

# Dynamic programming in sparse graphs

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- 1 Motivation
- 2 Graphs on surfaces
  - Preliminaries
  - Main ideas of our approach
- 3 Extension to  $H$ -minor-free graphs
- 4 Some recent results

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# Some words on parameterized complexity

- **Idea:** given an NP-hard problem, fix one parameter of the input to see if the problem gets more “tractable”.

**Example:** the size of a VERTEX COVER.

- Given a (NP-hard) problem with input of size  $n$  and a parameter  $k$ , a **fixed-parameter tractable (FPT)** algorithm runs in

$$f(k) \cdot n^{O(1)}, \text{ for some function } f.$$

**Examples:**  $k$ -VERTEX COVER,  $k$ -LONGEST PATH.

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# FPT and single-exponential algorithms

- **Courcelle's theorem (1988):**

Graph problems expressible in Monadic Second Order Logic can be solved in time  $f(k) \cdot n^{\mathcal{O}(1)}$  in graphs with  $\mathbf{tw} \leq k$ .

- **Problem:**  $f(k)$  can be huge!!! (for instance,  $f(k) = 2^{3^{3^{4^{5^{6^k}}}}}$ )

- A **single-exponential parameterized algorithm** is a FPT algo s.t.

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**Objective:**

build a framework to obtain **single-exponential algorithms** for a class of NP-hard problems in **sparse graphs**.

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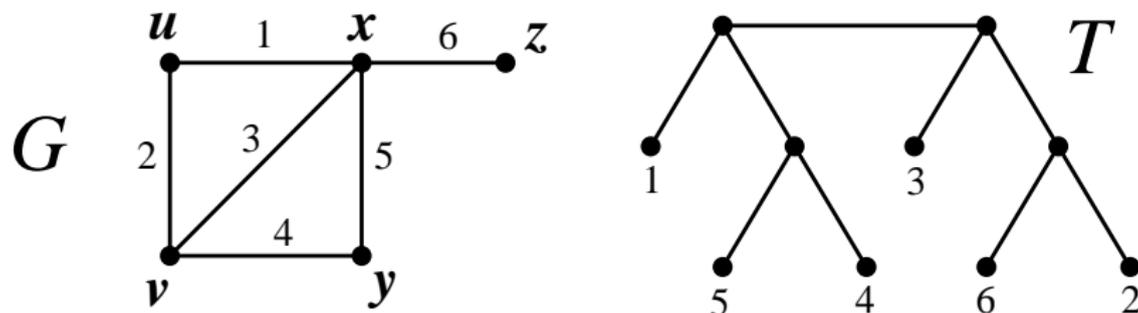
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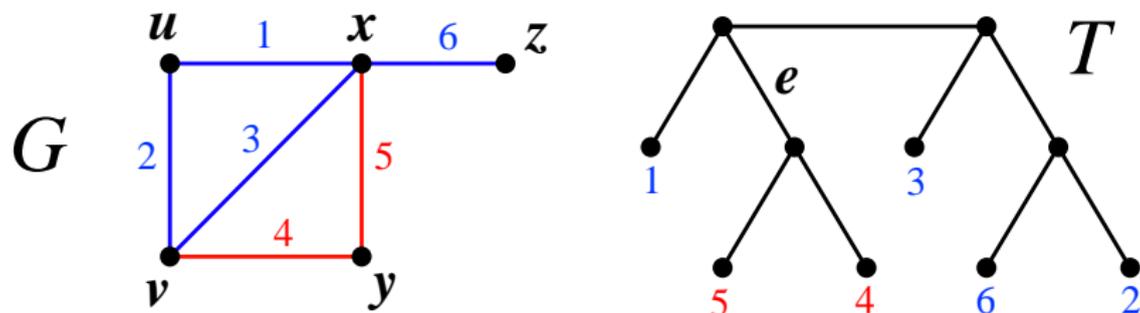
# Branch decompositions and branchwidth



A **branch decomposition** of a graph  $G$  is a pair  $(T, \mu)$ :

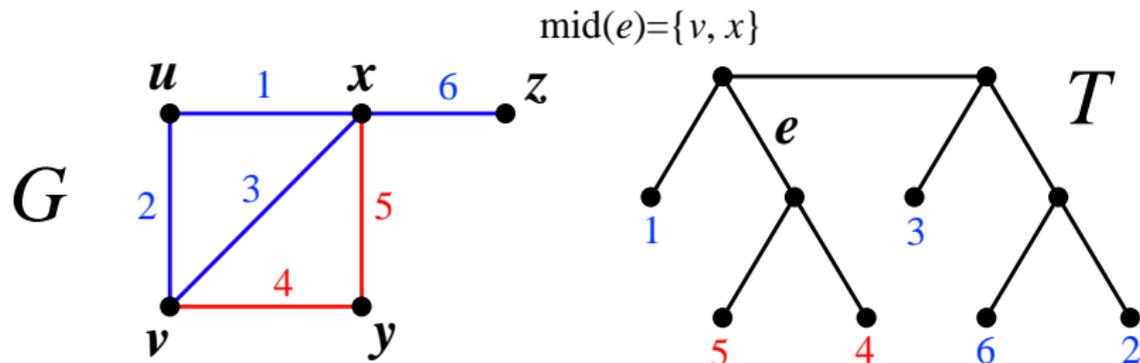
- $T$  is a tree where all internal vertices have degree 3.
- $\mu$  is a bijection between the leaves of  $T$  and  $E(G)$ .

# Branch decompositions and branchwidth



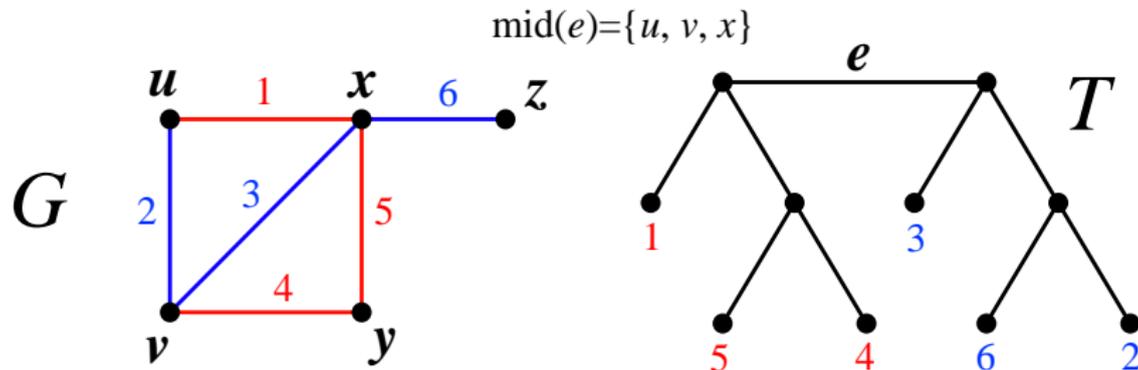
Each edge  $e \in T$  partitions  $E(G)$  into two sets  $A_e$  and  $B_e$ .

