## Some Contributions to Parameterized Complexity

### Habilitation à Diriger des Recherches (HDR) Montpellier, June 25, 2018 Ignasi Sau CNRS, LIRMM, Université de Montpellier

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   Université de Montpellier







## Outline of the talk

#### Introduction

- Career path
- Scientific context: parameterized complexity
- A relevant parameter: treewidth

#### Some of my contributions (related to treewidth)

- The number of graphs of bounded treewidth
- Linear kernels on sparse graphs
- Fast FPT algorithms parameterized by treewidth

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- Advisors: X. Muñoz (Barcelona) + D. Coudert, J.-C. Bermond (Sophia).
- Topic: optimization in graphs under degree constraints.









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- I converted to parameterized complexity.





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- Postdoc at the Computer Science Department of the Technion.
- With Shmuel Zaks and Mordechai Shalom.





- Since October 2010, I joined the CNRS at LIRMM, Montpellier.
- AIGCo group: Algorithmes, Graphes et Combinatoire.





- Visiting professor at Universidade Federal do Ceará, Fortaleza, Brazil.
- ParGO group: Paralelismo, Grafos e Otimização combinatòria.





- Since August 2017, back to Montpellier.
- AIGCo group: Algorithmes, Graphes et Combinatoire.



## Supervised students

- 03/2012-08/2012 Valentin Garnero (internship M2) Polynomial kernels for variants of domination problems on planar graphs
- 02/2013-07/2013 Julien Baste (internship M2) The role of planarity in connectivity problems parameterized by treewidth
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- 09/2014-09/2017 Julien Baste (Ph.D, with Dimitrios M. Thilikos) Treewidth: algorithmic, combinatorial and practical aspects
- 09/2018-08/2019 Raul Wayne (Ph.D internship, Brazil) Fixed-parameter tractability of the Directed Grid Theorem
- 09/2018-03/2019 Guilherme Gomes (Ph.D internship, Brazil) Cliques, bicliques and colorings

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- Cook-Levin Theorem (1971): the SAT problem is NP-complete.
- Karp (1972): list of 21 *important* NP-complete problems.
- Nowadays, literally thousands of problems are known to be NP-hard: unless P = NP, they cannot be solved in polynomial time.

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- Nowadays, literally thousands of problems are known to be NP-hard: unless P = NP, they cannot be solved in polynomial time.
- But what does it mean for a problem to be NP-hard?

No algorithm solves all instances optimally in polynomial time.

Maybe there are relevant subsets of instances that can be solved efficiently.

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- VLSI design: the number of circuit layers is usually  $\leq 10$ .
- Computational biology: Real instances of DNA chain reconstruction usually have treewidth ≤ 11.
- Robotics: Number of degrees of freedom in motion planning problems  $\leq 10$ .
- Compilers: Checking compatibility of type declarations is hard, but usually the depth of type declarations is ≤ 10.

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Message In many applications, not only the total size of the instance matters, but also the value of an additional parameter.

Idea Measure the complexity of an algorithm in terms of the input size and an additional parameter.

This theory started in the late 80's, by Downey and Fellows:





Today, it is a well-established area with hundreds of articles published every year in the most prestigious TCS journals and conferences.

### Parameterized problems

A parameterized problem is a language  $L \subseteq \Sigma^* \times \mathbb{N}$ , where  $\Sigma$  is a fixed, finite alphabet.

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- k-VERTEX COVER: Does a graph G contain a set  $S \subseteq V(G)$ , with  $|S| \leq k$ , containing at least an endpoint of every edge?
- k-INDEPENDENT SET: Does a graph G contain a set S ⊆ V(G), with |S| ≥ k, of pairwise non-adjacent vertices?
- VERTEX *k*-COLORING: Can the vertices of a graph be colored with  $\leq k$  colors, so that any two adjacent vertices get different colors?

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These three problems are NP-hard, but are they equally hard?

• *k*-VERTEX COVER: Solvable in time  $\mathcal{O}(2^k \cdot (m+n))$ 

• *k*-INDEPENDENT SET: Solvable in time  $\mathcal{O}(k^2 \cdot n^k)$ 

• VERTEX *k*-COLORING: NP-hard for fixed k = 3.

• k-INDEPENDENT SET: Solvable in time  $\mathcal{O}(k^2 \cdot n^k) = f(k) \cdot n^{g(k)}$ .

