

# Single-exponential algorithms and linear kernels via protrusion decompositions

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# Outline of the talk

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for  $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ 
  - Motivation and our result
  - Sketch of proof
  - Further research
- 4 Linear kernels on graphs without topological minors
  - Motivation and our result
  - Idea of proof
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# Some words on parameterized complexity

- Idea given an NP-hard problem with **input size  $n$** , fix one **parameter  $k$**  of the input to see whether the problem gets more “tractable”.

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**Example:** the size of a VERTEX COVER.

- Given a (NP-hard) problem with input of size  $n$  and a parameter  $k$ , a **fixed-parameter tractable (FPT)** algorithm runs in time

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**Examples:**  $k$ -VERTEX COVER,  $k$ -LONGEST PATH.

- A **single-exponential parameterized algorithm** is an FPT algo s.t.

$$f(k) = 2^{O(k)}.$$

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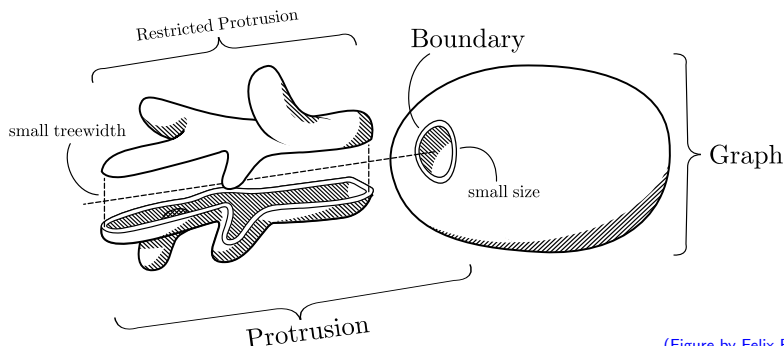
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# Protrusions

[Bodlaender, Fomin, Lokshtanov, Penninkx, Saurabh, Thilikos '09]

- Given a graph  $G$ , a set  $W \subseteq V(G)$  is a  **$t$ -protrusion** of  $G$  if

$$|\partial_G(W)| \leq t \text{ and } \text{tw}(G[W]) \leq t$$



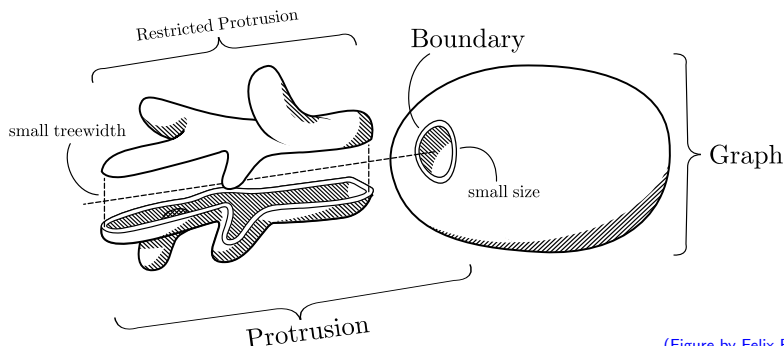
(Figure by Felix Reidl)

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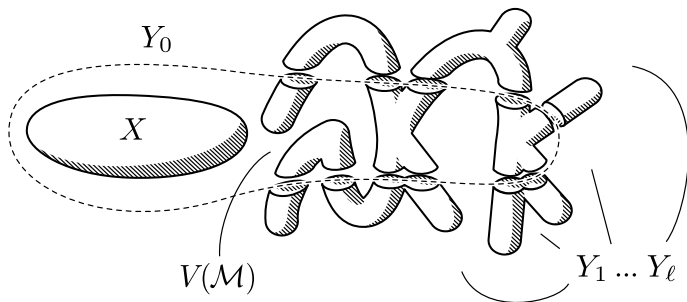
(Figure by Felix Reidl)

- The vertex set  $W' = W \setminus \partial_G(W)$  is the **restricted protrusion** of  $W$ .
- We call  $\partial_G(W)$  the **boundary** and  $|W|$  the **size** of  $W$ .

# Protrusion decompositions

An  $(\alpha, t)$ -protrusion decomposition of a graph  $G$  is a partition  $\mathcal{P} = Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  of  $V(G)$  such that:

- for every  $1 \leq i \leq \ell$ ,  $N(Y_i) \subseteq Y_0$ ;
- for every  $1 \leq i \leq \ell$ ,  $Y_i \cup N_{Y_0}(Y_i)$  is a  $t$ -protrusion of  $G$ ;
- $\max\{\ell, |Y_0|\} \leq \alpha$ .



