Algorithmic aspects of the theory of Graph Minors

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Bidimensionality



1 Introduction to graph minors

Bidimensionality

- Preliminaries
- Some ingredients and an illustrative example
- Meta-algorithms



Graph minors

A graph *H* is a minor of a graph *G*, denoted by $H \leq_m G$, if *H* can be obtained by a subgraph of *G* by contracting edges.



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List all the graphs $\mathcal{F}_{\mathcal{C}} := \{G_1, G_2, \ldots\}$ that do not belong to \mathcal{C} , and then $\mathcal{C} = \exp(\mathcal{F}_{\mathcal{C}})$.

Note that, in general, this list $\mathcal{F}_{\mathcal{C}} = \{G_1, G_2, \ldots\}$ may be infinite.

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 $\mathcal{F}_{\mathcal{C}}$ seems to get complicated... but always finite!

Conjecture (Wagner. 1970)

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Yet equivalent: Every infinite set $\{G_1, G_2, \ldots\}$ of finite graphs contains two graphs such that one is a minor of the other (there is no infinite antichain).
- Reflexive: $a \leq a$.
- **2** Antisymmetric: if $a \leq b$ and $b \leq a$, then a = b.
- **③** Transitive: if $a \le b$ and $b \le c$, then $a \le c$.

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A poset (P, \leq) is well-quasi-ordered (wqo) if every infinite sequence $(x_1, x_2, ...)$ has two elements x_i and x_i such that i < j and $x_i \leq x_i$.

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R&S theorem: Finite graphs are wqo with respect to the minor relation.

Let T_1 and T_2 be two finite rooted trees.

Def: $T_1 \leq T_2$ if there is a subdivision of T_1 that occurs as a rooted subgraph of T_2 (the root of T_1 is not necessarily mapped to the root of T_2).



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We will now see a simple proof by

[Kruskal. 1960] [Tarkowski. 1960]

[Nash-Williams. 1963] <□▶ <∄▶ <≧▶ <≧▶ ≧ ∽ ର୍ଙ

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For $k \geq 1$:

Let T'_i be the tree obtained from T_i by deleting any branch from the root. Let T''_i be the deleted branch (rooted at a child of the root of T_i).





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There exist $k < \ell$ such that $T''_{j_k} \leq T''_{j_\ell} \Rightarrow T_{j_k} \leq T_{j_\ell}$, contradiction to bad!

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DISJOINT PATHS Input: a graph G and 2k vertices $s_1, \ldots, s_k, t_1, \ldots, t_k$. Question: does G contain k vertex-disjoint paths P_1, \ldots, P_k such that P_i connects s_i to t_i ?



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A graph G is k-linked if every instance of DISJOINT PATHS in G with k pairs is positive.

Topology appears naturally in linkages

Theorem (Thomassen and Seymour. 1980)

Let G be a 4-connected graph and $s_1, s_2, t_1, t_2 \in V(G)$. Then (s_1, s_2) and (t_1, t_2) are linked unless G is planar and s_1, s_2, t_1, t_2 are on the boundary of the same face, in this cyclic order.



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A combinatorial condition (linkage) is translated to a purely topological one (embedding).

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Let *H* be a graph with |E(H)| = k and *G* be a *k*-linked graph.

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Then we can easily find H as a minor in G!

Idea: if the goal is to decide whether $H \leq_m G$, if G is k-linked, then "yes". Otherwise, we may exploit a topological obstruction to k-linkedness...

Another crucial notion: treewidth

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We say that a graph G has treewidth at most k if it can be obtained by repeatedly taking a k-clique-sum with a graph on at most k + 1 vertices.

Structure of minor-free graphs

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Theorem (Wagner. 1937)

A graph $G \in exc(K_5)$ if and only if it can be obtained by 0-, 1-, 2- and 3-clique-sums from planar graphs and V_8 .



