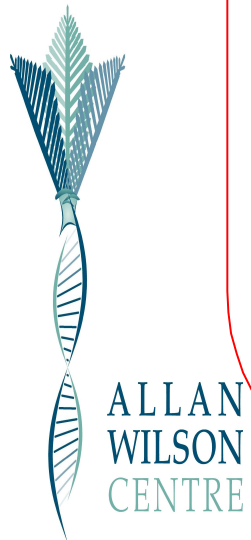


'Realism' and 'Instrumentalism'
in models of
molecular evolution

David Penny

Montpellier, June 08



Galileo

Overview

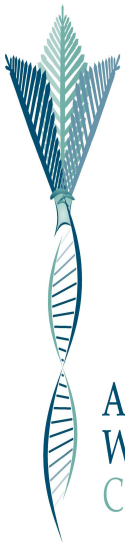
sites free to vary

summing sources of error

'rates' of molecular evolution

estimates of time intervals

do we know anything? (flat priors)



Human/chimp divergence

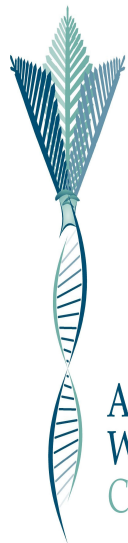
1) *Ramapithecus* = 12Ma → HC = 5 ± 1 Ma

But *Ramapithecus* in Asia, HCG in Africa.

Is 18-20Ma a better estimate for divergence?

2) *Ramapithecus* = 18Ma → HC = 7.5 ± 1.5 Ma

Or should we combine uncertainties?



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In this case, I would rather not – leave it as a conditional estimate – need both.

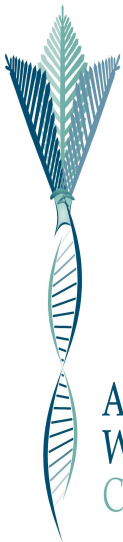
sites free to vary

	rate
	$k_{aa} \times 10^9/\text{yr}$
- fibrinopeptides	8.3
- lysozyme	2.0
- hemoglobin α	1.2
- cytochrome c	0.3
- histone H4	0.01

Dickerson, 1971

explained the differences by the proportion of sites 'free to vary'.

change of function should show a rate change



we use a tiny fraction of the information in the data

Alignment

original sequence order

AIIFLNSALGPSPELFP IILATKVL
AIMFLNSALGPPELFPVILATKVL
SIMFLNHTLNPTPELFP IILATETL
TILFLNSSLGLQPEVTPTVLATKTL
TLLFLNSMLKPPSELFP IILATKTL
ALLFLNSTLNPPELFP LILATKTL
AIFLNSFLNPPKEFFPIILATKIL

Reordered Alignment

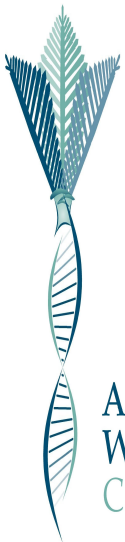
shuffled/reordered

ASAGPSPPATPLLIIIIILLFFNEKV
ASAGPPTPATPLLIMVILLFFNEKV
SHTNPTPPATPLLIMIILLFFNEET
TSSGLQPPATPLLILTVLVTFNEKT
TSMKPPSPATPLLLLIILLFFNEKT
ASTNPPTPATPLLLLLILLFFNEKT
ASFNPPKPATPLLILIILFFNEKI

c columns

$c!$ alignments

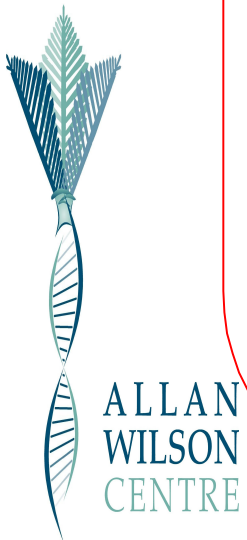
If $c = 1000$, we use $\approx 1/1000!$ of the information



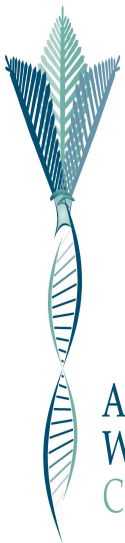
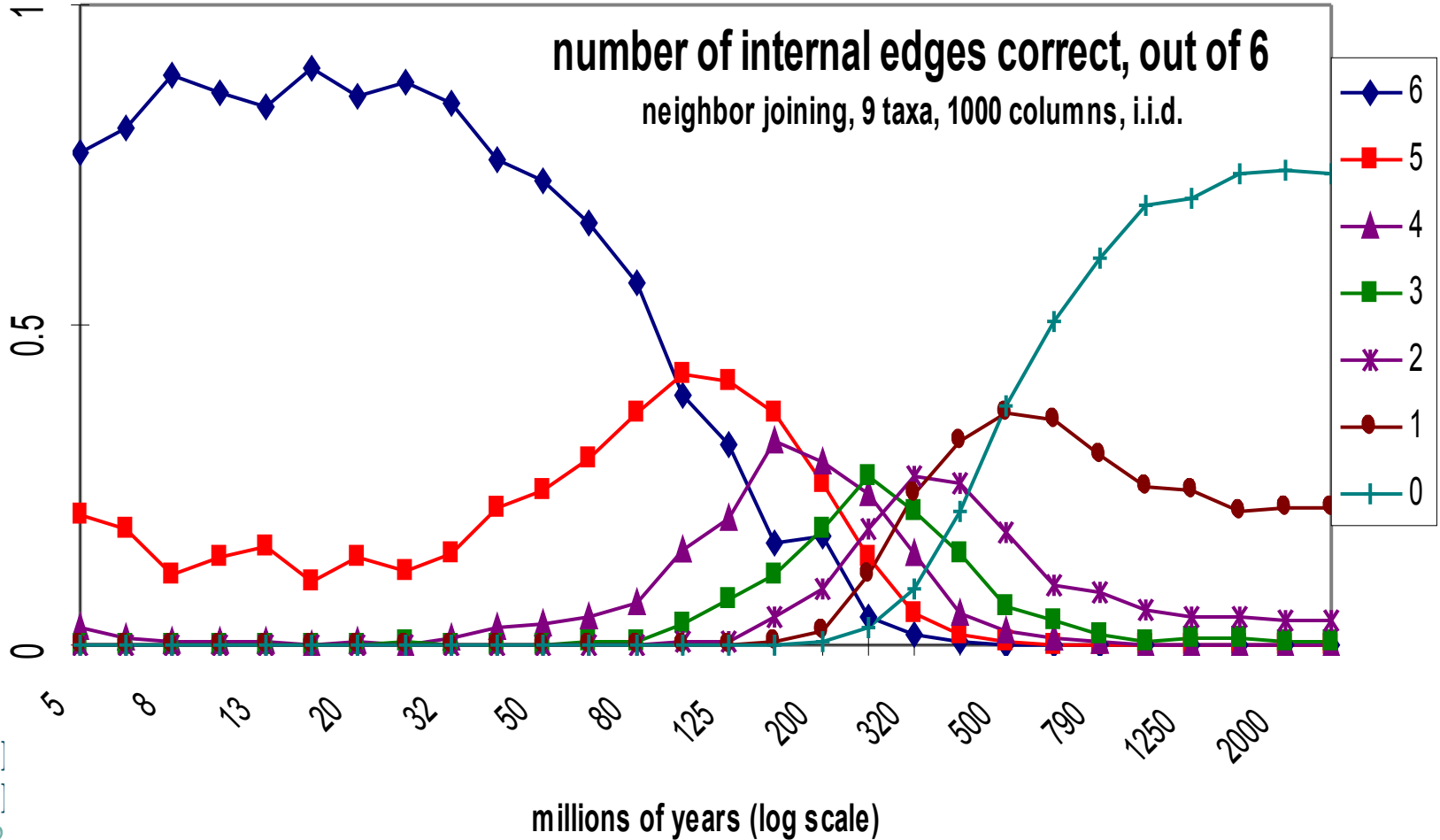
sites change

