

Evolution of Late Pliocene hominin midfacial morphology. An approach using three-dimensional surface registration

J. Braga^{1,3}, G. Subsol², F. Thackeray³, G. Dasgupta⁴, V. Balter^{5,3}, F. Dedouit¹, N. Telmon¹

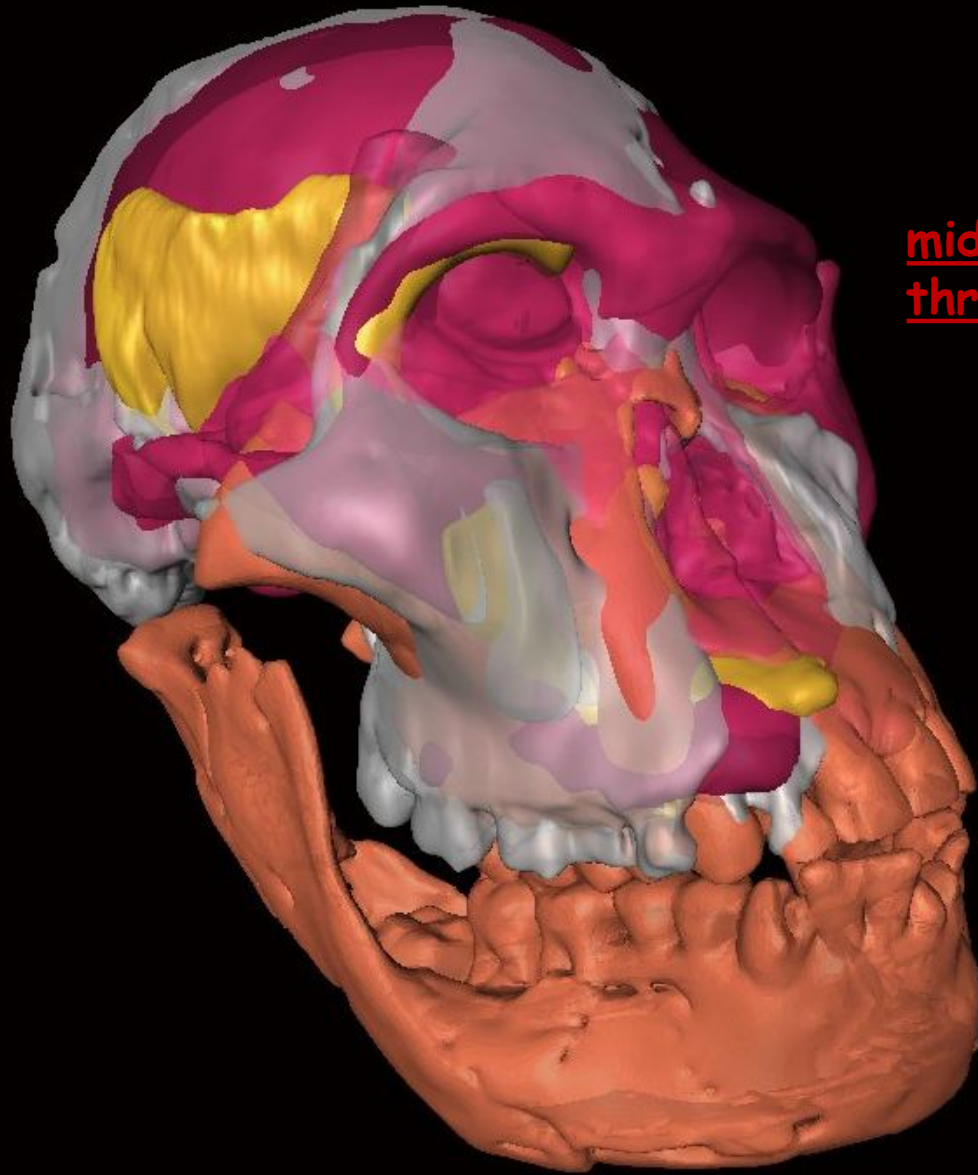
¹Department of Biological Anthropology, University Paul Sabatier, Toulouse, France

²Department of Computer Science, LIRMM, University of Montpellier, France

³Human Origins and Past Environments Programme, Transvaal Museum, South Africa

⁴Department of Engineering Mechanics, Columbia University, U.S.A.

⁵Ecole Normale Supérieure, Lyon, France.



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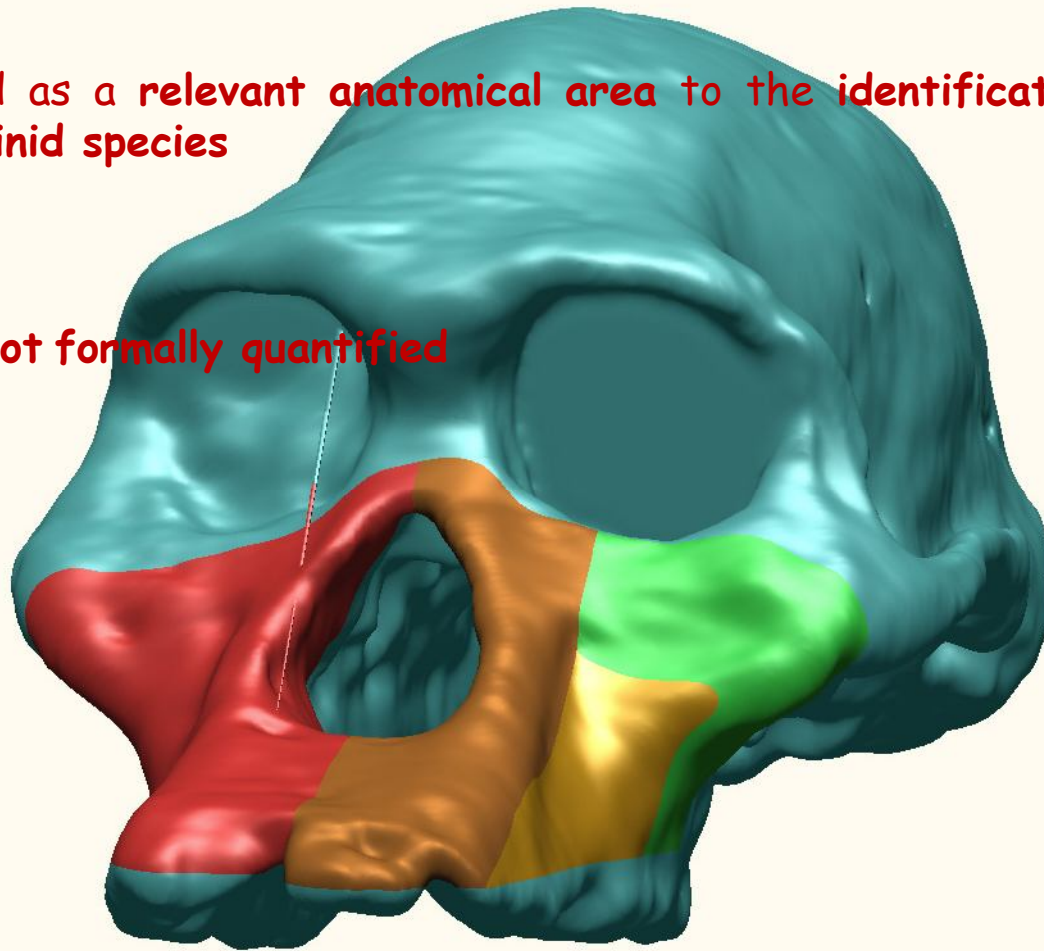
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University, U.S.A.

⁵Ecole Normale Supérieure, Lyon, France.

Form and local anatomical changes of the mid-facial skeleton

Considered as a relevant anatomical area to the identification of extant and fossil hominid species

So far : not formally quantified



Current methods

- Visual inspection

(Rak, 1983, 1985; Braga, 1996, 1998; McCollum and Ward, 1997; Lockwood, 1999)

- Linear and angular measurements

(Lockwood, 1999; Leakey et al., 2001)

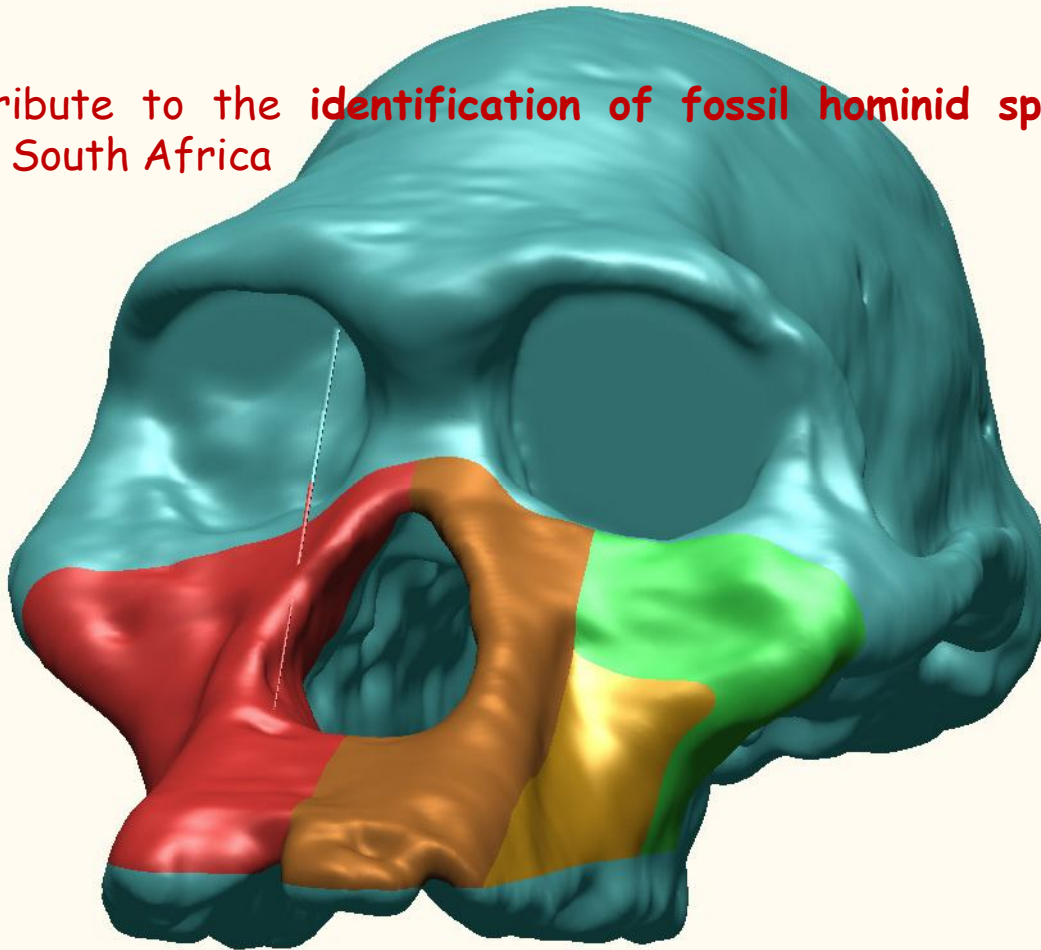
- 3 D GMM : Landmark and/or semi-landmark based methods
(Ponce de Leon and Zollikofer, 2001; Cobb and O'Higgins, 2004)

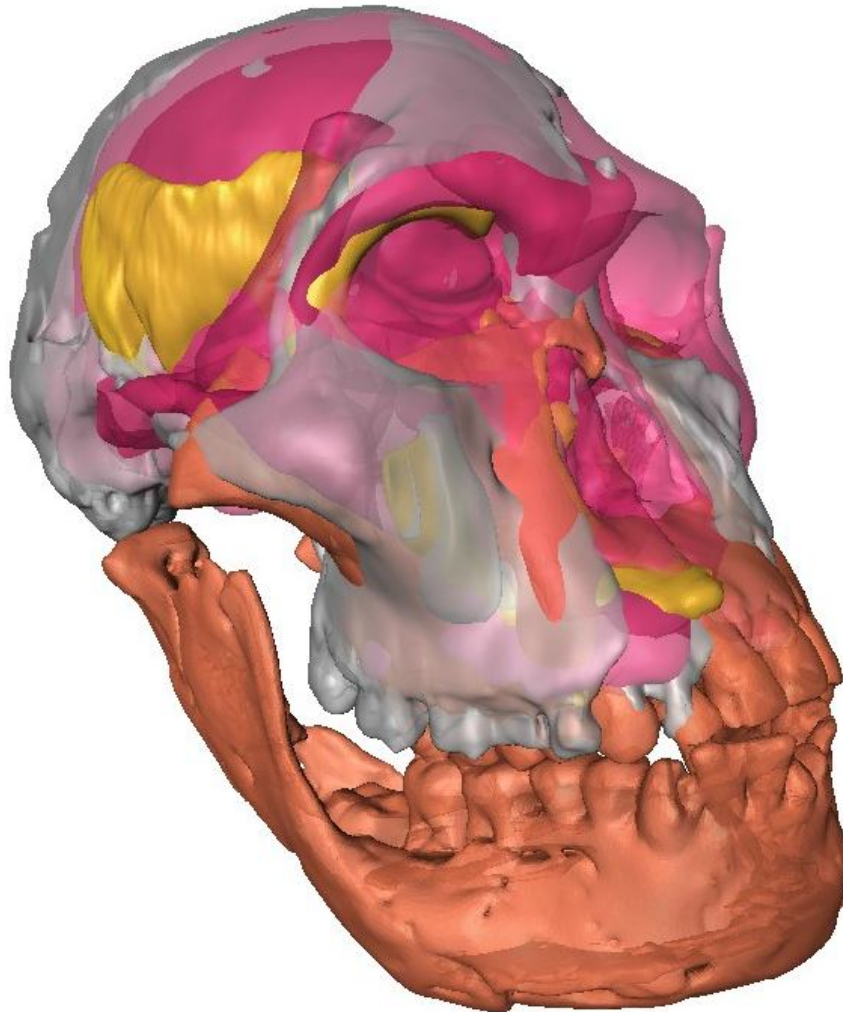


- Introduce automatic methods
- Propose a protocol

for the study of local anatomical changes of the mid-facial skeleton

Aim: Contribute to the **identification of fossil hominid species** in the late Pliocene of South Africa





What do we need?

