

CLASSIFYING GRAPH
CLASSES
(AND CLASSES OF FINITE STRUCTURES)

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LUMINY

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A JOINT PROJECT
WITH

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EHESS
PARIS

A, B, \dots, F, D, \dots

FINITE
RELATIONAL
STRUCTURES
WITH GIVEN
SIGNATURE τ

(THINK OF GRAPHS)

 $A \rightarrow B$

EXISTENCE
OF A HOMOMORPHISM

 $A \leq B$

HOMOMORPHISM QUASI ORDER \mathcal{X}



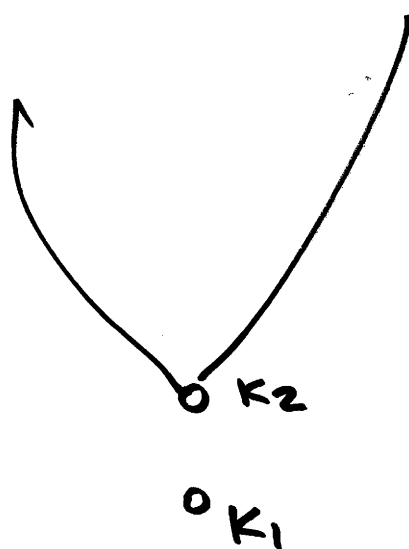
HOMOMORPHISM ORDER
(DEFINED ON CORE-GRAPHS)

SPECTACULAR PROPERTIES OF HOMOMORPHISM ORDER

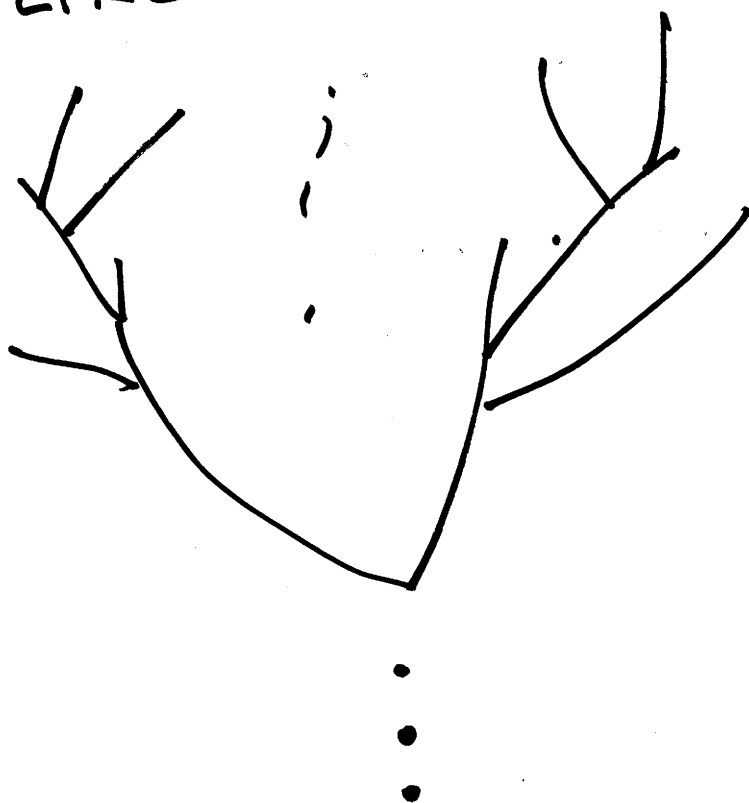
ℓ

- DENSE
- UNIVERSAL
- ∞ CONNECTED
- CACTI-LIKE

(UNDIRECTED)
(DIRECTED)



UNDIRECTED



DIRECTED

$$F \mapsto = \{A : F \mapsto A\}$$

FORB(F)

FORB(F)

FOR $\mathcal{F} = \{F_1, \dots, F_k\}$.

THM ANY NP PROBLEM
POLYNOMIALLY EQUIVALENT TO
MEMBERSHIP FORB(\mathcal{F}') FOR
A LIFT (EXPANSION \mathcal{T}' OF \mathcal{T})
(KUN, N.)

