

# Limits of near-coloring of sparse graphs

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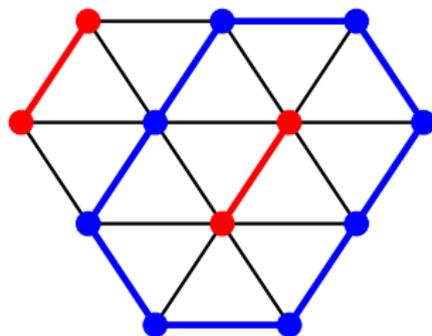
AIGCo Seminar, 2012

# Near-coloring?

## Definition - Near-Coloring

A graph  $G$  is  $(d_1, \dots, d_k)$ -colorable, if and only if,

- ▶  $V(G) = V_1 \dot{\cup} \dots \dot{\cup} V_k$
- ▶  $\forall i \in [1, k], \Delta(G[V_i]) \leq d_i$



(2, 1)-coloring

$\underbrace{(0, \dots, 0)}_k$ -coloring  $\Leftrightarrow$  proper  $k$ -coloring

- ▶  $V(G) = V_1 \dot{\cup} \dots \dot{\cup} V_k$
- ▶  $\forall i \in [1, k], \Delta(G[V_i]) = 0$

$\underbrace{(d, \dots, d)}_k$ -coloring  $\Leftrightarrow$   $d$ -improper  $k$ -coloring

- ▶  $V(G) = V_1 \dot{\cup} \dots \dot{\cup} V_k$
- ▶  $\forall i \in [1, k], \Delta(G[V_i]) \leq d$

## Some history

4CT - Appel and Haken '76

Every planar graph is  $(0, 0, 0, 0)$ -colorable.

Theorem - Cowen, Cowen, and Woodall '86

Every planar graph is  $(2, 2, 2)$ -colorable.

[list version: Eaton and Hull '99, Škrekovski '99]

# Sparse graphs?

⇒ graph with small maximum average degree

$$\text{mad}(G) = \max \left\{ \frac{2|E(H)|}{|V(H)|}, H \subseteq G \right\}$$

## Theorem - Havet and Sereni '06

Every graph  $G$  with  $\text{mad}(G) < k + \frac{kd}{k+d}$  is  $d$ -improperly  $k$ -colorable (in fact  $d$ -improperly  $k$ -choosable), i.e.  $\underbrace{(d, \dots, d)}_k$ -coloring.

Asymptotically sharp:

## Theorem - Havet and Sereni '06

There exists a non- $d$ -improperly  $k$ -colorable graph whose maximum average degree tends to  $2k$  when  $d$  goes to infinity.

What about  $(d, 0)$ -coloring?

Bipartition  $V_1, V_2$  of  $V(G)$  such that:

$$\Delta(G[V_1]) \leq d \text{ and } G[V_2] \text{ is a stable set}$$

## (1, 0)-coloring

### Theorem - Glebov and Zambalaeva '07

Every planar graph  $G$  with  $g(G) \geq 16$  is (1, 0)-colorable.

### Theorem - Borodin and Ivanova '09

Every graph  $G$  with  $\text{mad}(G) < \frac{7}{3}$  is (1, 0)-colorable.

$\Rightarrow$  Every planar graph  $G$  with  $g(G) \geq 14$  is (1, 0)-colorable.



## $(d, 0)$ -coloring

### Theorem - Borodin, Ivanova, M., Ochem, and Raspaud '10

- ▶ Let  $d \geq 2$ . Every graph  $G$  with  $\text{mad}(G) < 3 - \frac{2}{d+2}$  is  $(d, 0)$ -colorable.
- ▶ There exist non- $(d, 0)$ -colorable graphs  $G$  with  $\text{mad}(G) = (3 - \frac{2}{d+2}) + \frac{1}{d+3}$ .

Asymptotically sharp.

### Theorem - Borodin and Kostochka '11

Let  $d \geq 2$ . Every graph  $G$  with  $\text{mad}(G) \leq 3 - \frac{1}{d+1}$  is  $(d, 0)$ -colorable.

Moreover  $3 - \frac{1}{d+1}$  is **sharp**.

# Problem

- ▶  $\underbrace{(d, \dots, d)}_k$ -coloring
- ▶  $(d, 0)$ -coloring

$\underbrace{(d, \dots, d)}_a, \underbrace{(0, \dots, 0)}_b$ -coloring?

