#### Active Tremor Compensation via Robotic Handheld Instrument and Wearable Orthosis

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#### Active Noise/Disturbance Compensation



#### Active Pathological Tremor Compensation in Wearable Orthosis

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#### Active Pathological Tremor Compensation in Wearable Orthosis





#### Active Physiological Tremor Compensation in Handheld Microsurgical Instrument

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#### Microsurgery with Active Handheld Instrument



#### Active Physiological Tremor Compensation in Handheld Microsurgical Instrument



# Involuntary Hand Movement for Healthy People

- Physiological
  - Tremor

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- □ Roughly sinusoidal, ≤50 µm rms, 8-12 Hz
- Others: Myoclonic jerk, drift





#### Physiological Tremor and Microsurgery

 Complicates microsurgical procedures and makes certain delicate interventions impossible



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#### Vitreoretinal Microsurgery

- Removal of membranes  $\leq$  20  $\mu m$  thick from front or back of retina





#### Vitreoretinal Microsurgery

 Injection of anticoagulant using intraocular cannulation to treat retinal vein (~Ø100 μm) occlusion



vein

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#### Physiological Tremor and Microsurgery



#### Physiological Tremor and Microsurgery

- Impact on microsurgeons
  - □ 2 of 10 surgeons become microsurgeons
- Factors affecting tremor
  - □ Fatigue strenuous exercise etc.
  - Caffeine/alcohol consumption (withdrawal syndrome)
  - □ Lack of practice long vacation etc.
  - □ Age experience vs hand stability
- Microsurgeons' consensus:
  - 10 μm positioning accuracy

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#### Comparison of Robotic Solutions

- Telerobotics
- 'Steady Hand' robot
- Active Handheld Instrument
  - ✓ Cheap
  - Unobtrusive
  - Dexterity
  - Limited workspace
  - No motion scaling
  - No 'third hand'



#### **Obtrusive**

> US\$1M > US\$150K





#### Steady Hand robot, Johns Hopkins Univ,

# Visual Feedback

Microsurgery with Active Handheld



#### Micron Current Prototype

- Length: 150 mm long
- Diameter: Ø20(16) mm
- Weight <100 g</p>

Instrument

- 6 DOF inertial at the back end
- 3 DOF piezoelectric driven parallel manipulator at front end with disposable surgical needle
- Signal processing and control performed by PC via ADC & DAC





#### Microsurgery with Active Handheld Instrument



#### On-board Sensing System

- All-accelerometer inertial measurement unit (IMU):
  - 3 dual-axis miniature MEMS accelerometers Analog Devices ADXL-203: 5mm x 5mm x 2mm, < 1g</li>
- Housed in 2 locations



Differential Sensing Kinematics

 Body acceleration sensed by accelerometer at location {i}: A<sub>i</sub> = A<sub>CG</sub> + g + Ω×Ω×P<sub>Bi</sub> + α×P<sub>Bi</sub> Rotation-induced Accelerations

 Differential Sensing
 A<sub>ij</sub> = A<sub>j</sub> - A<sub>i</sub> = ([Ω×][Ω×]+[α×])P<sub>ij</sub>, i, j = 1, 2, 3
 A<sub>13</sub> = 
 a<sub>13x</sub>
 A<sub>23</sub> = 
 a<sub>23y</sub>
 A<sub>12</sub> = 
 a<sub>13z</sub>
 Solve system of nonlinear equations for Ω = [ω<sub>x</sub> ω<sub>y</sub> ω<sub>z</sub>]<sup>T</sup> by Gauss-Newton or Levenberg-Marquart method