Use automatic methods of comparison which do not depend on the prior manual selection of specific landmarks or curves (definition, accuracy, reproducibility, time-consuming)

Describe shape differences with precise anatomical, geometrical features, rather than fuzzy terms

Detect local shape changes

Detect possible allometric changes (size changes possibly correlated with shape changes)

Why local shape changes are so important?

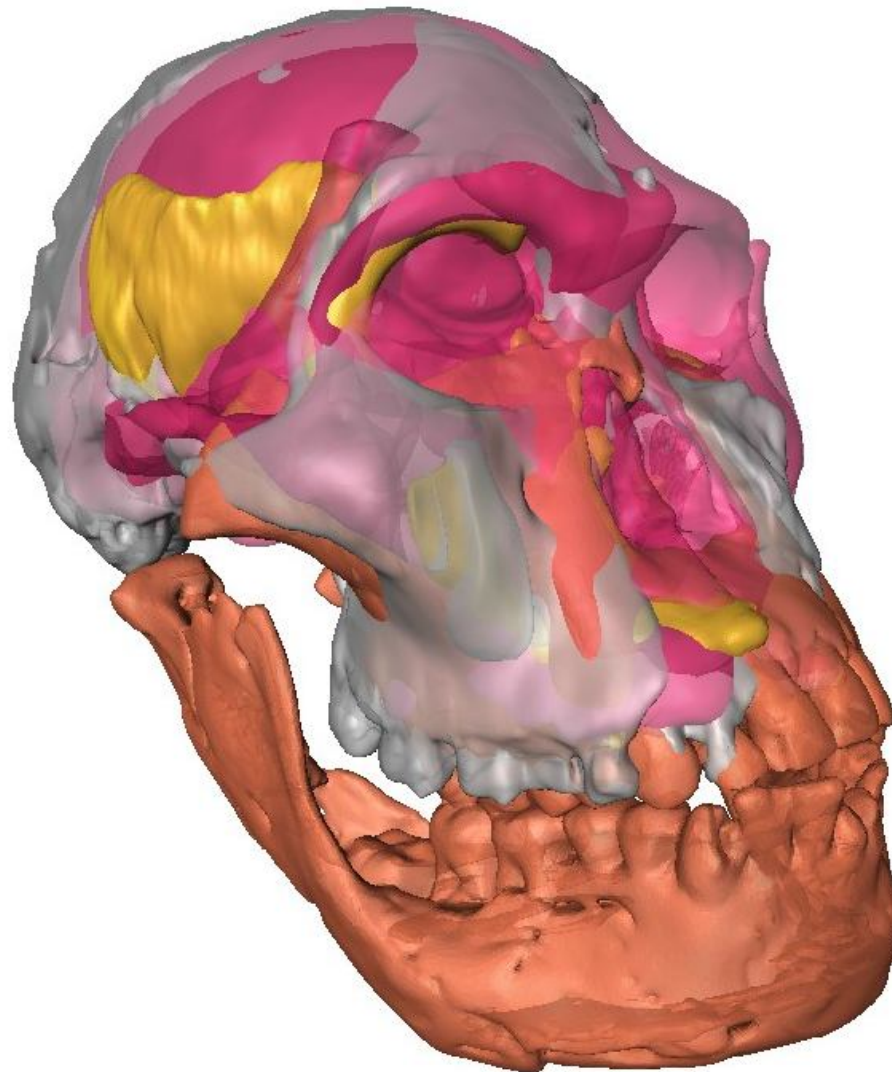
An example : the nasal spine in *Pan paniscus*



Tableau Fréquences observées et proportions calculées pour l'insertion antérieure du septum nasal.
 Observed frequencies and calculated proportions for the anterior attachment of the nasal septum.

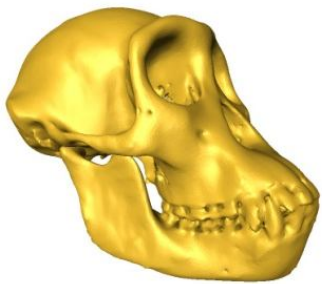
	Enfants		Juvéniles		Adultes	
	%	n/N	%	n/N	%	n/N
<i>P. t. verus</i>	0	0/2	0	0/13	3	3/100
<i>P. t. troglodytes</i>	0	0/20	4,7	3/64	9,4	13/139
<i>P. t. schweinfurthi</i>	0	0/20	1,5	1/68	9,6	11/115
<i>P. paniscus</i>	18,2	4/22	43,6	34/78	76,1	51/67
<i>G. g. gorilla</i>	0	0/19	1,7	1/60	12,3	19/155
<i>G. g. graueri</i>	0	0/8	4,3	1/23	61,3	46/75
<i>G. g. beringei</i>	0	0/3	0	0/15	29,7	11/37

Apply both methods and protocol to Pliocene hominids from South Africa and propose preliminary interpretations

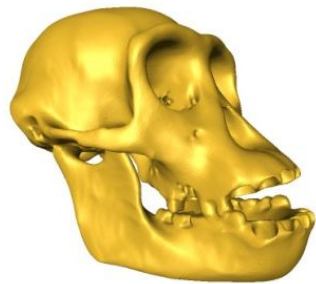


Comparative samples: *Pan troglodytes*

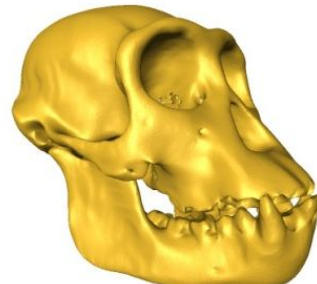
5 females



A1_F_MCZ_6918



A1_F_MCZ_6949



A1_F_MCZ_6961

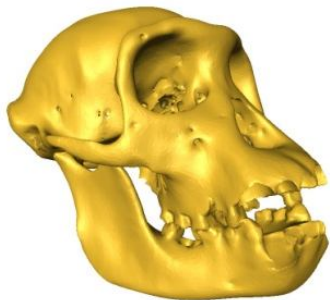


A1_F_MCZ_7286

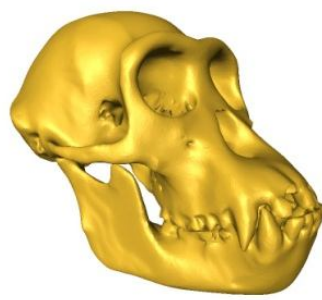


A1_F_MCZ_7265

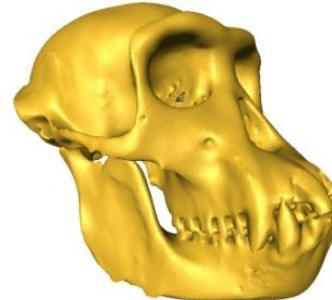
5 males



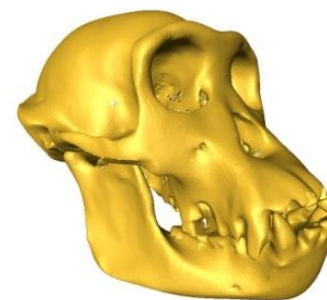
A1_M_MCZ_6953



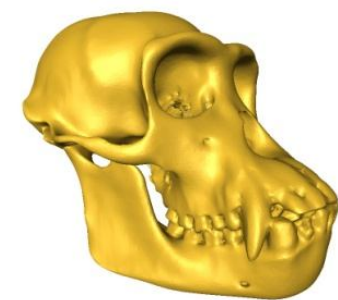
A1_M_MCZ_7283



A2_M_MCZ_6913



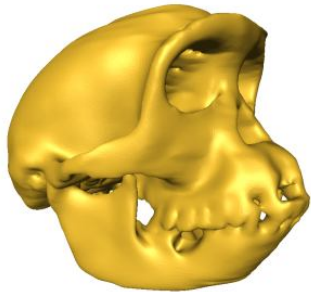
A2_M_MCZ_6915



A2_M_MCZ_6923

Comparative samples : *Pan paniscus*

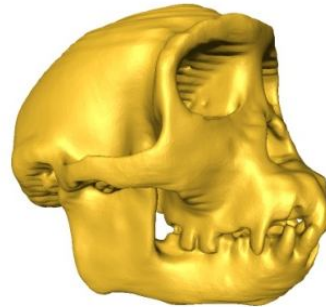
5 females



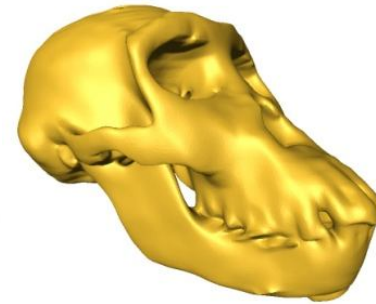
A2_F_MRAC_9338



A2_F_MRAC_11351



A2_F_MRAC_11352



A2_F_MRAC_29060

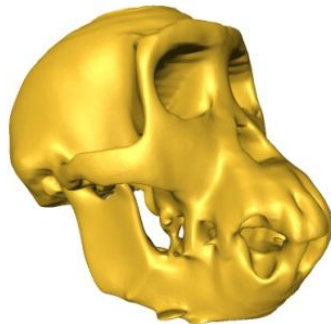


A2_F_MRAC_29065

5 males



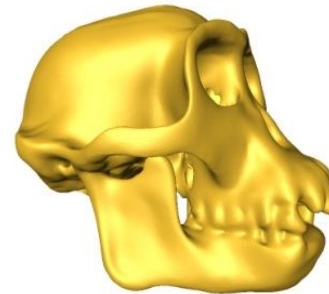
A2_M_MRAC_15294



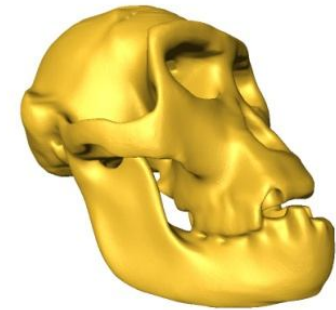
A2_M_MRAC_29036



A2_M_MRAC_29052

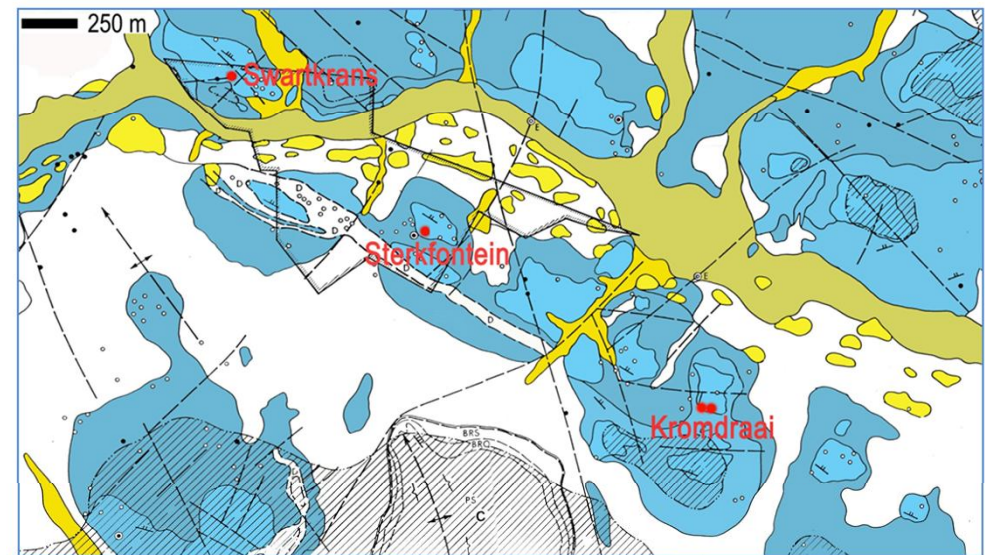


A2_M_MRAC_29063



A2_M_MRAC_29064

The Cradle of Humankind World Heritage Site - South Africa



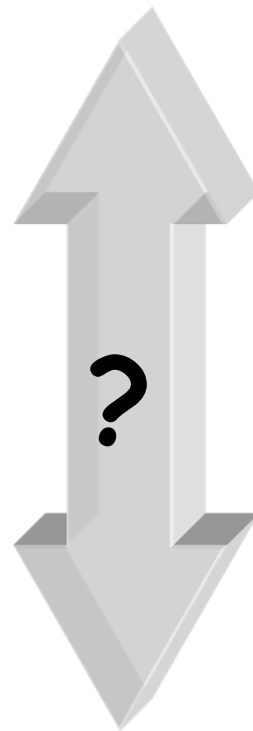
Terrasse alluviale
Dolomite (colline)