# Branch decompositions and branchwidth



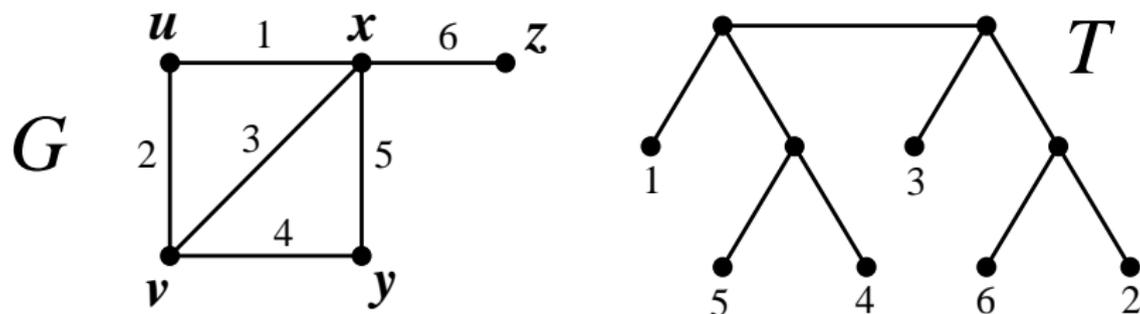
For each  $e \in E(T)$ , we define  $\text{mid}(e) = V(A_e) \cap V(B_e)$ .

# Branch decompositions and branchwidth



The **width** of  $(T, \mu)$  is  $\max_{e \in E(T)} |\text{mid}(e)|$ .

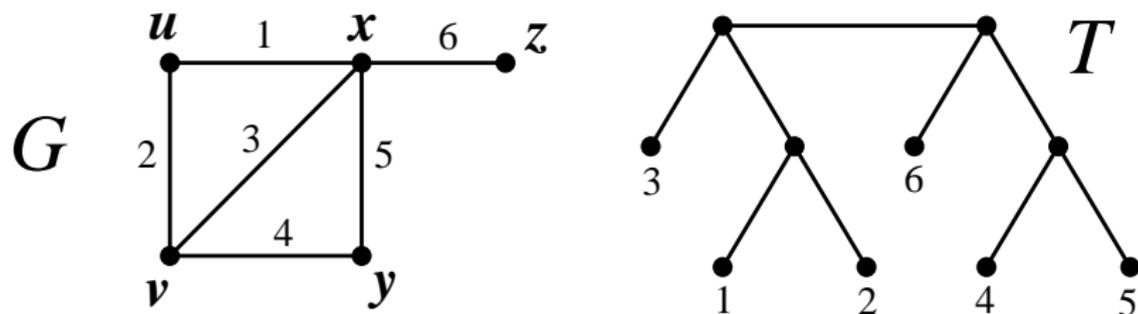
# Branch decompositions and branchwidth



The **branchwidth** of a graph  $G$ ,  $\mathbf{bw}(G)$ , is the minimum width over all branch decompositions of  $G$ :

$$\mathbf{bw}(G) = \min_{(T, \mu)} \max_{e \in E(T)} |\mathbf{mid}(e)|.$$

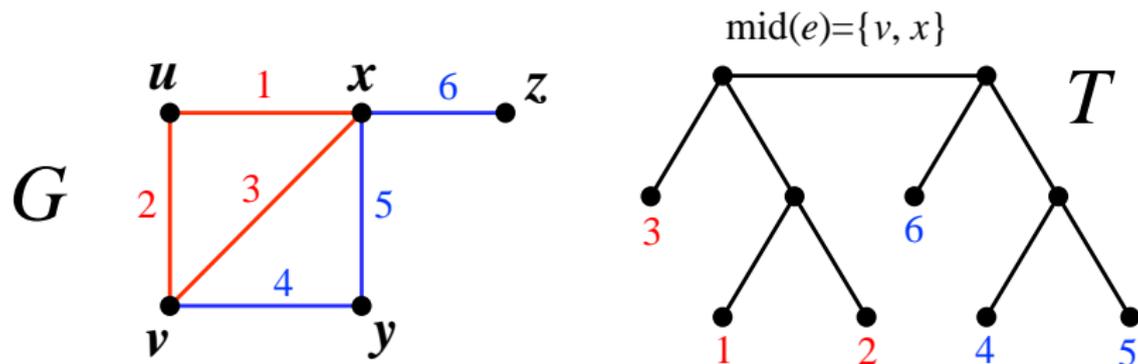
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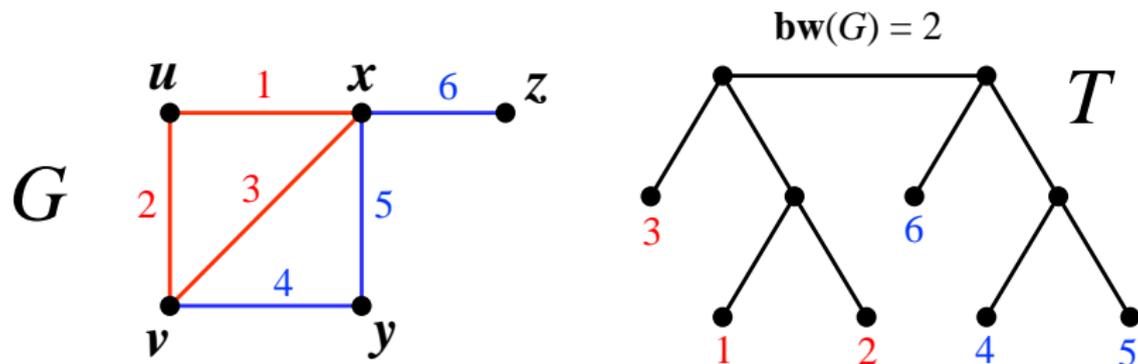
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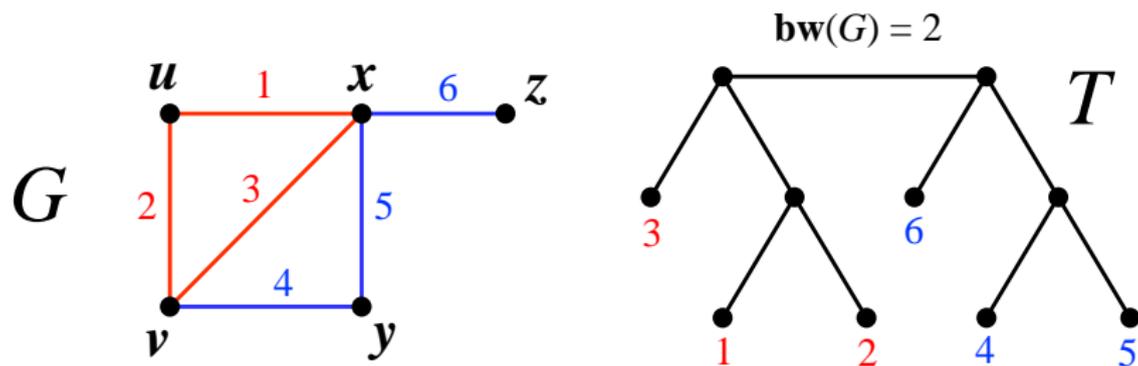
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We have the following relationship for graphs  $G$  such that  $|E(G)| \geq 3$ :

$$\text{bw}(G) \leq \text{tw}(G) + 1 \leq \frac{3}{2}\text{bw}(G).$$

[Robertson i Seymour. *JCTSB'91*]