## Sensing Kinematics

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- Updating quaternions:  $\dot{q}(t) = \tilde{\Omega}(t)q(t), \ \tilde{\Omega} = \frac{1}{2} \begin{bmatrix} [\Omega \times]_{3 \times 3} & | & \Omega_{3 \times 1} \\ -\Omega_{1}^{T} & | & \Omega_{3 \times 1} \\ -\Omega_{1 \times 3}^{T} & | & 0 \end{bmatrix}$
- Directional Cosines matrix

$${}^{W}C_{B} = \begin{bmatrix} q_{0}^{2} + q_{1}^{2} - q_{2}^{2} - q_{3}^{2} & 2(q_{1}q_{2} - q_{0}q_{3}) & 2(q_{1}q_{3} + q_{0}q_{2}) \\ 2(q_{1}q_{2} + q_{0}q_{3}) & q_{0}^{2} - q_{1}^{2} + q_{2}^{2} - q_{3}^{2} & 2(q_{2}q_{3} - q_{0}q_{1}) \\ 2(q_{1}q_{3} - q_{0}q_{2}) & 2(q_{2}q_{3} + q_{0}q_{1}) & q_{0}^{2} - q_{1}^{2} - q_{2}^{2} + q_{3}^{2} \end{bmatrix}$$

- Gravity Removal:  ${}^{W}A_{E} = {}^{W}C_{B}{}^{B}A {}^{W}g$
- Tip Displacement:

<sup>W</sup> 
$$P_{tip}(t) = {}^{W}P_{tip}(t-T) + \int_{t-T} \int_{t-T}^{t} {}^{W}A_{E}(\tau)d\tau d\tau + {}^{W}C_{B}(t)[{}^{B}\Omega\times]{}^{B}P_{tip}$$
  
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#### Sensing Resolution (Error Variance) Analysis

- Sensing resolution dependent on sensor noise floor
- Angular Sensing
  - Sensing equation:
  - $A_{ij} = f(\Omega) = ([\Omega \times] [\Omega \times] + [\alpha \times])P_{ij}$
  - Covariance:
  - $C(A_{ij}) = C(\Omega) P_{ij}$  $P_{ii}\uparrow, C(\Omega)\downarrow$

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#### Angular Sensing Resolution Comparison



#### Proposed All-Accelerometer vs Conventional Inertial Measurement Unit

- All-accelerometer IMU
  - Maximized *P<sub>ij</sub>*, with physical constraint of a slender handheld instrument
- Conventional IMU (3A-3G)
  - Tokin CG-L43D rate gyros x 3

	3G-3A Error std. dev. (deg/s)	6A Error std. dev. (deg/s)	Noise reduction / resolution improvement
$\omega_x \& \omega_y$	1.41	1.08 × 10 <sup>-2</sup>	99.3% / 130x
ω <sub>z</sub>	1.41	4.42 × 10 <sup>-2</sup>	96.9% / 32x
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#### Sensing Resolution (Error Variance) Analysis

- Translational Sensing
  - a 2 accelerometers in each sensing direction:

$$\frac{1}{\sigma_A^2} = \frac{1}{\sigma_{Ai}^2} + \frac{1}{\sigma_{Aj}^2} \rightarrow \sigma_A = \frac{\sigma_{Ai}}{\sqrt{2}}$$

- $\square$  Sensing resolution improves by a factor of  $\sqrt{2}$
- Better orientation estimation → more complete removal of gravity → better translation estimation





#### Integration Drift of Inertial Sensors

- Integration drift
  - □ Erroneous DC Offset
     \_\_\_\_\_ Ramp
     \_\_\_\_\_ Quadratic
  - Error accumulates and grows unbounded over time
- Poor sensing accuracy





#### Measurement Model

- Measurement model allows error analysis and compensation
- Measurement Model = Physical (Deterministic) Model + Stochastic Model



#### Experimental Observations



#### Phenomenological Modeling

- Bias,  $B_x(V_y, V_z) = B_x + g_x(V_y) + h_x(V_z)$
- Scale Factor,

$$SF_x(V_z) = r_{x2}V_z^2 + r_{x1}V_z + r_{x0}$$
  
Model





#### Sensing Results - Translation

	Rmse (mm/s <sup>2</sup> )	Bias (mm/s <sup>2</sup> )	Scale Factor (mm/s <sup>2</sup> )
Linear Model	300	272	6
Proposed Physical Model	31*	<5	<1
Error Reduction (%)	89.7	-	-