Sables
Dolomite (talus)

Plaine alluviale actuelle
Dolomite (pied de talus, éboulis)



Sterkfontein
Member 4
(2,14 - 2.15 Ma ?)



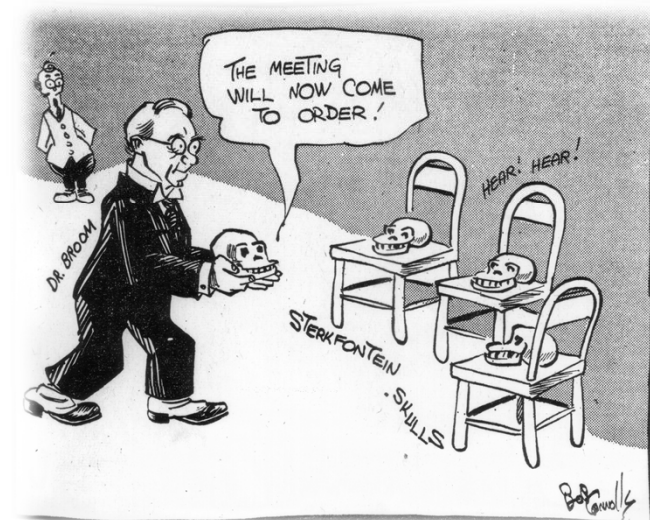
Kromdraai B
Member 3 (1,95 - 2,42 Ma ?)

Kromdraai B
Member 1 (1,95 - 2,42 Ma ?)



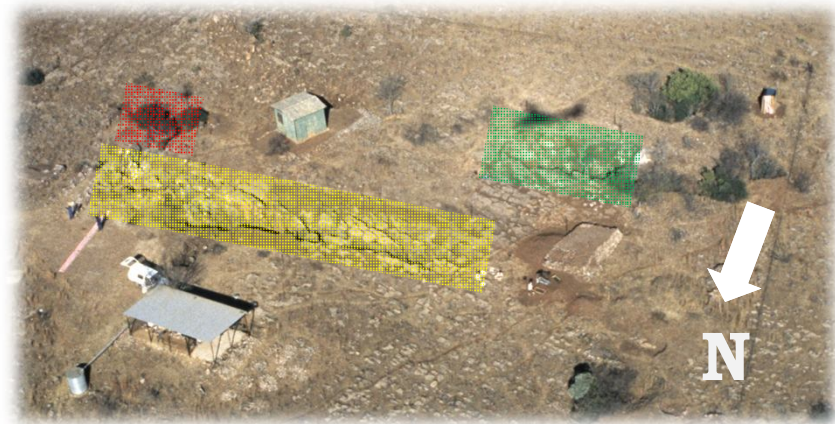
Sterkfontein Member 4

Sts 5
Sts 52
Sts 71
Sts 17
TM 1511
TM 1512



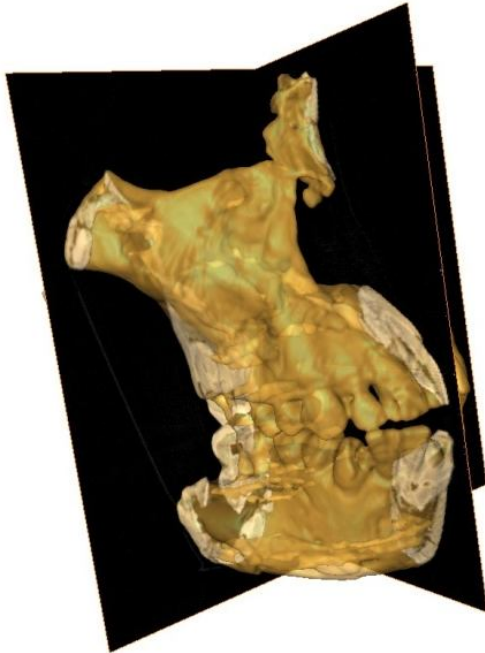
Kromdraai B Member 1

TM 1517



1. Pre-process 3D data

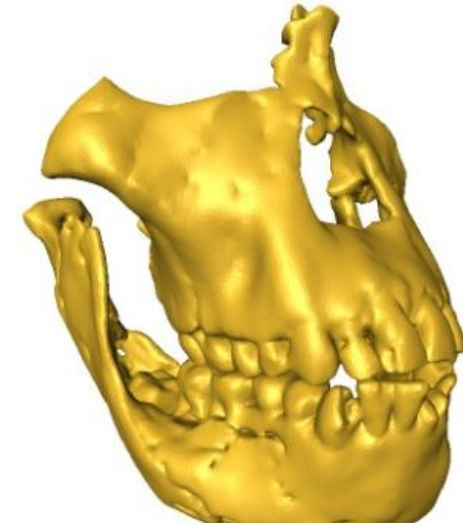
for all samples (comparative & fossils)



Computed Tomography data
(resolution ~ 0.1mm)