X-ray crystallographers: the strongest conclusion we have is that the same sites in different species may be fixed, in others they are variable.

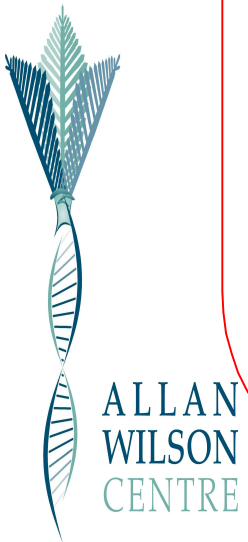
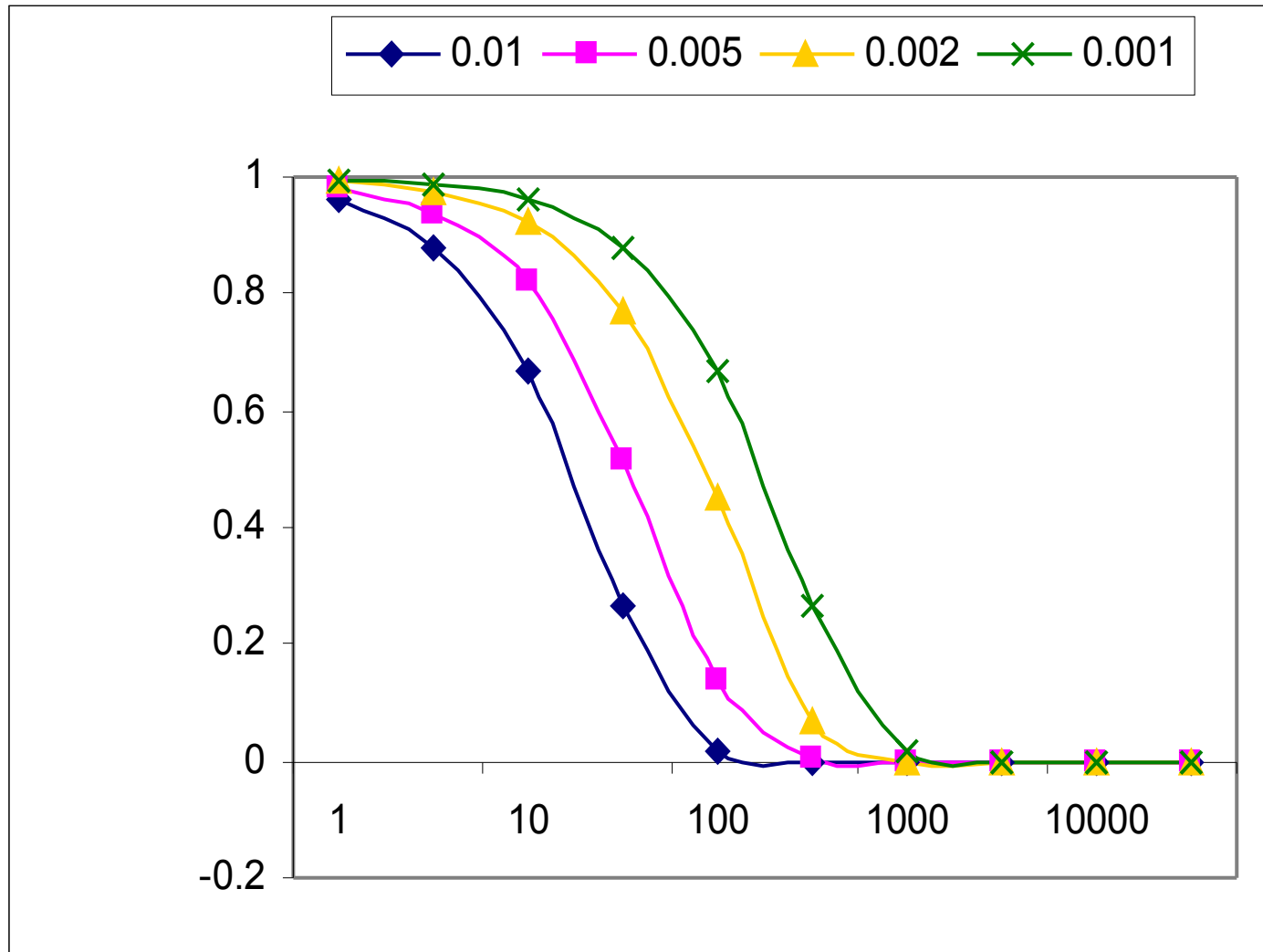
Molecular Phylogeneticists: Our methods (such as the Gamma distribution) assume sites are in the SAME rate class across the entire tree (AND, we only need one parameter- so there).



