

# finite state AIT, normal numbers

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ultimate test for some notion/theory:

- ▶ solve an open problem outside the theory
- ▶ make non-trivial proofs trivial

“finite state AIT” and normality

disclaimer: well-known approach in a different  
envelope (Agafonov, Schnorr, . . . )

$$R(p, \geq^o)$$

$$K(x) = \min\{|p| : p \text{ is a description of } x\}$$

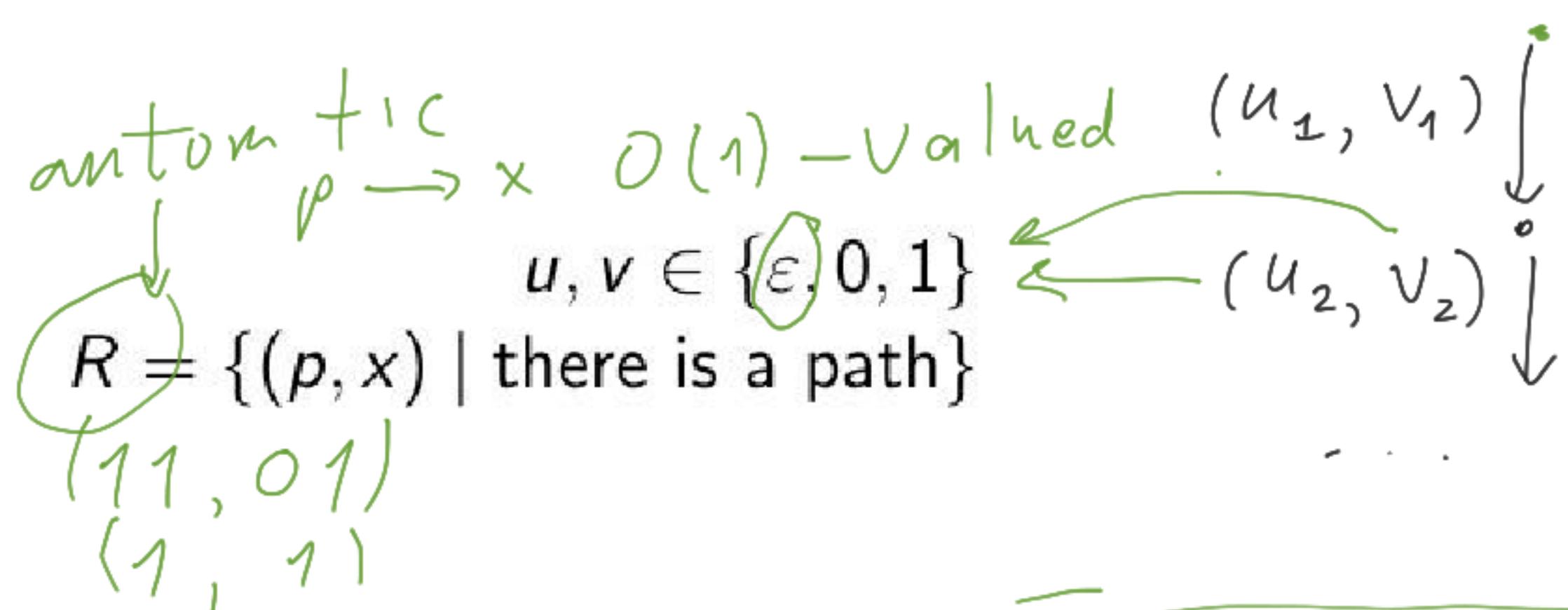
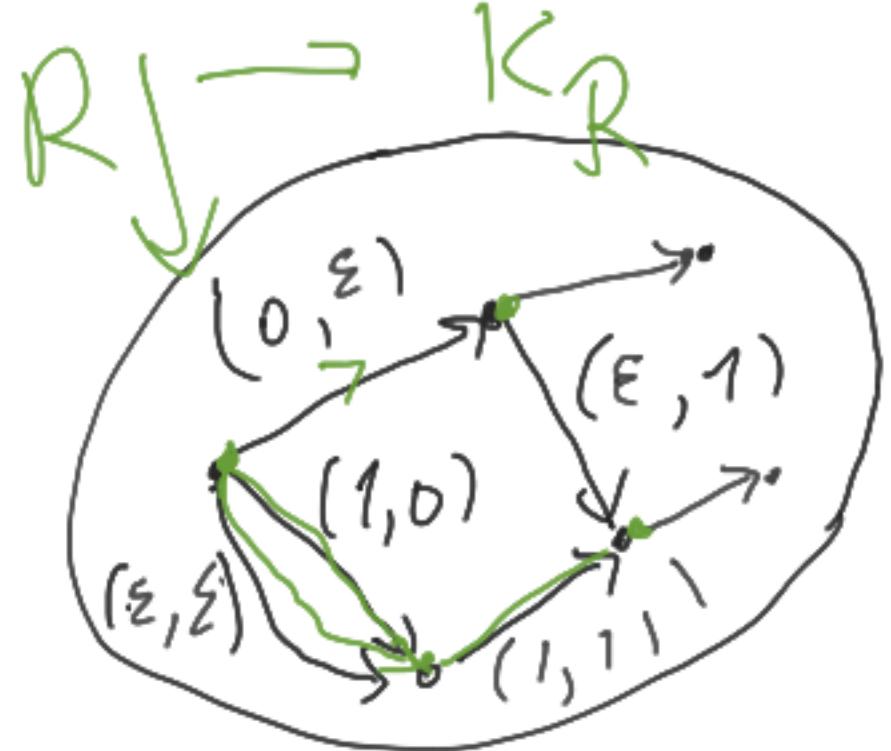
which “description modes” are allowed?