\* ADXL-203 rated rms noise = 22.1 mm/s<sup>2</sup>



#### Residual Zero Offset - Integration Drift



#### Analytical Integration

# $\begin{aligned} \text{Real-time modeling of Tremor} \\ \text{Acceleration:} \quad y_k &= \sum_{r=0}^{L=(f-f_0)G} a_r \sin(2\pi(f_0 + \frac{r}{G})k) + b_r \cos(2\pi(f_0 + \frac{r}{G})k) \\ \text{Velocity:} \quad \int y_k &= -\sum_{r=0}^{L} \left[ \frac{a_r}{(2\pi(f_0 + \frac{r}{G}))} \cos(2\pi(f_0 + \frac{r}{G})k) - \frac{b_r}{(2\pi(f_0 + \frac{r}{G}))} \sin(2\pi(f_0 + \frac{r}{G})k) \right] \\ \text{Position:} \quad \iint y_k &= -\sum_{r=0}^{L} \left[ \frac{a_r}{(2\pi(f_0 + \frac{r}{G}))^2} \sin(2\pi(f_0 + \frac{r}{G})k) + \frac{b_r}{(2\pi(f_0 + \frac{r}{G}))^2} \cos(2\pi(f_0 + \frac{r}{G})k) \right] \end{aligned}$

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#### Analytical Integration



#### Vision Aided Inertial Sensing



#### Microscope Instrument with Camera tip Gravity sensed by the 8x Magnification: accelerometers is used to obtain tilt angle of Tip Position Error the instrument = 2.13 µm rms • Tip Pan Angle Error= 0.2° rms. Tilt angle NANYANG TECHNOLOGICAL UNIVERSITY Pan angle

Vision Aided Inertial Sensing

#### Sensor Fusion



#### Microsurgery with Active Handheld Instrument



#### Zero Phase Filtering

- Phase lag = time delay
- Separation of tremor from the intended motion without introducing phase lag
  - Prediction/projection capability
  - □ Adaptive
    - Non-linear phase response of IIR filter, i.e. phase characteristic changes with frequency



#### Weighted-frequency Fourier Linear Combiner (WFLC)

- Extends FLC to also adaptively estimate the frequency using another LMS algorithm
- Band-pass filter to select the band of interest
  - Assumption: rate of change of the dominant input signal frequency is slow
- Zero-phase notch (band-stop) filter effect



## Truncated Fourier series to adaptively estimate amplitude

and phase of periodic signal with known frequency ( $\omega_0$ )

Fourier Linear Combiner (FLC)



#### Weighted-frequency Fourier Linear Combiner (WFLC)





#### Weighted-frequency Fourier Linear Combiner (WFLC) Experiment

1 DOF motion 0.01 canceling 0 experiment -0.01 Ave. rms tremor amplitude -0.02 reduced 69% -0.03

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## Frequency adaptation in WFLC



#### Tremor Recordings



#### Bandlimited Multiple FLC

> To estimate the tremor signal within a band of frequencies or comprising of multiple frequency components (modulated signals)



- > Corresponding weight will adapt to the corresponding frequency of the input signal
- > Can deal with input signals of multiple frequency components unlike WFLC



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#### Comparative Performance : Estimation Errors



#### Performance of BMFLC with Real-Tremor



#### Performance of BMFLC with Real-



#### Analytical Integration via BMFLC

- Frequency components remain constant in BMFLC
- Once the weights adapt, the weights can also be assumed to be constant

#### By Performing analytical integration:

Acceleration: 
$$y_{k} = \sum_{r=0}^{L=(f-f_{0})G} a_{r} \sin(2\pi(f_{0} + \frac{r}{G})k) + b_{r} \cos(2\pi(f_{0} + \frac{r}{G})k)$$
  
Velocity:  $\int y_{k} = -\sum_{r=0}^{L} \left[ \frac{a_{r}}{(2\pi(f_{0} + \frac{r}{G}))} \cos(2\pi(f_{0} + \frac{r}{G})k) - \frac{b_{r}}{(2\pi(f_{0} + \frac{r}{G}))} \sin(2\pi(f_{0} + \frac{r}{G})k) \right]$   
Position:  $\iint y_{k} = -\sum_{r=0}^{L} \left[ \frac{a_{r}}{(2\pi(f_{0} + \frac{r}{G}))^{2}} \sin(2\pi(f_{0} + \frac{r}{G})k) + \frac{b_{r}}{(2\pi(f_{0} + \frac{r}{G}))^{2}} \cos(2\pi(f_{0} + \frac{r}{G})k) \right]$   
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#### Microsurgery with Active Handheld Instrument