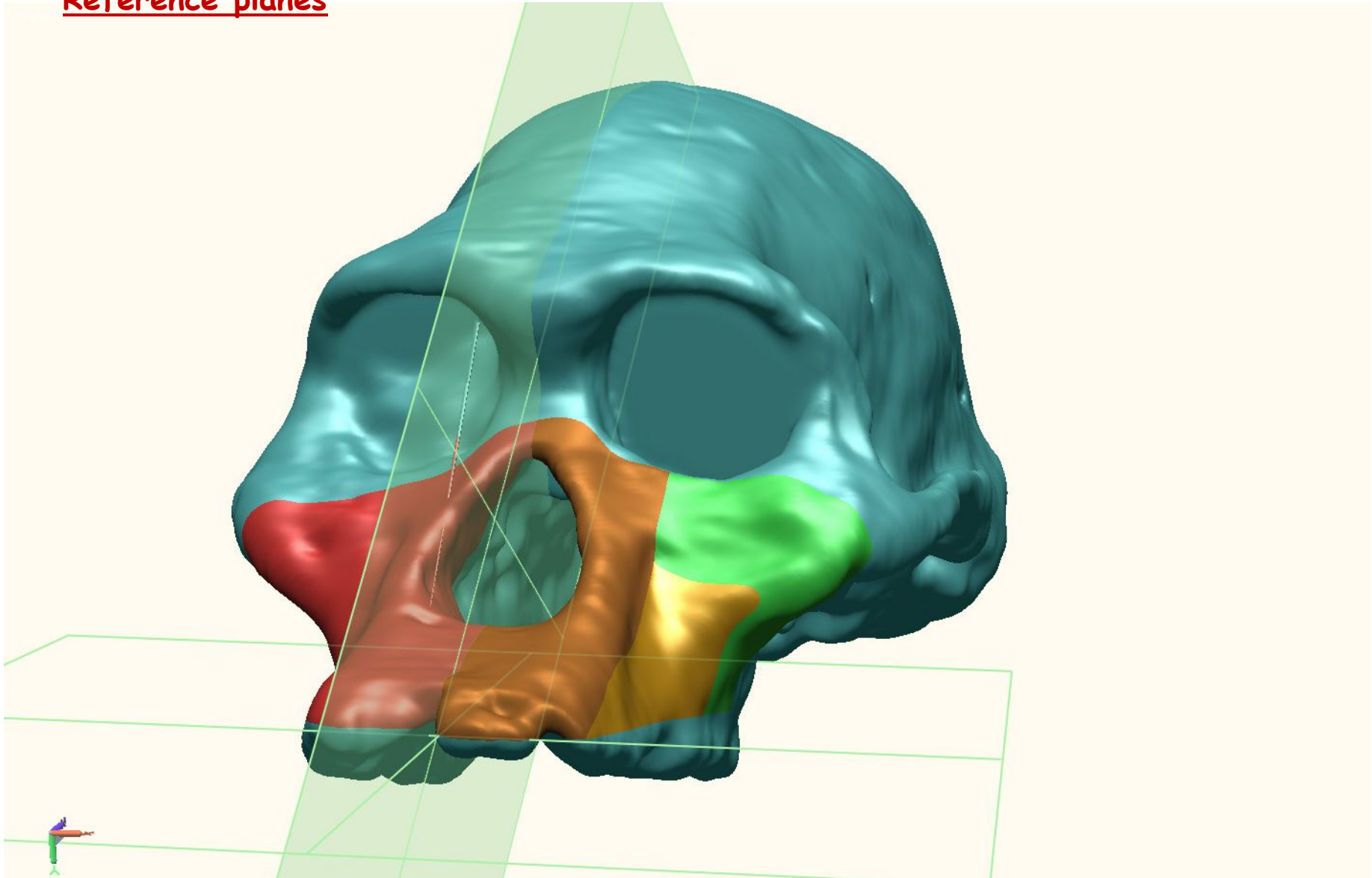


Surface data as a 3D mesh

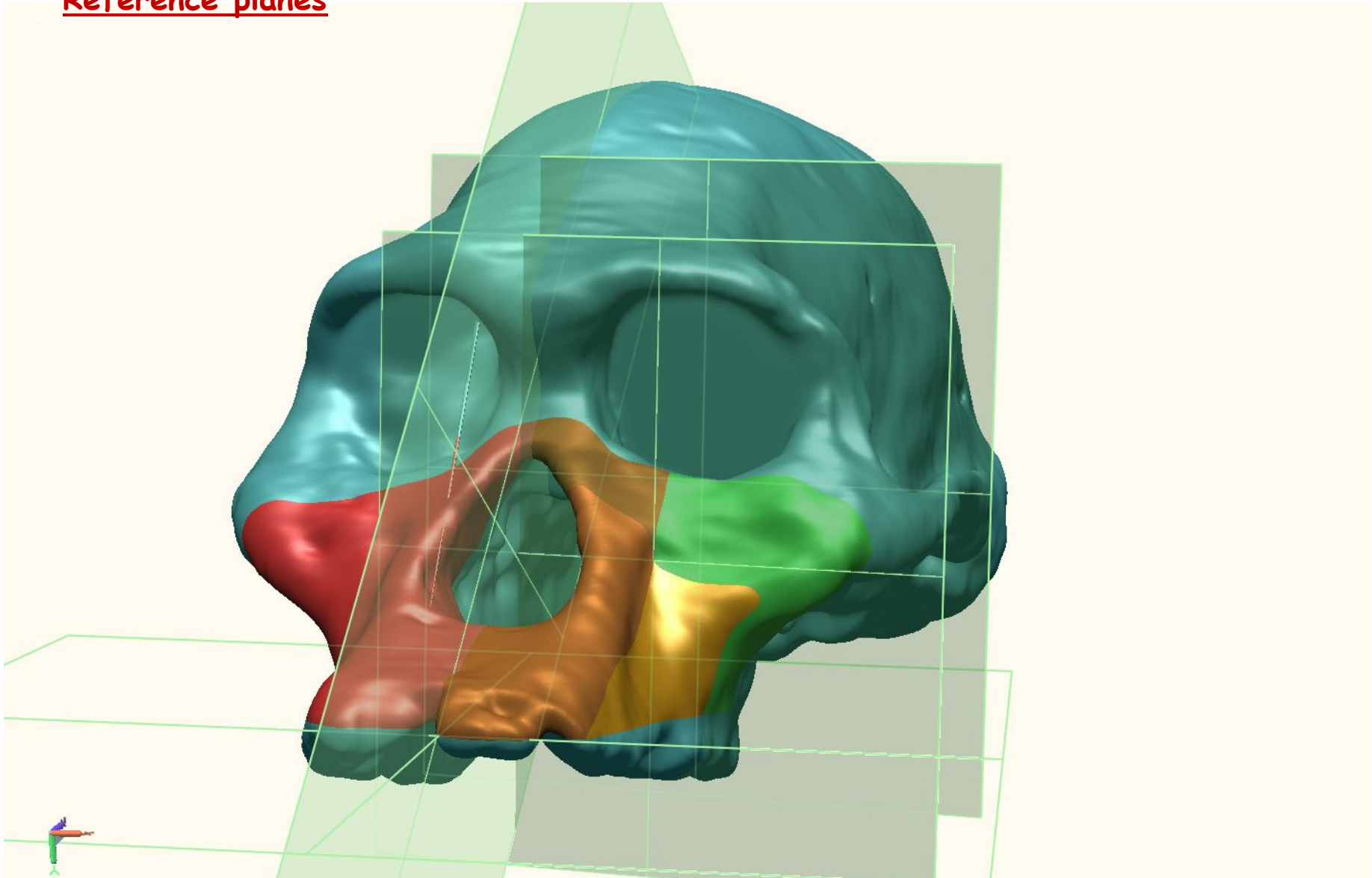


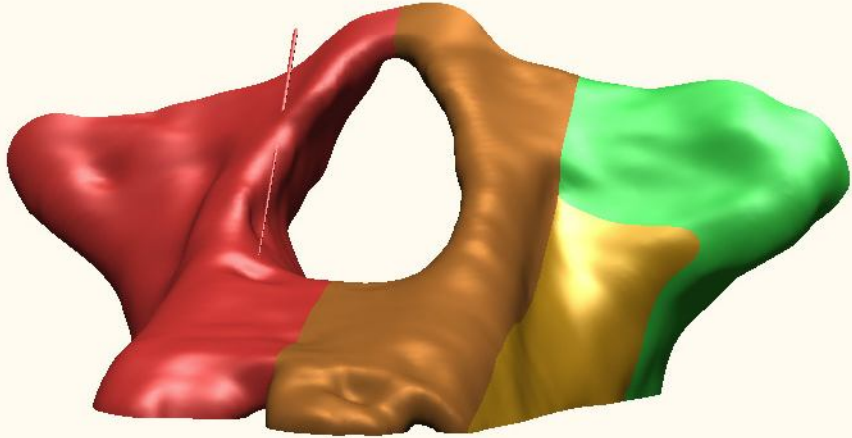
Example: STS_52
(201,013 vertices / 402,433 faces)

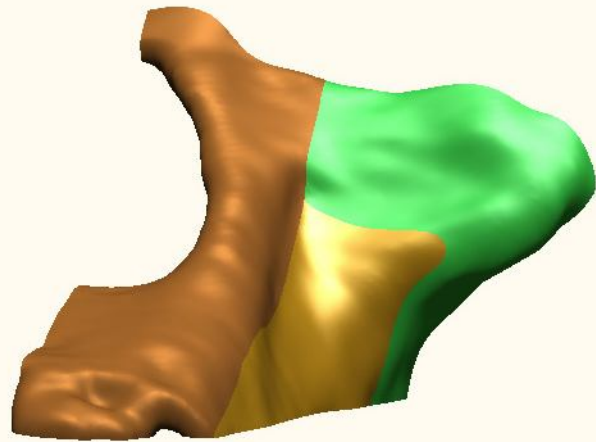
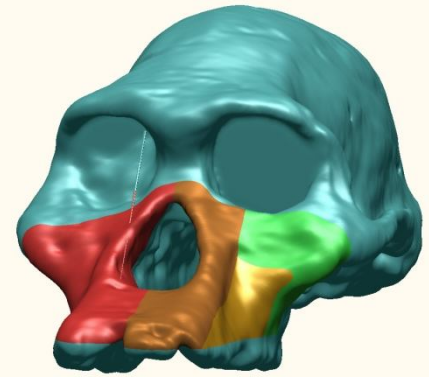
Reference planes



Reference planes



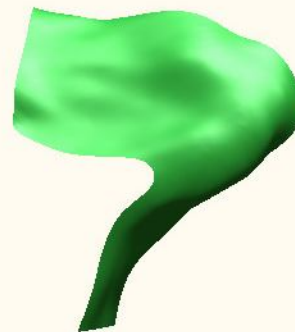
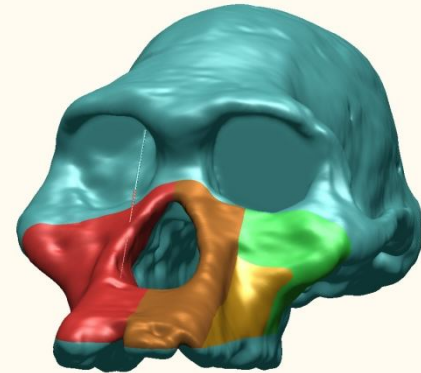




Location of the most anterior origin of the zygomatic process on the maxilla

Sts 5 and Sts 52 display an extreme variation in this regard.

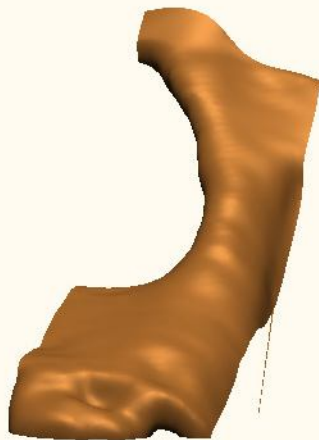
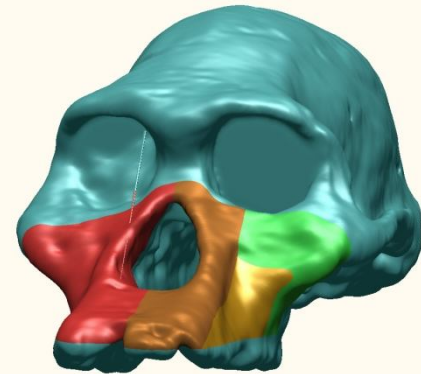
The zygomatic process of Sts 5 is located much more posterior than in Sts 52



Form of the "anterior pillar" (sensu Rak, 1983)

A "column" of bone that forms the rounded margin of the nasal aperture and runs alongside the nasoalveolar clivus in some specimens

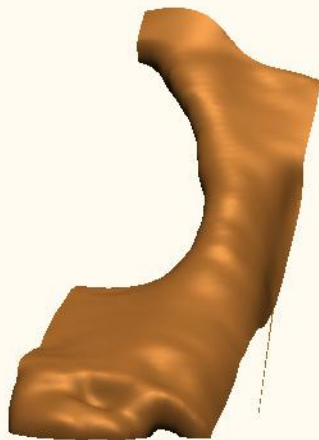
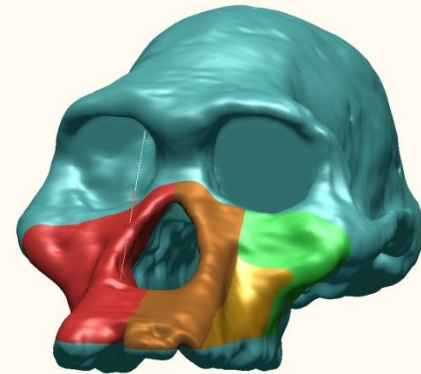
Defined laterally by a maxillary furrow and independent of the "canine jugum"



Rak (1983) hypothesized sexual dimorphism in the form of the anterior pillar in *A. africanus*

Rak (1983) : one of the derived features linking *A. africanus* and *A. robustus*.

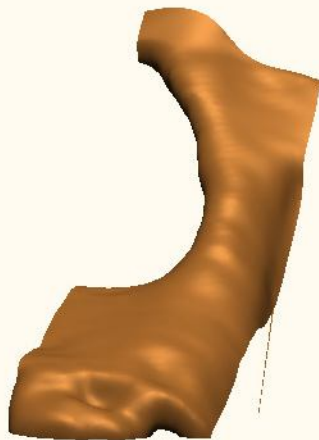
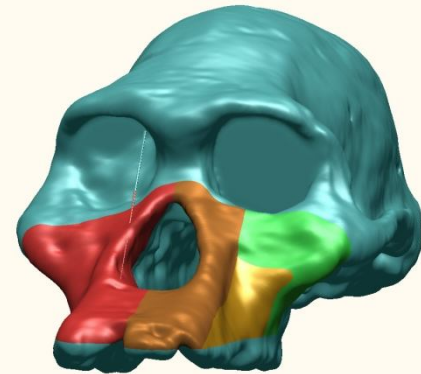
"The presence of anterior pillars in the face of [*A. africanus*] places it clearly in the robust australopithecine clade,"



Topography and orientation of the nasopalveolar clivus

The outer surface of the portion of the facial skeleton bearing the upper incisors (incisive bone)

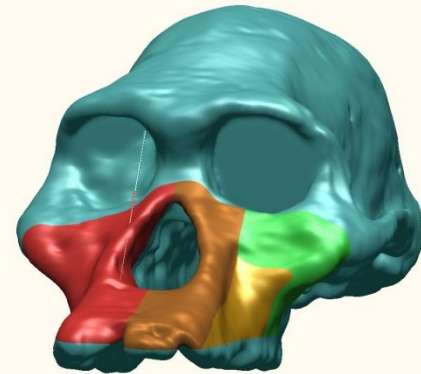
Also includes the most anterior part of the nasal floor and its transition with the incisive bone.



Concavity of the anterior surface of the infraorbital region

Surface lying between the anterior pillar (medially) and zygomatic process (laterally)

In addition to its overall topography, the infraorbital region can also be described by the presence of a canine fossa



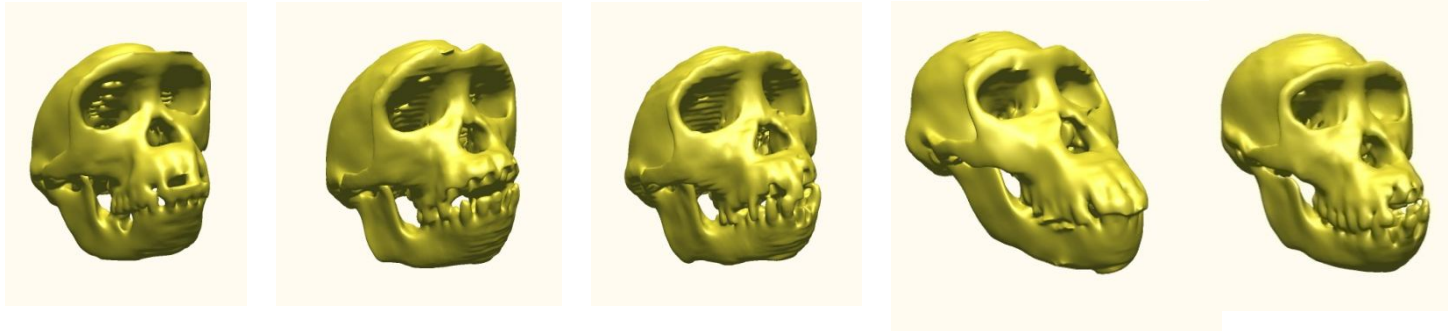
Is variation in form within the Sterkfontein sample, within SA Pliocene hominids, greater than expected for weakly dimorphic species such as chimpanzees or bonobos?