The set  $Y_0$  is called the **separating part** of  $\mathcal{P}$ .

(Figure by Felix Reidl)



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# Main (informal) ideas of our algorithm

- **Protrusion decompositions** have already been used in the literature.

[Bodlaender, Fomin, Lokshtanov, Saurabh, Thilikos '09-12]

# Main (informal) ideas of our algorithm

- Here we present a **new algorithm** to compute protrusion decompositions for graphs  $G$  that come equipped with a set

$$X \subseteq V(G) \text{ s.t. } \text{tw}(G - X) \leq t$$

for some constant  $t > 0$ .

The set  $X$  is called a  **$t$ -treewidth-modulator**.

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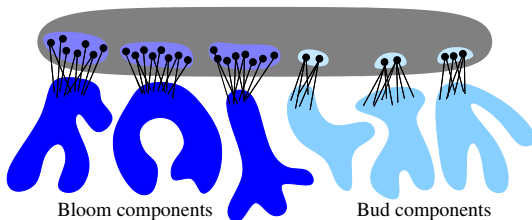
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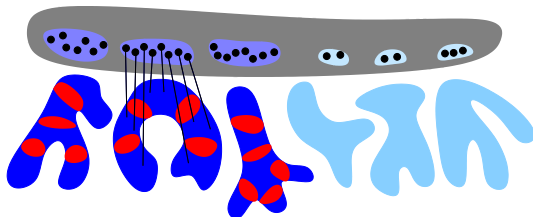
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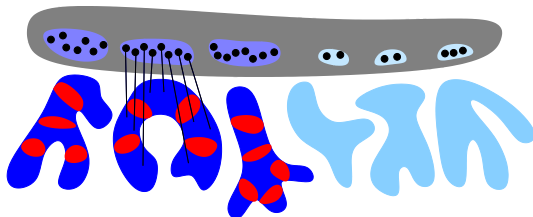
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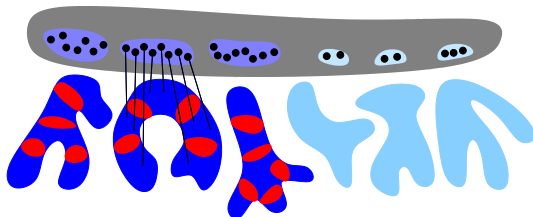


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- Some marked bags will be mapped bijectively into pairwise vertex-disjoint connected subgraphs of  $G - X$ , each of which has  $\geq r$  neighbors in  $X$ .
- Finally, to guarantee that the conn. comp. of  $G - (X \cup V(\mathcal{M}))$  form protrusions with small boundary, the set  $\mathcal{M}$  is closed under taking LCA.

# Description of the bag marking algorithm

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- ★ Compute an optimal rooted tree-decomposition  $\mathcal{T}_C = (T_C, \mathcal{B}_C)$  of every connected component  $C$  of  $G - X$  such that  $|N_X(C)| \geq r$ .

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**Return**  $Y_0 = X \cup V(\mathcal{M})$ .

# Some properties of the bag marking algorithm

## Lemma

The *bag marking algorithm* can be implemented to run in  $O(n)$  time, where the hidden constant depends only on  $t$  and  $r$ .

# Some properties of the bag marking algorithm

Given a graph  $G$  and a subset  $S \subseteq V(G)$ , a **cluster of  $G - S$**  is a **maximal** collection of connected components of  $G - S$  with the same neighborhood in  $S$ .

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## Proposition

- Let  $r, t$  be two positive integers,
- let  $G$  be a graph and  $X \subseteq V(G)$  such that  $\text{tw}(G - X) \leq t - 1$ ,
- let  $Y_0 \subseteq V(G)$  be the output of the algorithm with input  $(G, X, r)$ , and
- let  $Y_1, \dots, Y_\ell$  be the set of **clusters of  $G - Y_0$** .

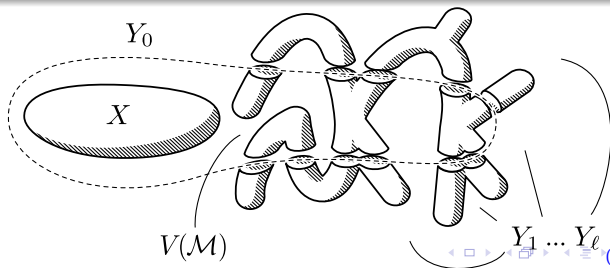
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Then  $\mathcal{P} := Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  is a  $(\max\{\ell, |Y_0|\}, 2t + r)$ -**protrusion decomp.** of  $G$ .



