



RWTHAACHEN
UNIVERSITY

The Algebra of Homomorphism Counts

Martin Grohe

Graph Homomorphisms

A **homomorphism** from a graph F to a graph G is a mapping $h : V(F) \rightarrow V(G)$ that preserves edges, that is,

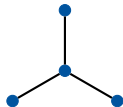
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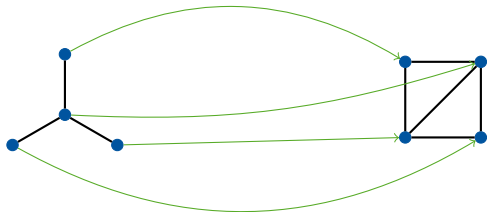


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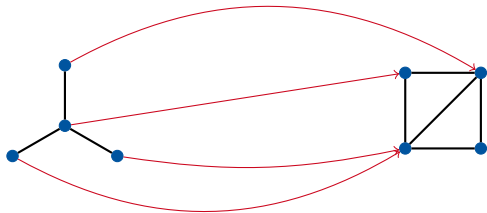


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For all graphs F, G , we let

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More generally, for the star S_k with k leaves and arbitrary G we have

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In particular,

$$\begin{aligned} \text{hom}(\bullet, G) &= |V(G)|, \\ \text{hom}(\bullet\text{---}\bullet, G) &= 2|E(G)|. \end{aligned}$$

What information about G do we get from the numbers $\text{hom}(F, G)$ for a range of F s?

Homomorphism Embeddings

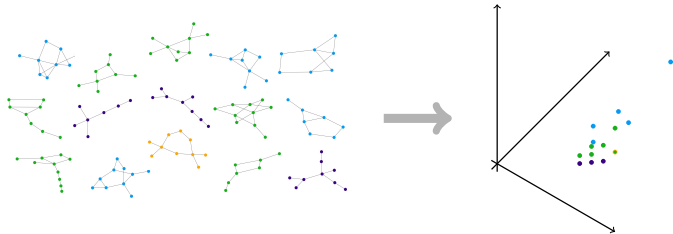
For every class \mathcal{F} we can define a vector embedding

$\text{Hom}_{\mathcal{F}} : \text{All} \rightarrow \mathbb{R}^{\mathcal{F}}$ by $\text{Hom}_{\mathcal{F}}(G) := (\text{hom}(F, G) \mid F \in \mathcal{F})$.

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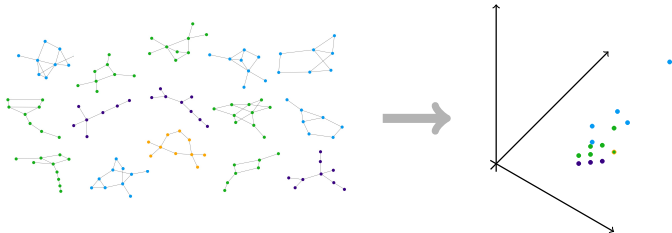


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The embedding $\text{Hom}_{\mathcal{F}}$ for $\mathcal{F} = \{\bullet, \bullet-\bullet, \triangle\}$

Vector embeddings are the basis for machine learning on graphs.

M. Grohe. [word2vec](#), [node2vec](#), [graph2vec](#), [X2vec](#): Towards a Theory of Vector Embeddings of Structured Data. Proc. PODS'20.

Homomorphism Indistinguishability

G, H are **homomorphism indistinguishable** over a class \mathcal{F} of graphs (we write $G \equiv_{\mathcal{F}} H$) if

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Let \mathcal{St} be the class of all stars. Then

$$G \equiv_{\mathcal{St}} H \iff G \text{ and } H \text{ have the same degree sequence.}$$

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Theorem (Lovász 1967)

$$G \equiv_{\mathcal{All}} H \iff G \cong H.$$

That is, G and H are homomorphism indistinguishable over the class of all graphs if and only if they are isomorphic.

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$$hom(F, H) = \sum_G S(F, G) \cdot \frac{1}{aut(G)} \cdot I(G, H).$$

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That is, $hom = S \cdot D \cdot I$ for a diagonal matrix D with positive diagonal entries.

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Hence the columns of hom are linearly independent and thus mutually distinct.