⇒ Reference maps

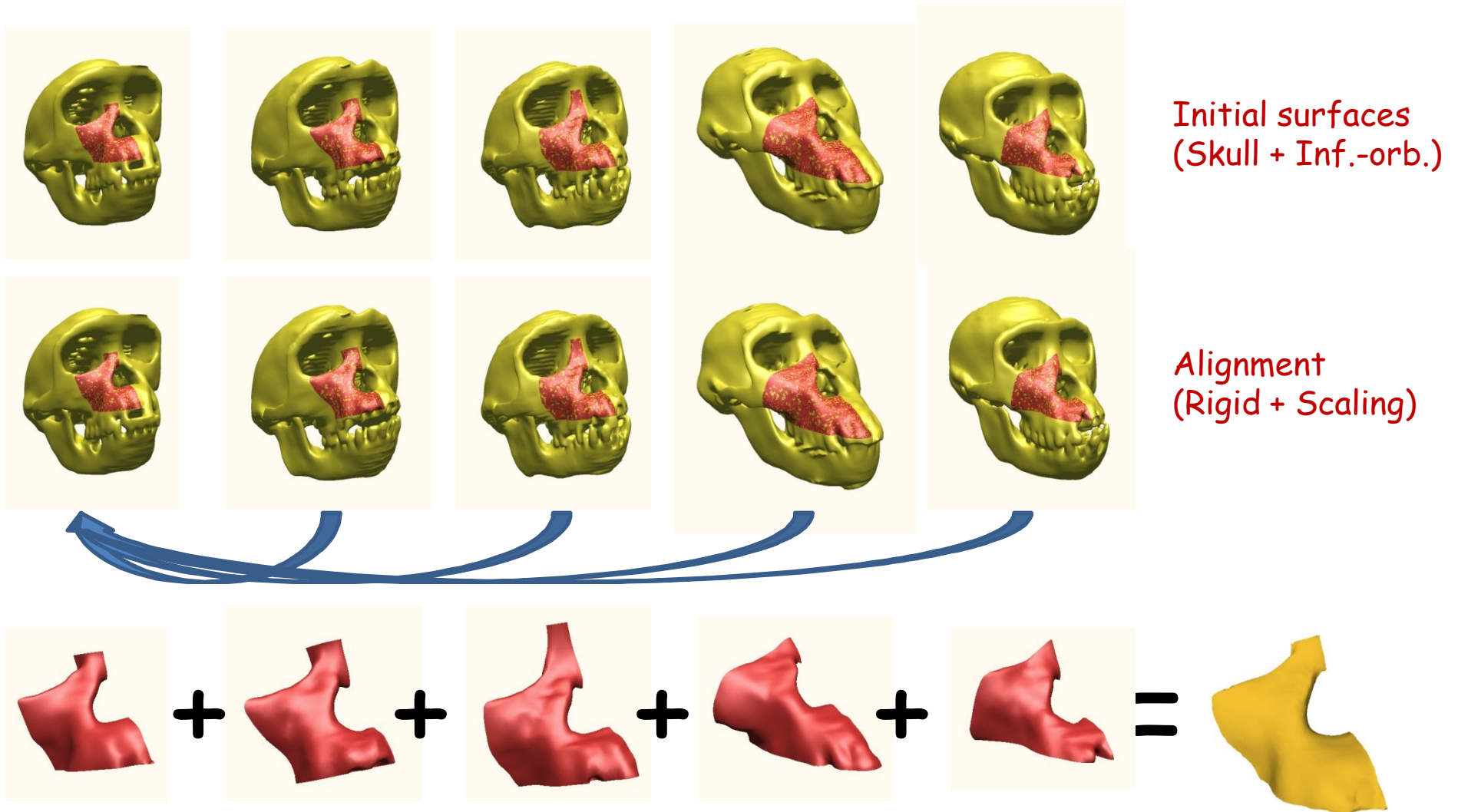
5 bonobo females

2. Compute reference maps



Initial surfaces

5 bonobo females



Calculation of a mean surface model
based on vertex-to-vertex correspondences

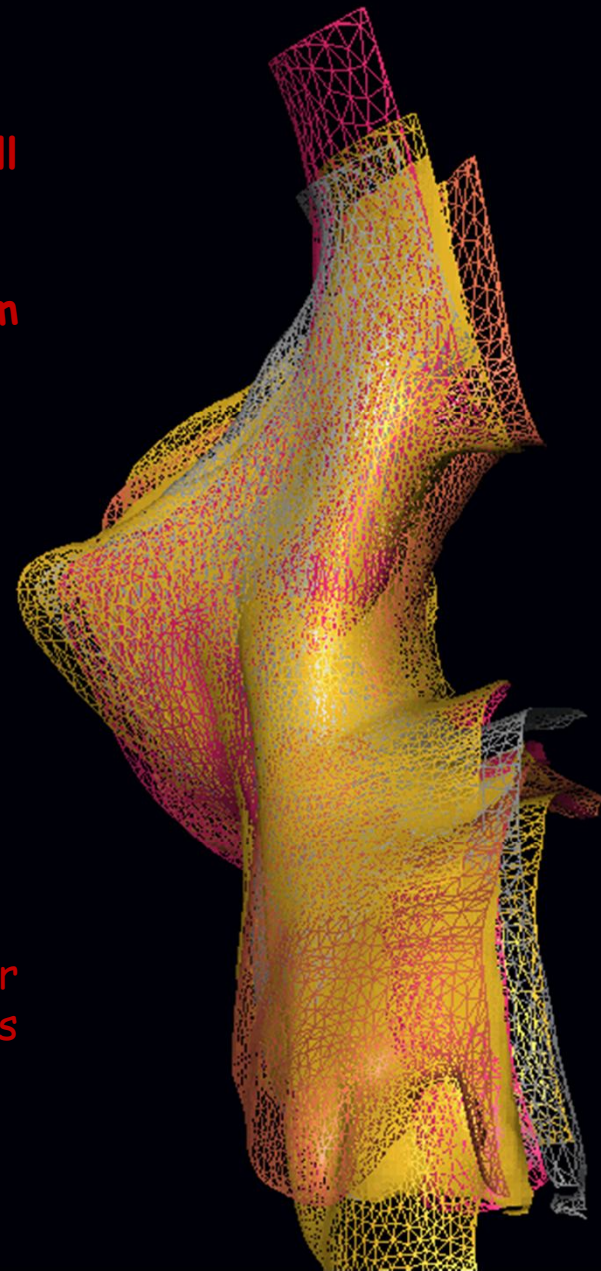
"True" mean surface model based on all the vertices.

Not a mean shape extrapolated from landmarks.

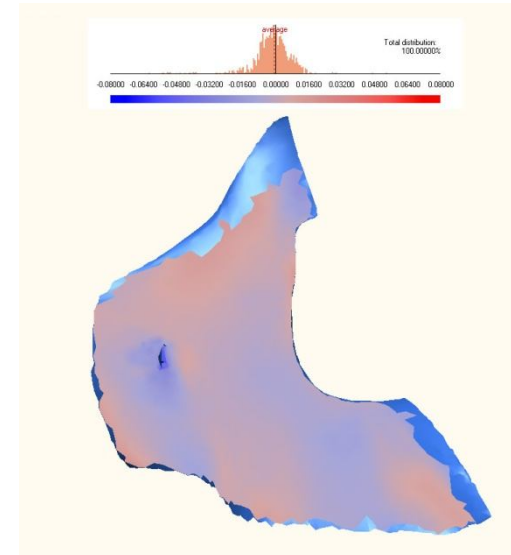
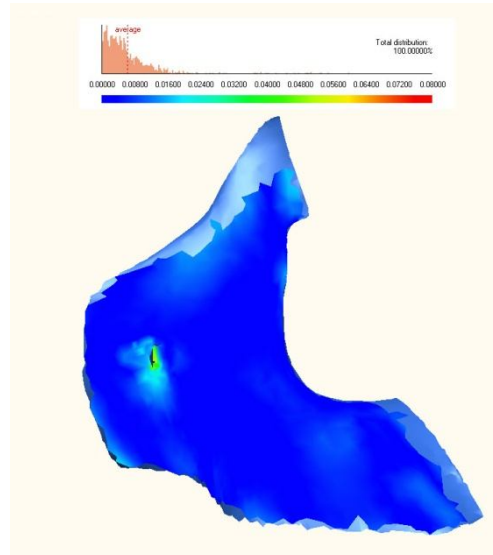
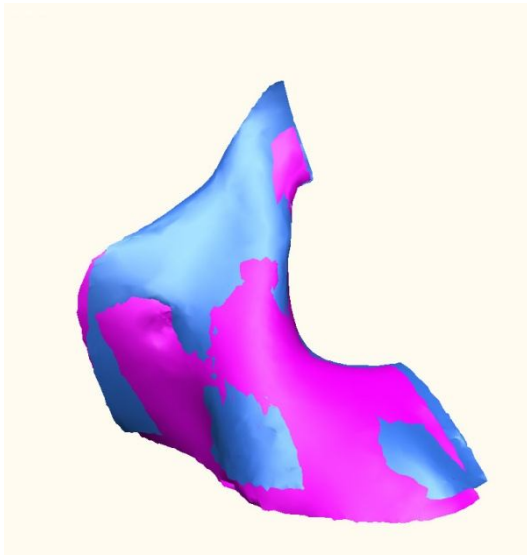
Example : mean surface model from our sample of 5 bonobo females (2,866 vertices / 5,222 faces)

Entirely automatic process.

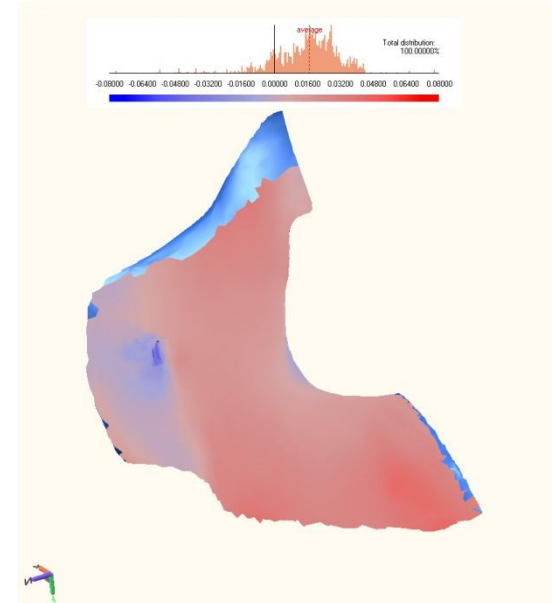
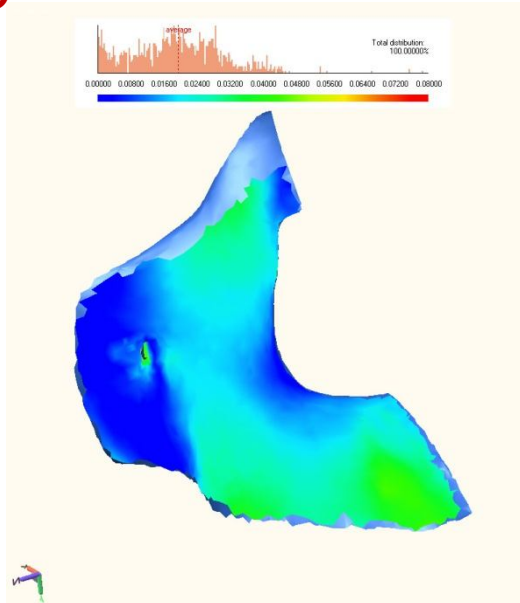
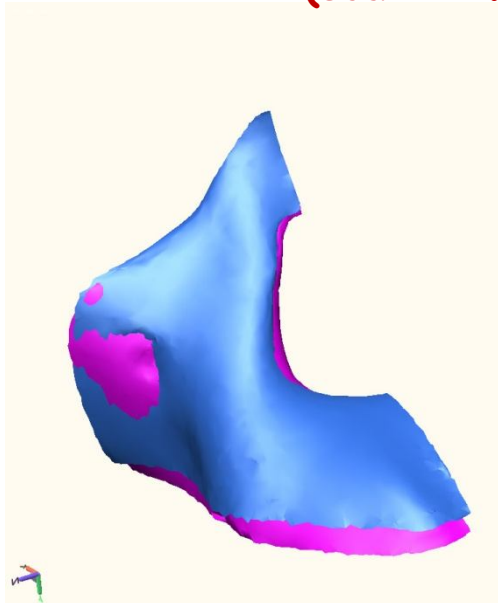
Time ~ 1mn