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Paradigm: we find "pieces" that exclude K_5 for topological reasons (planarity), add some exceptions (V_8), and then define rules (clique-sums) that preserve being K_5 -minor-free.

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For every planar graph H there is an integer t(H) > 0 such that every graph in exc(H) has treewidth at most t(H).

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Thus, every graph in exc(H) can be built by "gluing" bounded-sized graphs in a tree-like structure (t(H)-clique-sums).

Note: this is an approximate characterization (i.e., not "iff").

Vortices



Adding a vortex of depth h to a cycle C:

- Select arcs on *C* so that each vertex is contained in at most *h* arcs.
- For each arc A, create a vertex v_A .
- Connect v_A to some vertices on the arc A.
- connect any pair (v_A, v_B) for which A and B have a common vertex.

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For every graph H there is an integer h > 0 such that every graph in exc(H) can be (efficiently) constructed in the following way:

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- Solution Create at most h new vertices (apices) and connect them to the other vertices arbitrarily.
- Repeatedly construct the h-clique-sum of the current graph with another graph constructed using steps 1-2-3 above.

A visualization of an H-minor-free graph



[Figure by Felix Riedl]

Let's try to mimic the proof for rooted trees by Nash-Williams:

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Again, choose $(G_1, G_2, ...)$ so that G_i is a minimal continuation.

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- Otherwise, by the structure theorem: similar to "extended" surfaces (with apices and vortices), glued in a tree-like way.

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Grohe and Marx. 2012

Structure of sparse graphs



re by Felix Riedl]

Introduction to graph minors

Bidimensionality

- Preliminaries
- Some ingredients and an illustrative example
- Meta-algorithms



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Parameterized complexity in 2 slides

A parameterized problem is a language $L \subseteq \Sigma^* \times \mathbb{N}$, where Σ is a fixed, finite alphabet.

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These three problems are NP-hard, but are they equally hard?

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The problem is para-NP-hard



Example of a 2-tree:

For $k \ge 1$, a *k*-tree is a graph that can be built starting from a (k + 1)-clique and then iteratively adding a vertex connected to a *k*-clique.



[Figure by Julien Baste]

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Construction suggests the notion of tree decomposition: small separators.

Dynamic programming on tree decompositions

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- The way that these partial solutions are defined depends on each particular problem:



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We represent a graph G = (V, E) with a structure $\mathcal{G} = (U, \text{vertex}, \text{edge}, I)$, where

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- "vertex" and "edge" are unary relations that allow to distinguish vertices and edges.
- $I = \{(v, e) \mid v \in V, e \in E, v \in e\}$ is the incidence relation.

An MSO formula is built using the following:

- Logical connectors \lor , \land , \Rightarrow , \neg , =, \neq .
- Predicates adj(u, v) and inc(e, v).
- Relations \in , \subseteq on vertex/edge sets.
- Quantifiers \exists , \forall on vertex/edge variables or vertex/edge sets.

 (MSO_1/MSO_2)

Example 1 Expressing that $\{u, v\} \in E(G)$: $\exists e \in E, inc(u, e) \land inc(v, e)$.



Example 2 Expressing that a set $S \subseteq V(G)$ is a dominating set.

 $\texttt{DomSet}(S): \quad \forall v \in V(G) \setminus S, \exists u \in S : \{u, v\} \in E(G).$



Example 2	Expressing that a set $S \subseteq V(G)$ is a dominating set.
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Example 3 Expressing that a graph G = (V, E) is connected.



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Other properties that can be expressed in MSO₂:

- a set being a vertex cover, independent set. (why?)
- a graph being k-colorable (for fixed k), having a Hamiltonian cycle.

Every problem expressible in MSO_2 can be solved in time $f(tw) \cdot n$ on graphs on n vertices and treewidth at most tw.

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In parameterized complexity: FPT parameterized by treewidth.

Small parenthesis: only good news?

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- Are all "natural" graph problems FPT parameterized by treewidth? The vast majority, but not all of them:
 - LIST COLORING is W[1]-hard parameterized by treewidth.

