

# Single-exponential algorithms and linear kernels via protrusion decompositions

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# Outline of the talk

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for  $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ 
  - Motivation and our result
  - Sketch of proof
  - Further research
- 4 Linear kernels on graphs without topological minors
  - Motivation and our result
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## Some words on parameterized complexity

- **Idea** given an NP-hard problem with **input size  $n$** , fix one **parameter  $k$**  of the input to see whether the problem gets more “tractable”.

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- Given a (NP-hard) problem with input of size  $n$  and a parameter  $k$ , a **fixed-parameter tractable (FPT)** algorithm runs in time

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**Examples:**  $k$ -VERTEX COVER,  $k$ -LONGEST PATH.

- A **single-exponential parameterized algorithm** is an FPT algo s.t.

$$f(k) = 2^{O(k)}.$$

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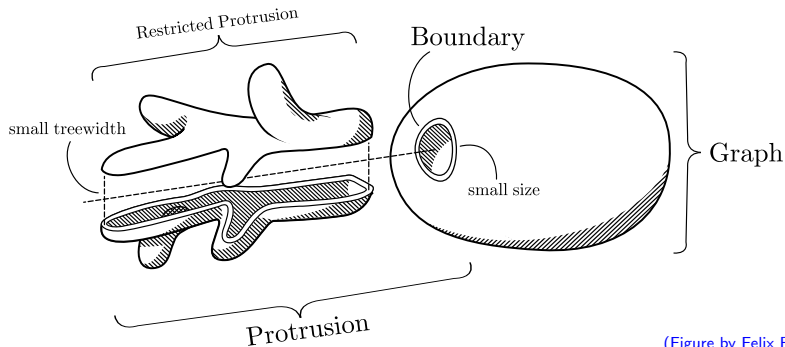
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# Protrusions

[Bodlaender, Fomin, Lokshtanov, Penninkx, Saurabh, Thilikos '09]

- Given a graph  $G$ , a set  $W \subseteq V(G)$  is a  **$t$ -protrusion** of  $G$  if

$$|\partial_G(W)| \leq t \text{ and } \text{tw}(G[W]) \leq t$$



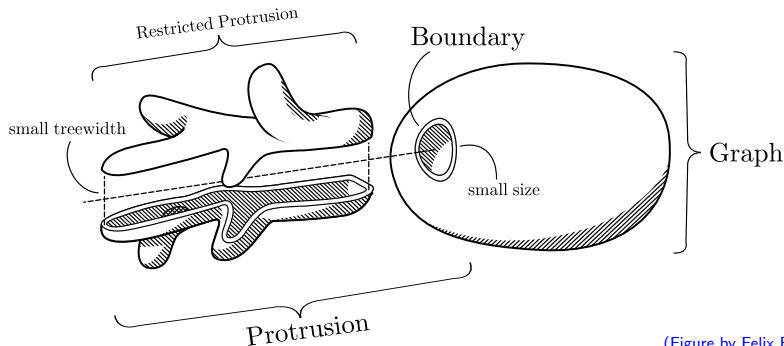
(Figure by Felix Reidl)

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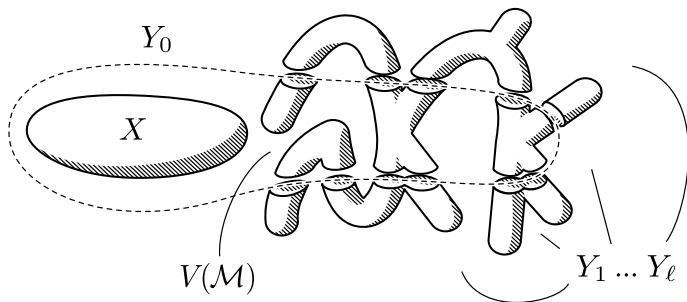
(Figure by Felix Reidl)

- The vertex set  $W' = W \setminus \partial_G(W)$  is the **restricted protrusion** of  $W$ .
- We call  $\partial_G(W)$  the **boundary** and  $|W|$  the **size** of  $W$ .

# Protrusion decompositions

An  $(\alpha, t)$ -protrusion decomposition of a graph  $G$  is a partition  $\mathcal{P} = Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  of  $V(G)$  such that:

- for every  $1 \leq i \leq \ell$ ,  $N(Y_i) \subseteq Y_0$ ;
- for every  $1 \leq i \leq \ell$ ,  $Y_i \cup N_{Y_0}(Y_i)$  is a  $t$ -protrusion of  $G$ ;
- $\max\{\ell, |Y_0|\} \leq \alpha$ .



