# Twin-width and polynomial kernels

Amadeus Reinald ENS de Lyon

*joint work with* Édouard Bonnet<sup>1</sup>, Eun Jung Kim, Stéphan Thomassé, Rémi Watrigant

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<sup>1</sup>some slides have been recklessly stolen from Édouard

Introduction ●00	FPT 000000000	Twin-width 00000000	<i>k</i> -DS has no polykernels	Conclusion
Overview				



Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion
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• graph invariant giving a "structural complexity" measure.



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- classes of small twin-width  $\Rightarrow$  efficient algorithms.



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# Polynomial kernels:

• Even more efficient algorithms based on pre-processing.

**Question:** What problems admit polynomial kernels for classes of small twin-width ?





## Theorem (Bonnet, Kim, R., Thomassé, Watrigant '21) On classes of bounded twin-width, CONNECTED k-VERTEX COVER admits kernels with $O(k^{1.5})$ vertices.





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In this talk:

**Theorem (Bonnet, Kim, R., Thomassé, Watrigant '21)** Unless  $coNP \subseteq NP/poly$ , k-DOMINATING SET does not admit a polynomial kernel on graphs of twin-width at most 4.



FPT 000000000 Twin-width

k-DS has no polykernel

Conclusion 00000



# 2 FPT











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- Solution size,
- Measures of "structural complexity" for graphs: diameter, genus, treewidth...





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Introduction FPT Twin-width A-DS has no polykernels Conclusion

# Fixed-Parameter Tractability (FPT)

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**Definition** A parameterized problem  $\mathcal{Q}$  is **fixed-parameter tractable** (FPT) with respects to k if there is an algorithm deciding (I, k) in time  $f(k)|I|^{O(1)}$ , for some computable f.

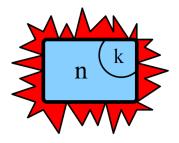


 
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 Fixed-Parameter
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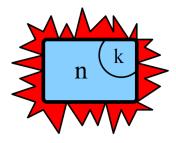


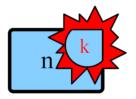
 
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- *k*-VERTEX COVER parameterized by solution size *k*:  $\hookrightarrow$  instance (G, k) solvable in time  $O(f(k)|G|^3)$ .
- HAMILTONIAN CYCLE parameterized by treewidth tw:
   → instance (G, tw) solvable in time O(tw<sup>tw</sup>|G|)



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Kernels				





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**Definition** Kernel for parameterized problem 2:

- Polytime reduction from instance (I, k) for  $\mathcal{Q}$  to equivalent instance (I', k') for  $\mathcal{Q}$ .
- output instance has size |l'| = h(k), and k' is bounded by a function of k.





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• *k*-VERTEX-COVER, *k*-DOMINATING-SET on sparse graphs (*K*<sub>t,t</sub>-free).



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- $\hookrightarrow$  can we prove that a problem has no polykernel?

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k-DS has no polykernel

Conclusion 00000

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Twin-width

k-DS has no polykernel

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FPT

Introduction

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- what about a polynomial kernel?



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## k-LONGEST PATH has no polykernels (morally)

Assume polykernel of size  $k^c$ .





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- G' is (very) small: |G'| ≤ k<sup>c</sup> ≤ n<sup>c</sup> < t: less than one bit per initial instance,</li>
- kernelization must have dismissed / "solved" one G<sub>i</sub> entirely...
   in polynomial time → absurd.

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k-DS has no polykernel

Conclusion

## Ruling out polynomial kernels

Formalization: given problem  $\mathcal{L}$ , and parameterized problem  $\mathcal{Q}$ .

Definition 2.1 (OR-composition) Polytime reduction:



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# **Definition 2.2 (OR-composition)** Polytime reduction:

• Input: Instances  $I_1, ..., I_t$  for  $\mathcal{L}$ ,



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## Definition 2.3 (OR-composition) Polytime reduction:

- Input: Instances  $I_1, ..., I_t$  for  $\mathcal{L}$ ,
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## Definition 2.4 (OR-composition) Polytime reduction:

- Input: Instances  $I_1, ..., I_t$  for  $\mathcal{L}$ ,
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**Theorem (Bodlaender, Jansen, Kratsch '12)** If an NP-hard problem  $\mathcal{L}$  admits an OR-composition into a parameterized problem  $\mathcal{Q}$ , then  $\mathcal{Q}$  does not admit a polynomial kernel unless coNP  $\subseteq$  NP/poly.