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- Some problems are even NP-hard on graphs of constant treewidth: STEINER FOREST (tw = 3), BANDWIDTH (tw = 1).
- Ost natural problems (VERTEX COVER, DOMINATING SET, ...) do not admit polynomial kernels parameterized by treewidth.

Introduction to graph minors

Bidimensionality

- Preliminaries
- Some ingredients and an illustrative example
- Meta-algorithms



VERTEX COVER **Input:** A graph G = (V, E) and a positive integer k. **Parameter:** k. **Question:** Does there exist a subset $C \subseteq V$ of size at most k such that $G[V \setminus C]$ is an independent set?

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LONG PATH

Input: A graph G = (V, E) and a positive integer k.

Parameter: k.

Question: Does there exist a path P in G of length at least k?
```

```
FEEDBACK VERTEX SET

Input: A graph G = (V, E) and a positive integer k.

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Question: Does there exist a subset F \subseteq V of size at most k such that for G[V \setminus F] is a forest?
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DOMINATING SET

Input: A graph G = (V, E) and a positive integers k.

Parameter: k.

Question: Does there exist a subset D \subseteq V of size at most k such that for all v \in V, N[v] \cap D \neq \emptyset?
```

Minor-closed parameters

• A graph class G is *minor* (*contraction*)-*closed* if any minor (contraction) of a graph in G is also in G.

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- The parameterized problem associated with P asks, for some fixed k, whether for a given graph G, $P(G) \le k$ (for minimization) or $P(G) \ge k$ (for maximization problem).
- We say that a parameter P is closed under taking of minors/contractions (or, briefly, minor/contraction-closed) if for every graph H, H ≤_m G / H ≤_{cm} G implies that P(H) ≤ P(G).

Examples of minor/contraction closed parameters

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VERTEX COVER, FEEDBACK VERTEX SET, LONG PATH, TREEWIDTH, ... (why?)

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• Contraction-closed parameters:

DOMINATING SET, CONNECTED VERTEX COVER, *r*-DOMINATING SET, ... (why?)

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- Let $H_{\ell,\ell}$ be the $(\ell \times \ell)$ -grid:
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- Let $H_{\ell,\ell}$ be the $(\ell \times \ell)$ -grid:
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Let H_{ℓ,ℓ} be the (ℓ × ℓ)-grid: We have tw (H_{ℓ,ℓ}) = ℓ.
As TREEWIDTH is minor-closed, if H_ℓ ≤_m G, then tw(G) ≥ tw(H_{ℓ,ℓ}) = ℓ. Does the reverse implication hold?

Theorem (Robertson and Seymour. 1986)

For every integer $\ell > 0$, there is an integer $c(\ell)$ such that every graph of treewidth $\geq c(\ell)$ contains $\blacksquare \ell_{\ell}$ as a minor.

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- Recent breakthrough: $c(\ell) = poly(\ell)$.

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• Let $H_{\ell,\ell}$ be the $(\ell \times \ell)$ -grid: We have tw $(H_{\ell,\ell}) = \ell$. • As TREEWIDTH is minor-closed, if $\coprod_{\ell} \leq_m G$, then $\mathsf{tw}(G) \ge \mathsf{tw}(H_{\ell,\ell}) = \ell.$ Does the reverse implication hold?

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Important message grid-minors are the certificate of large treewidth.







Every planar graph of treewidth $\geq 6 \cdot \ell$ contains $\boxplus \ell_{\ell}$ as a minor.

Theorem (Demaine, Fomin, Hajiaghayi, Thilikos. 2005)

For every fixed g, there is a constant c_g such that every graph of genus g and of treewidth $\geq c_g \cdot \ell$ contains $\blacksquare _{\ell}$ as a minor.

Theorem (Demaine and Hajiaghayi. 2008)

For every fixed graph H, there is a constant c_H such that every

H-minor-free graph of treewidth $\geq c_H \cdot \ell$ contains $\boxplus \ell_\ell$ as a minor.

Best constant in the above theorem is by [Kawarabayashi and Kobayashi. 2012]