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**Input:** A graph  $G$  and a non-negative integer  $k$ .

**Parameter:** The integer  $k$ .

**Question:** Does  $G$  have a set  $X \subseteq V(G)$  such that  $|X| \leq k$  and  $G - X$  is  $H$ -minor-free for every  $H \in \mathcal{F}$ ?

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Some particular cases:

- ①  $\mathcal{F} = \{K_2\}$  :  $\equiv$  VERTEX COVER  
 $\equiv$  TREEWIDTH-ZERO VERTEX DELETION
- ②  $\mathcal{F} = \{K_3\}$  :  $\equiv$  FEEDBACK VERTEX SET  
 $\equiv$  TREEWIDTH-ONE VERTEX DELETION
- ③  $\mathcal{F} = \{K_4\}$  :  $\equiv$  TREEWIDTH-TWO VERTEX DELETION

# How fast can $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ be solved?

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## Particular cases:

- $\mathcal{F} = \{K_2\}$   $O^*(1.2738^k)$  [Chen, Fernau, Kanj, Xia '10]
- $\mathcal{F} = \{K_3\}$   $O^*(3.83^k)$  [Cao, Chen, Liu '10]
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## General case:

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- $2^{2^{O(k \log k)}} \cdot n^{O(1)}$  -time algorithm based on standard DP.

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## Theorem

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- This result unifies a number of algorithms in the literature.
- No hope for a  $2^{o(k)} \cdot n^{O(1)}$ -time algorithm (under **ETH**). [Chen et al. '05]

That is, the function  $2^{O(k)}$  in our theorem is **best possible**.

# Next subsection is...

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 **Single-exponential algorithm for PLANAR- $\mathcal{F}$ -DELETION**
  - Motivation and our result
  - **Sketch of proof**
  - Further research
- 4 Linear kernels on graphs without topological minors
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**Input:** A graph  $G$ , a non-negative integer  $k$ , and a set  $X \subseteq V(G)$  with  $|X| = k$  s.t.  $G - X$  is  $\mathcal{F}$ -minor-free.

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Lemma (well-known)

If DISJOINT PLANAR- $\mathcal{F}$ -DELETION can be solved in time  $O^*(c^k)$  for some  $c \in \mathbb{N}^+$ , then PLANAR- $\mathcal{F}$ -DELETION can be solved in  $O^*((c+1)^k)$ .

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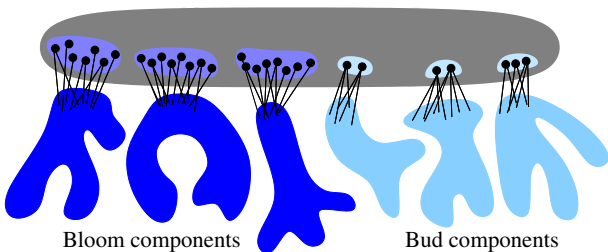
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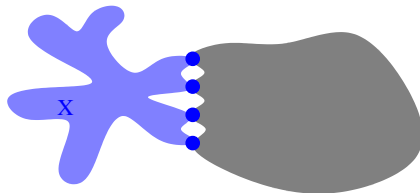
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★ A **connected component**  $C$  of  $G - X$  is called a **bloom** component if  $|N_X(C)| \geq r$ , and a **bud** component otherwise.



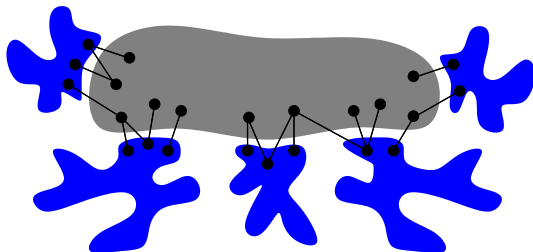
# Linear protrusion decompositions

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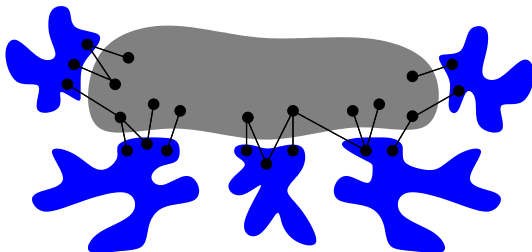


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- ★  $\mathcal{P}$  is **linear** with respect to a parameter  $k$  whenever  $\alpha = O(k)$ .

# Algorithm to solve DISJOINT PLANAR- $\mathcal{F}$ -DELETION

- ★ We will use our algorithm to compute protrusion decompositions.