THM ANY HOMOMORPHISM
CLOSED FIRST ORDER DEFINABLE CLASS
IS OF FORM $\mathcal{F} \rightarrow$
(ROSSMAN)

$$\rightarrow D = \{A : A \rightarrow D\}$$

CSP(D)

(FEDER, VARDI) - CSP
(N. PULTR) -

FINITE DUALITY (N. PULTR)

$$\text{FORB}(F) = \text{CSP}(D)$$

FINITE DUALITIES CHARACTERIZED

— COMBINATORICS

(F A SET OF TREES)

(D^2 DISMANTABLE)

KOMÁREK
N., TARDIF

LAROSE, LOTTEN,
TARDIF

— LOGIC

(ONLY FO DEFINABLE CSP)

ATSERIAS
ROSSMAN

— HOMOMORPHISM
POSET

GAPS CUTS BOUNDS

(HEYTING POSETS)

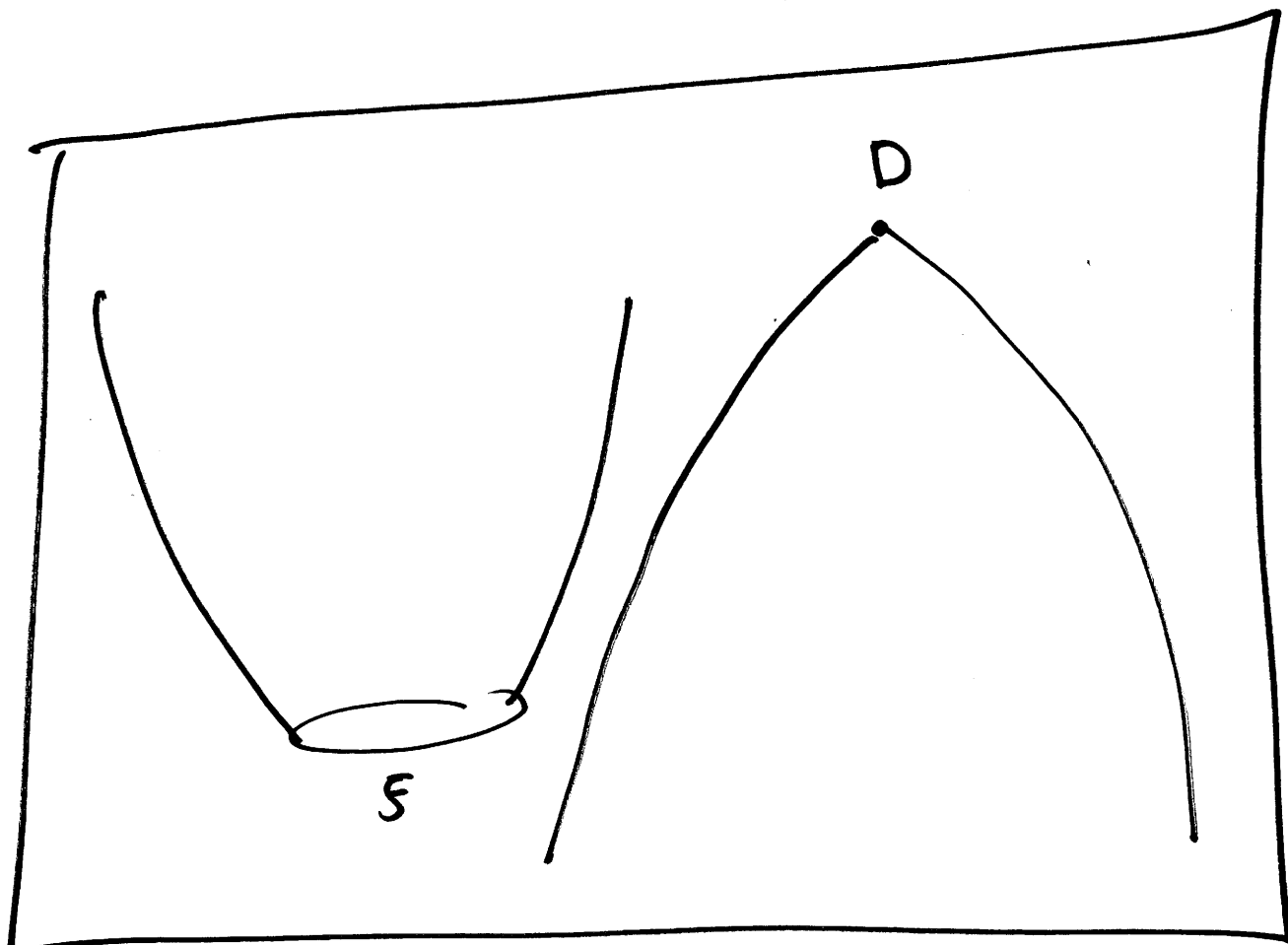
\mathcal{C} - RESTRICTED DUALITY

\mathcal{C} A CLASS OF STRUCTURES

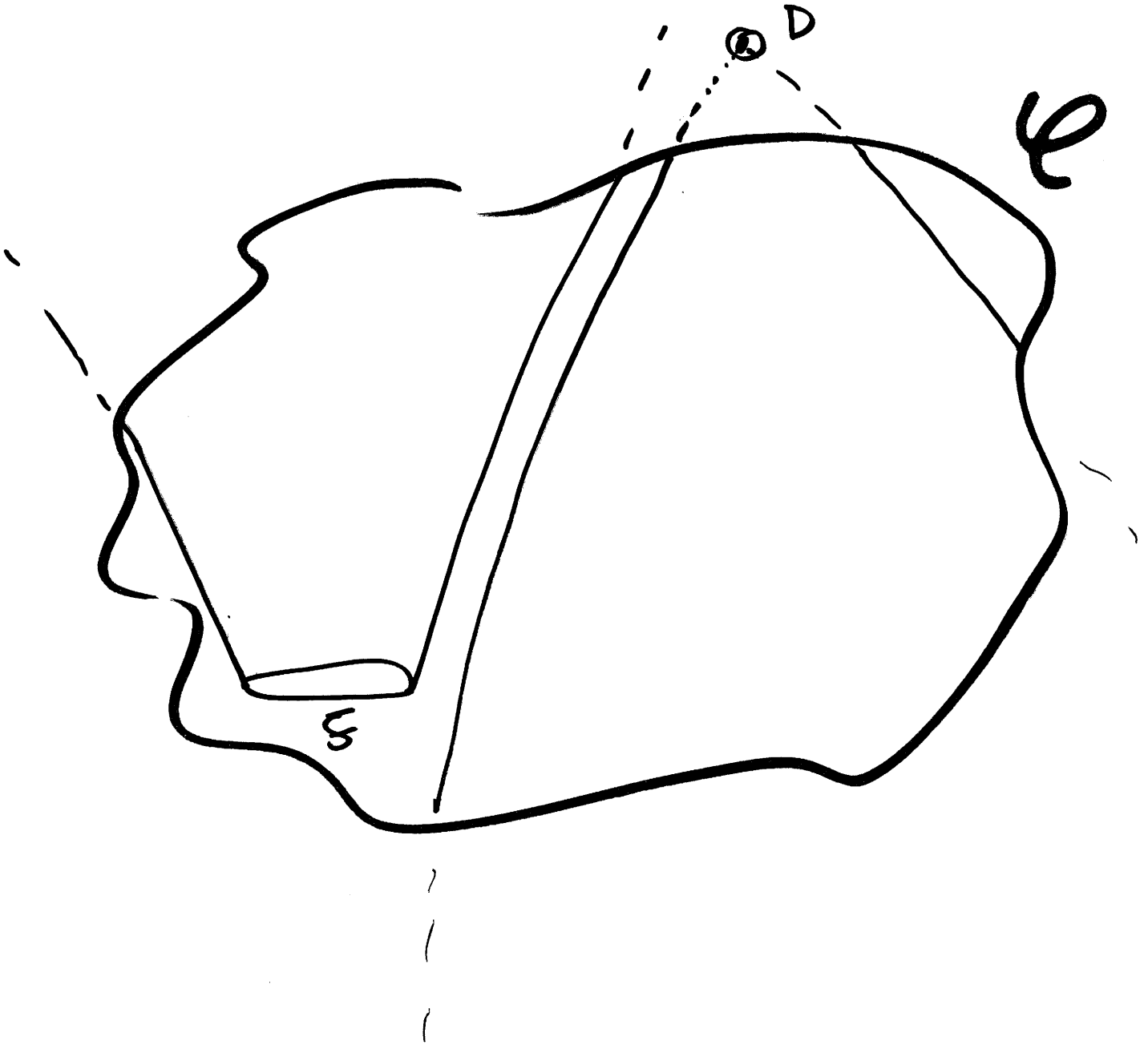
$$\mathcal{F} \subseteq \mathcal{C}$$

$$\text{FORB}(\mathcal{F}) \cap \mathcal{C} = \text{CSP}(\mathcal{D}) \cap \mathcal{C}$$