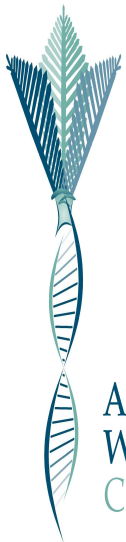
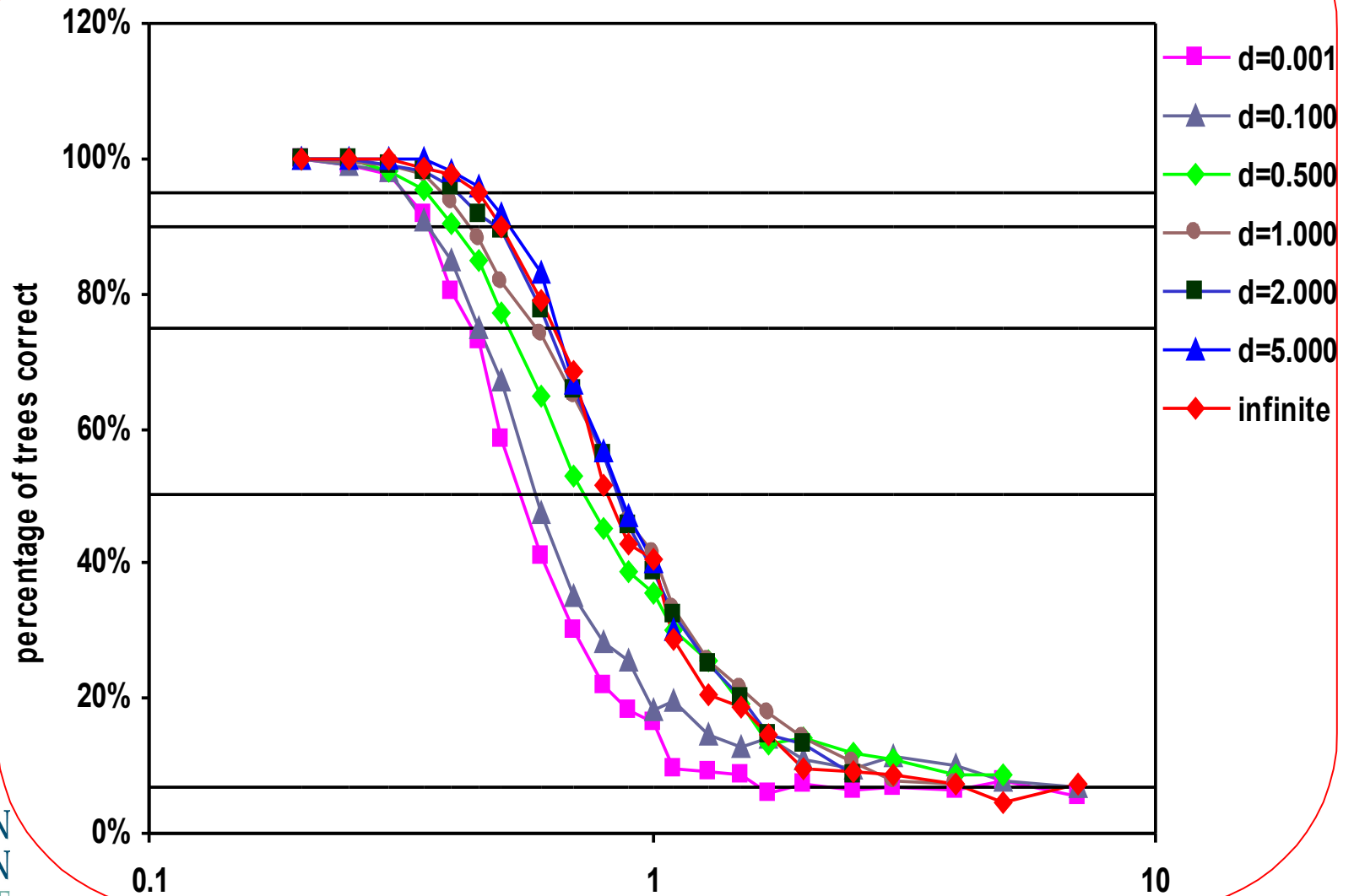
simulation results with standard model



Calculated results, $\Delta \leq \frac{1}{4} + ne^{-qt}$



simulation results with covarion model



do 'rates' exist !!!