Conclusion



"Meta-theorems" for problems expressible by logic formulas:



<sup>2</sup>given a tree decomposition



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**Theorem 1 (Courcelle '90)** Given a **MSO formula**  $\phi$  on a graph G, deciding whether  $\phi$  is satisfied by G is **FPT** in  $|\phi|$  on graphs of **bounded tree-width**<sup>2</sup>.



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Graph invariants and FPT

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Lot of **FO** problems are FPT on some classes of unbounded tw, goal:

- Analogue of Courcelle for FO logic ?
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Motivation				
Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion
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Idea:

- Obtain efficient algorithms by leveraging "near-twins",
- Efficiency depends on how "near" vertices are to being twins
   → twin-width.



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Twin-width

k-DS has no polykerne

Conclusion

## Graphs and trigraphs

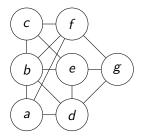


Fig. Graph: edges, non-edges



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Twin-width

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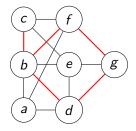
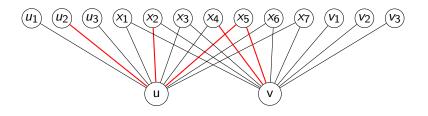


Fig. Trigraph: edges, non-edges, or red edges



Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion			
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Contractions in trigraphs							

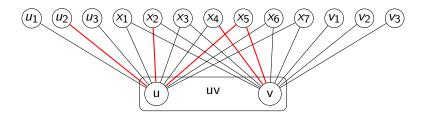
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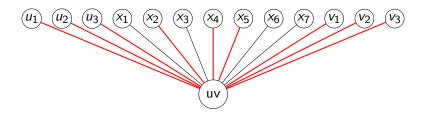
**Contraction** of u and v: record "twin" errors with red edges.







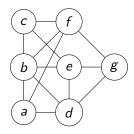
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edges to  $N(u) \triangle N(v)$  turn red, for  $N(u) \cap N(v)$  red is absorbing



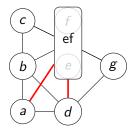




Maximum red degree = 0overall maximum red degree = 0



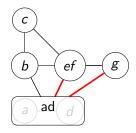




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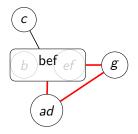




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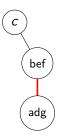




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Maximum red degree = 1 overall maximum red degree = 2







Maximum red degree = 1 overall maximum red degree = 2













Maximum red degree = 0 overall maximum red degree =  $2 \rightarrow tww(G) \le 2$ .





• Contraction sequences  $\rightarrow$  dynamic programming

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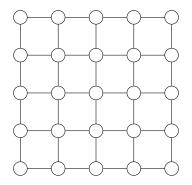


- Contraction sequences → dynamic programming
- bounded red degree  $\rightarrow$  few "complicated" updates.



# Example: twin-width of grids

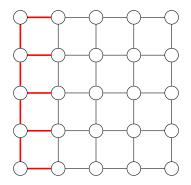
Grids have unbounded tree-width... what about twin-width?





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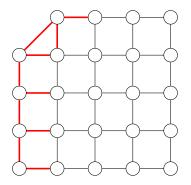
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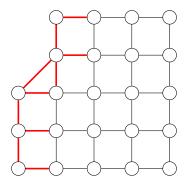
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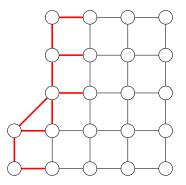
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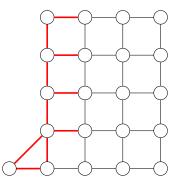
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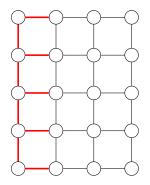
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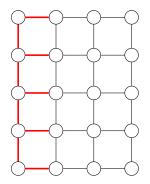
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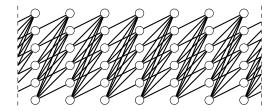
Maximum red degree = 3 overall maximum red degree =  $4 \Rightarrow$  twin-width  $\leq 4$ 



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Example: Half-Graph Cycles

A dense graph with bounded twin-width:

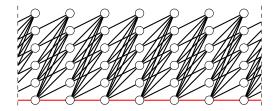




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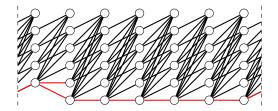
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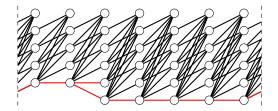


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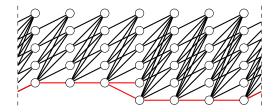


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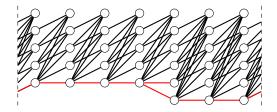


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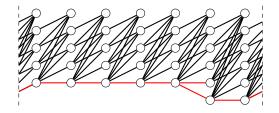


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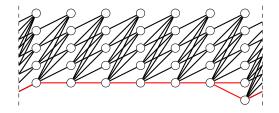


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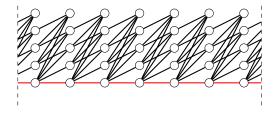
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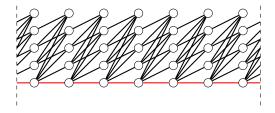
Example: Half-Graph Cycles

A dense graph with bounded twin-width:





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Maximum red degree = 2 overall maximum red degree =  $3 \Rightarrow$  twin-width  $\leq 3$ 





# Twin-width : FPT first order problems

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**Theorem (Courcelle '90)** Given a **MSO formula**  $\phi$  on a graph G, deciding  $\phi$  on G is **FPT** in  $|\phi|$  on graphs of **bounded tree-width**<sup>3</sup>.



 Introduction
 FPT concession
 Twin-width concession
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 Conclusion concession

 Twin-width :
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Analogue: FO and twin-width:

**Theorem (Bonnet, Kim, Thomassé, Watrigant '20)** Given a **FO formula**  $\phi$  on a graph G, deciding  $\phi$  on G is **FPT** with respects to  $|\phi|$  on classes of **bounded twin-width**<sup>4</sup>.



Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion		
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Consequences						





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- $\hookrightarrow$  very broad !



Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion		
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Twin-width and polykernels						

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win-width and polykerneis

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Admits polykernels on sparse graphs... **but not on bounded twin-width.** 



Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion
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#### OR-composition for k-DS

Recall the tool to prove no polykernels:



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**Theorem (Bodlaender, Jansen, Kratsch '12)** If an NP-hard problem  $\mathcal{L}$  admits an OR-composition into a parameterized problem  $\mathcal{Q}$ , then  $\mathcal{Q}$  does not admit a polynomial kernel unless coNP  $\subseteq$  NP/poly.



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- $\mathcal{Q}$ : k-DS on a class of bounded twin-width ( $\leq 4$ ).
- L: NP-hard problem tailored to be "easily" composable into
   Q. A version of k-DS on graphs of bounded twin-width.

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Conclusion

## Tailored k-DS on grid-like graphs

NP-hard  $\mathcal{L}$ : k-DS on instances  $(G, k, \mathcal{B} = \{B_1, ..., B_k\})$  s.t:

- $\mathscr{B}$  partitions V(G), G has 4-sequence  $\rightarrow G/\mathscr{B}$ ,
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Tailored k-DS on grid-like graphs

FPT

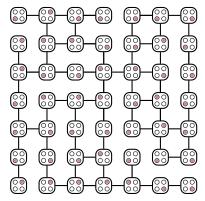
Introduction

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Twin-width

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Introduction	FPT	Twin-width	<i>k</i> -DS has no polykernels	Conclusion		
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OR-composition						

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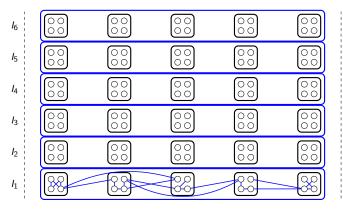


Fig. Instances  $\leftrightarrow$  layers, partition classes  $\leftrightarrow$  boxes.