The set  $Y_0$  is called the **separating part** of  $\mathcal{P}$ .

(Figure by Felix Reidl)



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# Main (informal) ideas of our algorithm

- **Protrusion decompositions** have already been used in the literature.

[Bodlaender, Fomin, Lokshtanov, Saurabh, Thilikos '09-12]

# Main (informal) ideas of our algorithm

- Here we present a **new algorithm** to compute protrusion decompositions for graphs  $G$  that come equipped with a set

$$X \subseteq V(G) \text{ s.t. } \text{tw}(G - X) \leq t$$

for some constant  $t > 0$ .

The set  $X$  is called a  **$t$ -treewidth-modulator**.

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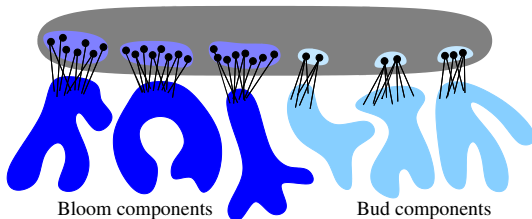
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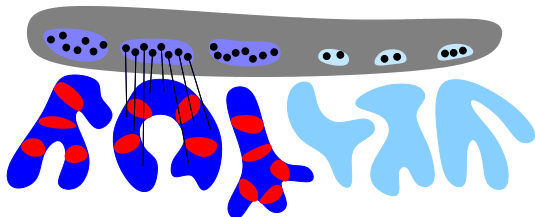
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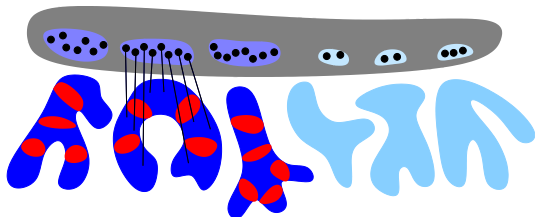
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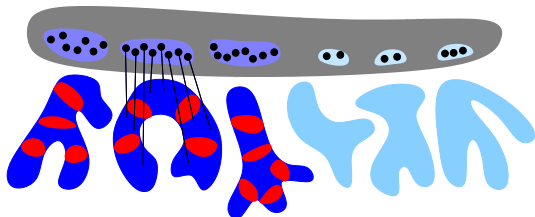


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- Some marked bags will be mapped bijectively into pairwise vertex-disjoint connected subgraphs of  $G - X$ , each of which has  $\geq r$  neighbors in  $X$ .
- Finally, to guarantee that the conn. comp. of  $G - (X \cup V(\mathcal{M}))$  form protrusions with small boundary, the set  $\mathcal{M}$  is closed under taking LCA.

# Description of the bag marking algorithm

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- ★ Compute an optimal rooted tree-decomposition  $\mathcal{T}_C = (T_C, \mathcal{B}_C)$  of every connected component  $C$  of  $G - X$  such that  $|N_X(C)| \geq r$ .

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**Return**  $Y_0 = X \cup V(\mathcal{M})$ .

# Some properties of the bag marking algorithm

## Lemma

The *bag marking algorithm* can be implemented to run in  $O(n)$  time, where the hidden constant depends only on  $t$  and  $r$ .

## Some properties of the bag marking algorithm

Given a graph  $G$  and a subset  $S \subseteq V(G)$ , a **cluster of  $G - S$**  is a **maximal** collection of connected components of  $G - S$  with the same neighborhood in  $S$ .

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## Proposition

- Let  $r, t$  be two positive integers,
- let  $G$  be a graph and  $X \subseteq V(G)$  such that  $\text{tw}(G - X) \leq t$ ,
- let  $Y_0 \subseteq V(G)$  be the output of the algorithm with input  $(G, X, r)$ , and
- let  $Y_1, \dots, Y_\ell$  be the set of **clusters of  $G - Y_0$** .