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The problem is para-NP-hard

*k*-INDEPENDENT SET: Solvable in time  $\mathcal{O}(k^2 \cdot n^k) = f(k) \cdot n^{g(k)}$ .
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Working hypothesis of parameterized complexity: *k*-CLIQUE is not FPT (in classical complexity: 3-SAT cannot be solved in poly-time)

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• (x, k) is a YES-instance of  $A \Leftrightarrow (x', k')$  is a YES-instance of B.

 $\ \, {\it Omega} \ \, k' \leq g(k) \ \, {\it for some computable function} \ \, g: \mathbb{N} \to \mathbb{N}.$ 

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W[1]-hard problem:  $\exists$  parameterized reduction from k-CLIQUE to it.

W[2]-hard problem:  $\exists$  param. reduction from *k*-DOMINATING SET to it.

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W[*i*]-hard: strong evidence of not being FPT. Hypothesis:  $|FPT \neq W[1]|$ 

Instance (x', k') of B

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The function g is called the size of the kernel.

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Theorem (Bodlaender, Downey, Fellows, Hermelin, 2009)

Deciding whether a graph has a PATH with  $\geq k$  vertices is FPT but does not admit a polynomial kernel, unless NP  $\subseteq$  coNP/poly.

NO!

Parameterized problem  ${\cal L}$ 

k-Clique k-Vertex Cover k-Path Vertex k-Coloring













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#### 3 Conclusions

Example of a 2-tree:

A *k*-tree is a graph that can be built starting from a (k + 1)-clique and then iteratively adding a vertex connected to a *k*-clique.



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Construction suggests the notion of tree decomposition: small separators.

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- Treewidth behaves very well algorithmically, and algorithms parameterized by treewidth appear very often in FPT algorithms.
- In many practical scenarios, it turns out that the treewidth of the associated graph is small (programming languages, road networks, ...).

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• k = 1: The number of *n*-vertex labeled forests is  $\sim c \cdot n^{n-2}$ for some explicit constant c > 1. [Takács. 1990]



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• Nothing was known for general k.

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As an *n*-vertex *k*-tree has  $kn - \frac{k(k+1)}{2}$  edges, we get the upper bound:

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$$\leq (k \cdot 2^k \cdot n)^n \cdot 2^{-\frac{k(k+1)}{2}} \cdot k^{-k}$$





Add a vertex arbitrarily connected to the forest:  $2^{n-(k-1)}$  possibilities



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> Take a forest on n - (k - 1) vertices:  $(n - k + 1)^{(n-k-1)}$  possibilities

$$T_{n,k} \geq (n-k+1)^{(n-k-1)} \cdot 2^{(k-1)(n-k+1)}$$



Add k - 1 vertices connected to the forest:  $\geq 2^{(k-1)(n-(k-1))}$  possibilities

$$T_{n,k} \geq (n-k+1)^{(n-k-1)} \cdot 2^{(k-1)(n-k+1)} \geq \left(\frac{1}{4} \cdot 2^k \cdot n\right)^n \cdot 2^{-k^2}$$

Summarizing, so far we have:

$$T_{n,k} \leq (k \cdot 2^k \cdot n)^n \cdot 2^{-\frac{k(k+1)}{2}} \cdot k^{-k}$$

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#### Theorem (Baste, Noy, S., 2017)

For any two integers n, k with  $1 < k \le n$ , the number  $T_{n,k}$  of n-vertex labeled graphs with treewidth at most k satisfies

$$T_{n,k} \geq \left(\frac{1}{128e} \cdot \frac{k}{\log k} \cdot 2^k \cdot n\right)^n \cdot 2^{-\frac{k(k+3)}{2}} \cdot k^{-2k-2}.$$

Summarizing, so far we have:

$$T_{n,k} \leq (k \cdot 2^k \cdot n)^n \cdot 2^{-\frac{k(k+1)}{2}} \cdot k^{-k}$$

$$T_{n,k} \geq \left(\frac{1}{4} \cdot 2^k \cdot n\right)^n \cdot 2^{-k^2}$$

Gap in the dominant term:

$$(4 \cdot k)^n$$

#### Theorem (Baste, Noy, S., 2017)

For any two integers n, k with  $1 < k \le n$ , the number  $T_{n,k}$  of n-vertex labeled graphs with treewidth at most k satisfies

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Gap in the dominant term:  $(128e \cdot \log k)^n$ 

#### Introduction

- Career path
- Scientific context: parameterized complexity
- A relevant parameter: treewidth

#### Some of my contributions (related to treewidth)

- The number of graphs of bounded treewidth
- Linear kernels on sparse graphs
- Fast FPT algorithms parameterized by treewidth

#### 3 Conclusions

As in the case of FPT algorithms, there exist meta-kernelization results.