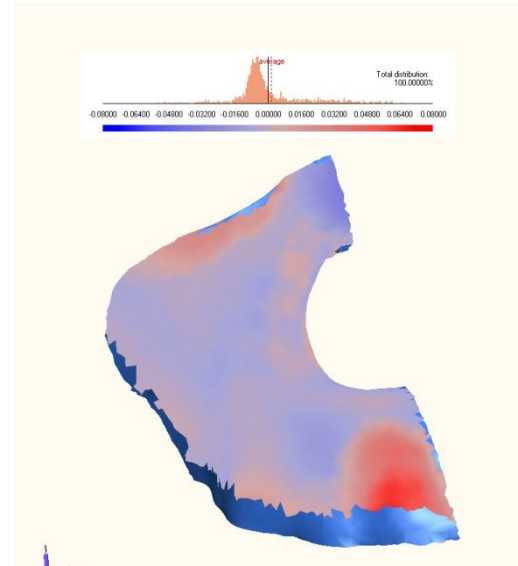
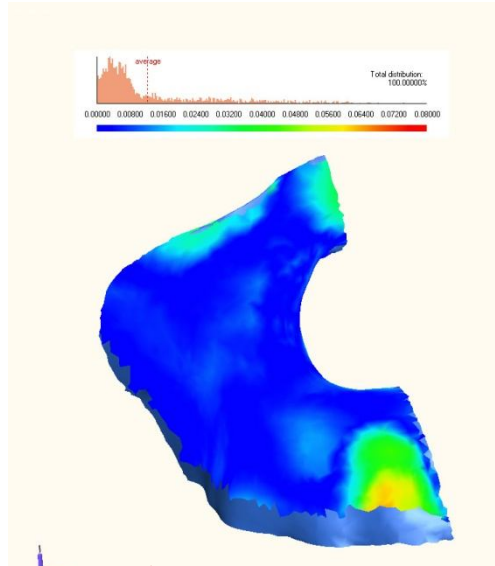
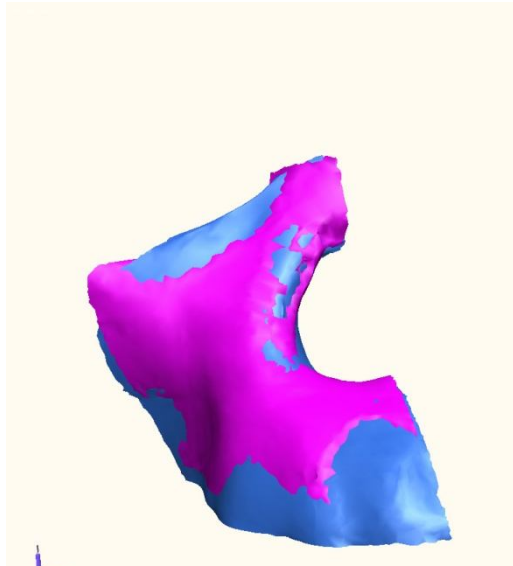
Pan troglodytes: Male mean surface / Female mean surface (scale: 0.08)



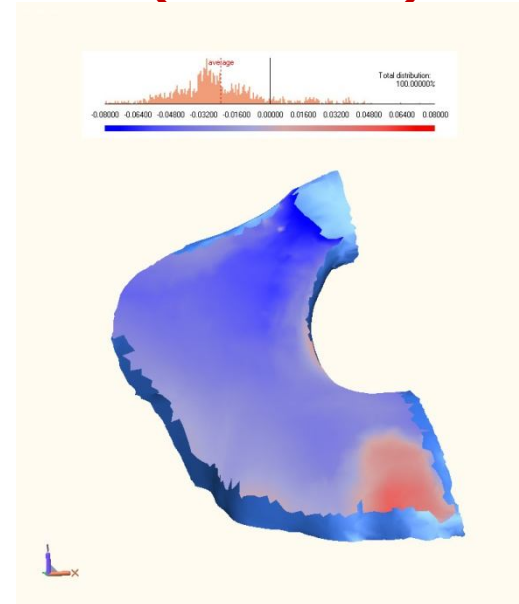
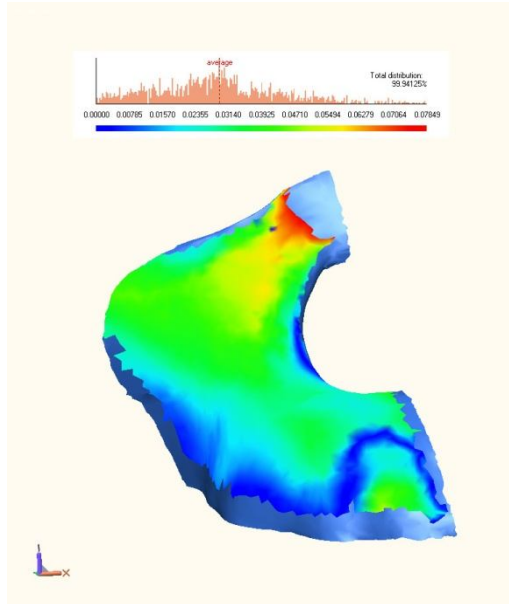
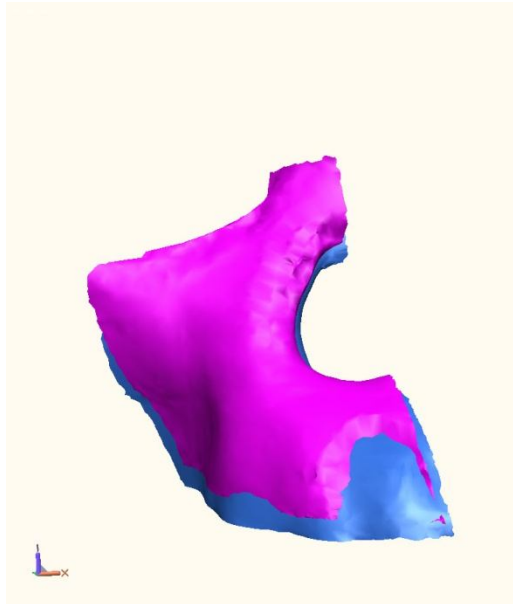
Pan troglodytes: Male mean surface / Female mean surface relative to the skull (scale: 0.08)



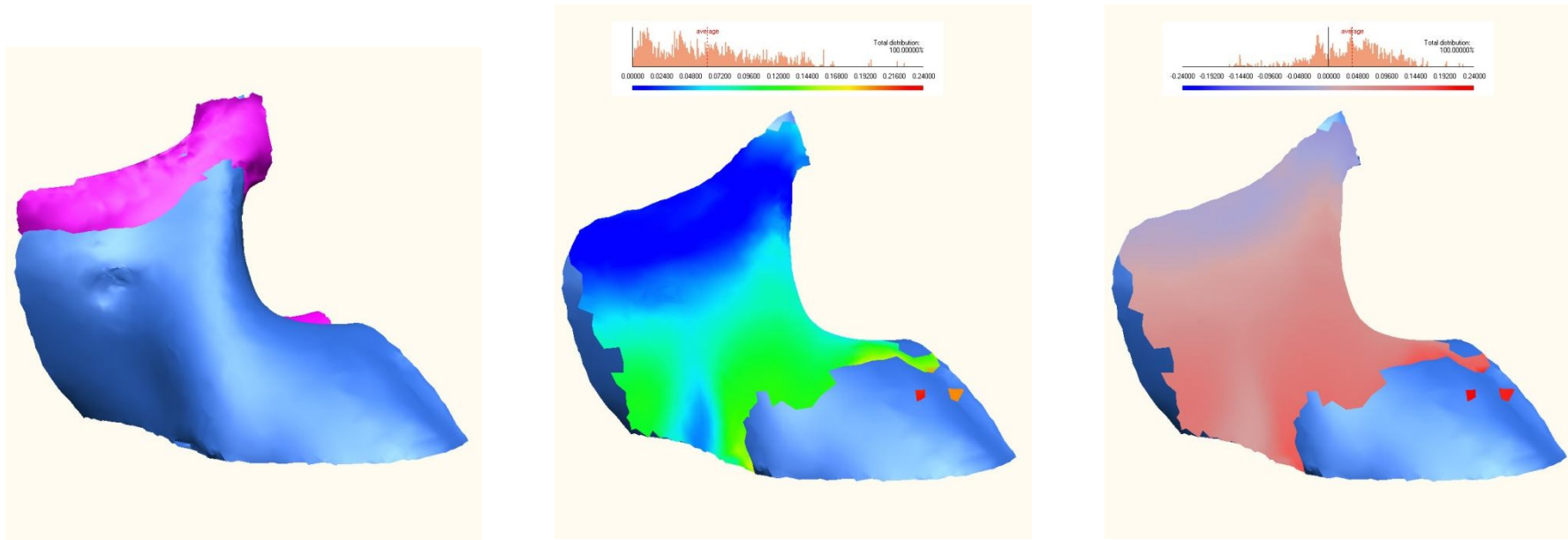
Pan paniscus : Male mean surface / Female mean surface (scale: 0.08)



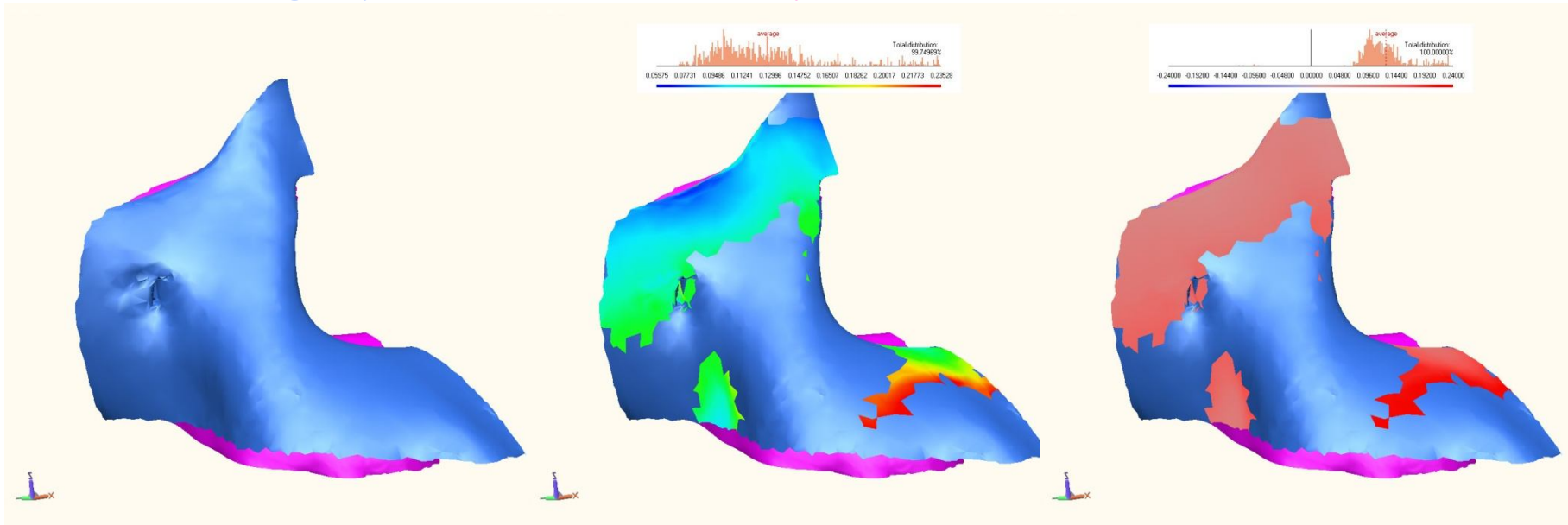
Pan paniscus : Male mean surface / Female mean surface / relative to the skull (scale: 0.08)



Females : *P. troglodytes* mean surface / *P. paniscus* mean surface (scale: 0.24)

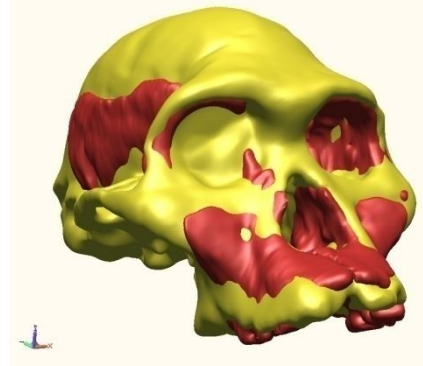


Males : *P. troglodytes* mean surface / *P. paniscus* mean surface (scale: 0.24)

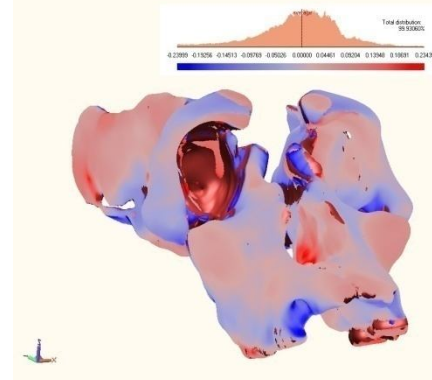
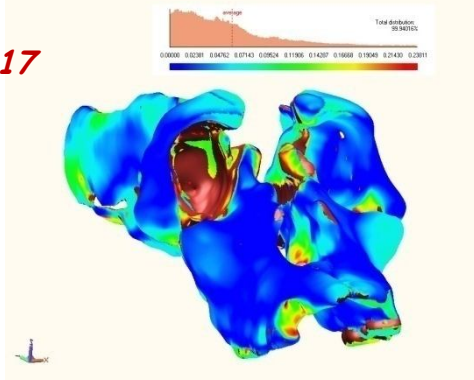