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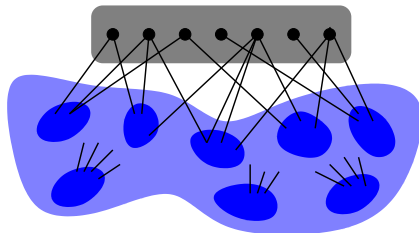
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★ Both steps can be done in **single-exponential time**.

# First step: analysis of the bag marking algorithm

Lemma (edge simulation to chop bloom components)

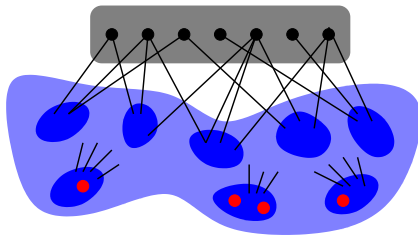
If  $C_1, \dots, C_\ell$  is a collection of *connected pairwise vertex-disjoint subgraphs* of  $G - X$  such that  $|N_X(C_i)| \geq r$  for  $1 \leq i \leq \ell$ , then  $\ell \leq (1 + \alpha_r) \cdot k$ .



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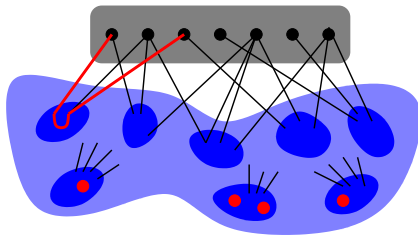
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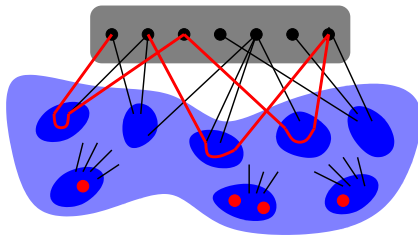
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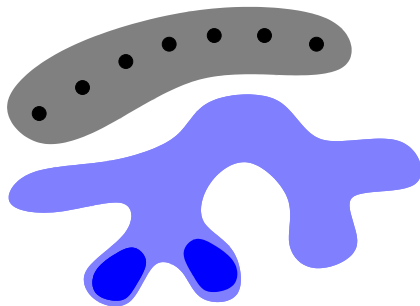
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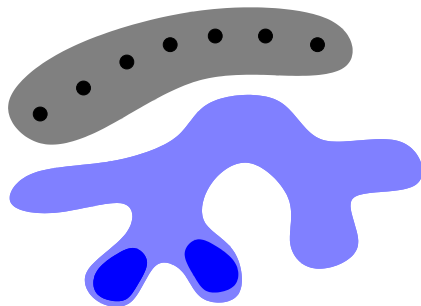
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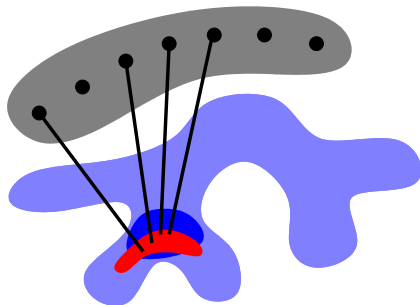
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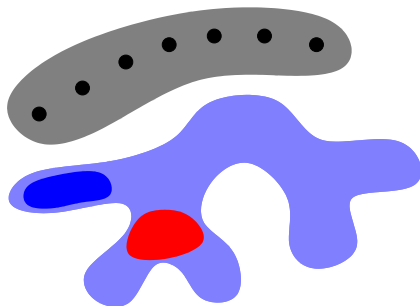
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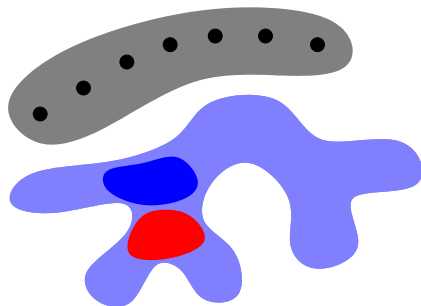
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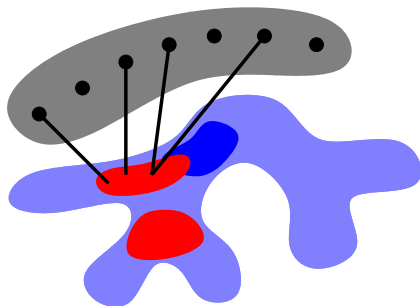
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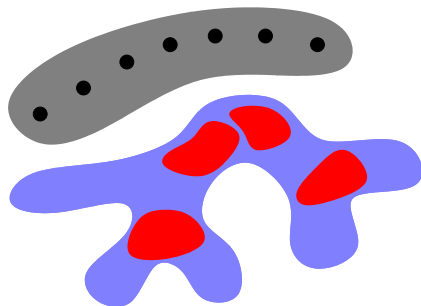
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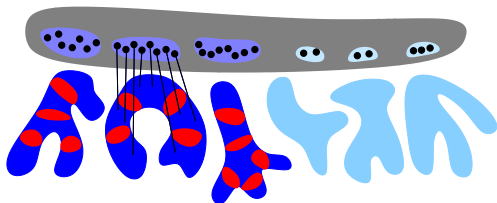
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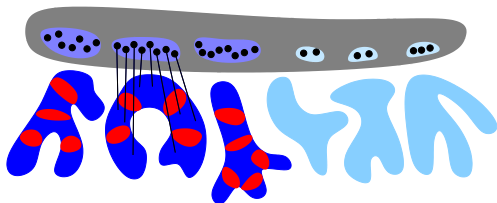