The Structure of Homomorphism Indistinguishability Relations

Theorem (Roberson 2022)

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Theorem (Roberson 2022)

There uncountably many homomorphism indistinguishability relations.

Theorem

1. *(follows from Dvorák 2010, Dell, G., Rattan 2018, G. 2020, G., Rattan, Seppelt 2022)*

For every k , homomorphism indistinguishability over the class of graphs of tree width k , the class of graphs of tree depth k , the class of graphs of path with k is decidable in polynomial time.

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2. *(follows from Babai 2016)*

Homomorphism indistinguishability over the class of all graphs is decidable in quasipolynomial time.

Theorem (Böker, Chen, G., Rattan 2019)

There is a polynomial time decidable class \mathcal{F} of graphs of tree width 2 such that homomorphism indistinguishability over \mathcal{F} is undecidable.

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Theorem (Atserias, Mančinska, Roberson, Šámal, Severini, Varvitsiotis 2019; Mančinska, Roberson 2020)

Homomorphism indistinguishability over the class of planar graphs is undecidable.

Logical and Algebraic Characterisations

Trees and Treelike Structures

Theorem (Dvorák 2010)

Let $k \geq 1$. For all graphs G, H , the following are equivalent.

1. G, H are homomorphism indistinguishable over the class $\mathcal{T}w_k$ of graphs of tree width at most k :

$$G \equiv_{\mathcal{T}w_k} H.$$

2. The k -dimensional Weisfeiler-Leman algorithm does not distinguish G, H .
3. G and H are C_{k+1} -equivalent, where C_{k+1} is the $(k + 1)$ -variable fragment of first-order logic with counting quantifiers $\exists^{\geq n}$.

Theorem (G. 2020)

Let $q \geq 1$. For all graphs G, H , the following are equivalent.

1. G, H are homomorphism indistinguishable over the class $\mathcal{T}d_q$ of graphs of tree depth at most q :

$$G \equiv_{\mathcal{T}d_q} H.$$

2. G and H are $C^{(q)}$ -equivalent, where $C^{(q)}$ is the quantifier-rank- q fragment of first-order logic with counting quantifiers $\exists^{\geq n}$.

Notation

- ▶ F, G, H will always be graphs with vertex sets
 $U := V(F), V := V(G), W := V(H)$;

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G, H are **fractionally isomorphic** if there is a doubly-stochastic matrix X such that $AX = XB$.

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G, H are **fractionally isomorphic** if there is a doubly-stochastic matrix X such that $AX = XB$.

Theorem (Tinhofer 1990)

G, H are fractionally isomorphic if and only if the 1-dimensional WL algorithms does not distinguish G, H .

Algebraic Characterisation of Tree and Path Homomorphism Counts

\mathcal{T} and \mathcal{P} denote the classes of trees and paths, respectively.

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Theorem (Dell, G. Rattan 2018)

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Equational Characterisation

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$A \left\{ \begin{array}{l} \textit{pseudo-stochastic} \\ \textit{doubly-stochastic} \\ \textit{permutation} \end{array} \right\}$ matrix $X = (X_{vw})_{\substack{v \in V \\ w \in W}}$ such that

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is a $\left\{ \begin{array}{l} \text{real} \\ \text{nonnegative real} \\ \text{nonnegative integer} \end{array} \right\}$ solution to the following system of linear equations:

$$\begin{aligned} L(G, H) \quad & \sum_{w \in W} X_{vw} = \sum_{v \in V} X_{vw} = 1 \\ & \sum_{v' \in V} A_{vv'} X_{v'w} = \sum_{w' \in W} X_{vw'} B_{w'w} \quad \text{for all } v \in V, w \in W. \end{aligned}$$

Higher Dimensions

For $k \geq 2$, we define a system of equations in variable X_π for $\pi \in \binom{V \times W}{\leq k}$. Essentially, this is the Sherali-Adams lift of $L(G, H)$.

$$\begin{aligned} L^k(G, H) \quad & X_\emptyset = 1, \\ & \sum_{w \in W} X_{\pi U v w} = \sum_{v \in V} X_{\pi U v w} = X_\pi \quad \text{for } \pi \in \binom{V \times W}{< k}, v \in V, w \in W \\ & X_\pi = 0 \quad \text{for } \pi \text{ that are not partial} \\ & \quad \quad \quad \text{isomorphisms.} \end{aligned}$$

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Theorem (Atserias, Maneva 2013, G., Otto 2015)

For all $k \geq 1$, the following are equivalent.