We go ON

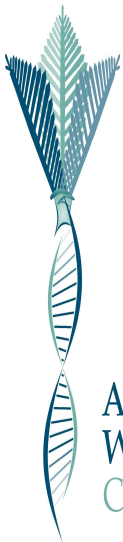
and ON

and ON

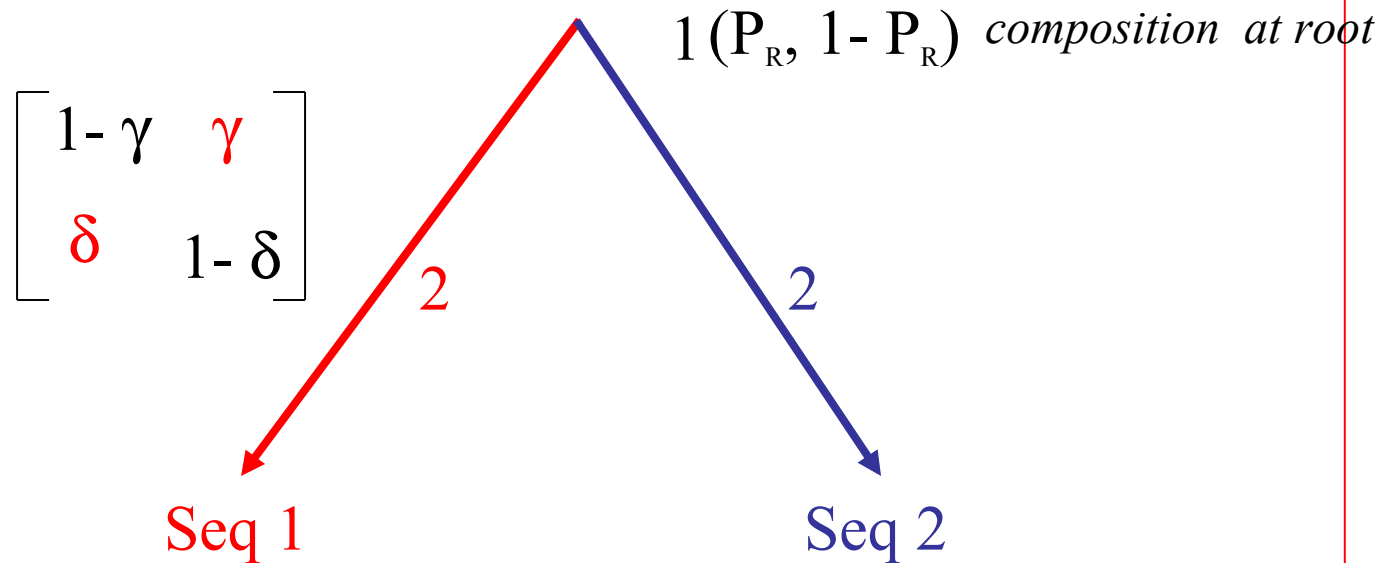
and ON

About 'molecular clocks'.

Should we??

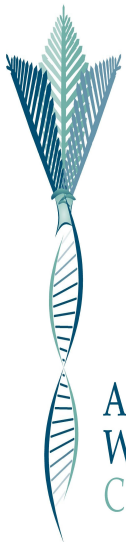


not enough information to recover the full model



5 required,

3 available



two taxa, two codes

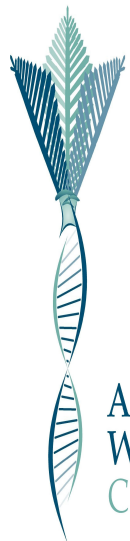
Seq 1 -----

Seq 2 -----

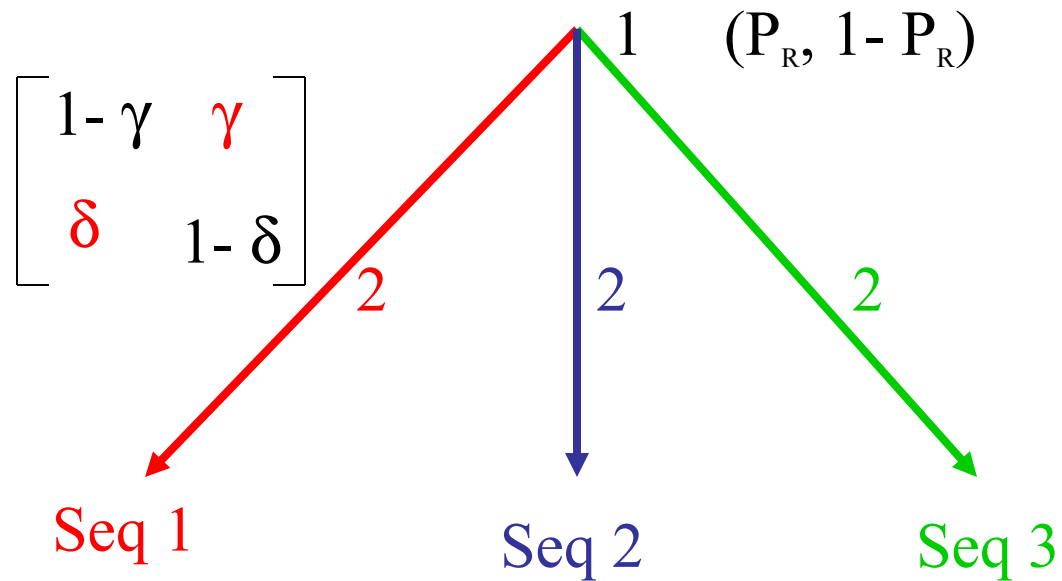
$$\begin{array}{c}
 \text{Seq 1} \\
 \text{Seq 2}
 \end{array}
 \begin{array}{cc}
 \text{R} & \text{Y} \\
 \left[\begin{array}{cc}
 \alpha & \beta \\
 \gamma & *
 \end{array} \right] \\
 \text{R} & \text{Y}
 \end{array}
 \begin{array}{ccc}
 & \begin{array}{c} 1 \quad 2 \end{array} & \\
 \text{R R} & \alpha \\
 \text{R Y} & \beta \\
 \text{Y R} & \gamma \\
 \text{Y Y} & *
 \end{array}
 \end{array}$$