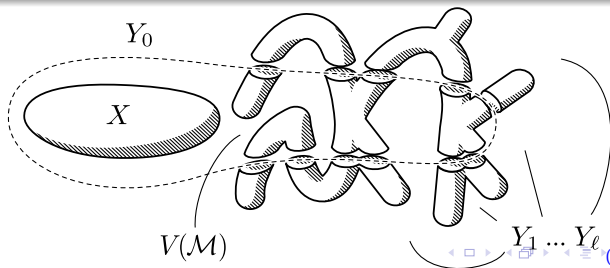
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Then  $\mathcal{P} := Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  is a  **$(\max\{\ell, |Y_0|\}, 2t + r)$ -protrusion decomp.** of  $G$ .



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**Input:** A graph  $G$  and a non-negative integer  $k$ .

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**Question:** Does  $G$  have a set  $X \subseteq V(G)$  such that  $|X| \leq k$  and  $G - X$  is  $H$ -minor-free for every  $H \in \mathcal{F}$ ?

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Some particular cases:

- 1  $\mathcal{F} = \{K_2\}$  :  $\equiv$  VERTEX COVER  
 $\equiv$  TREEWIDTH-ZERO VERTEX DELETION
- 2  $\mathcal{F} = \{K_3\}$  :  $\equiv$  FEEDBACK VERTEX SET  
 $\equiv$  TREEWIDTH-ONE VERTEX DELETION
- 3  $\mathcal{F} = \{K_4\}$  :  $\equiv$  TREEWIDTH-TWO VERTEX DELETION

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- $\mathcal{F} = \{\theta_r\}$   $O^*(c^k)$  [Joret, Paul, S., Saurabh, Thomassé '11]
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- This result unifies a number of algorithms in the literature.
- No hope for a  $2^{o(k)} \cdot n^{O(1)}$ -time algorithm (under **ETH**). [Chen et al. '05]

That is, the function  $2^{O(k)}$  in our theorem is **best possible**.

# Next subsection is...

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for PLANAR- $\mathcal{F}$ -DELETION**
  - Motivation and our result
  - Sketch of proof**
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- 4 Linear kernels on graphs without topological minors
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Lemma (well-known)

If DISJOINT PLANAR- $\mathcal{F}$ -DELETION can be solved in time  $O^*(c^k)$  for some  $c \in \mathbb{N}^+$ , then PLANAR- $\mathcal{F}$ -DELETION can be solved in  $O^*((c+1)^k)$ .

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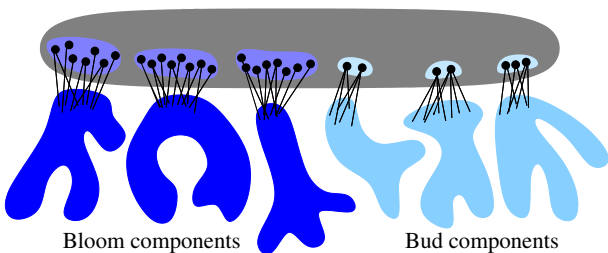
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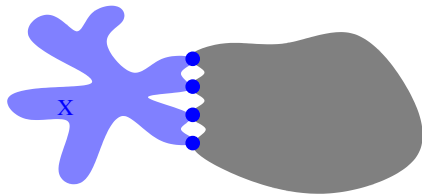
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★ A connected component  $C$  of  $G - X$  is called a **bloom** component if  $|N_X(C)| \geq r$ , and a **bud** component otherwise.



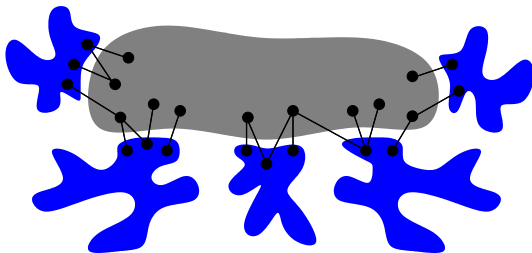
# Linear protrusion decompositions

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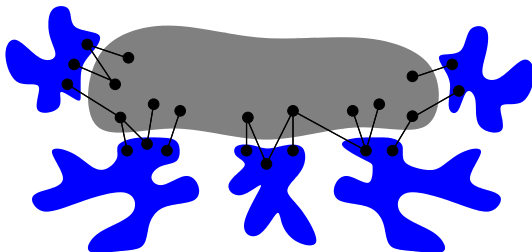
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★  $\mathcal{P}$  is **linear** with respect to a parameter  $k$  whenever  $\alpha = O(k)$ .