1. G and H are not distinguished by the k -dimensional WL algorithm.
2. $L^{k+1}(G, H)$ has a non-negative real solution.

Corollary

$G \equiv_{\mathcal{F}w_k} H \iff L^{k+1}(G, H)$ has a non-negative real solution.

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Remark

There is also a logical characterisation of $\equiv_{\mathcal{P}w_k}$ (Montacute and Shah 2021).

For $q \geq 2$, we define a system of equations in variables X_p for $p \in \bigcup_{\ell=0}^q (V \times W)^\ell$.

$$\begin{aligned}
 D^q(G, H) \quad & X_{()} = 1, \\
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 \end{aligned}$$

Here $p = (v_1 w_1, \dots, v_\ell w_\ell)$ is a **partial pseudo isomorphism** if $v_i = v_{i+1} \iff w_i = w_{i+1}$ and $v_i v_j \in E(G) \iff w_i w_j \in E(H)$ for all $i < j \leq \ell$.

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Theorem (G., Rattan, Seppelt 2022)

$$G \equiv_{\mathcal{F}d_q} H \iff D^q(G, H) \text{ has a real solution.}$$

Quantum isomorphism is an equivalence relation on graphs based on a similar system of equations, but now we are looking for solutions in an arbitrary C^* -algebra.

\mathcal{P} is the class of planar graphs.

Theorem (Mančinska and Roberson 2020)

$$G \equiv_{\mathcal{P}} H \iff G \text{ and } H \text{ are quantum isomorphic.}$$

The Algebra of Graph Homomorphisms

A *k*-labelled graph is a pair

$$\mathbf{F} = (F; \mathbf{u}) = (F; u_1, \dots, u_k)$$

where F is a graph (with $V(F) = U$) and $\mathbf{u} = (u_1, \dots, u_k) \in U^k$.

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Examples

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- ▶ $\overset{1}{\bullet} \text{---} \overset{2}{\bullet} = ((\{u_1, u_2, u_3\}, \{u_1 u_2, u_2 u_3\}); u_1, u_3)$ is a 2-labelled graph.

Operations on Rooted Graphs

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We have natural operations linking these classes.

Example (Disjoint Union)

For k -labelled $\mathbf{F} = (F, \mathbf{u})$ and ℓ -labelled $\mathbf{F}' = (F', \mathbf{u}')$, we obtain $(k + \ell)$ -labelled $\mathbf{F} \otimes \mathbf{F}' = (F \uplus F'; \mathbf{u}\mathbf{u}')$.

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Other basic operations are **permuting labels**, **merging labels**, **dropping labels**. We can combine these to obtain operations like **series composition** and **parallel composition**.

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$$\sum_{i=1}^n a_i F_i$$

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We can linearly extend operations like disjoint union.

Homomorphisms from Labelled Graphs

For every G , we let $\text{hom}(\mathbf{F}, G) \in \mathbb{C}^{V^k}$ to be the tensor (or vector or mapping $V^k \rightarrow \mathbb{C}$) defined by

$$\text{hom}(\mathbf{F}, G)(\mathbf{v}) := \text{number of homomorphisms } h \text{ from } F \text{ to } G \\ \text{with } h(\mathbf{u}) = \mathbf{v}$$

for $\mathbf{v} \in V^k$.

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$$\text{hom}(\mathbf{F}, G)(\mathbf{v}) := \text{number of homomorphisms } h \text{ from } F \text{ to } G \\ \text{with } h(\mathbf{u}) = \mathbf{v}$$

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Homomorphism Tensors as Representations

For each k and every graph G , we have

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- ▶ Disjoint union corresponds to tensor product.
- ▶ Dropping labels corresponds to aggregation of a coordinate: for all k -labelled $(F; u_1, \dots, u_k)$ and G we have

$$\begin{aligned} & ((F; u_1, \dots, u_{k-1}))_G(v_1, \dots, v_{k-1}) \\ &= \sum_{v \in V} ((F; u_1, \dots, u_k))_G(v_1, \dots, v_{k-1}, v). \end{aligned}$$

Schur Product and Matrix Product

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We can easily generalise this to $2k$ -labelled graphs.