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If  $(G, X, k)$  is a YES-instance of DISJOINT PLANAR- $\mathcal{F}$ -DELETION, then

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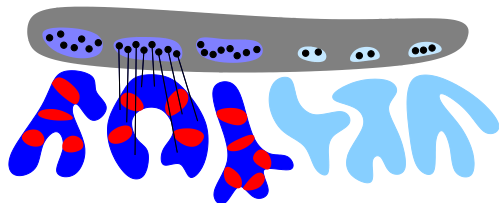
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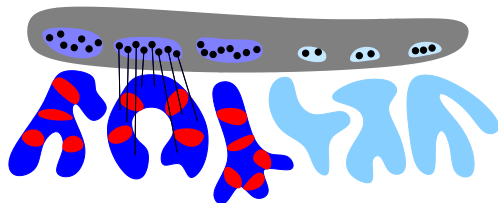
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- $|\mathcal{M}| \leq (1 + \alpha_r) \cdot k$  (by the “edge simulation” Lemma)



# Computing a linear protrusion decomposition

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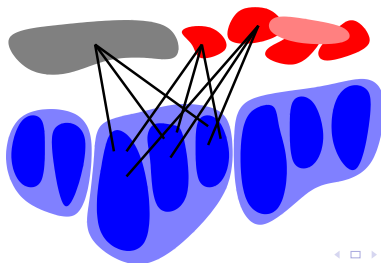
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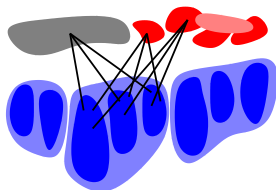
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Let  $G_I := G - I$ . Recall that a **cluster** of  $G_I - Y_0$  is a maximal set of connected components of  $G_I - Y_0$  with the same neighborhood in  $Y_0$ .



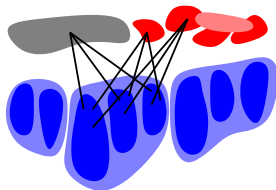
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Lemma (For some choice of  $I$ ,  $\#clusters = O(k)$ )

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## Linear protrusion decomposition (2)



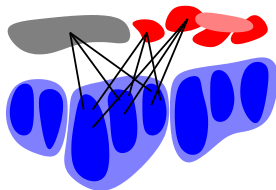
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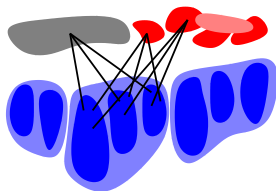
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★ At most  $\ell' = k - |I|$  clusters  $C_1, \dots, C_{\ell'}$  intersect the alternative solution  $\tilde{X}$ .

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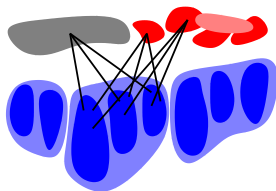
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We have that  $G' = G_I - \bigcup_{i=1}^{\ell'} C_i$  is  $\mathcal{F}$ -minor-free.



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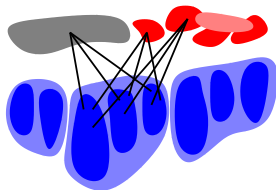
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★ Using edge simulation we construct a minor of  $G'$  on vertices of  $Y_0$ .

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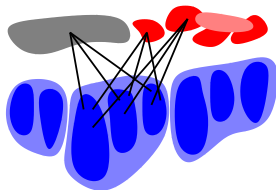
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★ As before, the number of clusters used so far is at most  $\alpha_r \cdot k$ .