DUALITY

 χ 

RESTRICTED DUALITY



\mathcal{C} HAS ALL RESTRICTED DUALITIES

IFF

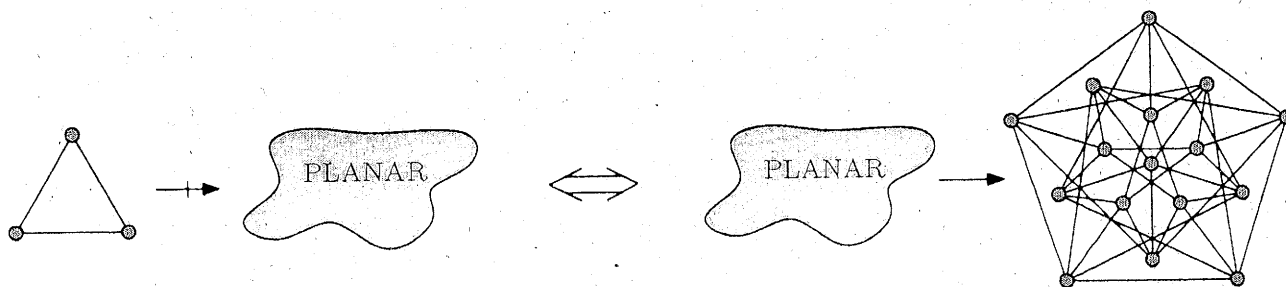
FOR EVERY FINITE SET $S \subseteq \mathcal{C}$ ^{CONNECTED}

THERE EXISTS D_S SUCH THAT

$$\mathcal{C} \cap \text{FORB}(S) = \mathcal{C} \cap \text{CSP}(D_S)$$

— BOUNDED DEGREE GRAPHS HAVE
ALL RESTRICTED DUALITIES
(HAGGKVIST, HELL)

— PLANAR GRAPHS HAVE ARD
(N., P. OSSONA DE MENDEZ)



PLANAR - RESTRICTED
DUALITY

WHICH CLASSES HAVE
ARD ?

CHARACTERIZATION BY

— METRIC PROPERTIES OF HOMOMORPHISM
ORDER

— ORIENTED AND ACYCLIC
LIFTS

— BY SUBDIVISIONS

— BY FO DEFINABILITY

(MODULO ERDÖS-HAJNAL
CONJECTURE)
WEAK

9.

$$\text{dist}(A, B) = 2^{-k}$$

$$k = \min \left\{ |C| : \begin{array}{l} C \rightarrow A \ \& \ C \not\rightarrow B \\ \text{OR} \\ C \not\rightarrow A \ \& \ C \rightarrow B \end{array} \right\}$$

$$\varepsilon > 0$$

$$\phi^\varepsilon(A) = \min \left\{ |B| : \begin{array}{l} A \rightarrow B \\ \text{dist}(A, B) < \varepsilon \end{array} \right\}$$

THM (N., POM)

FOR A CLASS \mathcal{C}

① \mathcal{C} HAS ALL RESTRICTED DUALITIES



② $\sup_{A \in \mathcal{C}} \phi^\varepsilon(A) < \infty$

(FOR EVERY $\varepsilon > 0$)