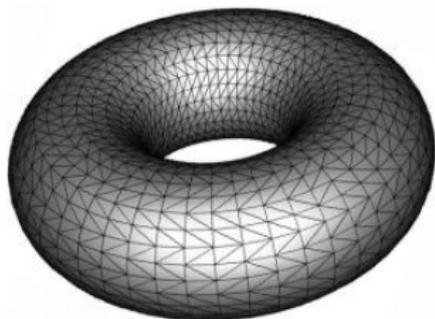
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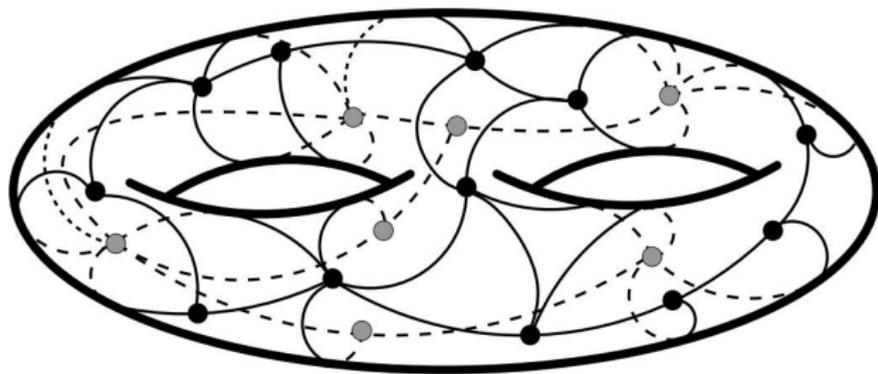
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- **SURFACE** = TOPOLOGICAL SPACE, LOCALLY “FLAT”



# Graphs on surfaces

**Embedded graph:** graph drawn on a surface, with no edge-crossings.



- The **Euler genus of a graph**  $G$ , denoted by  $\mathbf{eg}(G)$ , is the least Euler genus of the surfaces in which  $G$  can be embedded.

# Dynamic programming (DP)

- Applied in a bottom-up fashion on a rooted branch decomposition of the input graph  $G$ .
- For each graph problem, DP requires the suitable definition of **tables** encoding how potential (global) solutions are restricted to a middle set **mid**( $e$ ).
- The **size of the tables** reflects the dependence on  $|\mathbf{mid}(e)| \leq k$  in the **running time** of the DP.
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# A classification of graph optimization problems

How can we **certIFICATE a solution** in a middle set  $\text{mid}(e)$ ?