Divergence matrix, $F_{i,j}$

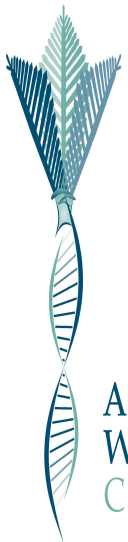
Three independent
parameters estimated



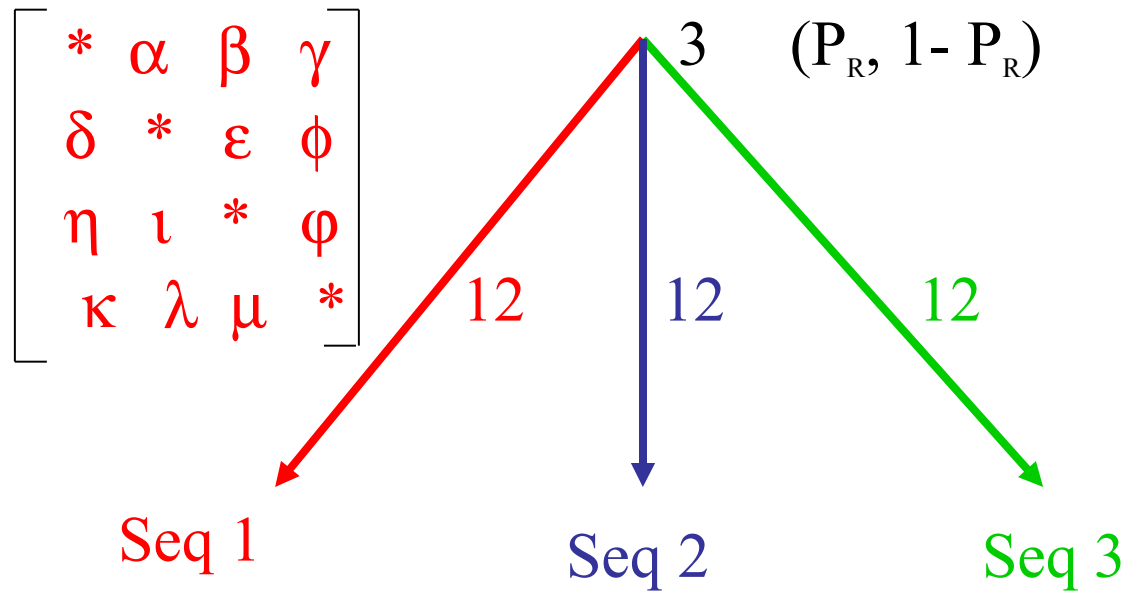
three taxa



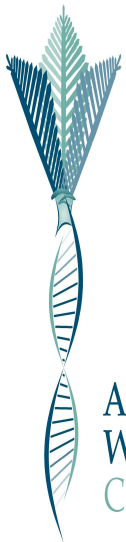
7 required



four character states

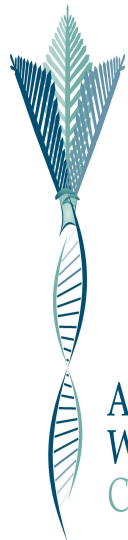


39 required



tensor, 3D matrix

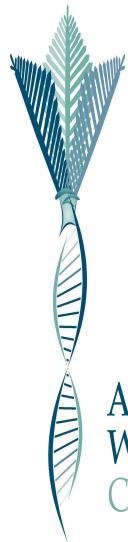
0.001279	0.000071	0.000071	0.000853
0.007819	0.002701	0.004265	0.000284
0.011231	0.006682	0.000995	0.000426
0.274950	0.007961	0.003838	0.000711
0.010520	0.004883	0.001564	0.000426
0.009667	0.023742	0.002985	0.000426
0.001137	0.000995	0.006682	0.000426
0.001848	0.001848	0.015496	0.000853
0.000284	0.000569	0.000853	0.000995
0.000569	0.000142	0.001564	0.002132



primary diagonal

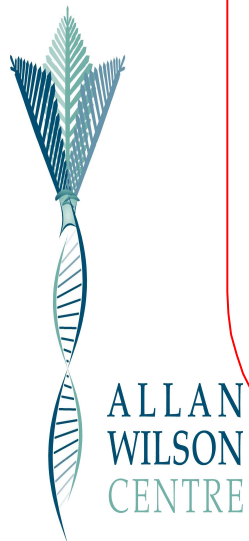
Gymnure, Mole and Shrew

T	T	0.274950	0.007961	0.003838	0.000711
T	C	0.009667	0.023742	0.002985	0.000426
T	A	0.001848	0.001848	0.015496	0.000853
T	G	0.000569	0.000142	0.001564	0.002132
C	T	0.011231	0.006682	0.000995	0.000426
C	C	0.010520	0.188371	0.001564	0.000426
C	A	0.001137	0.002275	0.006682	0.000426
C	G	0.000284	0.000569	0.000853	0.000995
A	T	0.007819	0.002701	0.004265	0.000284
A	C	0.002985	0.009383	0.004407	0.000426
A	A	0.003838	0.004834	0.201166	0.003554
A	G	0.000426	0.000853	0.005118	0.007819
G	T	0.001279	0.000071	0.000071	0.000853
G	C	0.000142	0.001990	0.000284	0.000284
G	A	0.000284	0.000284	0.004691	0.001137
G	G	0.000995	0.000711	0.001279	0.143588
		T	C	A	G



secondary diagonals

		Gymnure (moon rat)	Mole,	Shrew	
T	T	0.274950	0.007961	0.003838	0.000711
T	C	0.009667	0.023742	0.002985	0.000426
T	A	0.001848	0.001848	0.015496	0.000853
T	G	0.000569	0.000142	0.001564	0.002132
C	T	0.011231	0.006682	0.000995	0.000426
C	C	0.010520	0.188371	0.001564	0.000426
C	A	0.001137	0.002275	0.006682	0.000426
C	G	0.000284	0.000569	0.000853	0.000995
A	T	0.007819	0.002701	0.004265	0.000284
A	C	0.002985	0.009383	0.004407	0.000426
A	A	0.003838	0.004834	0.201166	0.003554
A	G	0.000426	0.000853	0.005118	0.007819
G	T	0.001279	0.000071	0.000071	0.000853
G	C	0.000142	0.001990	0.000284	0.000284
G	A	0.000284	0.000284	0.004691	0.001137
G	G	0.000995	0.000711	0.001279	0.143588
		T	C	A	G

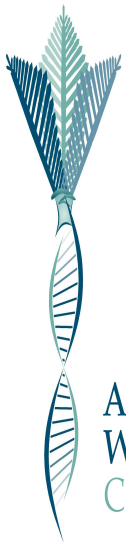


moon rat, 1+2

T	0.955	0.148	0.087	0.028
C	0.025	0.803	0.025	0.009
A	0.018	0.043	0.876	0.076
G	0.002	0.006	0.012	0.887
	T	C	A	G

T	<u>.955</u> ±.004	<u>.150</u> ±.013	.087 ±.009	<u>.029</u> ±.008
C	<u>.025</u> ±.003	.800 ±.014	.025 ±.005	<u>.009</u> ±.003
A	.018 ±.003	.044 ±.006	.877 ±.011	.077 ±.011
G	<u>.002</u> ±.001	<u>.006</u> ±.002	.012 ±.002	.886 ±.015
	T	C	A	G