plain: computable functions  $p \mapsto x$

or  $O(1)$ -values c.e. sets of  $(p, x)$

prefix-free: computable functions with prefix-free  
domain

finite state AIT?



no initial state

$K_R(xy) \geq K_R(x) + K_R(y)$ : superadditivity

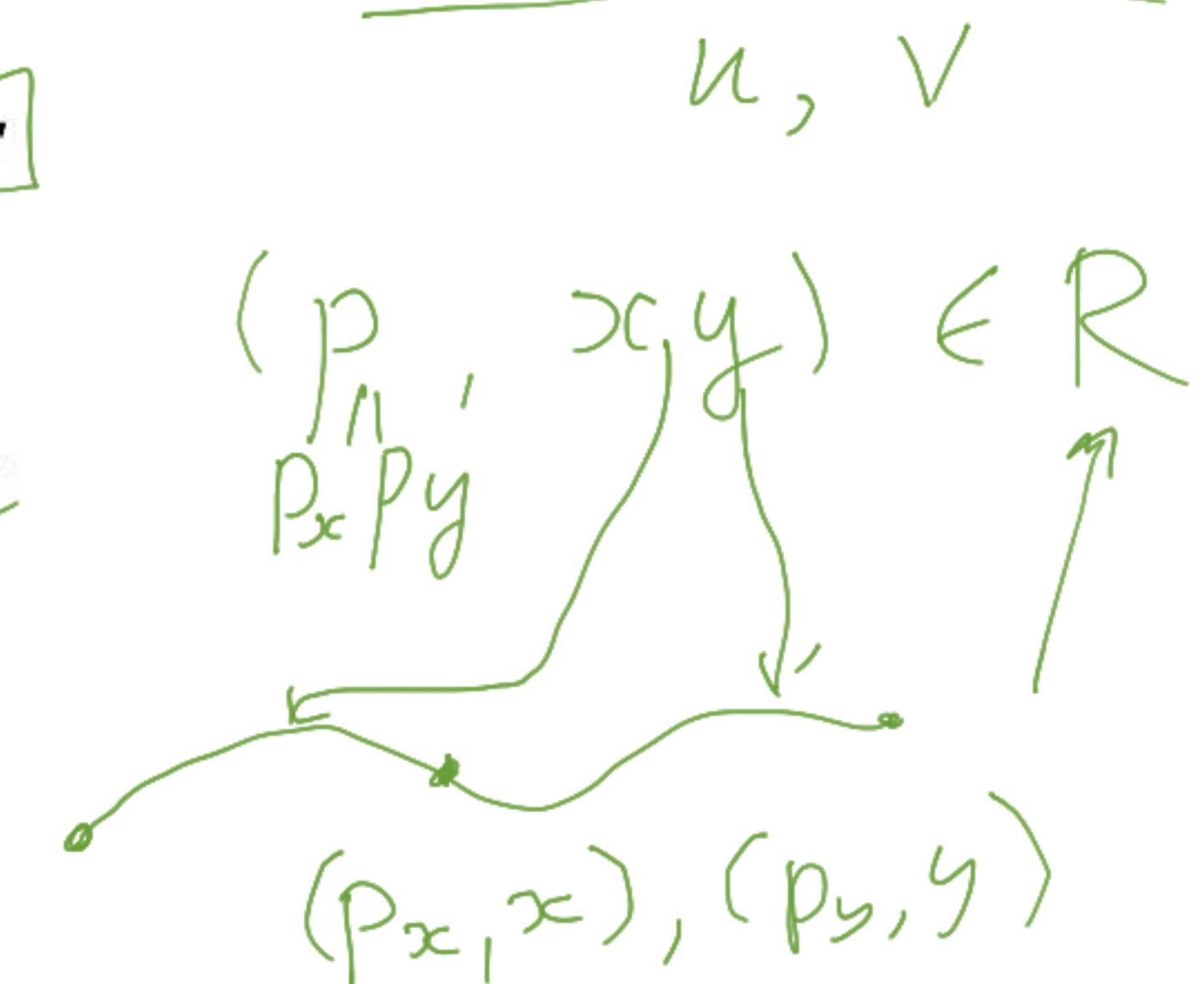
"locality"