Partition of  $V$  in  $a + b$  sets:

“ $a$ ” subgraphs with maximum degree at most  $d$

“ $b$ ” stable sets

# What happens when $d \rightarrow \infty$ ?

## Observation

**[Havet and Sereni '06]**

$$G : \text{mad}(G) < \underbrace{k + \frac{kd}{k+d}}_{\rightarrow 2k} \Rightarrow \underbrace{(d, \dots, d)}_k\text{-coloring}$$

sharp

**[Borodin and Kostochka '11]**

$$G : \text{mad}(G) < \underbrace{3 - \frac{1}{d+1}}_{\rightarrow 3} \Rightarrow (d, 0)\text{-coloring}$$

sharp

## Question

$$G : \text{mad}(G) \rightarrow ? \Rightarrow \underbrace{(d, \dots, d)}_a, \underbrace{(0, \dots, 0)}_b\text{-coloring}$$

Largest value  $m$  such that every graph with  $\text{mad} < m$  is  $\underbrace{(d, \dots, d)}_a, \underbrace{(0, \dots, 0)}_b$ -colorable ( $d \rightarrow \infty$ )?

## Case: $(?,?,?)$ -coloring

$(d,d,d)$ -colorable [Havet and Sereni '06]



$(d,d,0)$ -colorable



$(d,0,0)$ -colorable

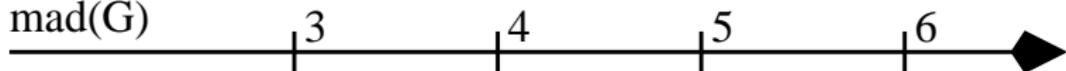


$(0,0,0)$ -colorable

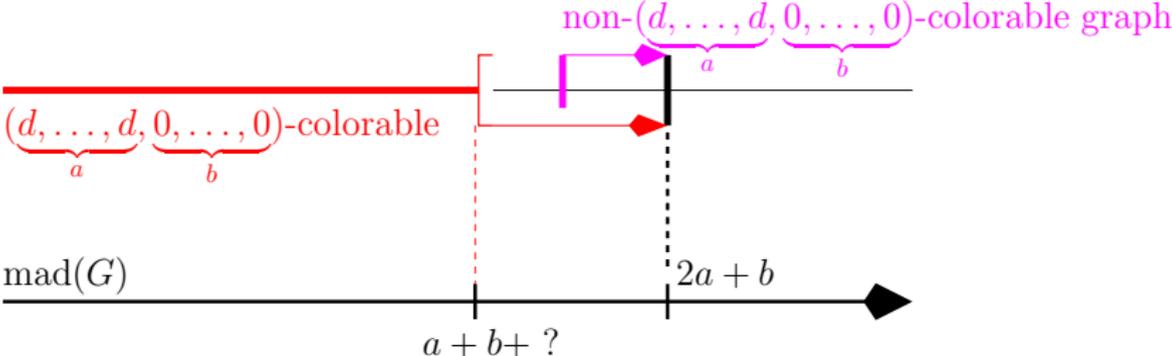


$K_4$

$\text{mad}(G)$



# Idea



## Limits

**Notation:**  $(\underbrace{d, \dots, d}_a, \underbrace{0, \dots, 0}_b)$ -coloring  $\Leftrightarrow (d, a, b)^*$ -coloring

### Theorem - Dorbec, Kaiser, M. and Raspaud '12

Let  $a + b > 0$  and  $d > 0$ .

- ▶ Every graph  $G$  with  $\text{mad}(G) < a + b + \frac{da(a+1)}{(a+d+1)(a+1)+ab}$  is  $(d, a, b)^*$ -colorable.
- ▶ There exist non- $(d, a, b)^*$ -colorable graphs  $G$  with  $\text{mad}(G) = 2a + b - \frac{2}{(d+1)(b+1)-1} + \frac{2a+2}{(d+1)^{a+1}(b+1)^{a+1}-1}$ .

**Asymptotically sharp.**

### Answer

Largest value  $m$  such that every graph with  $\text{mad} < m$  is

$(\underbrace{d, \dots, d}_a, \underbrace{0, \dots, 0}_b)$ -colorable?

When  $d \rightarrow \infty$ :  $2a + b$ .

## Sketch of the proof

[1] reducible configurations + discharging procedure

[2] exhibit a non- $(d, a, b)^*$ -colorable graph  $G$  + compute  $\text{mad}(G)$

# [1] - $(d, a, b)^*$ -coloring

Let  $G$  be a counterexample with the minimum order.