therefore we believe in symmetric models



mole, shrew and moon rat

mole

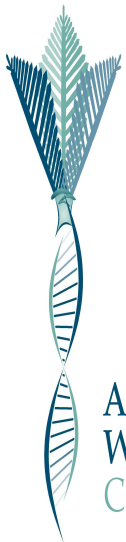
T	0.976	0.062	0.021	0.013
C	0.017	0.931	0.020	0.007
A	0.006	0.006	0.948	0.012
G	0.001	0.001	0.010	0.968
	T	C	A	G

shrew

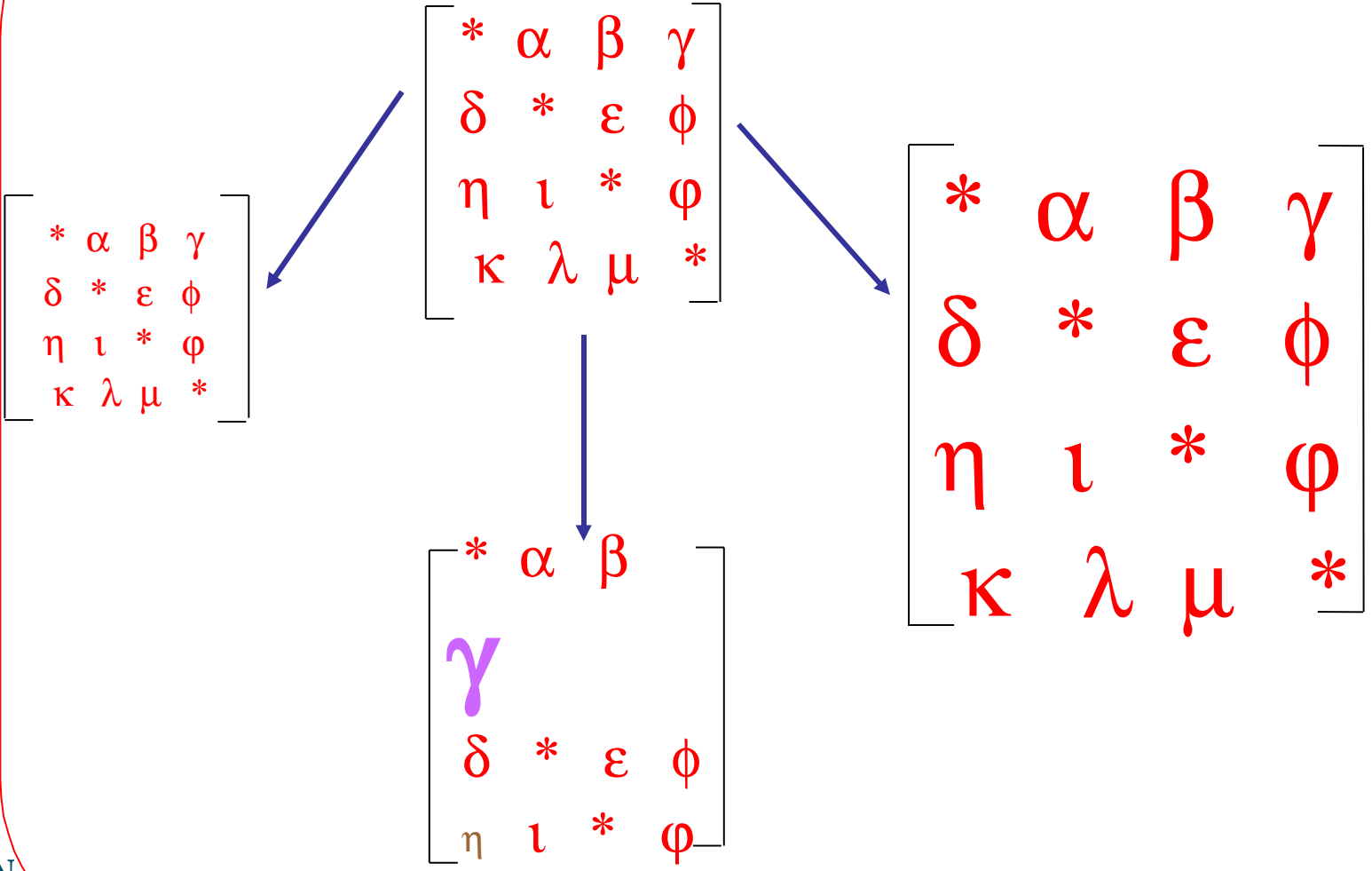
T	0.977	0.038	0.024	0.011
C	0.020	0.951	0.020	0.003
A	0.002	0.009	0.942	0.011
G	0.001	0.001	0.015	0.976

moon rat

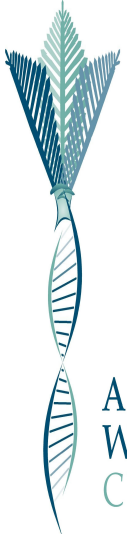
T	0.955	0.148	0.087	0.028
C	0.025	0.803	0.025	0.009
A	0.018	0.043	0.876	0.076
G	0.002	0.006	0.012	0.887
	T	C	A	G



change in rate



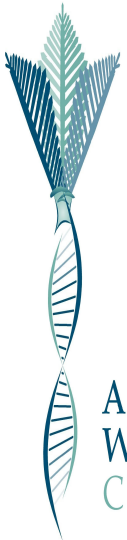
change in process



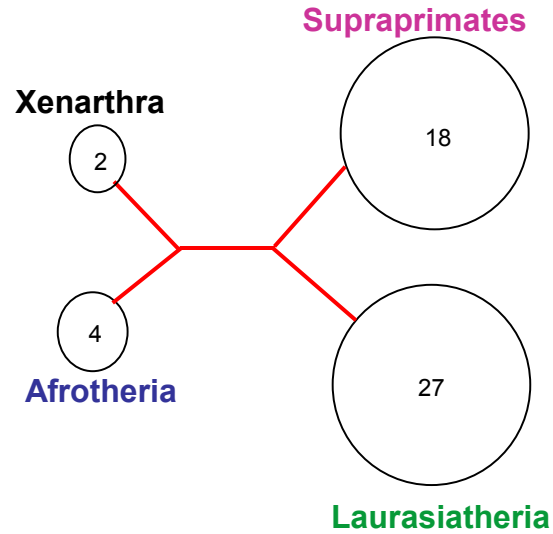
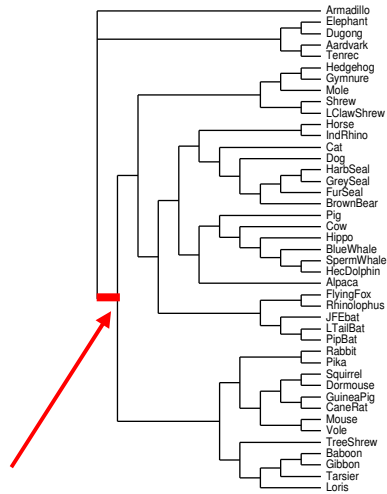
do we know anything?