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Every parameterized problem that satisfies property  $\Pi$  is admits a linear/polynomial kernel on the class of graphs  $\mathcal{G}$ .

This has been also a very active area in parameterized complexity, specially on sparse graphs: planar graphs, graphs on surfaces, minor-free graphs, ...

## Minors and topological minors



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• Fixed *H*: *H*-minor-free graphs  $\subseteq$  *H*-topological-minor-free graphs

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Meta-kernelization for topological-minor-free graphs.

[Kim, Langer, Paul, Reidl, Rossmanith, S., Sikdar. 2013]

#### • Given a graph G, a set $W \subseteq V(G)$ is a *t*-protrusion of G if

 $|\partial_G(W)| \le t$  and  $tw(G[W]) \le t$ .



• We call  $\partial_G(W)$  the boundary and |W| the size of W.

#### Theorem (Kim, Langer, Paul, Reidl, Rossmanith, S., Sikdar, 2013)

Fix a graph H. Let P be a parameterized graph problem on the class of H-topological-minor-free graphs that is treewidth-bounding and has finite integer index (FII). Then P admits a linear kernel.

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• A parameterized graph problem P is treewidth-bounding if  $\exists$  constants c, t such that if  $(G, k) \in P$  then

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• FII allows us to replace large protrusions by smaller gadgets...

#### Some problems affected by our result:

TREEWIDTH-*t* VERTEX DELETION, CHORDAL VERTEX DELETION, INTERVAL VERTEX DELETION, EDGE DOMINATING SET, FEEDBACK VERTEX SET, CONNECTED VERTEX COVER, ...

## Linear kernels on sparse graphs – the conditions



[Figure by Felix Reidl] 《 다 ト 《 큔 ト 《 코 ト 《 코 ト 종 환 · 전 익 (아 33/51

We require FII + treewidth-bounding

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• Our results imply the linear kernels of

[Fomin, Lokshtanov, Saurabh, Thilikos. 2010]

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Idea Find a linear protrusion decomposition in polynomial time, and replace each of the  $\mathcal{O}(k)$  protrusions with a constant-sized gadget.

 $\star$  We assume that the gadgets are given ... the algorithm is non-uniform.

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Same problem in the previous work based on protrusion replacement:

[Bodlaender, Fomin, Lokshtanov, Penninkx, Saurabh, Thilikos. 2009]

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There are some techniques to actually construct the kernels (CMSO logic), but it is hard to extract explicit constants on the size of the kernels...

We propose an approach to replace protrusions with explicit constants:

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# Explicit linear kernels via dynamic programming

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Confined encoder: number of distinct values is a function of the tw.

# Explicit linear kernels via dynamic programming

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- We formalize the notion of encoding for the tables of dynamic programming (DP) on tree decompositions.
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Confined encoder: number of distinct values is a function of the tw. DP-friendly encoder: we can safely replace equivalent "protrusions".



[By Valentin Garnero]

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[By Valentin Garnero]



[By Valentin Garnero]



















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### Introduction

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- A relevant parameter: treewidth

### Some of my contributions (related to treewidth)

- The number of graphs of bounded treewidth
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- Fast FPT algorithms parameterized by treewidth

### Conclusions

### Treewidth behaves very well algorithmically

<ロト<部ト<注ト<注ト 注 40/51 Monadic Second Order Logic (MSOL):

Graph logic that allows quantification over sets of vertices and edges.

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**Example**: DomSet(S) : [ $\forall v \in V(G) \setminus S, \exists u \in S : \{u, v\} \in E(G)$ ]

#### Monadic Second Order Logic (MSOL):

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**Example**: DomSet(S) : [ $\forall v \in V(G) \setminus S, \exists u \in S : \{u, v\} \in E(G)$ ]

#### Theorem (Courcelle, 1990)

Every problem expressible in MSOL can be solved in time  $f(tw) \cdot n$  on graphs on n vertices and treewidth at most tw.

**Examples**: VERTEX COVER, DOMINATING SET, HAMILTONIAN CYCLE, CLIQUE, INDEPENDENT SET, *k*-COLORING for fixed *k*, ...

Typically, Courcelle's theorem allows to prove that a problem is FPT...