- 1 A subset of vertices of  $\text{mid}(e)$  (not restricted by some global condition).  
**Examples:** VERTEX COVER, DOMINATING SET.  
The size of the tables is bounded by  $2^{O(k)}$ .
- 2 A *connected pairing* of vertices of  $\text{mid}(e)$ .  
**Examples:** LONGEST PATH, CYCLE PACKING, HAMILTONIAN CYCLE.  
The # of pairings in a set of  $k$  elements is  $k^{\Theta(k)} = 2^{\Theta(k \log k)}$  ...  
OK for planar graphs [Dorn, Penninx, Bodlaender, Fomin, ESA'05];  
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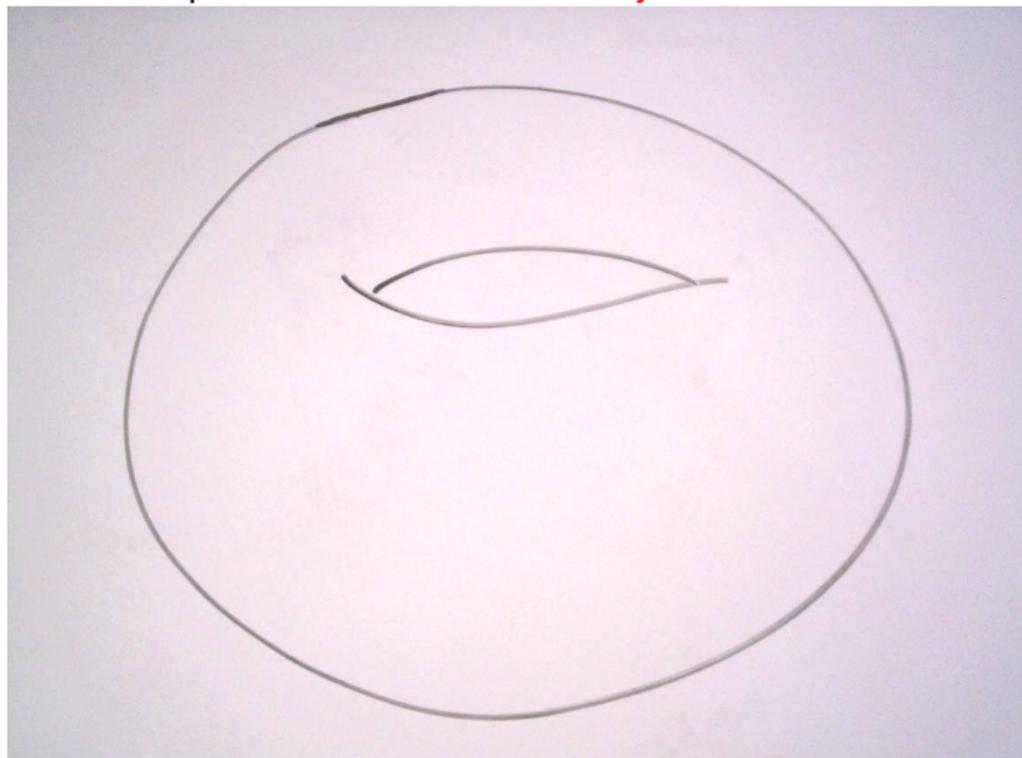
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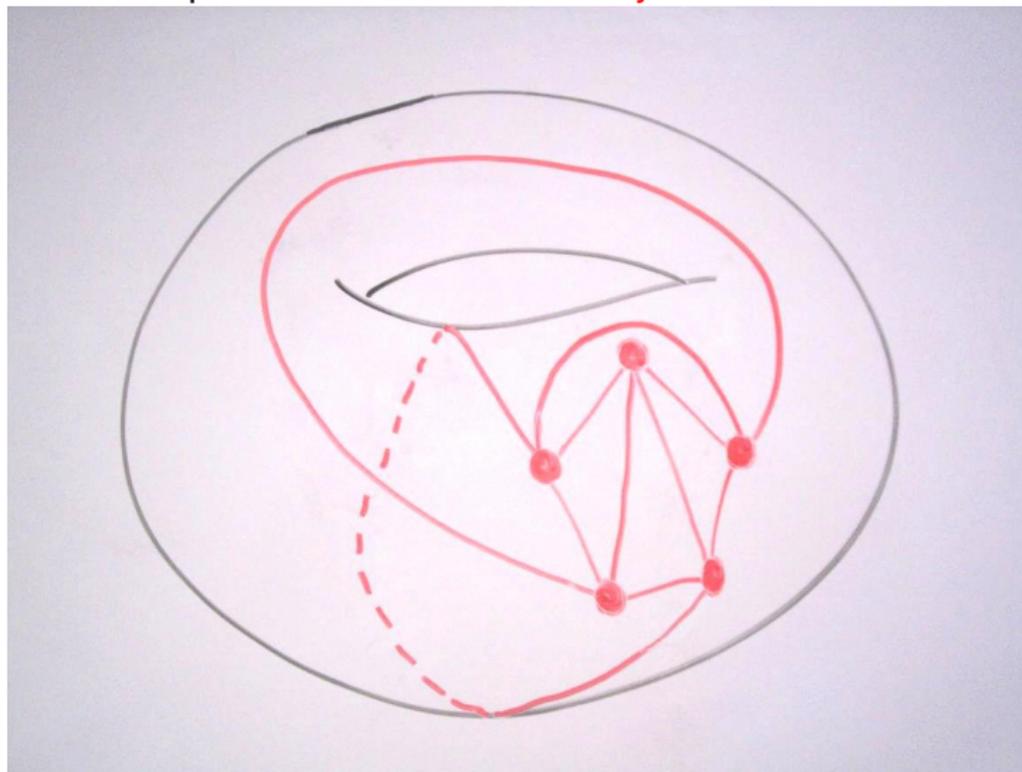
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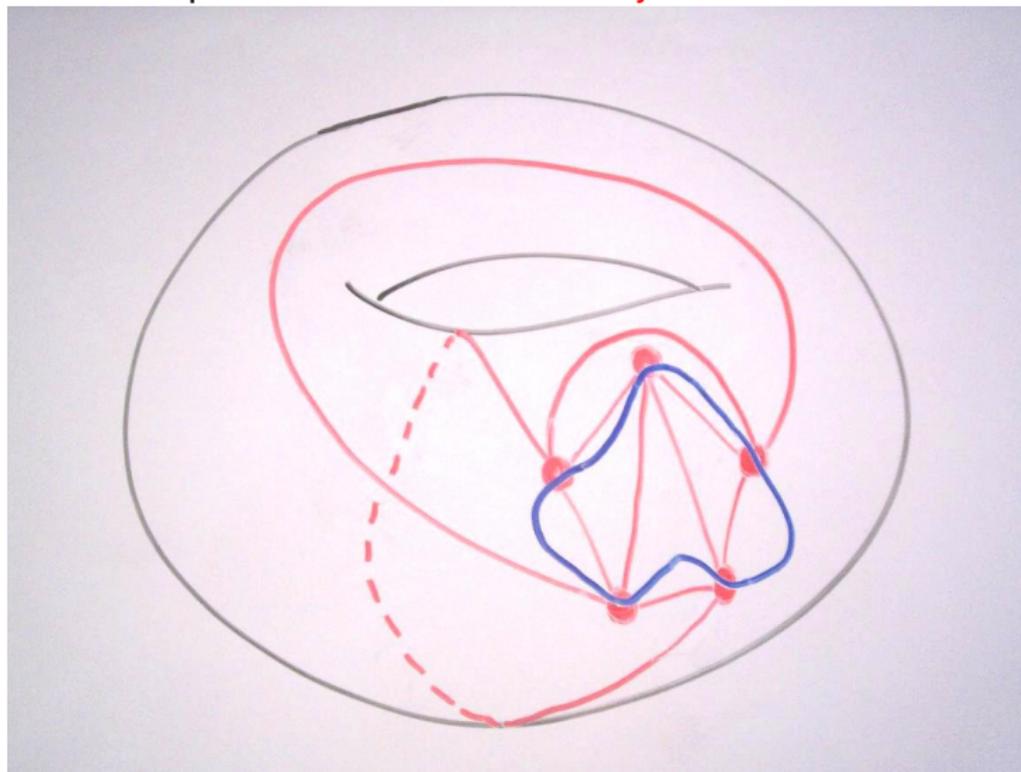
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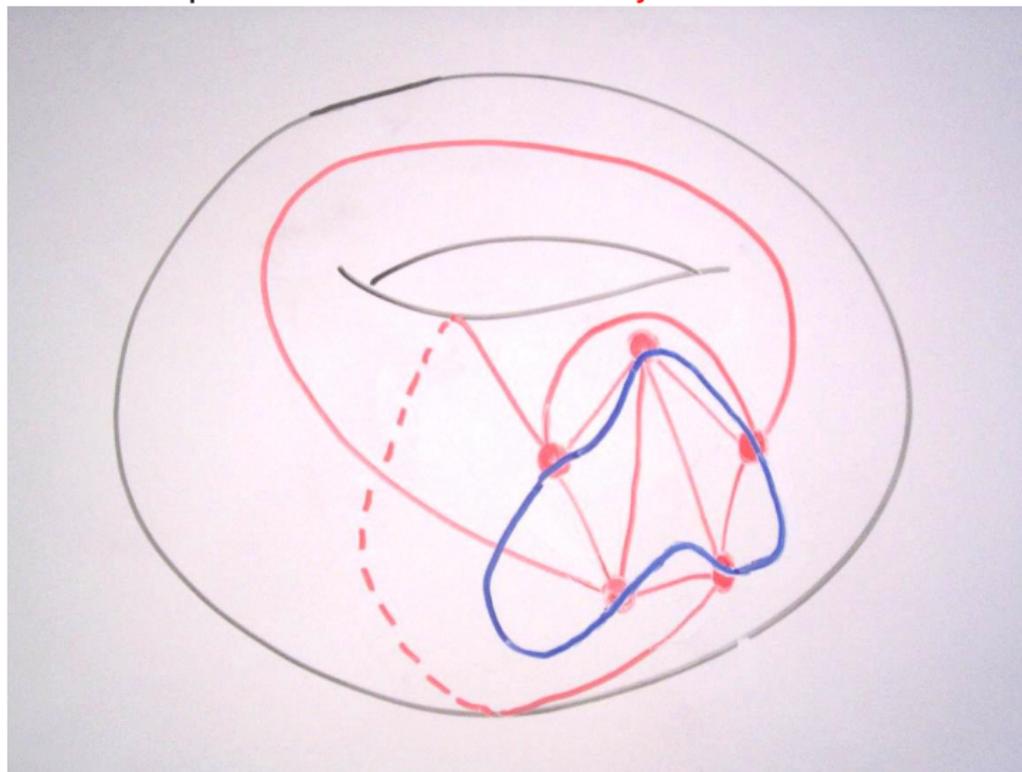
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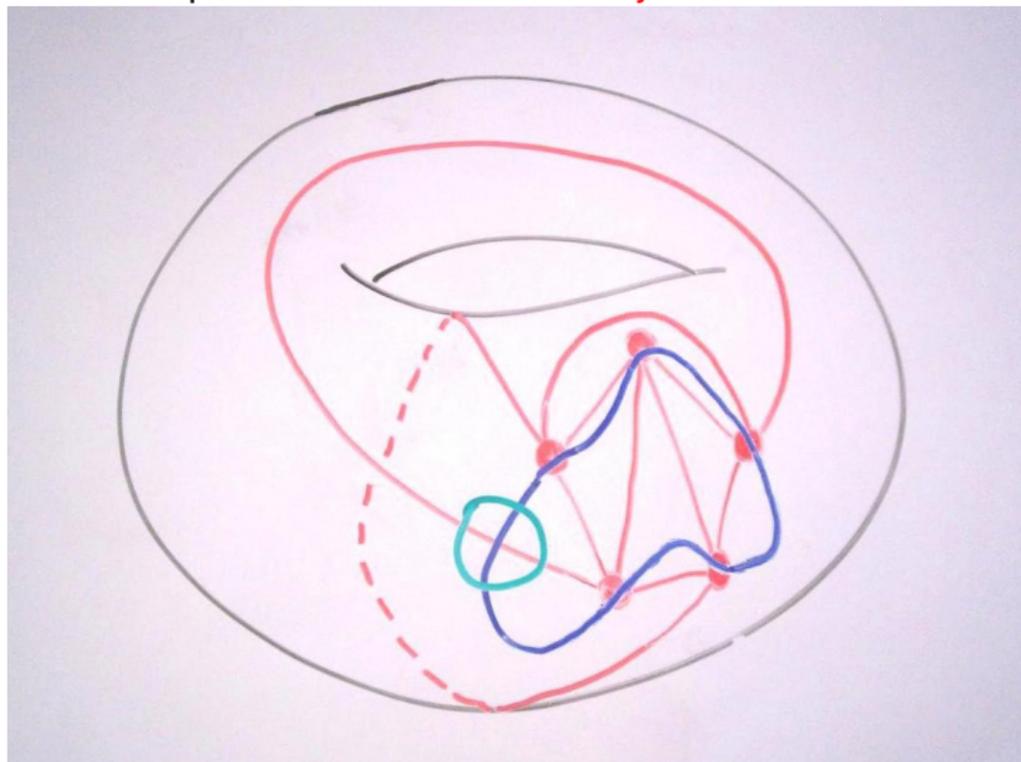
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# Sphere cut decompositions