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In sparse graphs: linear dependency between treewidth and grid-minors

How to use Grid Theorems algorithmically?



Example: FPT algorithm for Planar Vertex Cover



INPUT: Planar graph G on n vertices, and an integer k. OUTPUT: Either a vertex cover of G of size $\leq k$, or a proof that G has no such a vertex cover. RUNNING TIME: $2^{O(\sqrt{k})} \cdot n^{O(1)}$.

Objective subexponential FPT algorithm for **PLANAR VERTEX COVER**.

Example: FPT algorithm for Planar Vertex Cover



Let G be a planar graph of treewidth $\geq \mathbf{6} \cdot \mathbf{\ell}$

50

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$$\implies$$

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Let G be a planar graph of treewidth $\geq 6 \cdot \ell$ \implies G contains the $(\ell \times \ell)$ -grid $H_{\ell,\ell}$ as a minor

- The size of any vertex cover of $H_{\ell,\ell}$ is at least $\ell^2/2$.
- Recall that VERTEX COVER is a minor-closed parameter.
- Since $H_{\ell,\ell} \preceq_m G$, it holds that $\mathbf{vc}(G) \ge \mathbf{vc}(H_{\ell,\ell}) \ge \ell^2/2$.

We are already very close to an algorithm...

Recall:

- *k* is the parameter of the problem.
- We have that $tw(G) = 6 \cdot \ell$ and ℓ is the size of a grid-minor of G.
- Therefore, $\mathbf{vc}(G) \geq \ell^2/2$.

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WIN/WIN approach: • If $k < \ell^2/2$, we can safely answer "NO". • If $k \ge \ell^2/2$, then tw(G) = $O(\ell) = O(\sqrt{k})$,

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- If $k < \ell^2/2$, we can safely answer "NO".
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This gives a subexponential FPT algorithm!

Was VERTEX COVER really just an example...?

What is so special in VERTEX COVER?

Where did we use planarity?

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★ Nothing special! It is just a minor bidimensional parameter:

minor-closed + $\mathbf{vc}(\mathbf{k}^2) = \Omega(\mathbf{k}^2).$

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Where did we use planarity?

★ Only the linear Grid Exclusion Theorem!

Arguments go through up to *H*-minor-free graphs.

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Bidimensionality

- Preliminaries
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Minor Bidimensionality:

[Demaine, Fomin, Hajiaghayi, Thilikos. 2005]

Definition

A parameter **p** is *minor bidimensional* if

0 p is closed under taking of minors (minor-closed), and

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$$\mathbf{p}\left(\blacksquare _{k} \right) = \Omega(k^{2}).$$

VERTEX COVER OF A GRID



VERTEX COVER OF A GRID



FEEDBACK VERTEX SET OF A GRID



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 - Otherwise, the treewidth is bounded by $c\sqrt{k}$, and hence we can use a dynamic programming (DP) algorithm on graphs of bounded treewidth.
- If we have a DP algorithm for bounded treewidth running in time c^t or t^t, then it implies 2^{O(√k)} or 2^{O(√k log k)} algorithm.

Let G be an H-minor-free graph, and let **p** be a minor bidimensional graph parameter computable in time $2^{O(\mathsf{tw}(G))} \cdot n^{O(1)}$. Then deciding " $\mathbf{p}(G) = k$ " can be done in time $2^{O(\sqrt{k})} \cdot n^{O(1)}$.

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 Compute (or approximate) tw(G). We can use a fast FPT algorithm or a constant-factor approx.
 If tw(G) = Ω(√k), then safely answer NO (or YES).

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 Compute (or approximate) tw(G). We can use a fast FPT algorithm or a constant-factor approx.
 If tw(G) = Ω(√k), then safely answer NO (or YES). This follows because of the linear Grid Exclusion Theorems.
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 Randomized algorithms using Cut&Count.
 - Deterministic algorithms based on matrix rank. [Boadlaender et al. 2012]
 - Deterministic algorithms based on matroids. [Fomin et al. 2013]