# Algorithm to solve DISJOINT PLANAR- $\mathcal{F}$ -DELETION

- ★ We will use our algorithm to compute protrusion decompositions.



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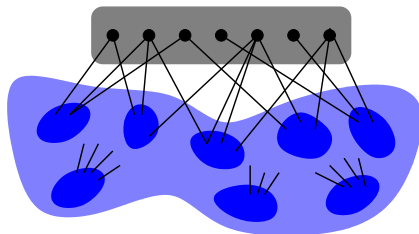
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★ Both steps can be done in **single-exponential time**.

# First step: analysis of the bag marking algorithm

Lemma (edge simulation to chop bloom components)

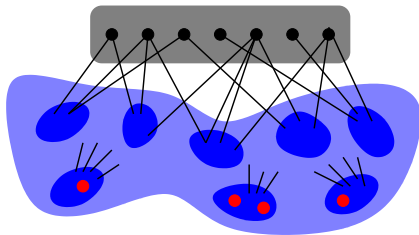
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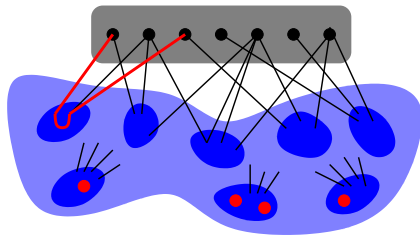
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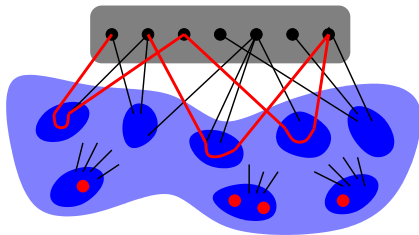
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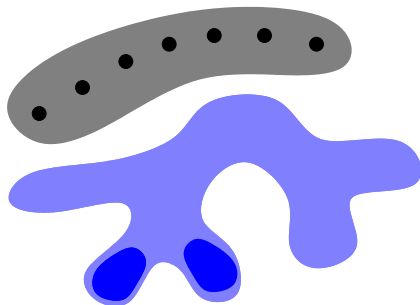
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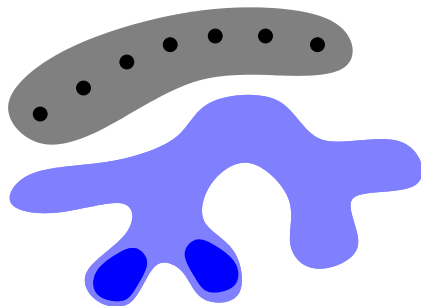
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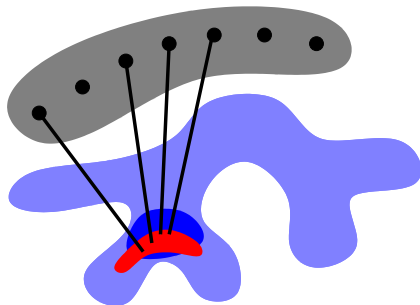
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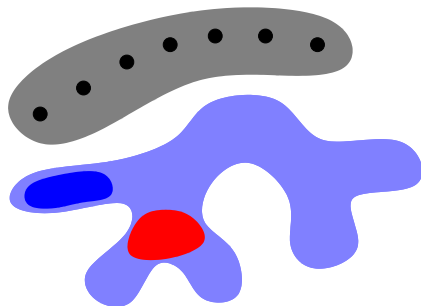
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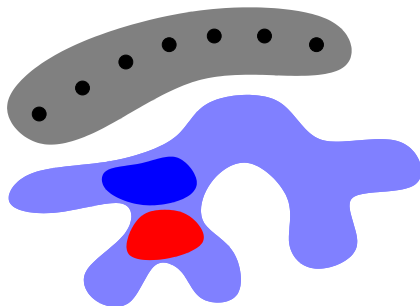
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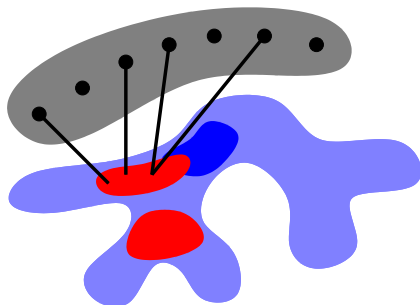
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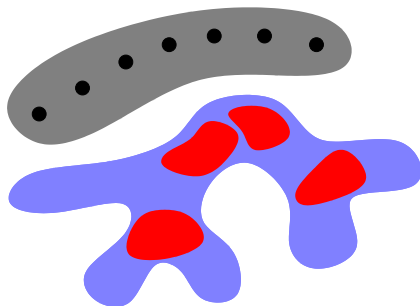
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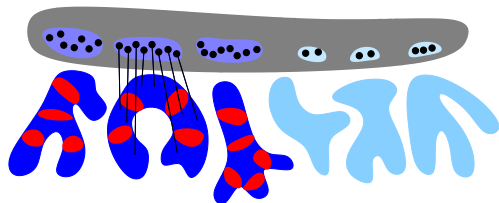
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- $\mathcal{M} \leftarrow \mathcal{M} \cup \{B\}$  and remove the vertices in  $B$  from the bags of  $\mathcal{T}$