Key idea for planar graphs [Dorn *et al.* *ESA'05*]:

- **Sphere cut decomposition**: Branch decomposition where the vertices in each **mid**(*e*) are situated around a **noose**.  
[Seymour and Thomas. *Combinatorica'94*]
- Recall that the **size of the tables** of a DP algorithm depends on how many ways a partial solution can intersect **mid**(*e*).
- In how many ways can we draw **polygons** inside a **circle** such that they touch the circle only on its ***k*** vertices and they **do not intersect**?
- Exactly the number of **non-crossing partitions** over ***k*** elements, which is given by the ***k***-th **Catalan number**:

$$CN(k) = \frac{1}{k+1} \binom{2k}{k} \sim \frac{4^k}{\sqrt{\pi k^{3/2}}} \approx 4^k.$$

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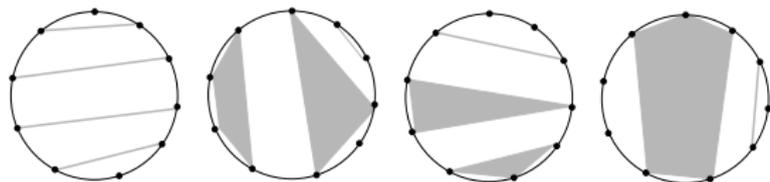
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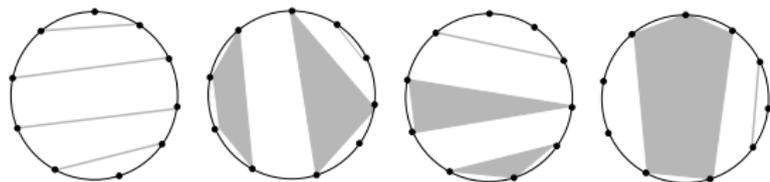
- Exactly the number of **non-crossing partitions** over  $k$  elements, which is given by the  $k$ -th **Catalan number**:

$$\text{CN}(k) = \frac{1}{k+1} \binom{2k}{k} \sim \frac{4^k}{\sqrt{\pi k^{3/2}}} \approx 4^k.$$

# Sphere cut decompositions

Key idea for planar graphs [Dorn *et al.* *ESA'05*]:

- **Sphere cut decomposition**: Branch decomposition where the vertices in each  $\mathbf{mid}(e)$  are situated around a **noose**.  
[Seymour and Thomas. *Combinatorica'94*]
- Recall that the **size of the tables** of a DP algorithm depends on how many ways a partial solution can intersect  $\mathbf{mid}(e)$ .
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# “Old” idea for graphs on surfaces

Key idea for graphs on surfaces [Dorn *et al.* SWAT'06]:

- Perform a **planarization** of the input graph by splitting the potential solutions into a number of pieces depending on the surface.
- Then, apply the **sphere cut decomposition technique** to a more complicated version of the problem where the number of pairings is still bounded by some **Catalan number**.
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Our approach is based on a new type of branch decomposition, called **surface cut decomposition**.

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- That is, we exploit directly the combinatorial structure of the potential solutions in the surface (**without planarization**).
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Let  $G$  be a graph embedded in a surface  $\Sigma$ , with  $\mathbf{eg}(\Sigma) = \mathbf{g}$ .

A **surface cut decomposition** of  $G$  is a branch decomposition  $(T, \mu)$  of  $G$  and a subset  $A \subseteq V(G)$ , with  $|A| = \mathcal{O}(\mathbf{g})$ , s.t. for all  $e \in E(T)$

- either  $|\mathbf{mid}(e) \setminus A| \leq 2$ ,
- or
  - ★ the vertices in  $\mathbf{mid}(e) \setminus A$  are contained in a set  $\mathcal{N}$  of  $\mathcal{O}(\mathbf{g})$  **nooses**;
  - ★ these nooses intersect in  $\mathcal{O}(\mathbf{g})$  vertices;
  - ★  $\Sigma \setminus \bigcup_{N \in \mathcal{N}} N$  contains **exactly two connected components**.

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# Main results

- 1 Surface cut decompositions can be **efficiently computed**:

## Theorem (Rué, Thilikos, and S.)

Given a  $G$  on  $n$  vertices embedded in a surface of Euler genus  $g$ , with  $\text{bw}(G) \leq k$ , one can construct in  $2^{3k + \mathcal{O}(\log k)} \cdot n^3$  time a **surface cut decomposition**  $(T, \mu)$  of  $G$  of width at most  $27k + \mathcal{O}(g)$ .

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# How to use this framework?

- We presented a framework for the design of DP algorithms on **surface-embedded** graphs running in time  $2^{\mathcal{O}(k)} \cdot n$ .
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  - 1 Let **P** be a **connected packing-encodable** problem on a surface-embedded graph  $G$ .
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# Next section is...

- 1 Motivation
- 2 Graphs on surfaces
  - Preliminaries
  - Main ideas of our approach
- 3 Extension to  $H$ -minor-free graphs**
- 4 Some recent results

# Structure of minor-free graphs

- **Idea:** use the structure of  $H$ -minor-free graphs.
- Some (simplified) preliminaries:
  - **$h$ -clique-sum** of two graphs  $G_1$  and  $G_2$ :  
choose cliques  $K_1 \subseteq G_1$  and  $K_2 \subseteq G_2$  with  $|V(K_1)| = |V(K_2)| = h$ , identify them, and possibly remove some edges of that clique.
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add a vertex with any neighbors in the embedded graph.
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paste a graph of pathwidth at most  $h$  in a face of the embedding.
- **Structure Theorem [Robertson and Seymour (1983-2012)]:**  
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# Extension to $H$ -minor-free graphs

- **Strategy:** use an extension of **surface cut decomposition** in each almost-embeddable graph, and then **merge** them.
- The **clique-sums** and the **apices** are “easy” to deal with, but the **vortices** are more complicated...
- We can capture their combinatorial behavior with  **$h$ -triangulations**: partition in the disk in which no subset of  $h + 1$  blocks pairwise intersect. (*A non-crossing partition is a 1-triangulation.*)
- It is known that the # of  $h$ -triangulations on  $k$  elements satisfies

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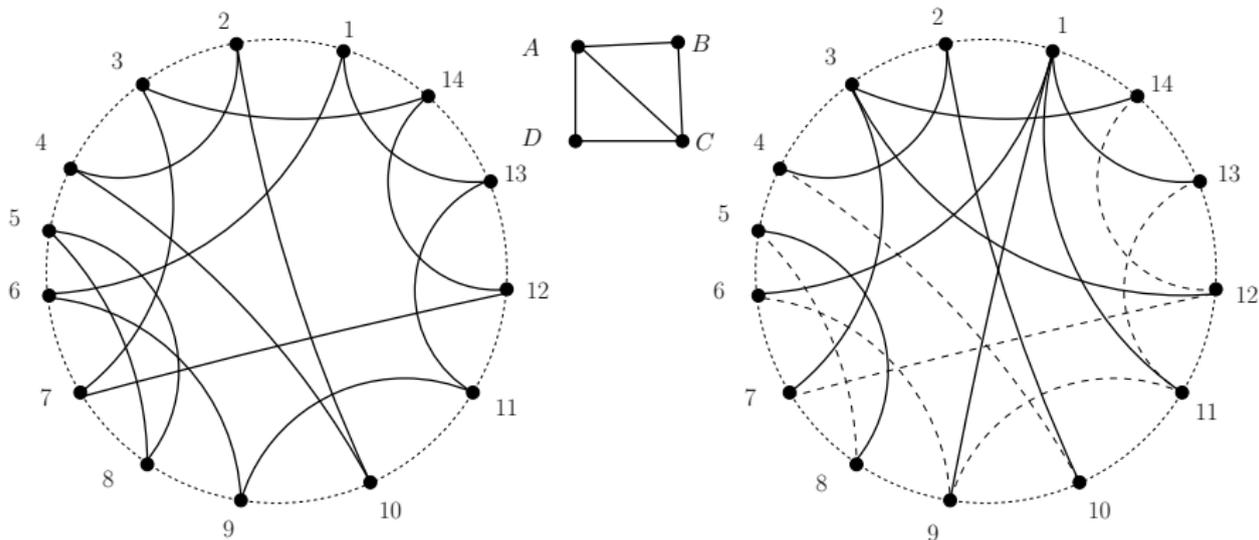
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# Example of a 3-triangulation