3. Application to homnins

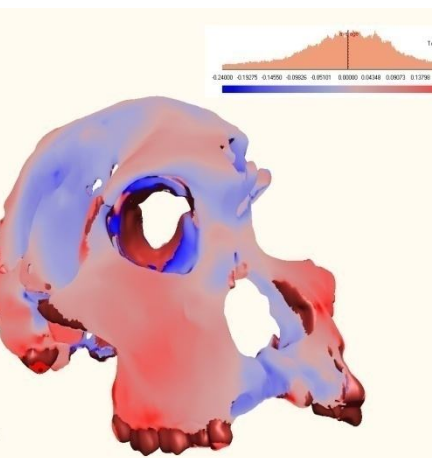
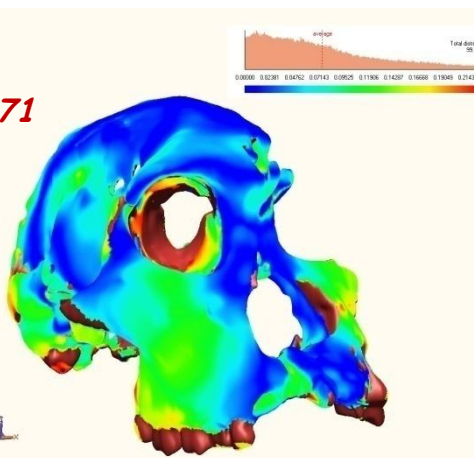
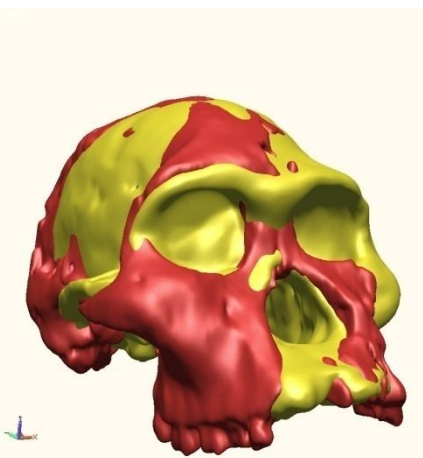
STS_5



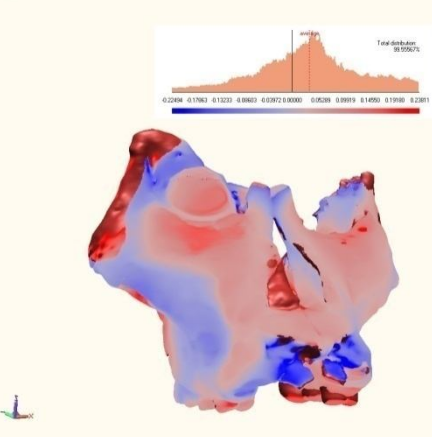
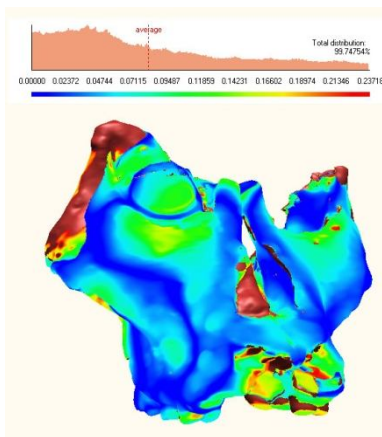
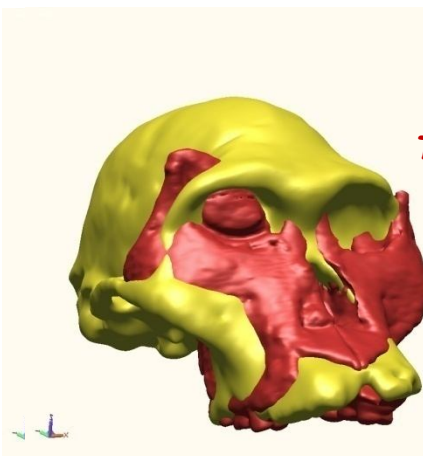
STS_17



STS_71

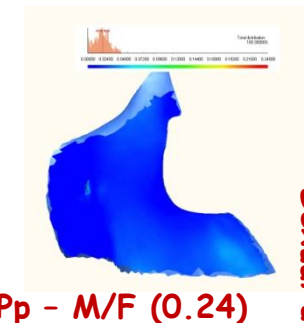


Tm_1511

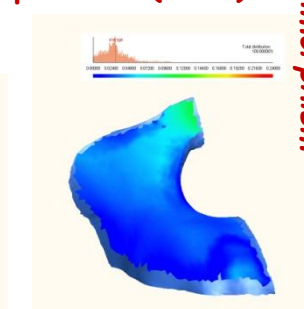


Reference maps

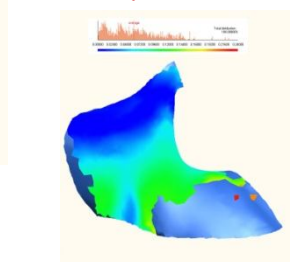
Pt - M/F (0.24)



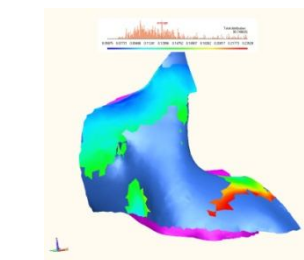
Pp - M/F (0.24)



F - Pt/Pp (0.24)



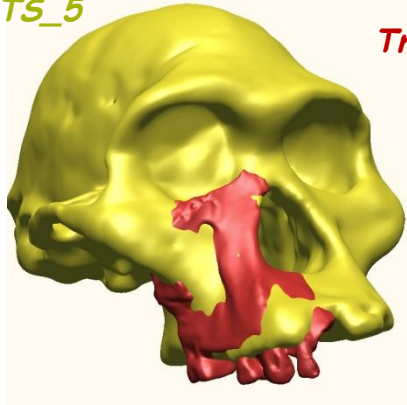
M - Pt/Pp (0.24)



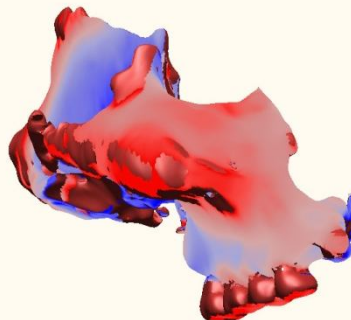
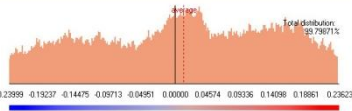
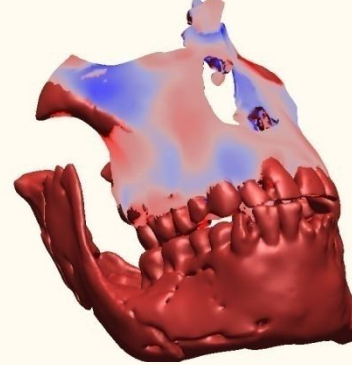
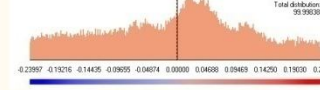
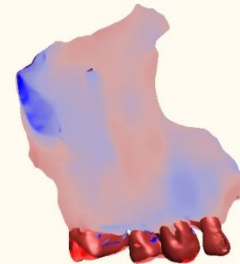
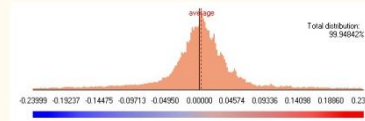
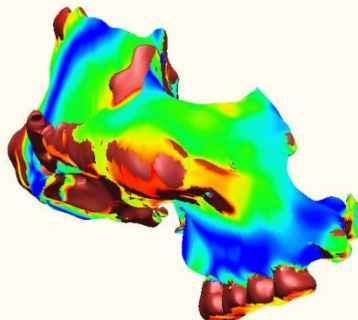
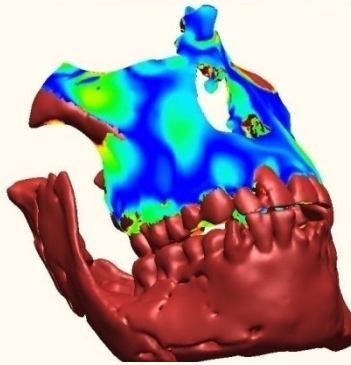
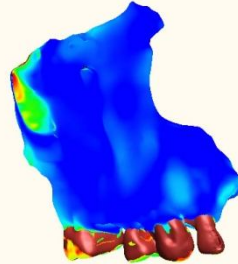
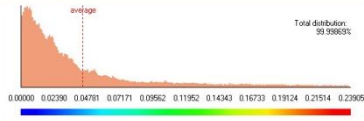
Sexual dimorphism

Inter-species variation

STS_5

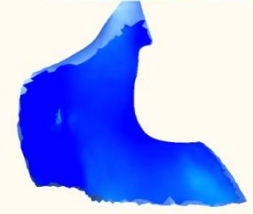


Tm_1512

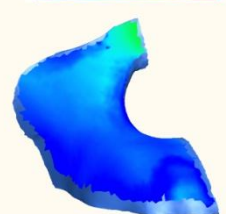


Reference maps

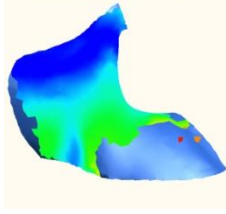
Pt - M/F (0.24)



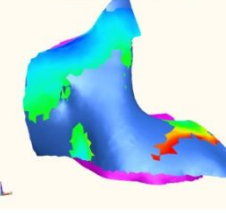
Pp - M/F (0.24)



F - Pt/Pp (0.24)



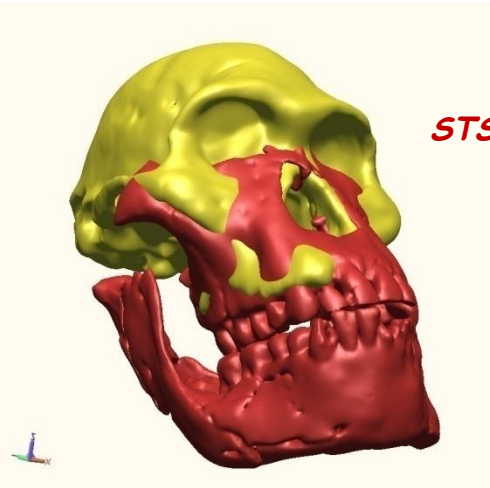
M - Pt/Pp (0.24)



