

# 2-subcoloring is NP-complete for planar comparability graphs

Pascal Ochem

CNRS - LIRMM, Montpellier, France

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## Abstract

A  $k$ -subcoloring of a graph is a partition of the vertex set into at most  $k$  cluster graphs, that is, graphs with no induced  $P_3$ . 2-subcoloring is known to be NP-complete for comparability graphs and three subclasses of planar graphs, namely triangle-free planar graphs with maximum degree 4, planar perfect graphs with maximum degree 4, and planar graphs with girth 5. We show that 2-subcoloring is also NP-complete for planar comparability graphs with maximum degree 4.

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## 1. Introduction

A  $k$ -subcoloring of a graph is a partition of the vertex set into at most  $k$  cluster graphs, that is, graphs with no induced  $P_3$ . Unlike  $k$ -coloring,  $k$ -subcoloring is already NP-complete for  $k = 2$ :

**Theorem 1.** *2-subcoloring is NP-complete for the following classes:*

$c_1$ :  $(K_4, \text{bull}, \text{house}, \text{butterfly}, \text{gem}, \text{odd-hole})$ -free graphs with maximum degree 5 [1],

$c_2$ : triangle-free planar graphs with maximum degree 4 [2, 3],

$c_3$ :  $(K_{1,3}, K_4, K_4^-, C_4, \text{odd-hole})$ -free planar graphs [4],

$c_4$ : planar graphs with girth 5 [7].

We refer to [5] for the description of the forbidden induced subgraphs. A graph  $G$  is  $(d_1, \dots, d_k)$ -colorable if the vertex set of  $G$  can be partitioned into subsets  $V_1, \dots, V_k$  such that the graph induced by the vertices of  $V_i$  has maximum degree at most  $d_i$  for every  $1 \leq i \leq k$ . Notice that every  $(1, 1)$ -colorable graph is 2-subcolorable. Moreover, on triangle-free graphs,  $(1, 1)$ -colorable is equivalent to 2-subcolorable. As it is well known, for every  $a, b \geq 0$ , every graph with maximum degree  $a + b + 1$  is  $(a, b)$ -colorable [6]. Thus, every graph with maximum degree 3 is 2-subcolorable, so that the degree bound of 4 in the classes  $c_2$  and  $c_3$  is best possible. Notice that the graphs in  $c_1$  are comparability graphs since they are (bull, house, odd-hole)-free [5].

A natural question is whether 2-subcolorability is NP-complete for the intersection of two classes in Theorem 1. Except maybe for  $c_2 \cap c_4$ , that is, planar graphs with girth 5 and maximum degree 4, all other intersections contain only 2-subcolorable graphs:

- Graphs in  $c_1$  and  $c_3$  are odd-hole-free and graphs in  $c_2$  and  $c_4$  are triangle-free. So graphs in  $c_1 \cap c_2$ ,  $c_1 \cap c_4$ ,  $c_2 \cap c_3$ , and  $c_3 \cap c_4$  are bipartite.
- A graph  $G$  in  $c_1 \cap c_3$  is  $(K_{1,3}, K_4, K_4^-, \text{butterfly})$ -free. So, the neighborhood of every vertex in  $G$  is  $(3K_1, K_3, P_3, 2K_2)$ -free. Thus, the maximum degree of  $G$  is at most 3.

Our result restricts the class  $c_1$  to planar graphs and lowers the maximum degree from 5 to 4.

**Theorem 2.** *Let  $\mathcal{G}$  denote the class of  $(K_4, \text{bull}, \text{house}, \text{butterfly}, \text{gem}, \text{odd-hole})$ -free planar graphs with maximum degree 4. 2-subcoloring is NP-complete for  $\mathcal{G}$ .*

## 2. Main result

We reduce the problem of deciding whether a triangle-free planar graph with maximum degree 4 is  $(1, 1)$ -colorable. As already mentioned, this is equivalent to decide whether such a graph is 2-subcolorable, which is NP-complete by the case of the class  $c_2$  in Theorem 1 [2, 3]. From a graph  $G$  in  $c_2$ , we construct a graph  $G'$  in  $\mathcal{G}$ . Every vertex  $v$  of  $G$  is replaced by a copy  $H_v$  of the vertex gadget  $H$  depicted in Figure 1. The six vertices labeled  $a_{i,j}$  in  $H$  are called *ports*. For every edge  $uv$  of  $G$ , we use two copies of the edge gadget  $E$  depicted in Figure 2 to connect  $H_u$  and  $H_v$  as follows:

- We identify the two vertices of degree 1 of one copy of  $E$  with the port  $a_{p,0}$  of  $H_u$  and the port  $a_{q,1}$  of  $H_v$ , with  $0 \leq p \leq 3$  and  $0 \leq q \leq 3$ .
- We identify the two vertices of degree 1 of the other copy of  $E$  with the port  $a_{p,1}$  of  $H_u$  and the port  $a_{q,0}$  of  $H_v$ .

It is easy to check that  $G'$  can be made planar. Both  $E$  and  $H$  have maximum degree 4. The port corresponding to  $a_{0,0}$  and  $a_{0,1}$  has degree 2 in  $H$  and is connected to at most two edge gadgets, thus its degree in  $G'$  is at most 4. The port corresponding to  $a_{1,0}$  has degree 3 in  $H$  and is connected to at most one edge gadget, thus its degree in  $G'$  is at most 4. This means that every port in  $G'$  has degree at most 4, so the maximum degree of  $G'$  is 4. Let  $S$  denote the set of vertices of  $G'$  whose neighborhood induces a  $P_3$ . Then  $G' \setminus S$  is a bipartite graph such that all the ports of the vertex gadgets belong to the same part of the bipartition. By adding back  $S$  to  $G' \setminus S$ , we create triangles and induced  $C_4$ 's, but every larger created induced cycle has the same length (and parity) as a cycle in  $G' \setminus S$ . Hence,  $G'$  is odd-hole free. Thus  $G'$  belongs to  $\mathcal{G}$ . Since  $G'$  is perfect and  $K_4$ -free,  $G'$  is expected to admit a proper 3-coloring: a 3-coloring is given by the partition into the bipartite subgraph  $G' \setminus S$  and the independent set  $S$ .