## Chopping bloom components (3)

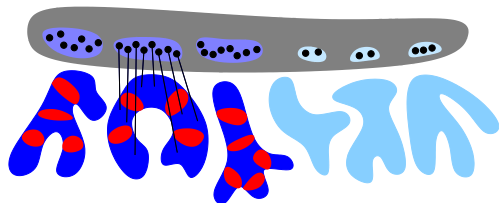


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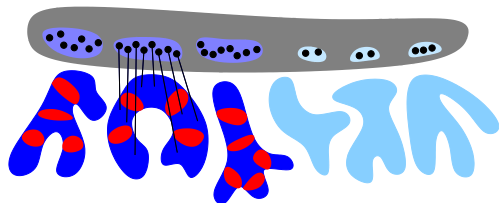
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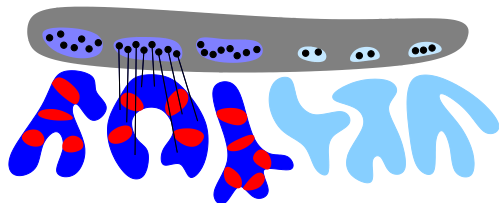
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# Computing a linear protrusion decomposition

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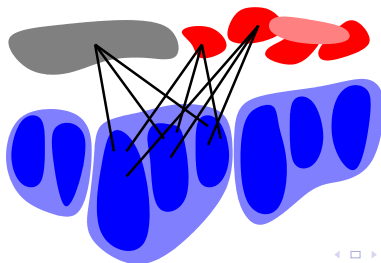
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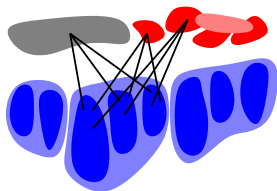
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Let  $G_I := G - I$ . Recall that a **cluster** of  $G_I - Y_0$  is a maximal set of connected components of  $G_I - Y_0$  with the same neighborhood in  $Y_0$ .



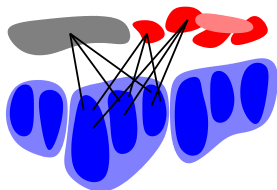
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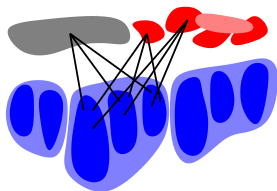
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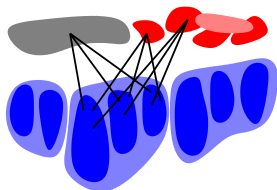
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★ At most  $\ell' = k - |I|$  clusters  $C_1, \dots, C_{\ell'}$  intersect the alternative solution  $\tilde{X}$ .

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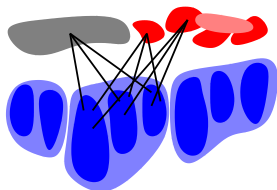
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We have that  $G' = G_I - \bigcup_{i=1}^{\ell'} C_i$  is  $\mathcal{F}$ -minor-free.



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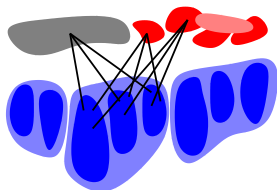
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★ Using [edge simulation](#) we construct a minor of  $G'$  on vertices of  $Y_0$ .