the curse of 'flat priors'

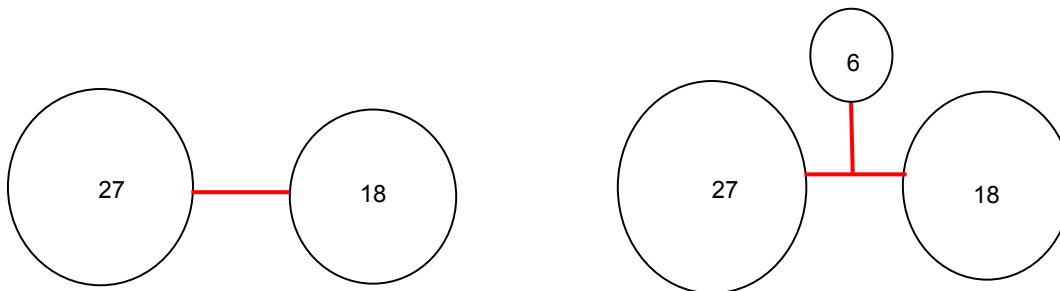
the 'we know nothing syndrome'



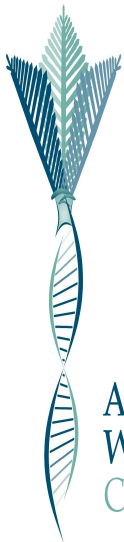
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WILSON
CENTRE



binary trees, $b(n) = (2n-5)!!$
 $= 1 \times 3 \times 5 \times 7 \dots 2n-5.$



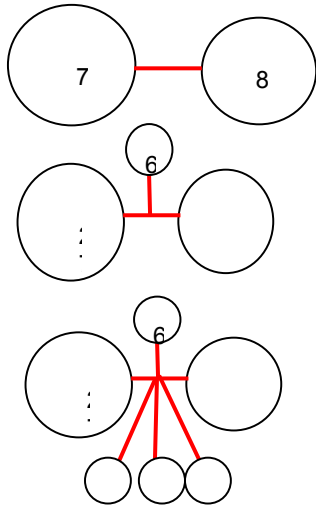
5.68×10^{-18}



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 WILSON
 CENTRE

binary trees, $b(n) = (2n-5)!!$

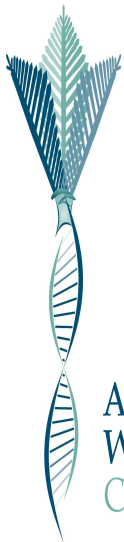
$$= 1 \times 3 \times 5 \times 7 \dots 2n-5.$$



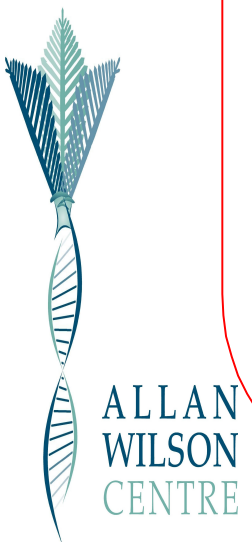
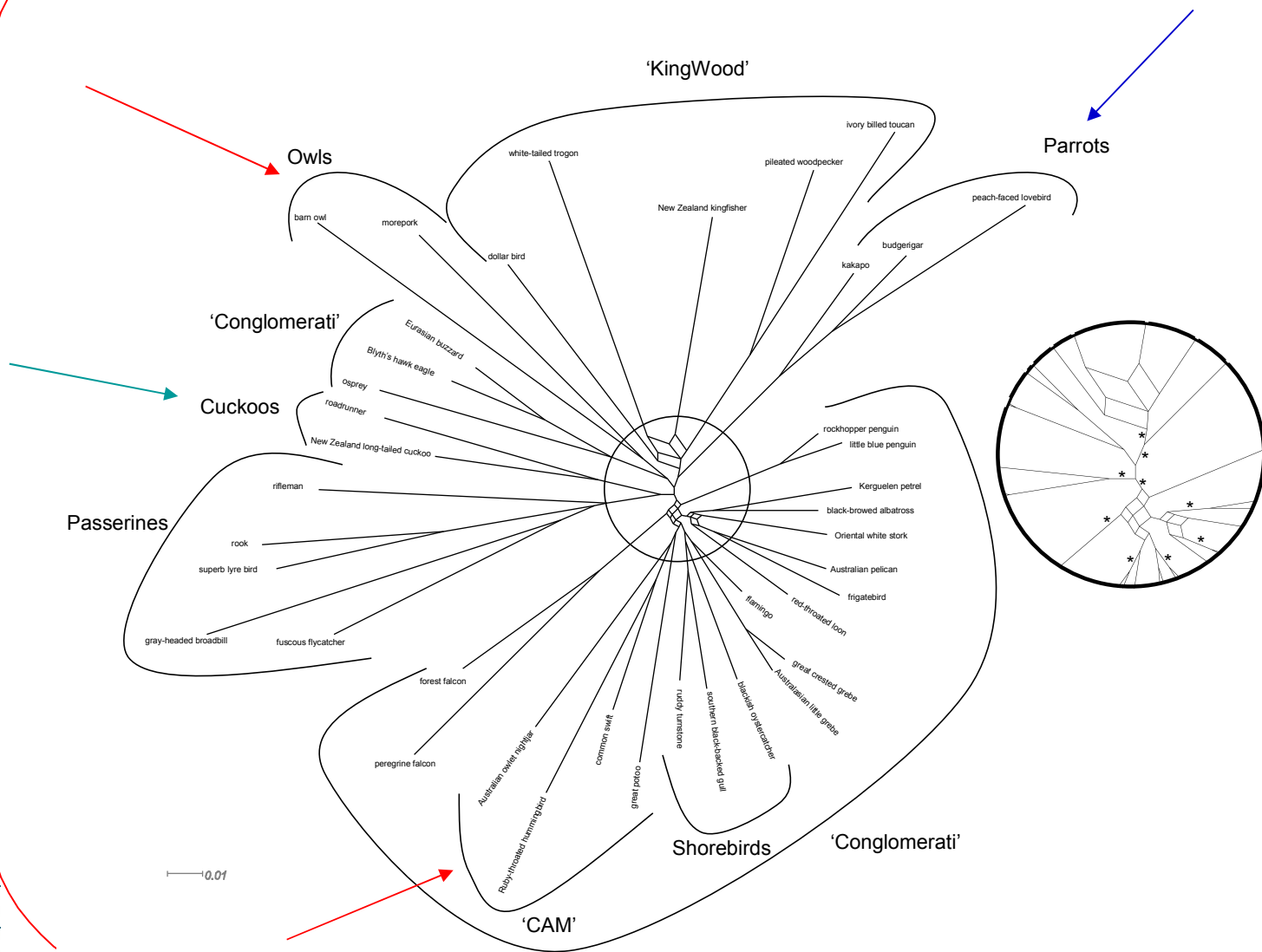
$$b(n_1+1).b(n_2+1) / b(n_t)$$