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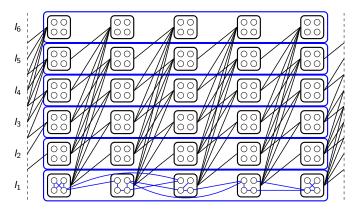


Fig. Instances  $\leftrightarrow$  layers, partition classes  $\leftrightarrow$  boxes. In *H*: Top instance  $\leftrightarrow$  dummy, half graphs cycle between classes 

#### Positive Tailored instance $\Rightarrow$ Positive composition

Assume one instance ( $I_4$  here) is positive, pick its solution in H:

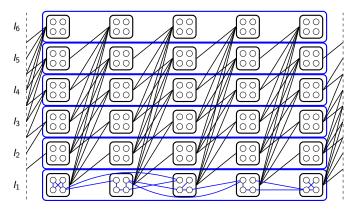


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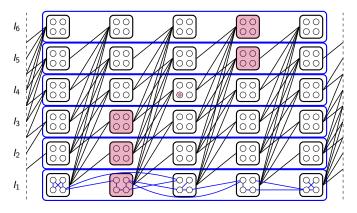
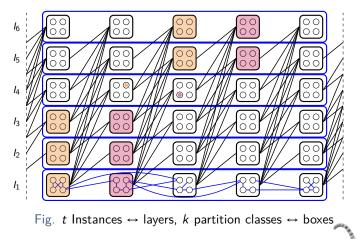


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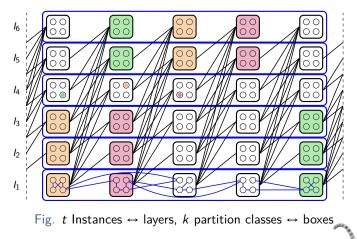
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FPT *k*-DS has no polykernels Twin-width Conclusion

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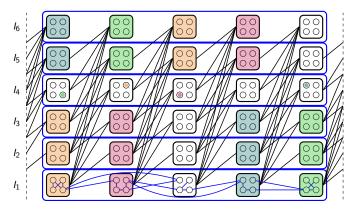


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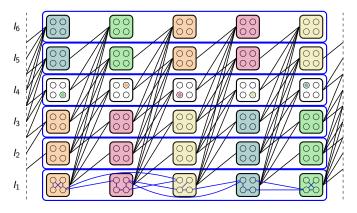


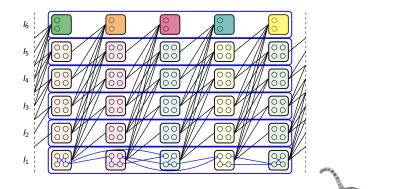
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Assume (H, k) is positive:

• Dummy instance  $I_6$  forces one vertex per column,

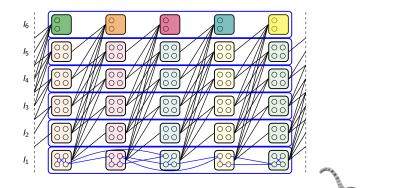




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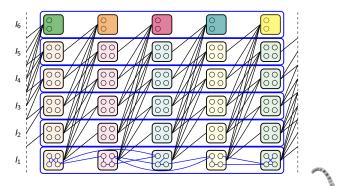




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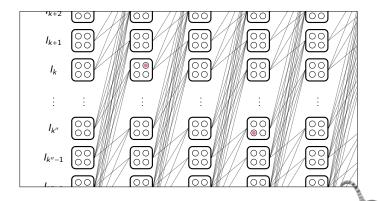
Assume (H, k) is positive:

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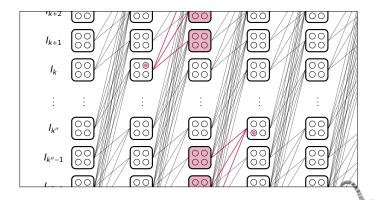


• Non-identical layer choices  $\rightarrow$  domination "gap" on  $C_j$ ,



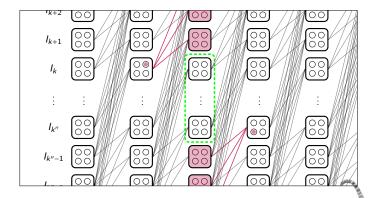


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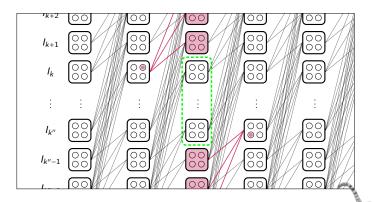


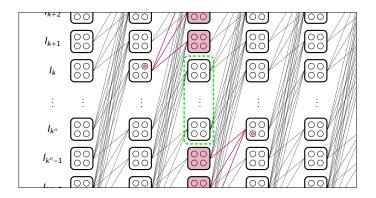
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- At least two classes not dominated by  $C_{j-1}, C_{j+1}$ ,
- Only one choice left in  $C_j \rightarrow$  absurd.