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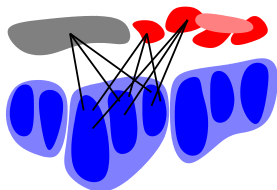
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★ As before, the number of clusters used so far is at most  $\alpha_r \cdot k$ .

## Linear protrusion decomposition (2)



Lemma (For some choice of  $I$ ,  $\#clusters = O(k)$ )

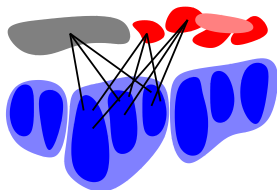
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★ When we cannot add more edges, all neighborhoods of clusters are cliques!

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★ Now we use the Proposition: the number of remaining clusters is  $\mu_r \cdot k$ .

## Back to the road map of the algorithm

Therefore, the partition  $\mathcal{P} = Y_0 \uplus C_1 \uplus \cdots \uplus C_\ell$  is a

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1 Guess the intersection  $I = \tilde{X} \cap Y_0$  of the alt. solution  $\tilde{X}$  with  $Y_0$  s.t.:

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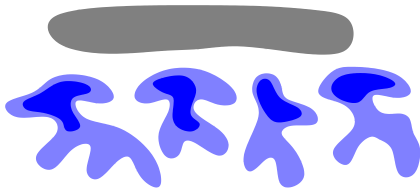
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2 Finally, compute  $\tilde{X} \setminus I$ , given a linear protrusion decomposition.

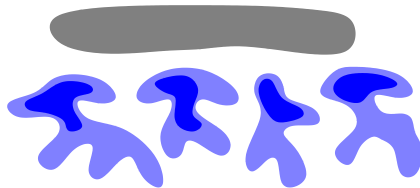
Based on the finite index of MSO-definable properties (automaton theory)

# Solving the problem when given a linear protrusion decomposition





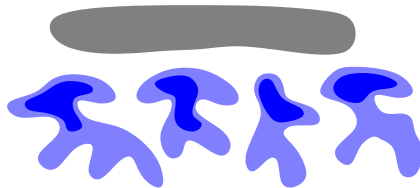
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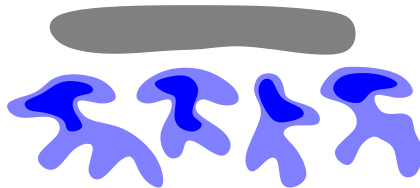


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[Bodlaender, de Fluiter '01]

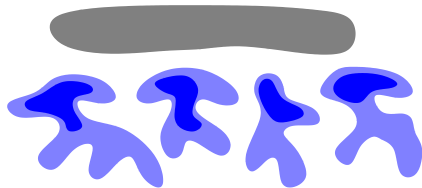
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- ★ To make the algorithm **constructive** and **uniform** on the family  $\mathcal{F}$ , we use classic arguments from tree **automaton theory** (like **method of test sets**).

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  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for PLANAR- $\mathcal{F}$ -DELETION**
  - Motivation and our result
  - Sketch of proof
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- ★ We could forbid the family of graphs  $\mathcal{F}$  according to another **containment relation**, like **topological minor**.

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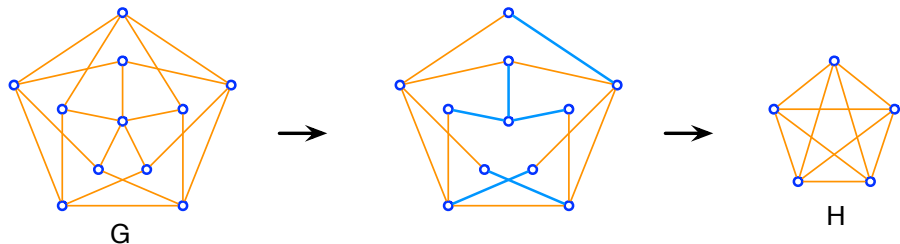
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- **Question**: which **FPT** problems admit **linear or polynomial kernels**?