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Lemma (For some choice of  $I$ ,  $\#clusters = O(k)$ )

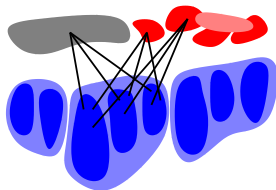
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★ When we cannot add more edges, all neighborhoods of clusters are *cliques*!

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★ Now we use the Proposition: the number of remaining clusters is  $\mu_r \cdot k$ .

# Back to the road map of the algorithm

Therefore, the partition  $\mathcal{P} = Y_0 \uplus C_1 \uplus \dots \uplus C_\ell$  is a

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- 1 Guess the intersection  $I = \tilde{X} \cap Y_0$  of the alt. solution  $\tilde{X}$  with  $Y_0$  s.t.:
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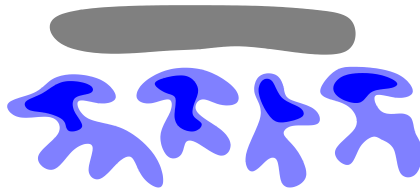
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- 2 Finally, compute  $\tilde{X} \setminus I$ , given a linear protrusion decomposition.

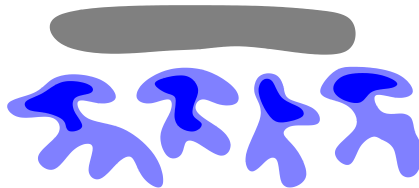
Based on the finite index of MSO-definable properties (automaton theory)

## Solving the problem when given a linear protrusion decomposition





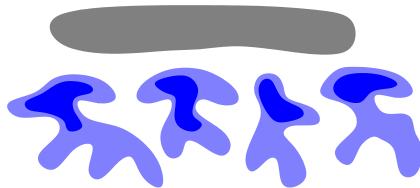
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Main ingredients of our approach:

- ★ We define an **equivalence relation** on subsets of vertices of each **restricted protrusion**  $Y_i$  (roughly, same class if they behave in the same way).

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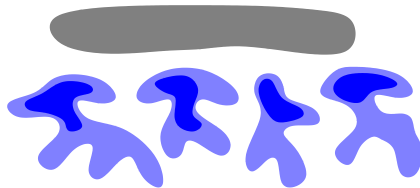


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[Bodlaender, de Fluiter '01]

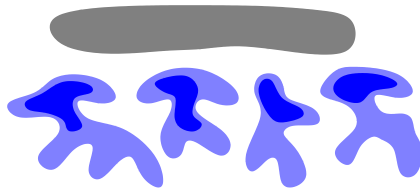
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- ★ We use a **decomposability** property of the solution: there exists a solution which is formed by the **union of one representative per restricted protrusion**.
- ★ To make the algorithm **constructive** and **uniform** on the family  $\mathcal{F}$ , we use classic arguments from tree **automaton theory** (like **method of test sets**).

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## Theorem

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# Kernels

- A **kernel** for a parameterized problem  $\Pi$  is an algorithm that given  $(x, k)$  outputs, in time **polynomial in  $|x| + k$** , an instance  $(x', k')$  s.t.:
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- The function  $g$  is called the **size** of the kernel.
  - ★ If  $g(k) = k^{O(1)}$ :  $\Pi$  admits a **polynomial** kernel.
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- **Folklore result:** for a parameterized problem  $\Pi$ ,

$$\Pi \text{ is FPT} \Leftrightarrow \Pi \text{ admits a kernel}$$

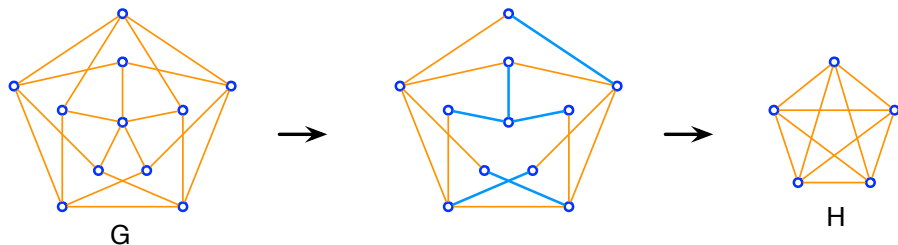
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- **Question:** which **FPT** problems admit **linear or polynomial** kernels?