## Claim 0

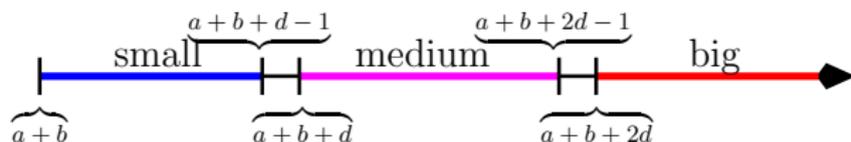
$$\delta(G) \geq a + b$$

Define 3 objects:

**Small vertex**  $v : d_G(v) \leq a + b + d - 1$

**Medium vertex**  $v : a + b + d \leq d_G(v) \leq a + b + 2d - 1$

**Big vertex**  $v : d_G(v) \geq a + b + 2d$



# Reducible configurations

## Claim 1

A **small** vertex is adjacent to at least " $a$ " **non-small** vertices.

**Light small vertex:**

A small vertex adjacent to exactly " $a$ " **non-small** vertices.

## Claim 2

A **medium** vertex is adjacent to at least " $a - 1$ " non-small vertices and to at least " $a - 1 + b$ " non-(light small) vertices

## Claim 3

A **big** vertex is adjacent to at least " $b$ " non-(light small) vertices

## Discharging procedure - Aim

Set  $m = \text{mad}(G)$

**Step 1** Assign to each vertex a **charge** equal to its degree:

$$\forall v \in V(G), \omega(v) = d_G(v)$$

Observe that:

$$\sum_{v \in V(G)} \omega(v) < |V(G)| \cdot m$$

**Step 2** **Move charges** in order to have on each vertex  $v$  a new charge  $\omega^*(v)$  such that :

$$\forall v \in V(G), \omega^*(v) \geq m$$

**Step 3** The contradiction completes the proof:

$$|V(G)| \cdot m \leq \sum_{v \in V(G)} \omega^*(v) = \sum_{v \in V(G)} \omega(v) < |V(G)| \cdot m$$

## Observation

- ▶ **Small** vertices need charge.
- ▶ **Medium** vertices have enough charge but not too much.
- ▶ **Big** vertices have charge.

## Idea

- R1.** A medium/big vertex gives  $r_1$  to each adjacent light small vertex.
- R2.** A medium/big vertex gives  $r_2$  to each adjacent small vertex that is not light.

(With  $r_1 \geq r_2$ )

Let  $v$  be a vertex of degree  $k$ .

[light small]  $v : a + b \leq d_G(v) \leq a + b + d - 1$

$$\begin{aligned}\omega^*(v) &\geq k + a \times r_1 \text{ by R1.} \\ &\geq a + b + a \times r_1 \geq m\end{aligned}$$

[non-light small]  $v : a + b \leq d_G(v) \leq a + b + d - 1$

$$\begin{aligned}\omega^*(v) &\geq k + (a + 1) \times r_2 \text{ by R2.} \\ &\geq a + b + (a + 1) \times r_2 \geq m\end{aligned}$$

[medium]  $v : a + b + d \leq d_G(v) \leq a + b + 2d - 1$

$$\begin{aligned}\omega^*(v) &\geq k - (k - a - b + 1)r_1 - (a + b - 1 - (a - 1))r_2 \text{ by R1, R2, C2} \\ &\geq a + b + d - (d + 1)r_1 - br_2 \geq m\end{aligned}$$

[big]  $v : d_G(v) \geq a + b + 2d$

$$\begin{aligned}\omega^*(v) &\geq k - (k - b)r_1 - br_2 \text{ by R1, R2, C3} \\ &\geq a + b + 2d - (a + 2d)r_1 - br_2 \geq m\end{aligned}$$

[light small]	$a + b + a \times r_1 \geq m$
[non-light small]	$a + b + (a + 1) \times r_2 \geq m$
[medium]	$a + b + d - (d + 1)r_1 - br_2 \geq m$
[big]	$a + b + 2d - (a + 2d)r_1 - br_2 \geq m$

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Find  $r_1, r_2, m$  maximizing  $m$

$$r_1 = \frac{d(a+1)}{(a+d+1)(a+1) + ab}$$

$$r_2 = \frac{da}{(a+d+1)(a+1) + ab}$$

$$m = a + b + \frac{da(a+1)}{(a+d+1)(a+1) + ab}$$

$$\forall v \in V(G), \omega^*(v) \geq m$$

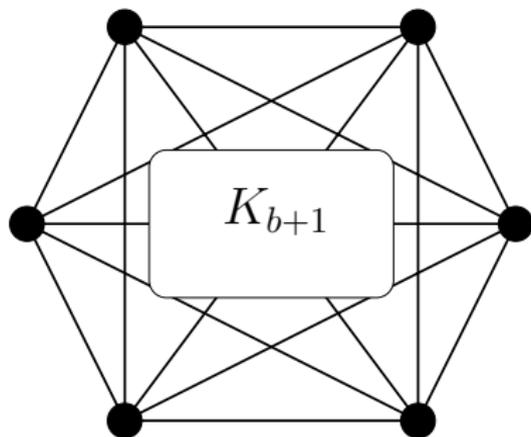
$$|V(G)| \cdot m \leq \sum_{v \in V(G)} \omega^*(v) = \sum_{v \in V(G)} \omega(v) < |V(G)| \cdot m$$



[2] a non- $(d, a, b)^*$ -colorable graph:  $G_{d,a,b}$

By induction on  $a$ .