it's OK that  $K_R(0) = K_R(1) = 0$ .

$O(1)$ -valued: external semantic restriction

no universality

$C(x) \leq K_R(x) + O_R(1)$



C, K

finite state Hausdorff dimension:

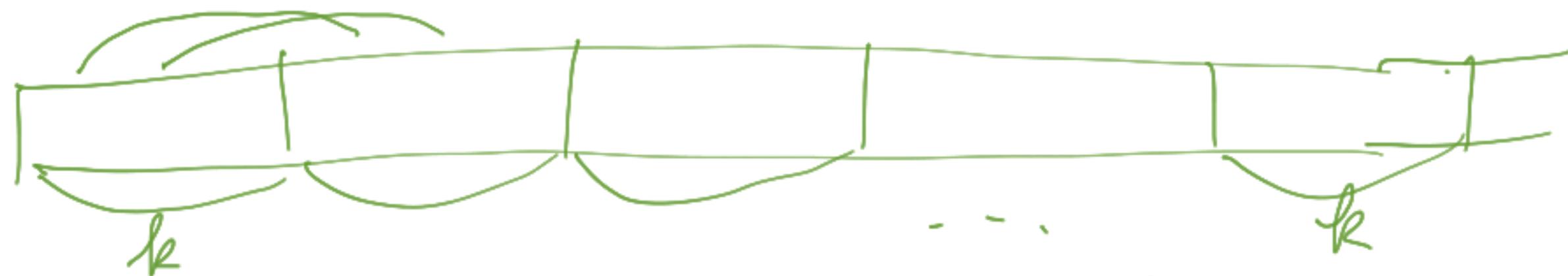
$$\inf_{R} \liminf_n \frac{K_R(x_1 \dots x_n)}{n}$$

finite state packing dimension:

$$\inf_{R} \limsup_n \frac{K_R(x_1 \dots x_n)}{n}$$

$f \in \text{random}$

$x_1 x_2 \dots$  normal:



$$\forall R \liminf_n \frac{K_R(x_1 \dots x_n)}{n} \geq 1$$

for all  $2^R$  blocks  
freq.  $\rightarrow 2^{-R}$

$x_1 x_2 \dots$  normal with respect to  $p$ -Bernoulli measure

$$\forall R \liminf_n \frac{K_R(x_1 \dots x_n)}{|x_1 \dots x_n|_p} \geq 1$$

$$|x|_p = \underbrace{\#_1(x) \log \frac{1}{p}}_{[1]} + \underbrace{\#_0(x) \log \frac{1}{1-p}}_{[0]}$$

1	$p$
0	$1-p$

$$-\log_2 [B_p(x)]$$

$$\forall R \quad K_R(\overbrace{\dots}^n) \geq n - o(n)$$

"Champernowne number"

$$K_R(\overset{\curvearrowleft}{0} \overset{\curvearrowleft}{1} \overset{\curvearrowleft}{10} \overset{\curvearrowleft}{11} \overset{\curvearrowleft}{100} \overset{\curvearrowleft}{101} \overset{\curvearrowleft}{110} \overset{\curvearrowleft}{111} \overset{\curvearrowleft}{1000} \overset{\curvearrowleft}{1001} \dots)$$

normal

$$K_R(0) + K_R(1) + \dots + K_R(m)$$

wt

$$c(0) \quad c(1) + \dots + c(\dots)$$

$$c(x) \approx x \quad |0|^w + |1| + \dots + |\dots|$$

standard definition:

for each  $k$  all  $k$ -block have the same limit frequency

$X = \Sigma_1$  (classical)

Not normal: distribution on blocks far from normal

Shannon code gives significantly shorter description  
block prefix code  $\rightarrow$  automaton

Normal: all blocks appear with the same frequency

superadditivity

C as lower bound

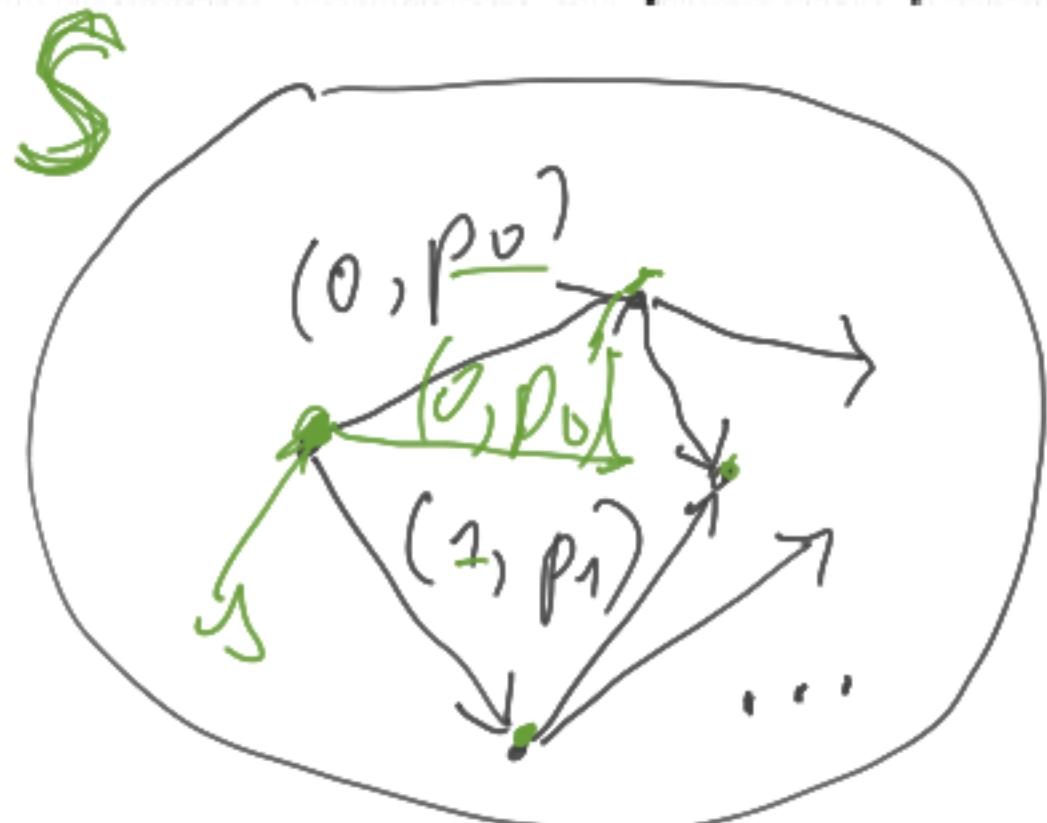
average  $C(k\text{-bit block}) \approx k$