Solution lies on a single layer  $\rightarrow$  corresponding instance is dominated.





#### Bounding tww: contracting partition classes

• For any  $I_i$ : each partition class has same neighbours in  $H - I_i$ ,

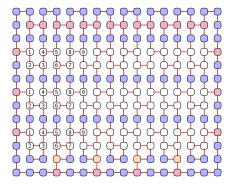


Fig. An instance after contracting classes.





- For any  $I_i$ : each partition class has same neighbours in  $H I_i$ ,
- Contract each class  $\rightarrow$  red edges created only in  $I_i$

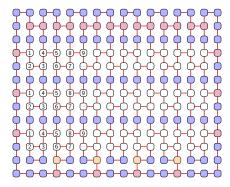


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- Result: red subgraph of grid (altered for tww 4).

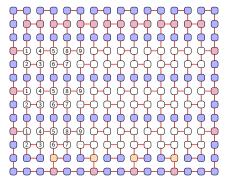


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• Between instances: half-graph cycle along vertices at the same position.

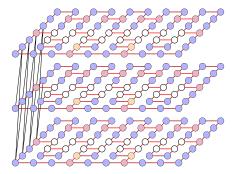


Fig. Instances and the half-graph cycle





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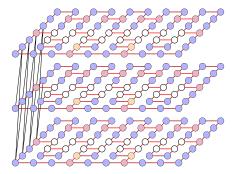


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- Between instances: half-graph cycle along vertices at the same position.
- Goal: Successively contract the bottommost two instances.
- Contracting two vertices at the same position creates red edges only locally → induction

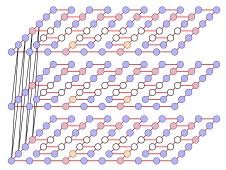


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#### • NP-hard Tailored k-DS OR-composes into k-DS,

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**Theorem (Bonnet, Kim, R., Thomassé, Watrigant '21)** Unless  $coNP \subseteq NP/poly$ , k-DOMINATING SET on graphs of twin-width at most 4 does not admit a polynomial kernel<sup>5</sup>.



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Twin-width

k-DS has no polykerne

## Further Directions

### • Does *k*-DS on tww ≤ 3 admit polynomial kernels?



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Twin-width

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# Further Directions

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I win-width

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Thank you!



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### **Lemma (Bonnet, Kim, R., Thomassé, Watrigant '21)** There exists f s.t. for any G of tww(d) and $X \subseteq V(G)$ : number of distinct neighborhoods in X is at most f(d)|X|.



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- $\rightarrow$  in X, number of distinct neighbourhoods  $\leq f(d)k$





**Reduction rule:** If there is  $S \subseteq V(G) \setminus X$  with identical neighbourhood in X and |S| > k, delete a vertex of S.



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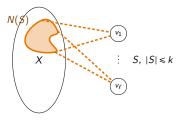
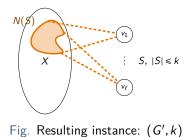


Fig. Resulting instance: (G', k)



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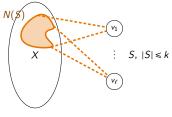


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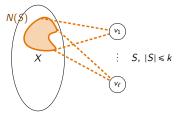


Fig. Resulting instance: (G', k)

 $\frac{\text{If } (G,k) \text{ has a solution, replace deletion with twin } \rightarrow \text{ reconnects } T}{\text{If } (G',k) \text{ has a solution } T, T \text{ is also a solution for } (G,k),}$ 

• Take deleted s,  $S \setminus s \nsubseteq T$  as ind. set with  $|S| \ge k$ ,

• Therefore  $N(S) \subseteq T$ , and s is covered by T in G.



• Applying the reduction yields an equivalent instance,





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- The kernel has size at most  $f(d) * k^2$ ,





- Applying the reduction yields an equivalent instance,
- The kernel has size at most  $f(d) * k^2$ ,

**Theorem (Bonnet, Kim, R., Thomassé, Watrigant '21)** On classes of VC-density 1, CONNECTED k-VERTEX COVER admits kernels with  $O(k^2)$  vertices (and even  $O(k^{1.5})$ ).