$$b(n_1+1).b(n_2+1).b(n_3+1) / b(n_t)$$

$$b(n_1+1).b(n_2+1) \dots b(n_i+1) / b(n_t)$$



40 birds



$$P(n, k) = \frac{R(k) \times B(n-k+1)}{B(n)}$$

probability with n taxa of observing a prespecified clade of size k .

with $n = 40$ and

$$k = 2, P \approx 0.013$$

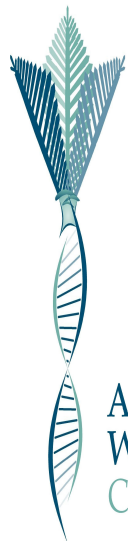
cuckoo, roadrunner

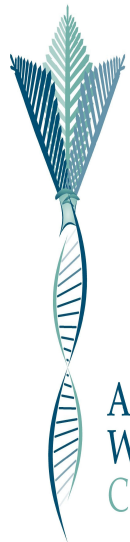
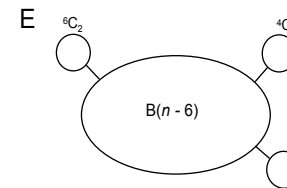
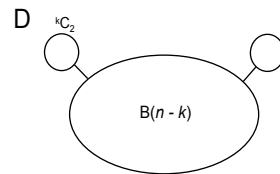
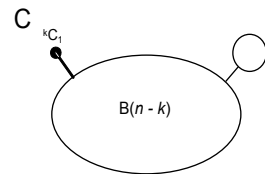
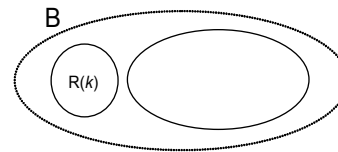
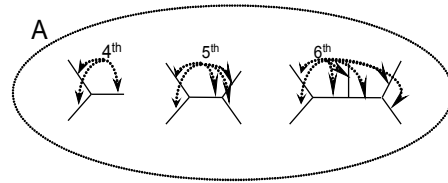
$$k = 3, P \approx 0.0026$$

parrots

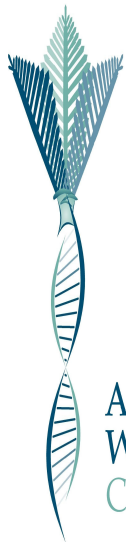
$$k = 4, P \approx 7.12 \times 10^{-6},$$

$$k = 5, P \approx 5.84 \times 10^{-8}.$$





potoo, owlet-nightjar, owl, barn owl,
swift, hummingbird (6)



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WILSON
CENTRE

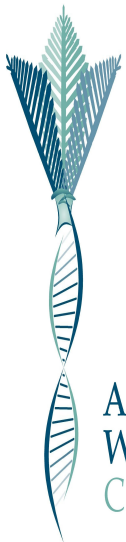
Where next in Phylogeny?

allow realism in phylogeny

set the biological question

we have some bad failures

we need a range of alternatives

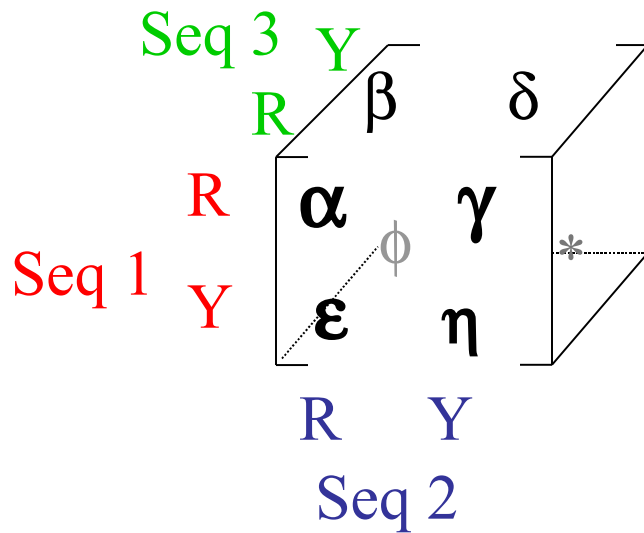


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WILSON
CENTRE

Belief is the curse of the thinking class

tensor, 2-states

Seq 1 ----- R -----
 Seq 2 ----- R -----
 Seq 3 ----- R -----



R R R α
 R R Y β
 R Y R γ
 R Y Y δ
 Y R R ϵ
 Y R Y ϕ
 Y Y R η
 Y Y Y $*$
 1 2 3

7 available !