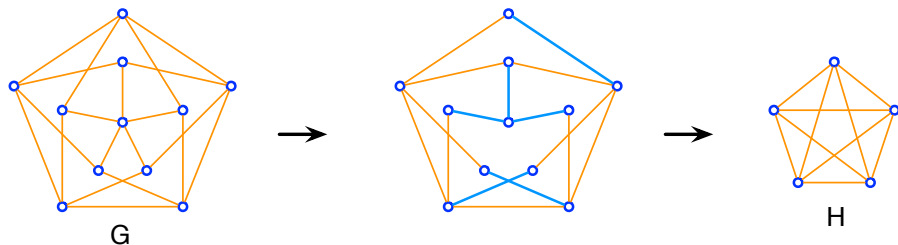


# Minors and topological minors



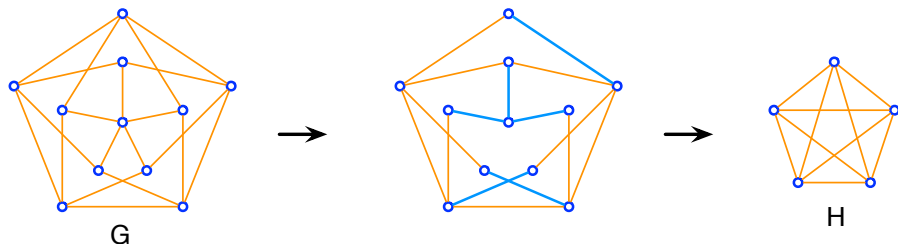
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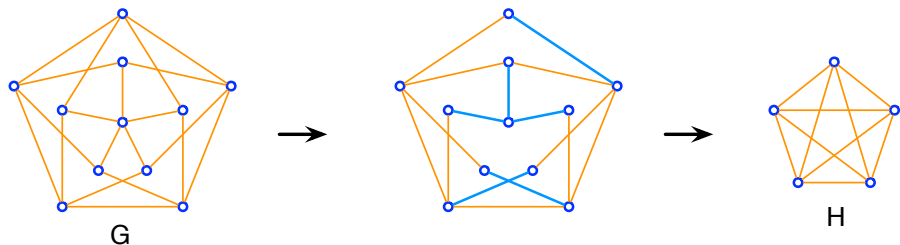
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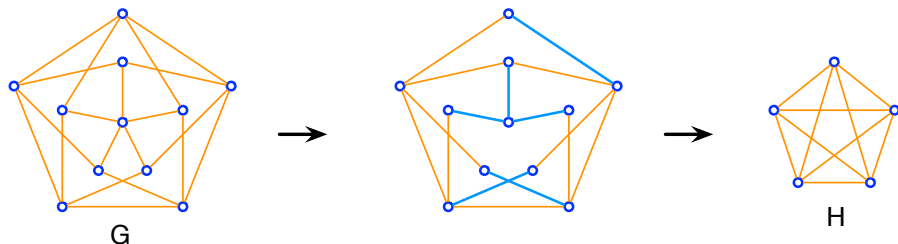
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- **Fixed  $H$ :**  $H$ -minor-free graphs  $\subseteq H$ -topological-minor-free graphs.

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[Alber, Fellows, Niedermeier '04]

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Fix a graph  $H$ . Let  $\Pi$  be a parameterized graph problem on the class of  $H$ -topological-minor-free graphs that is *treewidth-bounding* and has *finite integer index (FI)*. Then  $\Pi$  admits a linear kernel.

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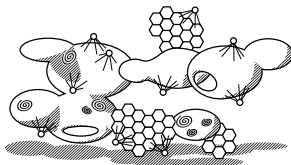
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Problems affected by our result:

TREewidth- $t$  VERTEX DELETION, CHORDAL VERTEX DELETION,  
INTERVAL VERTEX DELETION, EDGE DOMINATING SET, FEEDBACK  
VERTEX SET, CONNECTED VERTEX COVER, ...

# Linear kernels on sparse graphs – the conditions

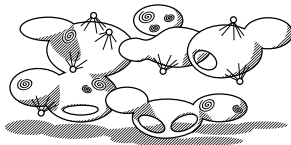
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treewidth-bounding

U

$H$ -minor-free



bidimensional,  
separation property

U

bounded genus



quasi-compact

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planar



“distance-property”

(Figure by Felix Reidl)



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- Thus, our results imply the linear kernels of [Fomin, Lokshantov, Saurabh, Thilikos '10]

# Next subsection is...

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for  $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ 
  - Motivation and our result
  - Sketch of proof
  - Further research
- 4 **Linear kernels on graphs without topological minors**
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# Finite Integer Index (FII)

[Bodlaender, de Fluiter '01]



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# Disconnected PLANAR- $\mathcal{F}$ -DELETION has not FII

- We prove: if  $\mathcal{F}$  is a family of graphs containing some disconnected graph  $H$ , then PLANAR- $\mathcal{F}$ -DELETION has not FII (in general).