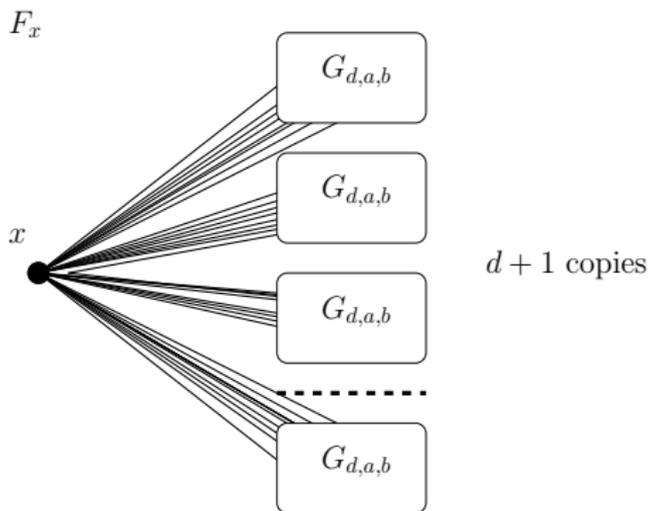
Case  $a = 0$ :  $G_{d,0,b} = K_{b+1}$ .



not  $(d, 0, b)^*$ -colorable

[2] a non- $(d, a, b)^*$ -colorable graph:  $G_{d,a,b}$

From  $a$  to  $a + 1$ .



$G_{d,a,b}$  not  $(d, a, b)^*$ -colorable  $\Rightarrow$

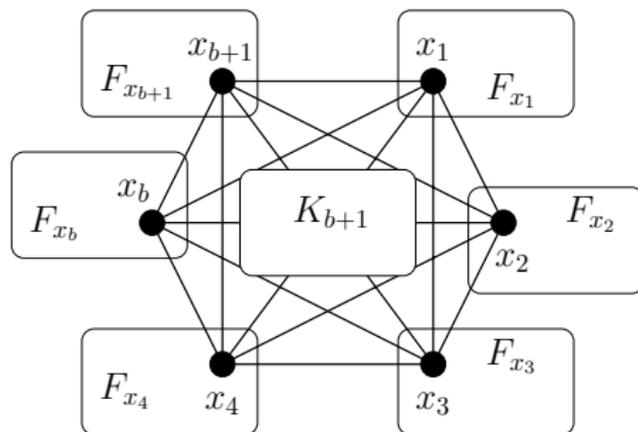
in any  $(d, a + 1, b)^*$ -coloring, each copy contains a vertex of each

color  $l_i$  for  $1 \leq i \leq a + 1 \Rightarrow$

$x$  must be colored with color  $0_i$  for some  $i \in \{1, \dots, b\}$

[2] a non- $(d, a, b)^*$ -colorable graph:  $G_{d,a,b}$

From  $a$  to  $a + 1$  :  $G_{d,a+1,b}$



not  $(d, a + 1, b)^*$ -colorable

[2]  $\text{mad}(G_{d,a,b})?$

Not so easy...

$$n = (b+1) \frac{(d+1)^{a+1}(b+1)^{a+1} - 1}{(d+1)(b+1) - 1}$$

$$e = (b+1) \frac{(d+1)^{a+1}(b+1)^{a+1} \left( \left( a + \frac{b}{2} \right) \left( (d+1)(b+1) - 1 \right) - 1 \right) - \left( \frac{b}{2} - 1 \right) (d+1)(b+1) + \frac{b}{2}}{\left( (d+1)(b+1) - 1 \right)^2}$$

$$\text{mad}(G) = \text{ad}(G) = \frac{2e}{n}$$

## Conclusion

$$f(d, a, b) = a + b + \frac{da(a+1)}{(a+d+1)(a+1) + ab}$$

$$g(d, a, b) = 2a + b - \frac{2}{(d+1)(b+1) - 1} + \frac{2a+2}{(d+1)^{a+1}(b+1)^{a+1} - 1}$$

**asymptotically sharp when  $d \rightarrow \infty$**

Largest value  $m$  such that every graph with  $\text{mad} < m$  is  $(d, a, b)^*$ -colorable?

$$f(d, a, b) \leq m < g(d, a, b)$$