A **3-triangulation** of the disc  $\mathbb{D}_{14}$  with four blocks  $A = \{1, 6, 9, 11, 13\}$ ,  $B = \{2, 4, 10\}$ ,  $C = \{3, 7, 12, 14\}$ , and  $D = \{5, 8\}$ .



A partition is an  **$h$ -triangulation** iff its incidence graph has **clique size  $\leq h$** .

# $H$ -minor-free cut decompositions

- In order to define  $H$ -minor-free cut decompositions, we first need a **suitable version of the Robertson & Seymour Structure Theorem**, in which every  $h$ -almost-embeddable piece is embedded in a **polyhedral** way: it is **3-vertex-connected**, and the **shortest non-contractible noose has length  $\geq 3$** .
- Then,  $H$ -minor-free cut decompositions are defined in the “natural” way (quite technical)...
- We just give some **intuition** about how to deal with the **vortices**.
- **Connected packing**: collection of vertex-disjoint connected subgraphs of the input graph. We are interested in their intersection with the middle sets.

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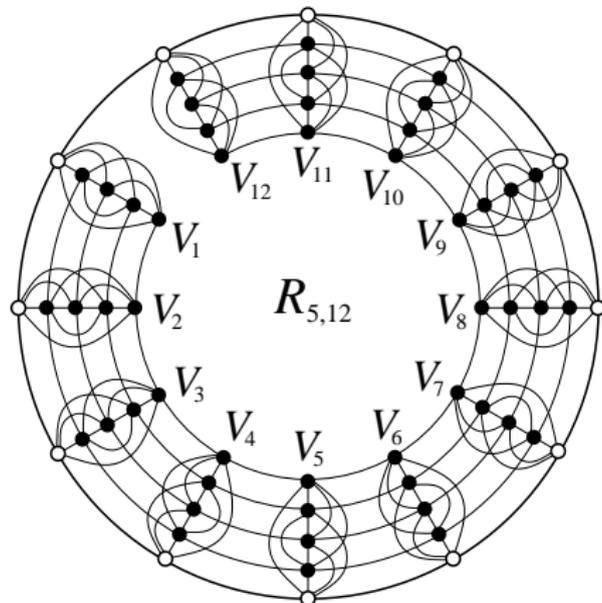
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# Vortex patterns

**Vortex of depth  $h$**  in an embedded graph:  
paste a graph of pathwidth at most  $h$  in a face of the embedding.

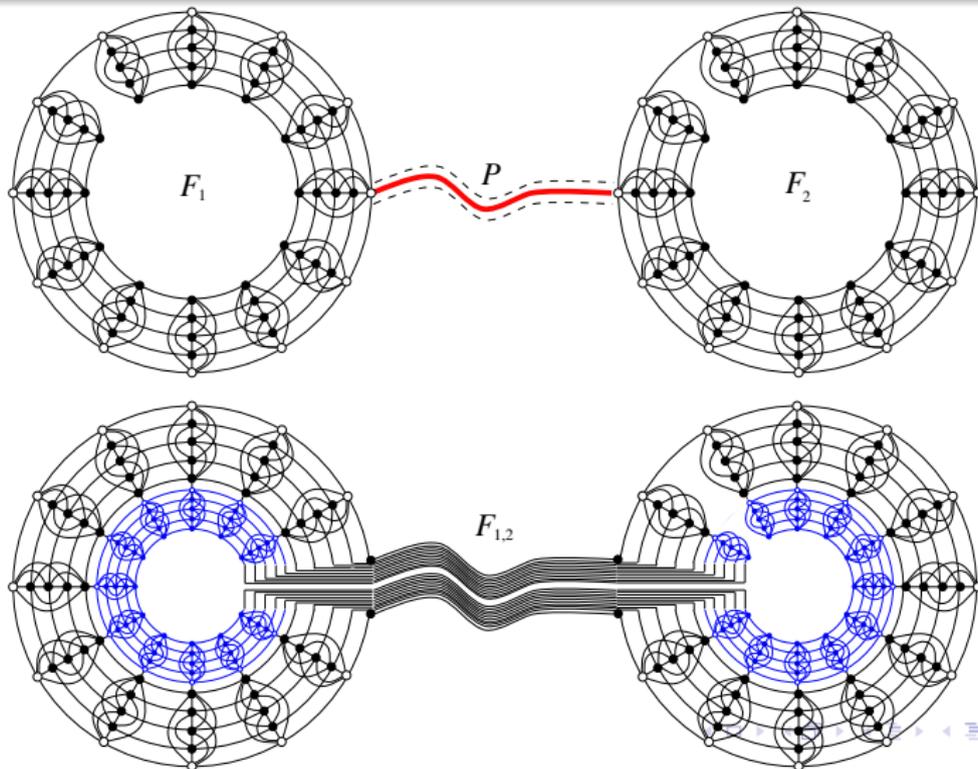


It can be easily seen that **each vortex is a minor of a vortex pattern** (preserving the vertices in the face of the embedding).

# Merging vortices

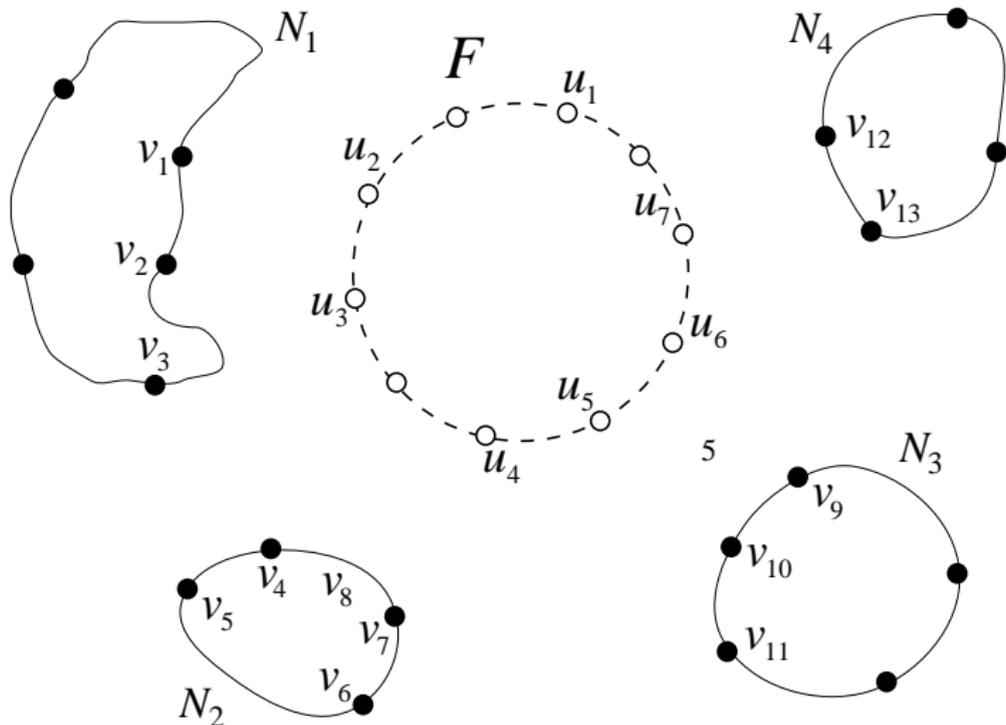
## Lemma

We may assume that each connected subgraph meets *at most one vortex*.