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- Thus,  $G_n, G_m \notin$  same equiv. class of  $\sim_{\Pi,1}$  whenever  $1 \leq n < m$ .

# Some important ingredients

(suppose problem  $\Pi$  has FII)

Lemma (The parameter does not increase)

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Lemma (Finding maximum sized protrusions)

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Lemma (Big... but not too big!)

If one is given a  $t$ -protrusion  $X \subseteq V(G)$  s.t.  $\rho'_{\Pi}(t) < |X|$ , then one can, in time  $O(|X|)$ , find an equiv.  $2t$ -protrusion  $W$  s.t.  $\rho'_{\Pi}(t) < |W| \leq 2 \cdot \rho'_{\Pi}(t)$ .

Lemma (The parameter does not increase)

$\forall$  fixed  $t$ ,  $\exists$  *finite set*  $\mathcal{R}_t$  of  $t$ -boundaried graphs s.t. for each  $t$ -boundaried graph  $G \in \mathcal{G}_t \exists G' \in \mathcal{R}_t$  s.t.  $G \equiv_{\Pi,t} G'$  and  $\Delta_{\Pi,t}(G, G') \geq 0$ .

Lemma (Finding maximum sized protrusions)

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Lemma (Replacing protrusions of constant size)

For  $t \in \mathbb{N}$ , suppose that the set  $\mathcal{R}_t$  of representatives of  $\equiv_{\Pi,t}$  is given. If  $W$  is a  $t$ -protrusion of size at most a fixed constant  $c$ , then one can decide in constant time which  $G' \in \mathcal{R}_t$  satisfies  $G' \equiv_{\Pi,t} G[W]$ .

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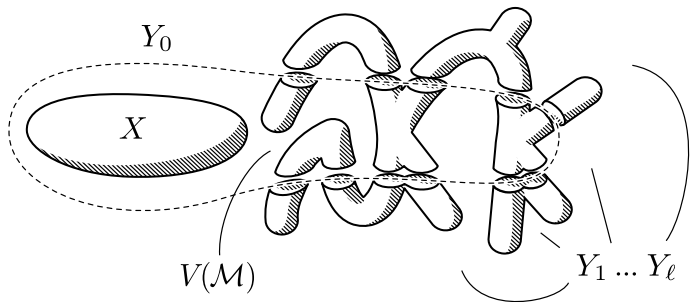
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It runs in **polynomial time** ... given the sets of representatives!

# Protrusion decompositions (in case someone forgot!)

An  $(\alpha, t)$ -protrusion decomposition of a graph  $G$  is a partition  $\mathcal{P} = Y_0 \uplus Y_1 \uplus \dots \uplus Y_\ell$  of  $V(G)$  such that:

- for every  $1 \leq i \leq \ell$ ,  $N(Y_i) \subseteq Y_0$ ;
- for every  $1 \leq i \leq \ell$ ,  $Y_i \cup N_{Y_0}(Y_i)$  is a  $t$ -protrusion of  $G$ ;
- $\max\{\ell, |Y_0|\} \leq \alpha$ .



(Figure by Felix Reidl)

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Let  $\Pi$  be a parameterized graph problem that has **FII** and is  **$t$ -treewidth-bounding**, both on the class of  **$H$ -topological-minor-free graphs**. Then any **reduced YES-instance**  $(G, k)$  has a **protrusion decomposition**  $V(G) = Y_0 \uplus Y_1 \uplus \dots \uplus Y_{\ell}$  s.t.:

- 1  $|Y_0| = O(k)$ ;
- 2  $|Y_i| \leq \rho'_{\Pi}(2t + \omega_{\mathcal{H}})$  for  $1 \leq i \leq \ell$ ; and
- 3  $\ell = O(k)$ .

# Next subsection is...

- 1 Preliminaries
- 2 Protrusion decompositions
  - Definitions
  - A simple algorithm to compute them
- 3 Single-exponential algorithm for  $\text{PLANAR-}\mathcal{F}\text{-DELETION}$ 
  - Motivation and our result
  - Sketch of proof
  - Further research
- 4 Linear kernels on graphs without topological minors
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  - Idea of proof
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# Gràcies!