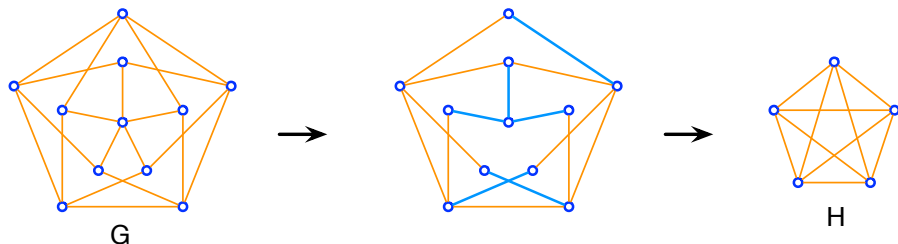


# Minors and topological minors



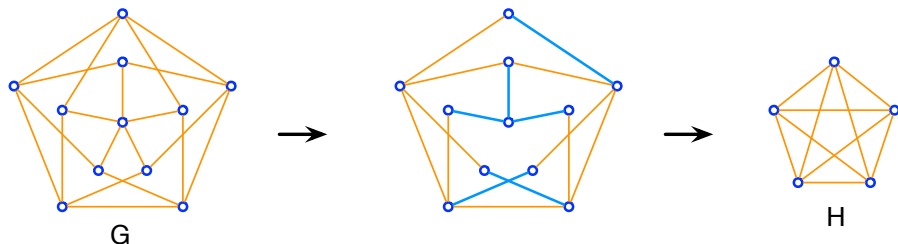
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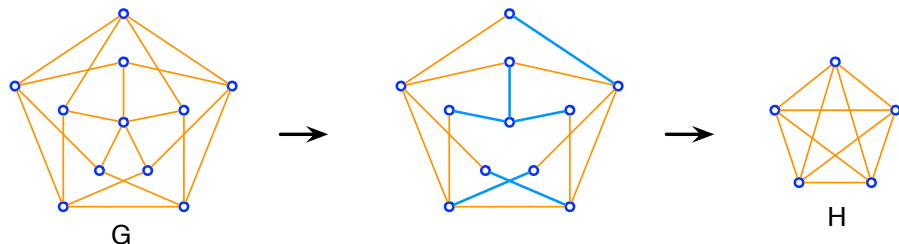
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# Minors and topological minors



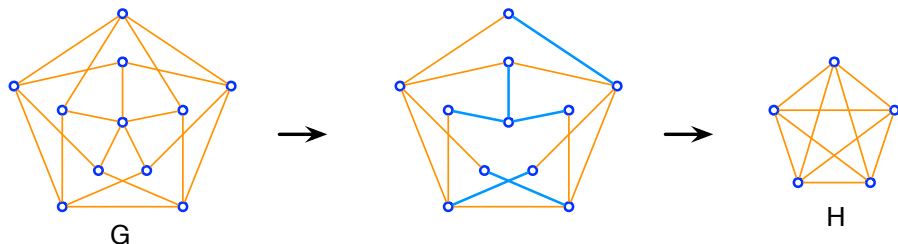
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# Linear kernels on sparse graphs – an overview

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Fix a graph  $H$ . Let  $\Pi$  be a parameterized graph problem on the class of  $H$ -topological-minor-free graphs that is *treewidth-bounding* and has *finite integer index (FI)*. Then  $\Pi$  admits a linear kernel.

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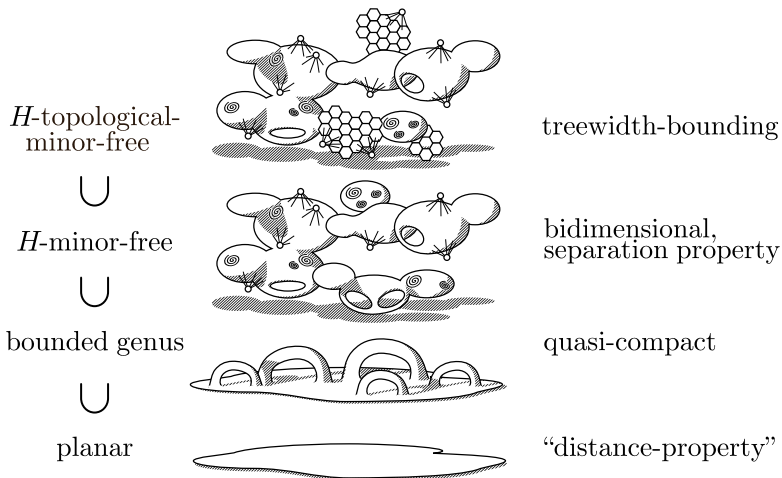
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Problems affected by our result:

TREewidth- $t$  VERTEX DELETION, CHORDAL VERTEX DELETION,  
INTERVAL VERTEX DELETION, EDGE DOMINATING SET, FEEDBACK  
VERTEX SET, CONNECTED VERTEX COVER, ...