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- Bidimensionality + separation properties \Rightarrow | Kernelization [Fomin, Lokshtanov, Saurabh, Thilikos. 2009-2010]
- Bidimensionality + new Grid Theorems \Rightarrow | Geometric graphs

[Fomin, Lokshtanov, Saurabh. 2012] [Grigoriev, Koutsonas, Thilikos. 2013]

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Introduction to graph minors

Bidimensionality

- Preliminaries
- Some ingredients and an illustrative example
- Meta-algorithms



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Strategy:

• If tw(G) > f(k), find an irrelevant vertex:

A vertex $v \in V(G)$ such that (G, T, k) and $(G \setminus v, T, k)$ are equivalent instances.

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 A vertex v ∈ V(G) such that (G, T, k) and (G \ v, T, k) are equivalent instances.
- Otherwise, if tw(G) ≤ f(k), solve the problem using dynamic programming (by Courcelle).

How to find an irrelevant vertex when the treewidth is large?

How to find an irrelevant vertex when the treewidth is large?

By using the Grid Exclusion Theorem!

How to find an irrelevant vertex when the treewidth is large?

By using the Wall Exclusion Theorem!

Theorem (Robertson and Seymour. 1986)

For every integer $\ell > 0$, there is an integer $c(\ell)$ such that every graph of treewidth $\geq c(\ell)$ contains an ℓ -wall as a minor.



[Figure by Dimitrios M. Thilikos] 🖉

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[Figure by Dimitrios M. Thilikos] 🔿

Goal: declare one of the central vertices of the wall irrelevant.



 Goal: declare one of the central vertices of the wall irrelevant.



This is only possible if the wall is insulated from the exterior!

Goal: enrich the notion of wall so that we can insulate it from the exterior.



We need to allow some extra edges in the interior of the wall.



Flat walls

We impose a topological property that defines the "flatness" of the wall.



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Flat walls

There are no crossing paths $s_1 - t_1$ and $s_2 - t_2$ from/to the perimeter.


Flat walls

A real flat wall can be quite wild...

[Figure by Dimitrios M. Thilikos]







 [Figures by Dimitrios M. Thilikos]

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[Figures by Dimitrios M. Thilikos] < □ ▶ < @ ▶ < ≧ ▶ < ≧ ▶ < ≧ ▶ < ≧ ♪ < ♡ < ↔



Theorem (Robertson and Seymour. 1995)

There exist recursive functions $f_1 : \mathbb{N}^2 \to \mathbb{N}$ and $f_2 : \mathbb{N} \to \mathbb{N}$, such that for every graph G and every $q, r \in \mathbb{N}$, one of the following holds:

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There are many different variants and optimizations of this theorem...

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Important: possible to find one of the outputs in time $f(q, r) \cdot |V(G)|$.

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By the Weak Structure Theorem:

• If $tw(G) \le f(k)$: solve using dynamic programming.

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The irrelevant vertex technique has been applied to many problems...

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The irrelevant vertex technique has been applied to many problems... usually with a lot of technical pain.

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Rerouting inside a big flat wall...



In order to declare a vertex irrelevant for some problem, usually we need to consider a homogenous flat wall, which we proceed to define.



We consider a flap-coloring encoding the relevant information of our favorite problem inside each flap (similar to tables of DP).



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For every brick of the wall, we define its palette as the colors appearing in the flaps it contains.



A flat wall is homogenous if every (internal) brick has the same palette. Fact: every brick of a homogenous flat wall has the same "behavior".



Price of homogeneity to obtain a homogenous flat *r*-wall (zooming): If we have *c* colors, we need to start with a flat r^{c} -wall. (why?)