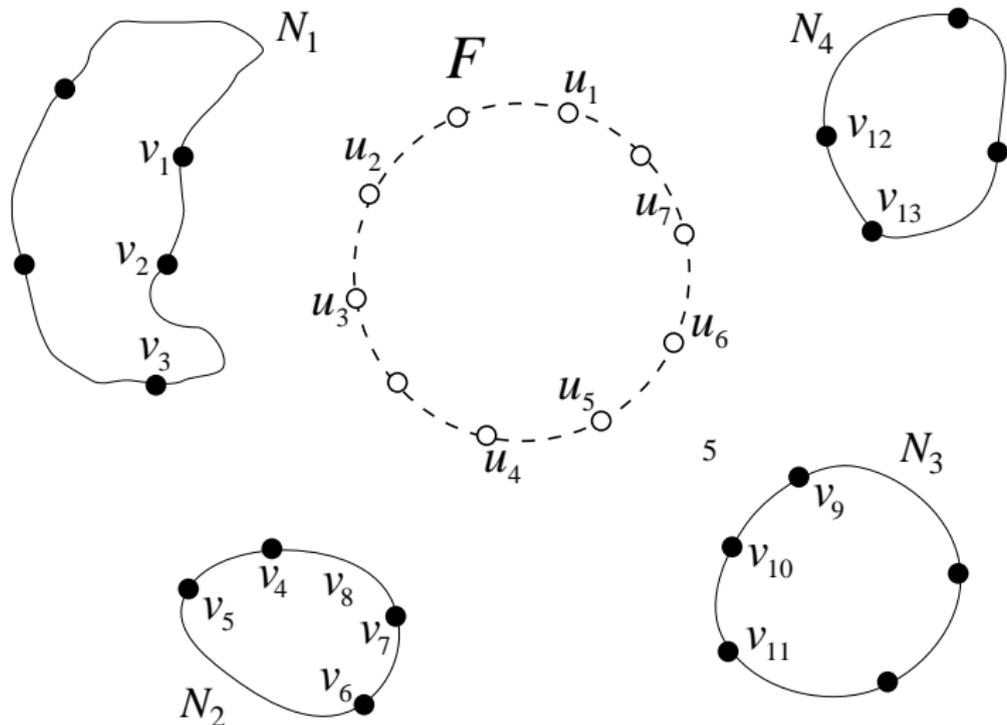


# Simulating the behavior of a vortex



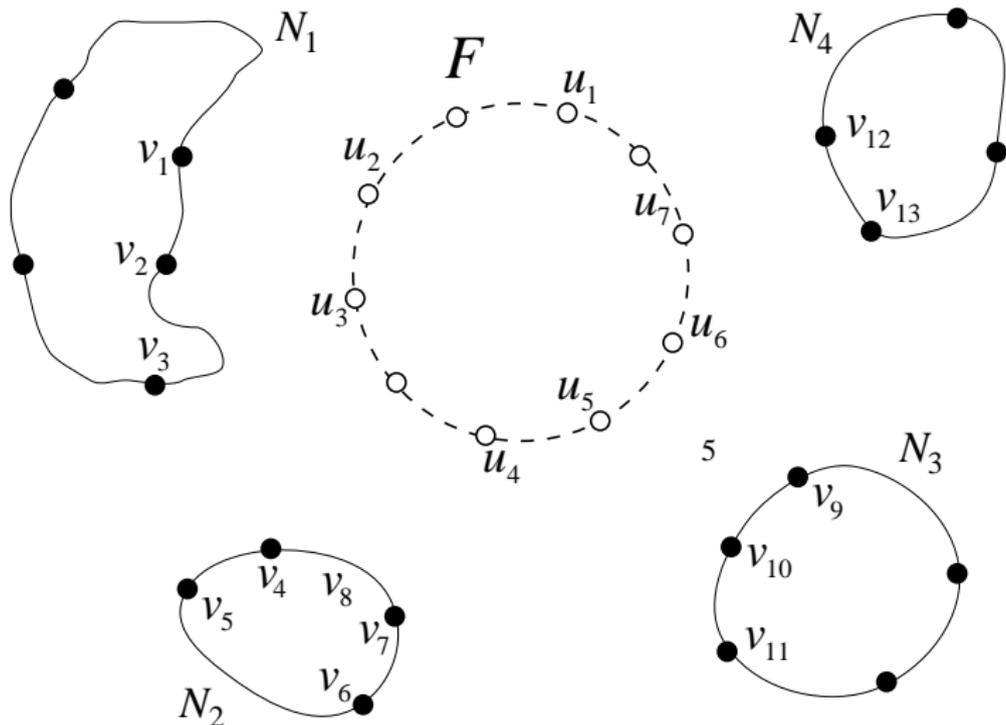
Example (in the plane) of our approach to simulate the behavior of the vortices.

# Simulating the behavior of a vortex



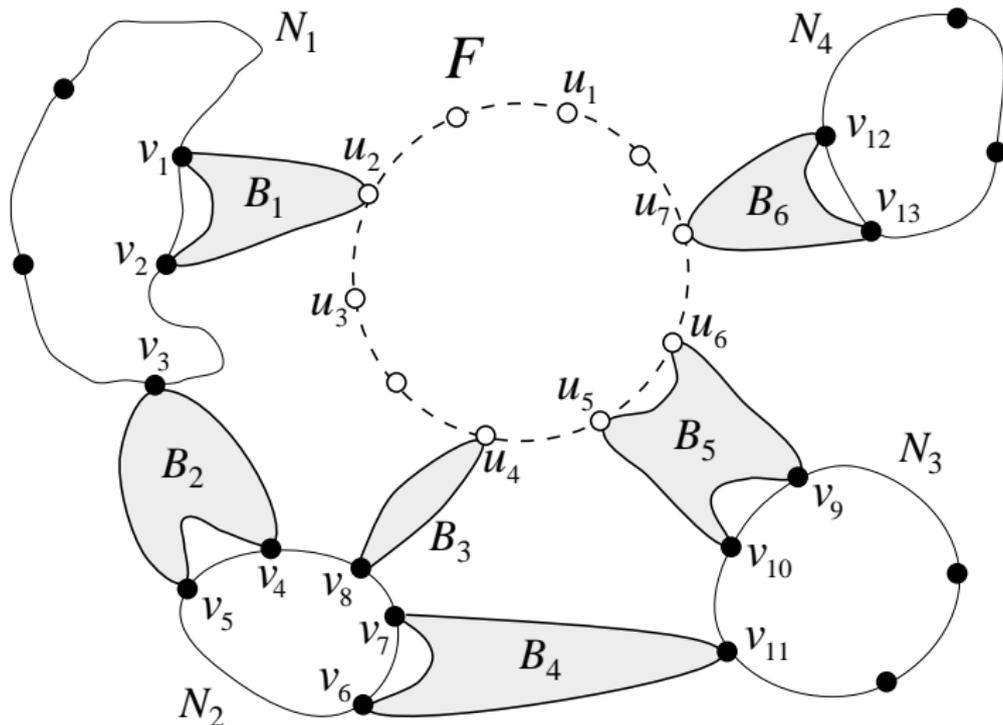
There are **four nooses**  $N_1, N_2, N_3, N_4$  (drawn with full lines), and **one vortex**  $F$  of depth 2 (drawn with a dashed circle). □