# Linear kernels on sparse graphs – the conditions



(Figure by Felix Reidl)



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- Thus, our results imply the linear kernels of [Fomin, Lokshantov, Saurabh, Thilikos '10]

# Next subsection is...

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for  $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ 
  - Motivation and our result
  - Sketch of proof
  - Further research
- 4 Linear kernels on graphs without topological minors
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# Finite Integer Index (FII)

[Bodlaender, de Fluiter '01]



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# Disconnected PLANAR- $\mathcal{F}$ -DELETION has not FII

- We prove: if  $\mathcal{F}$  is a family of graphs containing some disconnected graph  $H$ , then PLANAR- $\mathcal{F}$ -DELETION has not FII (in general).

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- Then, if we take  $1 \leq n < m$ ,

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- Thus,  $G_n, G_m \notin$  same equiv. class of  $\sim_{\Pi,1}$  whenever  $1 \leq n < m$ .

# Some important ingredients

(suppose problem  $\Pi$  has FII)

Lemma (The parameter does not increase)

$\forall$  fixed  $t$ ,  $\exists$  *finite set*  $\mathcal{R}_t$  of  $t$ -boundaried graphs s.t. for each  $t$ -boundaried graph  $G \in \mathcal{G}_t \exists G' \in \mathcal{R}_t$  s.t.  $G \equiv_{\Pi, t} G'$  and  $\Delta_{\Pi, t}(G, G') \geq 0$ .



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Let  $t$  be a constant. Given an  $n$ -vertex graph  $G$ , a  $t$ -protrusion of  $G$  with the maximum number of vertices can be found in time  $O(n^{t+1})$ .

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If one is given a  $t$ -protrusion  $X \subseteq V(G)$  s.t.  $\rho'_{\Pi}(t) < |X|$ , then one can, in time  $O(|X|)$ , find a  $2t$ -protrusion  $W$  s.t.  $\rho'_{\Pi}(t) < |W| \leq 2 \cdot \rho'_{\Pi}(t)$ .

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**Lemma (Replacing protrusions of constant size)**

For  $t \in \mathbb{N}$ , suppose that the set  $\mathcal{R}_t$  of representatives of  $\equiv_{\Pi, t}$  is given. If  $W$  is a  $t$ -protrusion of size at most a fixed constant  $c$ , then one can decide in constant time which  $G' \in \mathcal{R}_t$  satisfies  $G' \equiv_{\Pi, t} G[W]$ .

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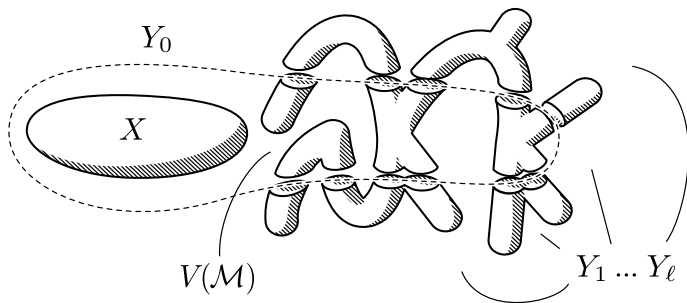
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It runs in **polynomial time** ... given the sets of representatives!

# Protrusion decompositions (in case someone forgot!)

An  $(\alpha, t)$ -protrusion decomposition of a graph  $G$  is a partition  $\mathcal{P} = Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  of  $V(G)$  such that:

- for every  $1 \leq i \leq \ell$ ,  $N(Y_i) \subseteq Y_0$ ;
- for every  $1 \leq i \leq \ell$ ,  $Y_i \cup N_{Y_0}(Y_i)$  is a  $t$ -protrusion of  $G$ ;
- $\max\{\ell, |Y_0|\} \leq \alpha$ .



(Figure by Felix Reidl)

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Let  $\Pi$  be a parameterized graph problem that has **Fll** and is  **$t$ -treewidth-bounding**, both on the class of  **$H$ -topological-minor-free graphs**. Then any **reduced YES-instance**  $(G, k)$  has a **protrusion decomposition**  $V(G) = Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  s.t.:

- 1  $|Y_0| = O(k)$ ;
- 2  $|Y_i| \leq \rho'_\Pi(2t + \omega_H)$  for  $1 \leq i \leq \ell$ ; and
- 3  $\ell = O(k)$ .

# Next subsection is...

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for  $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ 
  - Motivation and our result
  - Sketch of proof
  - Further research
- 4 Linear kernels on graphs without topological minors
  - Motivation and our result
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- Explicit** constants? **Lower bounds** on their size?

# Gràcies!