- ▶ To understand homomorphism indistinguishability over \mathcal{F} we need to understand finite dimensional representations of the spaces $\mathbb{C}\mathcal{F}^{(k)}$ for a family \mathcal{F} of labelled graphs over \mathcal{F} .

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- ▶ Representation theory tells us a lot about finite dimensional representations of algebras.

Application: Graphs of Bounded Path Width

Theorem (Dell, G., Rattan 2018, G., Rattan, Seppelt 2022)

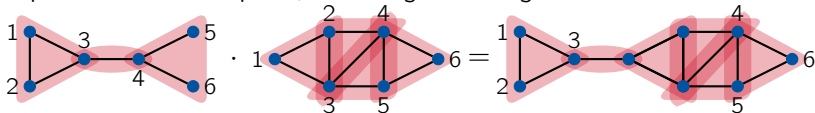
Graph G, H are homomorphism indistinguishable over the class of graphs of path width at most k if and only if the system $L^{k+1}(G, H)$ of linear equations has a solution.

The Algebra of Graphs of Pathwidth k

- ▶ We consider $2(k + 1)$ -labelled graphs of pathwidth k with $(k + 1)$ labels on the first and last bag of a path decomposition of width k . Let \mathcal{P} be the set of all such graphs and $\mathbb{C}\mathcal{P}$ the corresponding vector space.

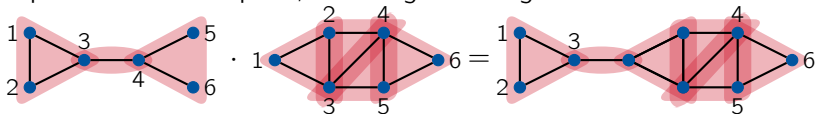
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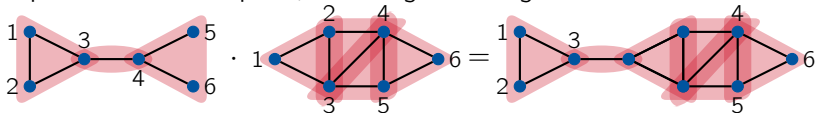
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- ▶ This algebra is generated by a finite set of **basal graphs** (single-bag path decompositions).
- ▶ Reverting the paths gives us an involution operation, which turns \mathbb{P} into a $*$ -algebra.

Proof of the Theorem (Sketch)

- ▶ In the representations $(\cdot)_G$, series composition corresponds to matrix multiplication and reverting the paths to matrix transposition.

Thus the image of the pathwidth- k graph algebra \mathbb{P} under $(\cdot)_G$ is a finite-dimensional $*$ -algebra.

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- ▶ We relate equivalence of characters to homomorphism indistinguishability.
- ▶ Equivalence of representations can be described by a finite system of linear equations involving the finitely many generators of our algebra.
- ▶ We massage this system to turn it into $L^{k+1}(G, H)$.

Concluding Remarks

- ▶ Homomorphism counts extract interesting information from graphs that characterise many natural equivalence relations on graphs and pull together combinatorics, logic, and algebra.

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- ▶ When generalised to rooted graphs, they induce a rich algebraic structure.
- ▶ Homomorphism counts are also useful and expressive graph features in practice ([Master's thesis of Pascal Kühner](#)).

Homomorphism Indistinguishability and Graph Minors—Roberson's Conjecture

Conjecture (Roberson 2022)

Let \mathcal{F} and \mathcal{F}' be graph classes closed under taking minors and under taking disjoint unions. Then homomorphism indistinguishability over \mathcal{F} and \mathcal{F}' coincide if and only if the classes are equal, that is:

$$\equiv_{\mathcal{F}} = \equiv_{\mathcal{F}'} \iff \mathcal{F} = \mathcal{F}'.$$

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- ▶ It is our goal to extend this theory of $\text{Hom}_{\mathcal{F}}$ for other classes \mathcal{F} .
- ▶ **Jan Böker** obtained results for trees and graphs of bounded tree width.