## Manipulator Design

- 3 DOF piezoelectric-driven parallel manipulator
  - $\square$  1 actuator per axis, max effective stroke = 12.5  $\mu m$
  - $\square$  Motion amplification = 9.4x, total stroke > 100  $\mu m$
- Tool tip approximated as a point, hence only 3 DOF manipulation
- Parallel manipulator design because
   Rigidity, compactness, and design simplicity



#### Design of Parallel Mechanism

- Flexure Based Mechanism
- Monolith design using Stereolithography (SLA)
- Ø22 x 58 mm
- IEEE EMBS 2005 (Shanghai) Best Student Design Competition winner
   David Choi (CMU) et al.





#### Piezoelectric Actuator Hysteresis

- Pros :
  - High bandwidth
  - Fast response
  - High output force
- Cons :
- Hysteresis
- ~15% of max. displacement
- Hysteresis is rate-dependent









#### Commercial Piezo-System with Feedback Controller

 Piezo-driven 3 axis micro-positioner □ Polytec-PI, Germany, NanoCube<sup>TM</sup> P-611 >\$ 10.000 Feedback sensors: strain gages Tracking a 10 Hz, 100 μm p-p sinusiod Hysteresis still present Low-pass filtered behavior Measured Displacement (µm) Displacement (µm) 40 20 40 60 Voltage (V) 80 100 0.2 0.4 0.6 Time (s) TECHNOLOGICAL UNIVERSITY



Desired

0.8

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#### Feedforward Controller with Inverse

#### Hysteresis Model

- Develop an invertible mathematical model that closely describes the hysteretic behavior of a piezoelectric actuator
- Prandtl-Ishlinskii Model



#### Prandtl-Ishlinskii (PI) Operator

- Rate independent backlash operator:
  - $H_r = \max\{x(t) r, \min\{x(t) + r, y_0\}\}$
- Linearly weighted superposition of backlash operators:



#### Inverse PI Hysteresis Model



#### Tremor Tracking Results

Tracking recordings of real tremor using 1 piezoelectric stack
 Rmse = 0.64% of max ampl.; Max error = 2.4% of max ampl.





#### Adaptive Feedforward Controller

- Eliminate parameter identification
- Weight adapting mechanism: Recursive Least Square



Real-time Active Compensation – 1 DOF Disturbance, 1 DOF Compensation



 $y_d$ 



Real-time Active Compensation – 1 DOF Disturbance, 3 DOF Compensation





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#### Real-time Active Compensation – Handheld

- C. Riviere (2006), Carnegie Mellon Univ.
- 5 DOF sensing by 2 orthogonal position sensitive detectors
- No inertial sensing
- Non-surgical scenario







#### Active Pathological Tremor Compensation in Wearable Orthosis







#### Pathological Tremor: Causes & Symptoms

#### • 3-12 Hz

- From < 10 mm (fingers) to > 100 mm (arm)
- Common medical conditions
  - Essential tremor (postural tremor)
  - Parkinson's disease (resting tremor)
  - Cerebellar dysfunction (intention tremor)
    - e.g. stroke, multiple sclerosis, Wilson's disease



#### Impact of Pathological Tremor

- Affects 5-9% of the population age <u>></u> 40
- Activities of daily living become challenging or impossible







Inserting a key

- buttoning writing typing
   Social embarrassment and isolation
- Lifetime cost per patient > US\$1M



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## Treatment Options

- Drug therapy
  - □ > 50% are not responsive to drug
- Stereotactic surgery
  - Cost, psychological barrier, chances of complication
- Assistive technology
  - Active tremor compensation via wearable orthosis
    - A 20-100 ms electromechanical time delay between Electromyograph (EMG) signals and muscle actuations