 $f(\mathsf{tw}) \cdot n^{\mathcal{O}(1)}$ 

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$$f(\mathsf{tw}) \cdot \mathbf{n}^{\mathcal{O}(1)} = 2^{3^{4^{5^{6^{7^{8^{tw}}}}}}} \cdot \mathbf{n}^{\mathcal{O}(1)}$$

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$$f(\mathsf{tw}) \cdot n^{\mathcal{O}(1)} = 2^{3^{4^{5^{6^{7^{8^{tw}}}}}}} \cdot n^{\mathcal{O}(1)}$$

Major goal: find the smallest possible function f(tw).

This is a very active area in parameterized complexity.

• Suppose that we have an FPT algorithm in time  $k^{\mathcal{O}(k)} \cdot n^{\mathcal{O}(1)}$ .

 Suppose that we have an FPT algorithm in time k<sup>O(k)</sup> · n<sup>O(1)</sup>. Is it possible to obtain an FPT algorithm in time 2<sup>O(k)</sup> · n<sup>O(1)</sup>? Is it possible to obtain an FPT algorithm in time 2<sup>O(√k)</sup> · n<sup>O(1)</sup>?

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ETH: The 3-SAT problem on *n* variables cannot be solved in time  $2^{o(n)}$ 

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Typical statements: ETH  $\Rightarrow$  k-VERTEX COVER cannot be solved in time  $2^{o(k)} \cdot n^{O(1)}$ . ETH  $\Rightarrow$  PLANAR k-VERTEX COVER cannot in time  $2^{o(\sqrt{k})} \cdot n^{O(1)}$ . 42/51 Typically, FPT algorithms parameterized by treewidth are based on dynamic programming (DP) over a tree decomposition.

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But for the so-called connectivity problems, like LONGEST PATH or STEINER TREE, the "natural" DP algorithms provide only time

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# Single-exponential algorithms on sparse graphs

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## No!

CYCLE PACKING: find the maximum number of vertex-disjoint cycles. An algorithm in time  $2^{\mathcal{O}(\text{tw} \cdot \log \text{tw})} \cdot n^{\mathcal{O}(1)}$  is optimal under the ETH. [Cycan, Nederlof, Pilipczuk, Van Rooij, Wojtaszczyk, 2011] Do all connectivity problems admit single-exponential algorithms (on general graphs) parameterized by treewidth?

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There are other examples of such problems...

Let  $\mathcal{F}$  be a fixed finite collection of graphs.

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## $\mathcal{F}$ -DELETIONInput:A graph G and an integer k.Parameter:The treewidth tw of G.Question:Does G contain a set $S \subseteq V(G)$ with $|S| \leq k$ such that<br/>G - S does not contain any of the graphs in $\mathcal{F}$ as a minor?

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•  $\mathcal{F} = \{K_2\}$ : Vertex Cover.

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•  $\mathcal{F} = \{K_2\}$ : VERTEX COVER. Easily solvable in time  $2^{\Theta(tw)} \cdot n^{\mathcal{O}(1)}$ .

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- $\mathcal{F} = \{K_2\}$ : VERTEX COVER. Easily solvable in time  $2^{\Theta(tw)} \cdot n^{\mathcal{O}(1)}$ .
- $\mathcal{F} = \{C_3\}$ : Feedback Vertex Set.

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[Cut&Count. 2011]

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[Cut&Count. 2011]

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•  $\mathcal{F} = \{K_5, K_{3,3}\}$ : Vertex Planarization.

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With Julien Baste and Dimitrios M. Thilikos we proved the following...

## Complexity of $\{H\}$ -DELETION for small planar graphs H



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#### Introduction

- Career path
- Scientific context: parameterized complexity
- A relevant parameter: treewidth

### 2 Some of my contributions (related to treewidth)

- The number of graphs of bounded treewidth
- Linear kernels on sparse graphs
- Fast FPT algorithms parameterized by treewidth

### 3 Conclusions

In particular, several questions concerning  $\mathcal{F}$ -Deletion:

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  We think that {K<sub>5</sub>}-DELETION is solvable in time 2<sup>Θ(tw·log tw)</sup> · n<sup>O(1)</sup>.
- Conjecture For every connected planar graph H with  $|V(H)| \ge 6$ ,  $\mathcal{F}$ -DELETION is solvable in time  $2^{\Theta(\text{tw} \cdot \log \text{tw})} \cdot n^{\mathcal{O}(1)}$  under the ETH.