# Simulating the behavior of a vortex



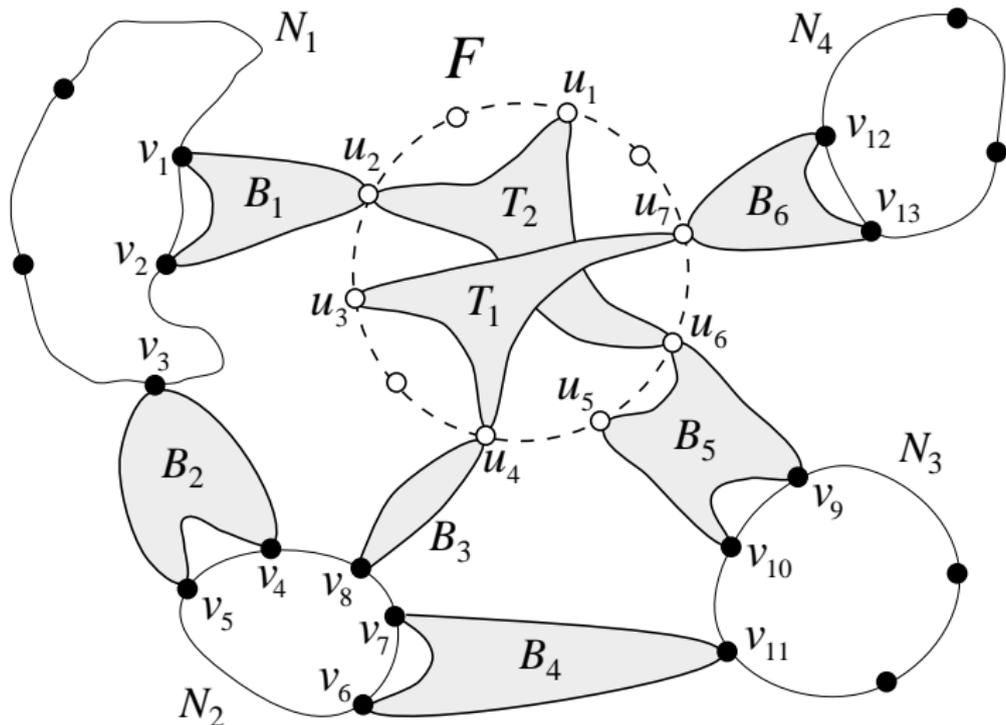
**Black vertices** correspond to vertices in the **separator**  $S$  (thus, in the nooses), while **white vertices** belong to the base set of the **vortex**.

# Simulating the behavior of a vortex



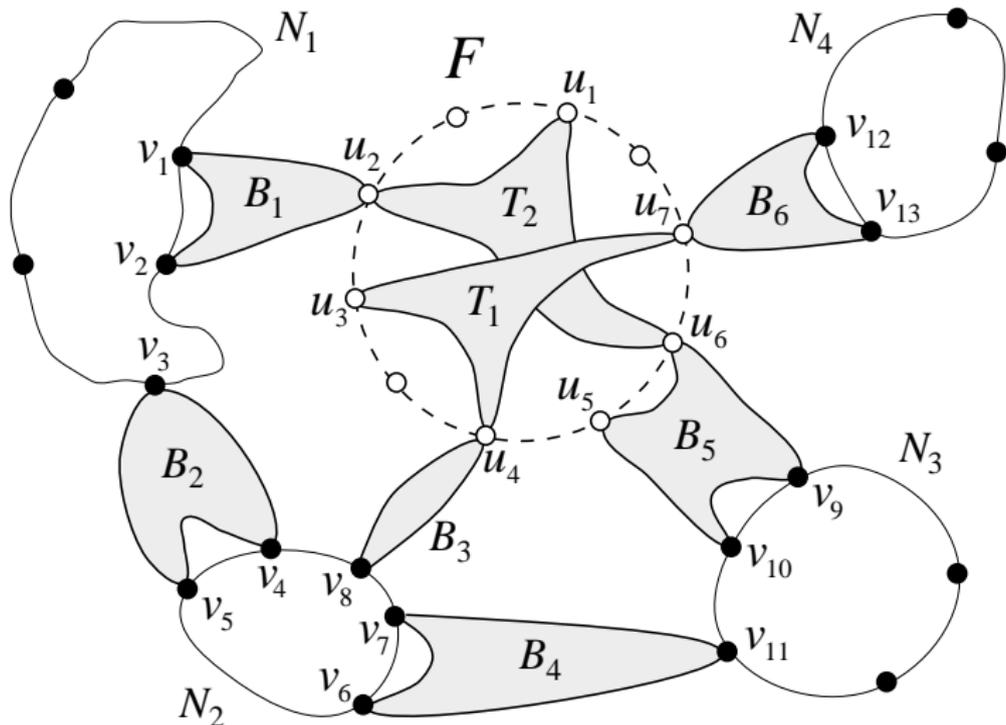
The non-crossing packing in  $\Sigma$  has six connected subgraphs  $B_1, B_2, B_3, B_4, B_5$ , and  $B_6$ .

# Simulating the behavior of a vortex



The **2-triangulation** of the vortex  $F$  has two connected subgraphs  $T_1$  and  $T_2$ .

# Simulating the behavior of a vortex



With the two subgraphs  $T_1$  and  $T_2$  corresponding to a **2-triangulation** of the vortex, subgraphs  $B_1$  and  $B_5$  (resp.  $B_6$  and  $B_6$ ) **get merged**.

## Theorem

Every *connected packing-encodable problem* whose input is an  $n$ -vertex graph  $G$  that excludes an  $h$ -vertex graph  $H$  as a minor, and has branchwidth at most  $k$ , can be solved by a DP algorithm on an  *$H$ -minor-free cut decomposition* of  $G$  with tables of size  $2^{O_h(k)} \cdot n^{O(1)}$ .

We prove that, given an  $H$ -minor-free graph  $G$ , an  *$H$ -minor-free cut decomposition* of  $G$  of width  $O_h(\text{bw}(G))$  can be constructed in  $O_h(n^3)$  time. Therefore, we conclude the following result.

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# Next section is...

- 1 Motivation
- 2 Graphs on surfaces
  - Preliminaries
  - Main ideas of our approach
- 3 Extension to  $H$ -minor-free graphs
- 4 Some recent results

# Some recent results

- 1 For an FPT problem, is it **always** possible to obtain algorithms with running time  $2^{O(tw)} \cdot n^{O(1)}$ ?

[Lokshtanov, Marx, Saurabh. *SODA'11*]

If 3SAT cannot be solved in time  $2^{o(n)}$ , then DISJOINT PATHS cannot be solved in time  $2^{o(tw \log tw)} \cdot n^{O(1)}$  in **general graphs**.

- HAMILTONIAN PATH, FVS, CONNECTED VERTEX COVER, ...  
Is  $2^{O(tw \log tw)} \cdot n^{O(1)}$  best possible?

- 2 **Randomized** algorithms for connected packing-encodable problems in **general graphs** in time  $2^{O(tw)} \cdot n^{O(1)}$ .

[Cygan, Nederlof, (Pilipczuk)<sup>2</sup>, van Rooij, Woitaszczyk. *FOCS'11*]

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# Gràcies!



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