#### Orthosis Pathological Tremor Tack Pathological Tremor Muscle Accelerometer EMG Pathological Tremor Pathological Tremor

Tremor

Tremor

Zero-phase Filtering and

Algorit

Active Tremor Compensation in Wearable



Functional Electrical

Stimulation



#### Robot Arm vs Human Arm

- Actuation system



## Robot Arm vs Human Arm

- Links



#### Feedback Control System



#### Musculoskeletal Model of Upper Limb

#### Well studied and established



## Key Challenges

#### Filtering

How can we differentiate between tremulous & voluntary SEMG of the muscles?



#### Key Challenges

#### Sensing

 How can we know what upper limb movement (tremulous + voluntary) will occur from the sensed SEMG of the muscles?



## Key Challenges

- Functional Electrical Stimulation
  - How can we use FES to generate (anti-)tremulous movement in the upper limbs?



#### Musculoskeletal Modeling of Tremor in Upper Limbs

 To understand the roles and characteristics of the skeletal muscles responsible for each type of tremor

> tensor carpi radialis brevis extensor carpi radialis loom

 To study SEMG-movement relationship



#### Tremor Study

- 120 patients with movement disorder
  - Parkinson Diseases resting tremor (>30)
  - □ Essential Tremor postural tremor (>30)
  - Multiple Sclerosis, Stoke, etc. intention tremor (>30)
  - □ Others (~10)
- 30 healthy people
  - □ Age 16-85
  - D No personal & family medical history of tremor
- National Neuroscience Institute, Singapore

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#### Pathological Tremor Study

 18 control subjects, 5 Parkinson's Disease patients, 6 Essential Tremor patients, 1 Psychogenic tremor patient, and 1 Holmes' tremor patient



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#### Data Collection

- Record sensor data of patients performing
  - Standard diagnostics: finger to noise, finger to finger, stretched out, drawing spirals, etc.
- Sensors
  - SEMG: 16 channels (8 muscle groups, mostly agonist & antagonist pairs) per limb
  - a Accelerometers: 3 x tri-axial per limb
  - Goniometers: 1 per limb
  - Position and Orientation sensor: Vicon optical sensing system (4 cameras)







#### Muscle Contraction Property



Muscle mechanical model (Hill-type)

CE : Contractile Element

- SE : Series Elastic Element
- PE : Passive Element (Parallel Elastic Element)



#### Sensor Fusion – Kalman Filtering

- EMG-derived joint angle as predictor
- ACC-derived joint angle as corrector

$$\begin{split} \theta_{EMG}(k) &= c_{EMG}(1) EMG(k) + c_{EMG}(2) \\ \theta_{ACC}(k) &= c_{ACC}(1) A CC(k) + c_{ACC}(2) \end{split}$$

Estimate of joint angle

$$\theta(k) = \theta_{EMG}(k) + \frac{\sigma_{EMG}^2}{\sigma_{EMG}^2 + \sigma_{ACC}^2} (\theta_{ACC}(k) - \theta_{EMG}(k))$$



#### Results



## Results



#### Tremor Filtering via ANN

- Cascade Correlation Neural Networks with extended Kalman Filtering
- Experiments with 11 multiple sclerosis patients (intention tremor)
- Smoother trajectory
- Reach and dwell in target circle 31.8% faster
   Mean over 29 tests





#### Functional Electrical Stimulations

- Controlling electrical pulses to stimulate the intact peripheral nerve to actuate muscles
  - Usually used to restore the motor functions for the paralyzed patients



- Prochazka *et al.* (1992) demonstrated the effectiveness of FES for tremor attenuation
  - Offline trial & error tuning of intensity and phase



#### Muscle Activation



#### FES Controller Design



## Stimulation Artifact

Blocking window

□ Turn off EMG channels when stimulation is on



#### Simulation Result of Tremor Suppression



#### Wearable Orthosis

- Wearable bands thin enough to be worn under sleeves
- Battery powered
- Microcontroller based
- Beyond the first step
  - False alarm
  - □ EEG

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#### Questions & Comments

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