, Lévêque, Pinlou (GD 2010)].

Every 4-connected triangulation has a triangle contact representation with homothetic triangles.



Triangle Contact Representations

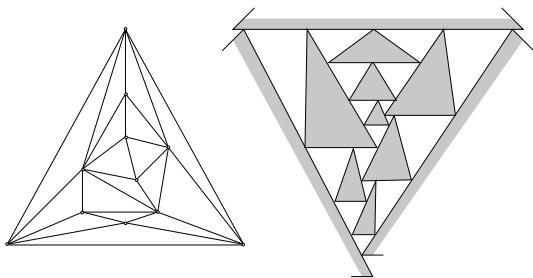
G-L-P observe that the conjecture follows from a corollary of Schramm's "Monster Packing Theorem".

Theorem. Let T be a planar triangulation with outer face $\{a, b, c\}$ and let C be a simple closed curve partitioned into arcs $\{P_a, P_b, P_c\}$. For each interior vertex v of T prescribe a convex set Q_v containing more than one point. Then there is a contact representation of T with homothetic copies.

Remark. In general homothetic copies of the Q_v can degenerate to a point. Gonçalves et al. show that this is impossible if T is 4-connected.

Triangle Contact Representation

de Fraysseix, de Mendez and Rosenstiehl construct triangle contact representations of triangulations.

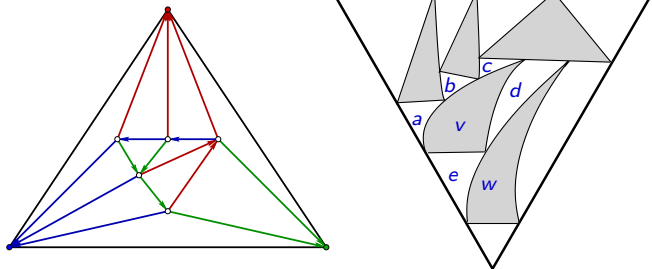


Construct along a good ordering of vertices

$$T_1 + T_2^{-1} + T_1^{-1}$$



Triangle Contacts and Equations



The abstract triangle contact representation implies equations for the sidelength:

$$x_a + x_b + x_c = x_v \text{ and } x_d = x_v \text{ and } x_e = x_v \text{ and } x_d + x_e = x_w \text{ and } \dots$$

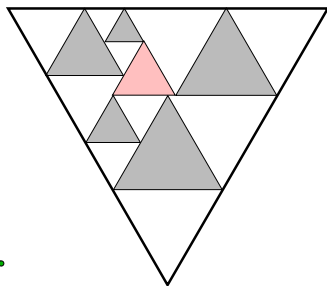
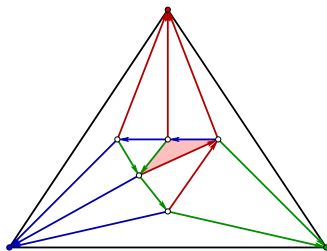
Solving the Equations

Theorem. The system of equations has a unique solution.

The proof is based on counting matchings.

In the solution some variables may be **negative**.

Still the solution yields a triangle contact representation.



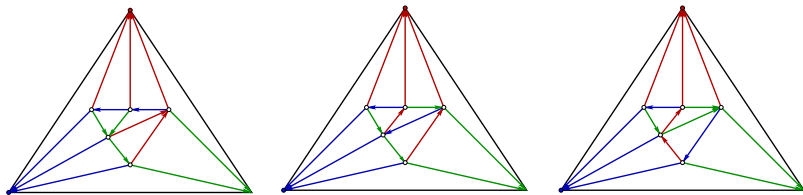
Flipping Cycles

Proposition. The boundary of a negative area is a directed cycle in the underlying Schnyder wood.

From the bijection

Schnyder woods \longleftrightarrow 3-orientations

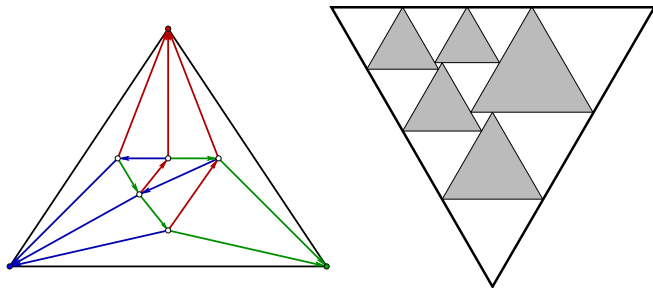
it follows that cycles can be reverted (flipped).



Resolving

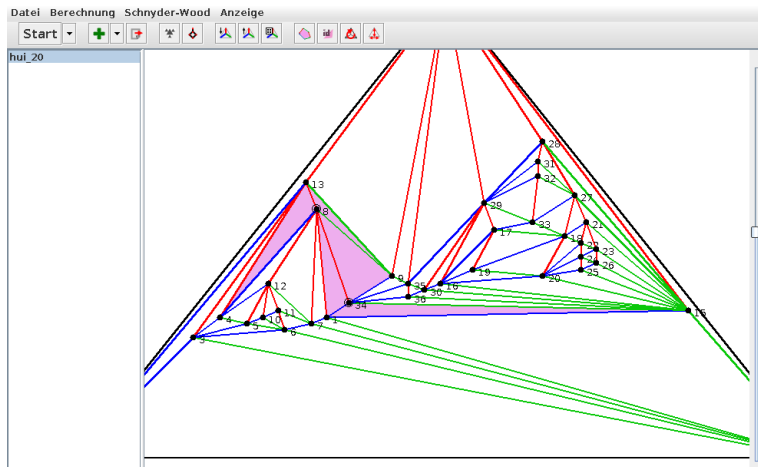
A new Schnyder wood yields new equations and a new solution.

Theorem. A negative triangle becomes positive by flipping.



More Complications

It may be necessary to flip longer cycles.



Status Report and End

- We have no proof that the process always ends with a homothetic triangle representation.

The End