constant error vanishes as  $k \rightarrow \infty$



$$K_R(\square \dots \square) \geq K_R(\square) + K_R(\square) \dots$$

## Finite state a priori probability



random walk with output

for each  $s$  a measure  $P_s$

$$P_s(x) = \max_s P_s(x)$$

$$KA_s(x) = -\log P_s(x)$$

$$\rho_0 + \rho_1 = 1$$

$x$        $y$

superadditive:  $KA_s(xy) \geq KA_s(x) + KA_s(y)$

can be used for characterization (= definition with gales)



$K : \text{strings} \rightarrow \mathbb{R}^{>0}$

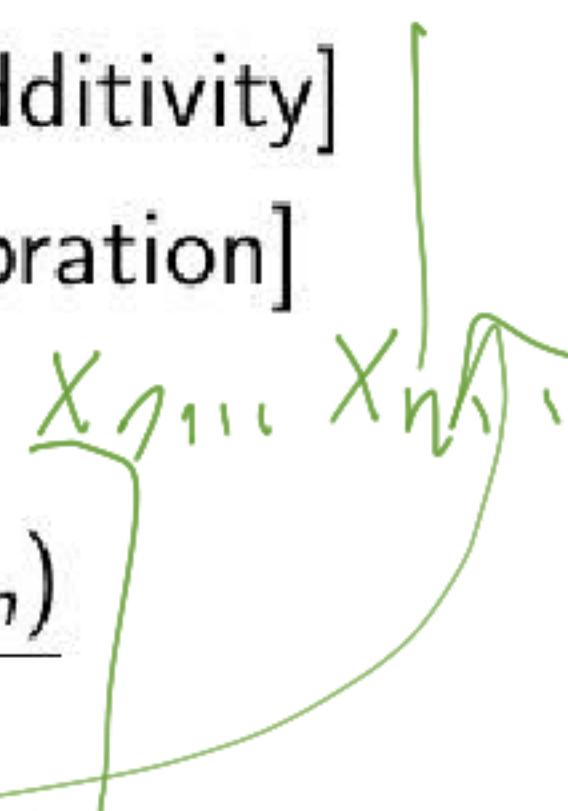
machine-independent definition

- ▶  $K(xy) \geq K(x) + K(y)$  [superadditivity]
- ▶  $\sum_{x:|x|=k} 2^{-K(x)} \leq \text{poly}(k)$  [calibration]

finite state Hausdorff dimension:

$$\inf_K \liminf_n \frac{K(x_1 \dots x_n)}{n}$$

$K_R$   
 $K_{AS}$



inf taken over all superadditive calibrated  $K$

- ▶ many known results are easy corollaries
- ▶ technical tools: Shannon code
- ▶ and Shannon's inequality (for  $B_p$  case)
- ▶ basic facts from AIT

$$H(p) + 1 \geq H(p)$$

Shannon

THANKS!