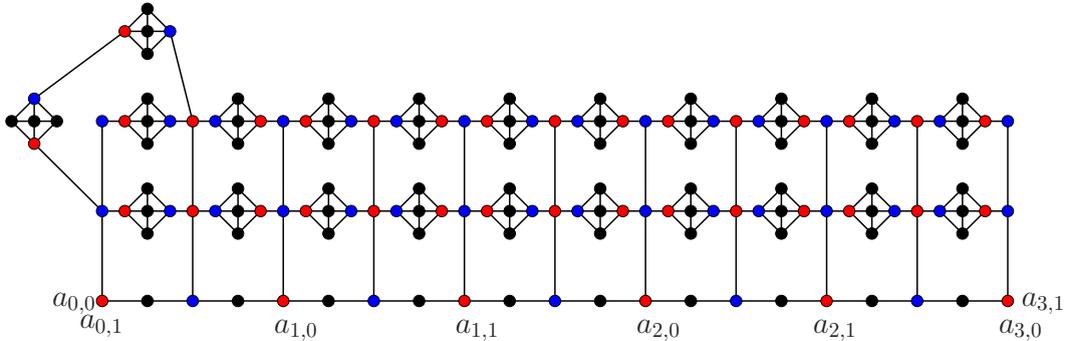


Figure 1: The vertex gadget  $H$ .

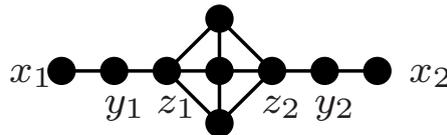


Figure 2: The edge gadget  $E$ .

Let us show that  $G'$  is 2-subcolorable if and only if  $G$  is  $(1, 1)$ -colorable. Given a 2-subcoloring of a graph, we say that a vertex  $p$  is *saturated* if there exists a monochromatic edge  $pq$  and is unsaturated otherwise. We will need the following properties of  $E$ :

1. In every 2-subcoloring of  $E \setminus \{x_1, x_2, y_1, y_2\}$ , the vertices  $z_1$  and  $z_2$  get distinct colors and are saturated.

2. In every 2-subcoloring of  $E \setminus \{x_1, x_2\}$ , the vertices  $y_1$  and  $y_2$  get distinct colors and are unsaturated. This follows from Property (1).
3. There exists a 2-subcoloring of  $E$  such that the vertices  $x_1$  and  $x_2$  get distinct colors and are unsaturated. Just assign to  $x_i$  the color distinct from the color of  $y_i$ .
4. In every 2-subcoloring of  $E$  such that the vertices  $x_1$  and  $x_2$  get the same color, exactly one vertex in  $\{x_1, x_2\}$  is saturated. This follows from Property (2).

The use of  $E$  and its properties were already one of the main ingredients in the reduction to the class  $c_1$  in Theorem 1 [1].

We color blue the top right vertex in  $H$ . Then we greedily color the vertices whose color is forced by Properties (1), (2), and the absence of monochromatic  $P_3$ . This gives the partial 2-subcoloring of  $H$  depicted in colors red and blue in Figure 1. The top left part of  $H$  enforces that for every port, the two adjacent vertices above the port get the same color. Notice that all the ports in  $H$  get the same color. This common color is said to be the color of  $H$ . The color of  $H_v$  corresponds to the color of  $v$  in a  $(1, 1)$ -coloring of  $G$ . Suppose that one port of  $H$  is unsaturated. This forces the color of every black vertex on the bottom horizontal path in Figure 1. Then every other port is saturated. Thus, in every 2-subcoloring of  $H$ , at most one of the ports is unsaturated.

Suppose that  $uv$  is an edge in  $G$ . Consider the 2-subcolorings of the subgraph of  $G'$  induced by  $H_u, H_v$ , and the two edge gadgets for the edge  $uv$ . If distinct colors are given to  $H_u$  and  $H_v$ , then this 2-subcoloring can be extended to the edge gadgets using property (3). Since this extension does not saturate any of the considered ports of  $H_u$  and  $H_v$ ,  $H_u$  can be connected to any number of vertex gadgets with the color distinct from the color of  $H_u$ . If the same color is given to  $H_u$  and  $H_v$ , then this 2-subcoloring can be extended using property (4). However, this coloring extension saturates the unique unsaturated port in both  $H_u$  and  $H_v$ . Thus,  $H_u$  can be connected to at most one vertex gadget with the same color as  $H_u$ .

Given a  $(1, 1)$ -coloring of  $G$ , we assign the color of every vertex  $u$  of  $G$  to the ports of  $H_u$ . If there exists a monochromatic edge  $uv$  in  $G$ , we extend the 2-subcoloring of  $H_u$  such that one port of  $H_u$  connecting  $H_u$  and  $H_v$  is unsaturated. Then we color the edge gadgets according to Property (4) in the case of a monochromatic edge and according to Property (3) otherwise.

Given a 2-subcoloring of  $G'$ , we assign the color of  $H_u$  to the vertex  $u$  in  $G$ . Since  $H_u$  can be connected to at most one vertex gadget with the same color as  $H_u$ , the obtained coloring of  $G$  is a  $(1, 1)$ -coloring.

This shows that  $G'$  is 2-subcolorable if and only if  $G$  is  $(1, 1)$ -colorable.

## References

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