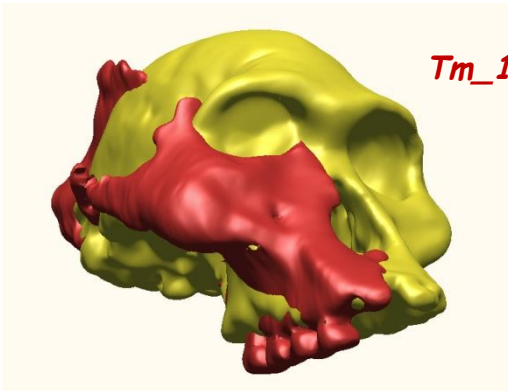
Sexual dimorphism

Inter-species variation

STS_52



Tm_1517





Future directions

Increase the reference sample size

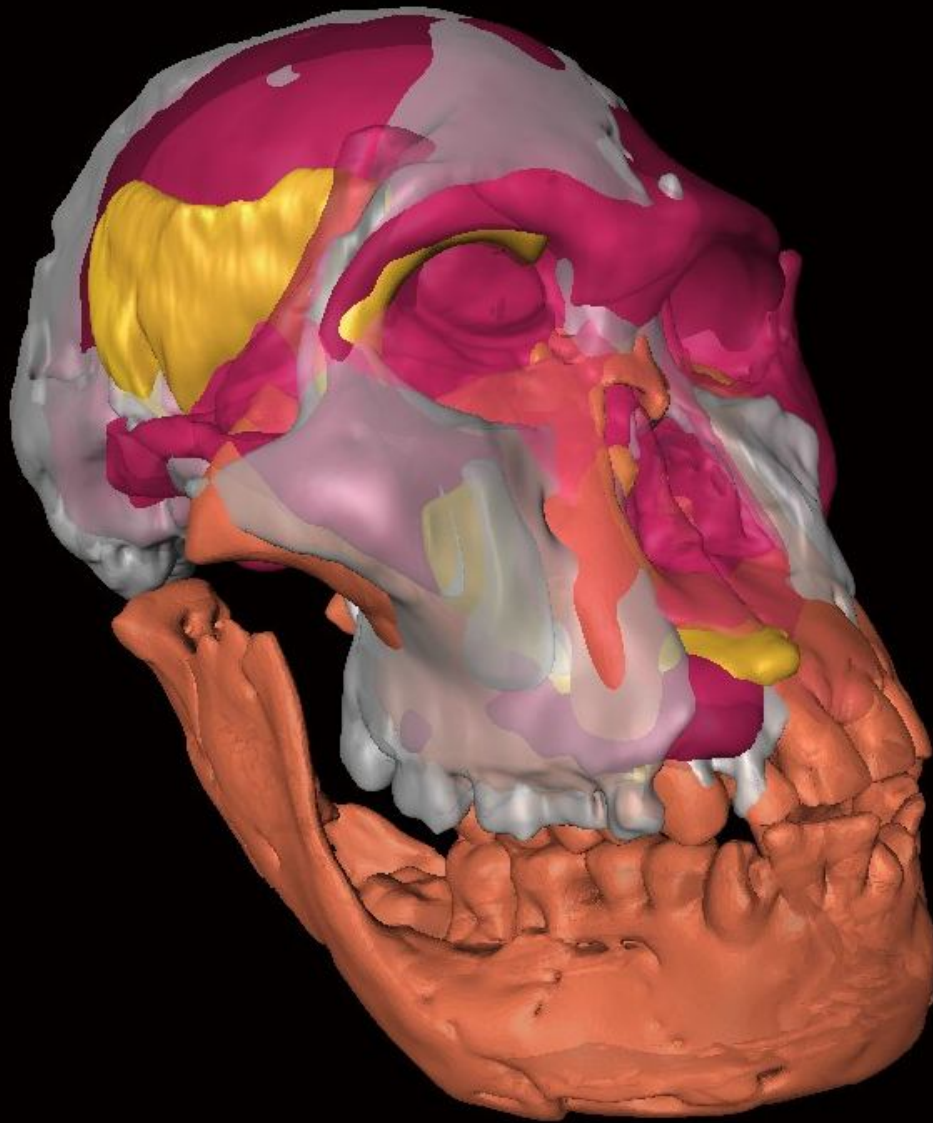
Emphasize the local size/shape changes

Quantify the variability w.r.t. to the mean

Improve the automatic non-rigid registration algorithm

Automatic identification w.r.t. to the sexual dimorphism and inter-species variation

Multi-scale analysis (skull/Inf.-orb./pillars-clivus-IOconcavities-zygo)



Acknowledgements

Centre National de la Recherche Scientifique
(PEPS-ODENT Project)

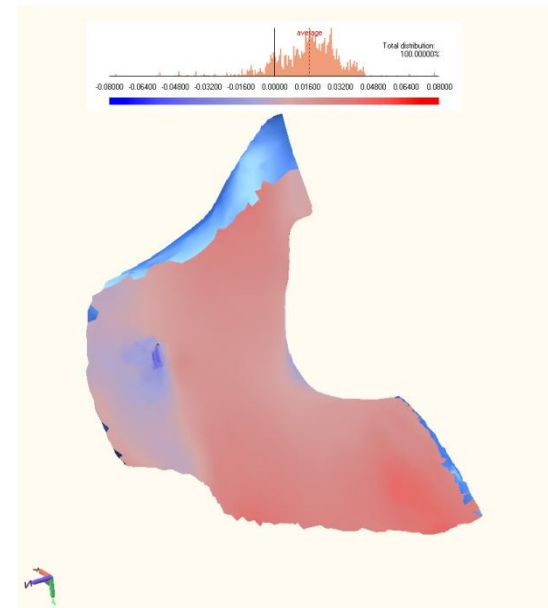
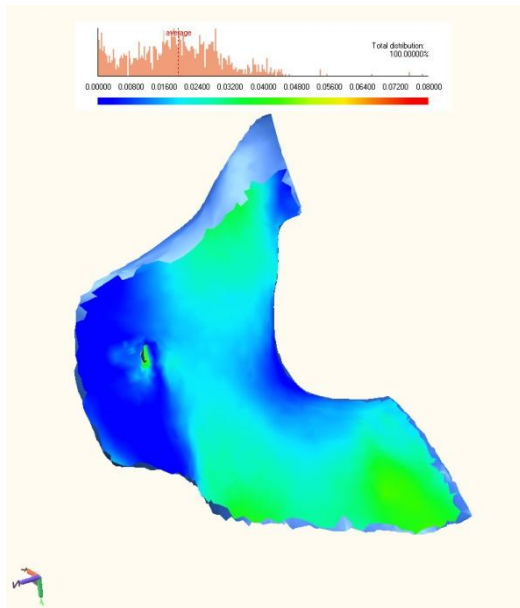
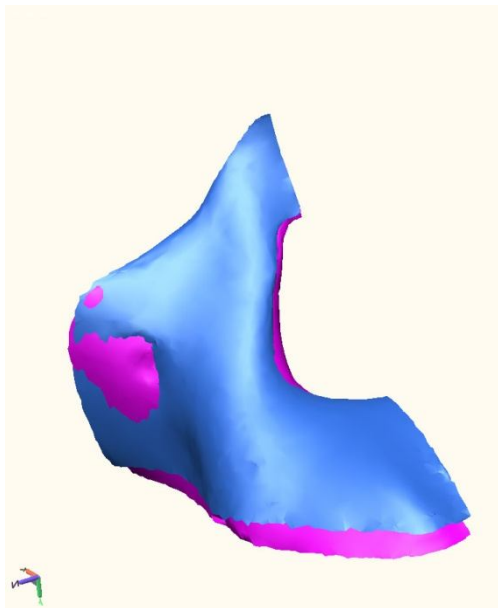
EU PF6 Marie Curie Actions grant MRTN-CT-
2005-019564 'EVAN'

French Ministry of Foreign Affairs

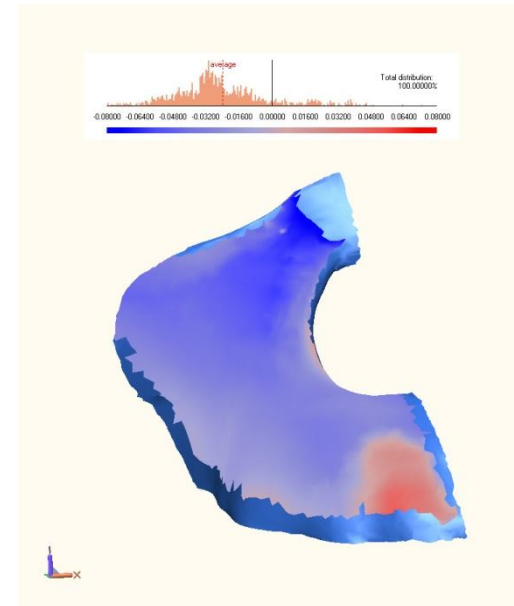
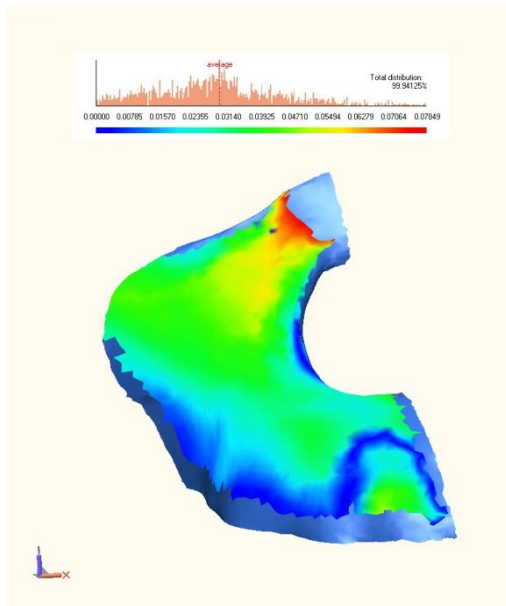
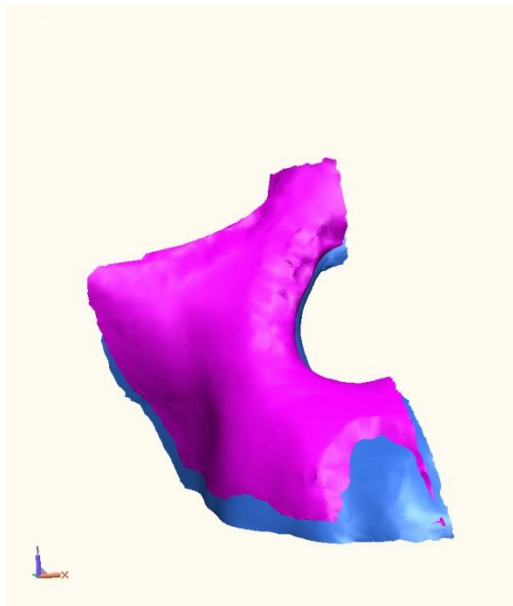
Human Origins and Past Environments
programme, Transvaal Museum, South Africa



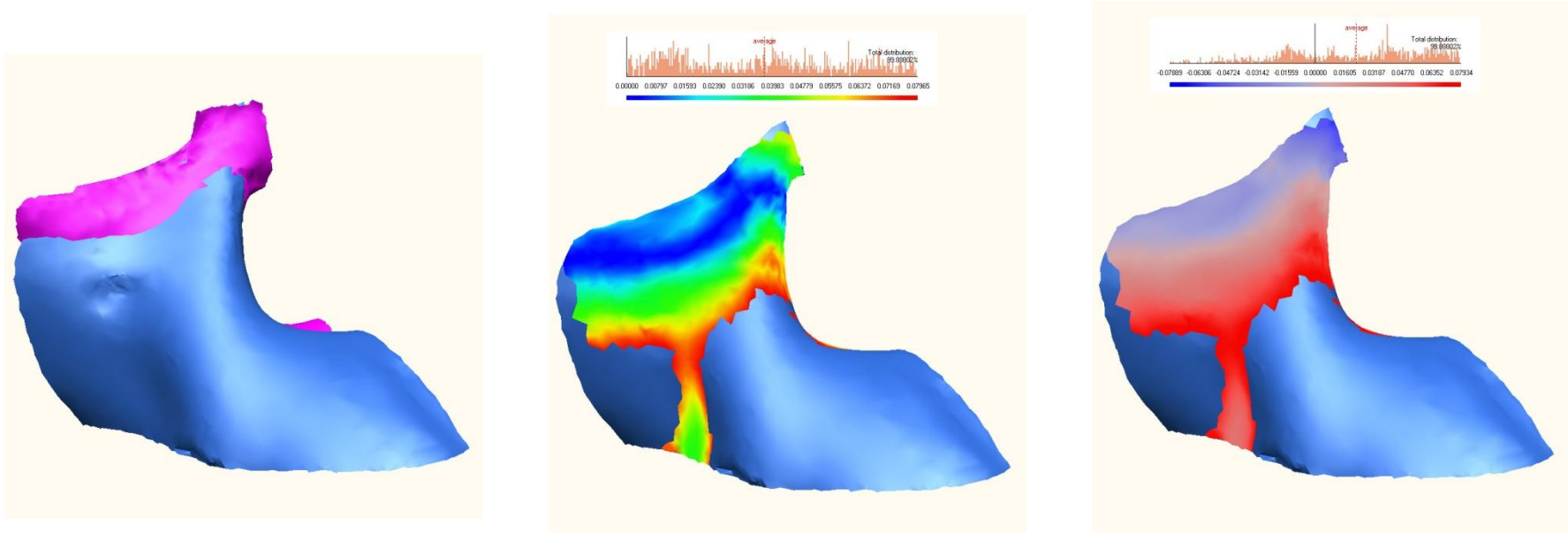
***Pan troglodytes* : Male mean surface / Female mean surface / whole skull**



***Pan paniscus* : Male mean surface / Female mean surface / whole skull**



Females : *P. troglodytes* mean surface / *P. paniscus* mean surface (scale : 0.08)



Males : *P. troglodytes* mean surface / *P. paniscus* mean surface (scale : 0.24)