dist DEFINES $\overline{\mathcal{H}}$ COMPLETION
OF THE
HOMOMORPHISM
ORDER

DUALITIES IN $\overline{\mathcal{H}}$ CHARACTERIZED

$(\overline{F}, \overline{D})$ DUALITY IN $\overline{\mathcal{H}}$



EITHER \overline{F} IS A CONNECTED
GRAPH

OR \overline{D} IS A MULTIPLICATIVE
GRAPH

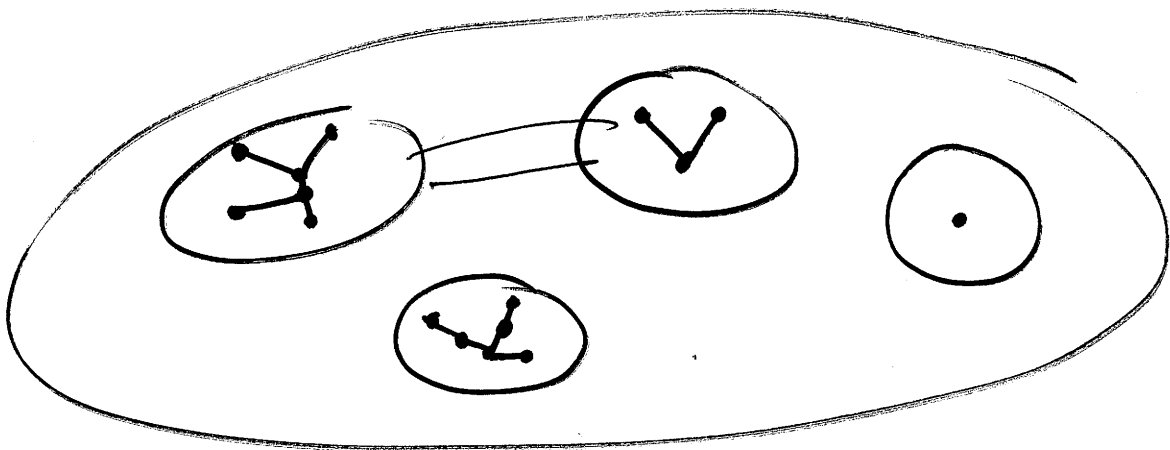
EXAMPLES OF ARD



CLASSES WITH BOUNDED EXPANSION

$$\mathcal{C} \subseteq \mathcal{C} \nabla_0 \subseteq \mathcal{C} \nabla_1 \subseteq \mathcal{C} \nabla_2 \subseteq \dots$$

$\mathcal{C} \nabla_i \equiv$ ALL GRAPHS WHICH
WE OBTAIN FROM GRAPHS
IN \mathcal{C} BY CONTRACTING
SUBGRAPH WITH RADIUS $\leq i$



\mathcal{C} HAS BOUNDED EXPANSION

IF ALL GRAPHS IN $\mathcal{C} \nabla i$ HAVE
BOUNDED EDGE DENSITY :

$$\sup_{G \in \mathcal{C} \nabla i} \frac{|E(G)|}{|V(G)|} < \infty$$

THM (N., POM)

ANY \mathcal{C} WITH BOUNDED EXPANSION
HAS ALL RESTRICTED DUALITIES.

ESSENTIALLY
BEST POSSIBLE

CLASS RESOLUTION IN TIME

$$\mathcal{C} \subseteq \mathcal{C} \nabla_0 \subseteq \mathcal{C} \nabla_1 \subseteq \mathcal{C} \nabla_2 \subseteq \dots$$

$\xrightarrow{\hspace{2cm}}$
 TIME

DEF

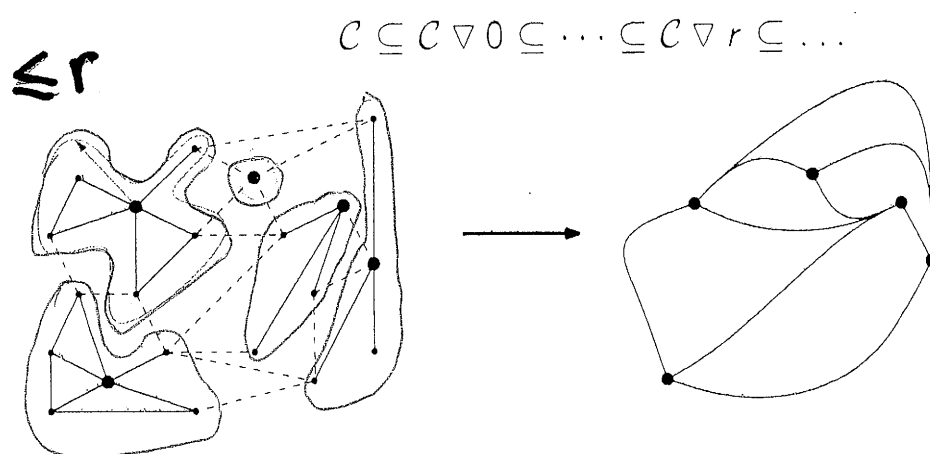
(PLOTKIN, RAO, SMITH)

$\mathcal{C} \nabla_i$ IS THE CLASS OF ALL
 i -SHALLOW MINORS (SHALLOW MINORS)
 AT DEPTH i
 OF GRAPHS FROM \mathcal{C}

DEPTH OF A MINOR \equiv MAX RADIUS
 OF CONTRACTED
 SUBGRAPH

(ALL GRAPHS ARE SIMPLE)

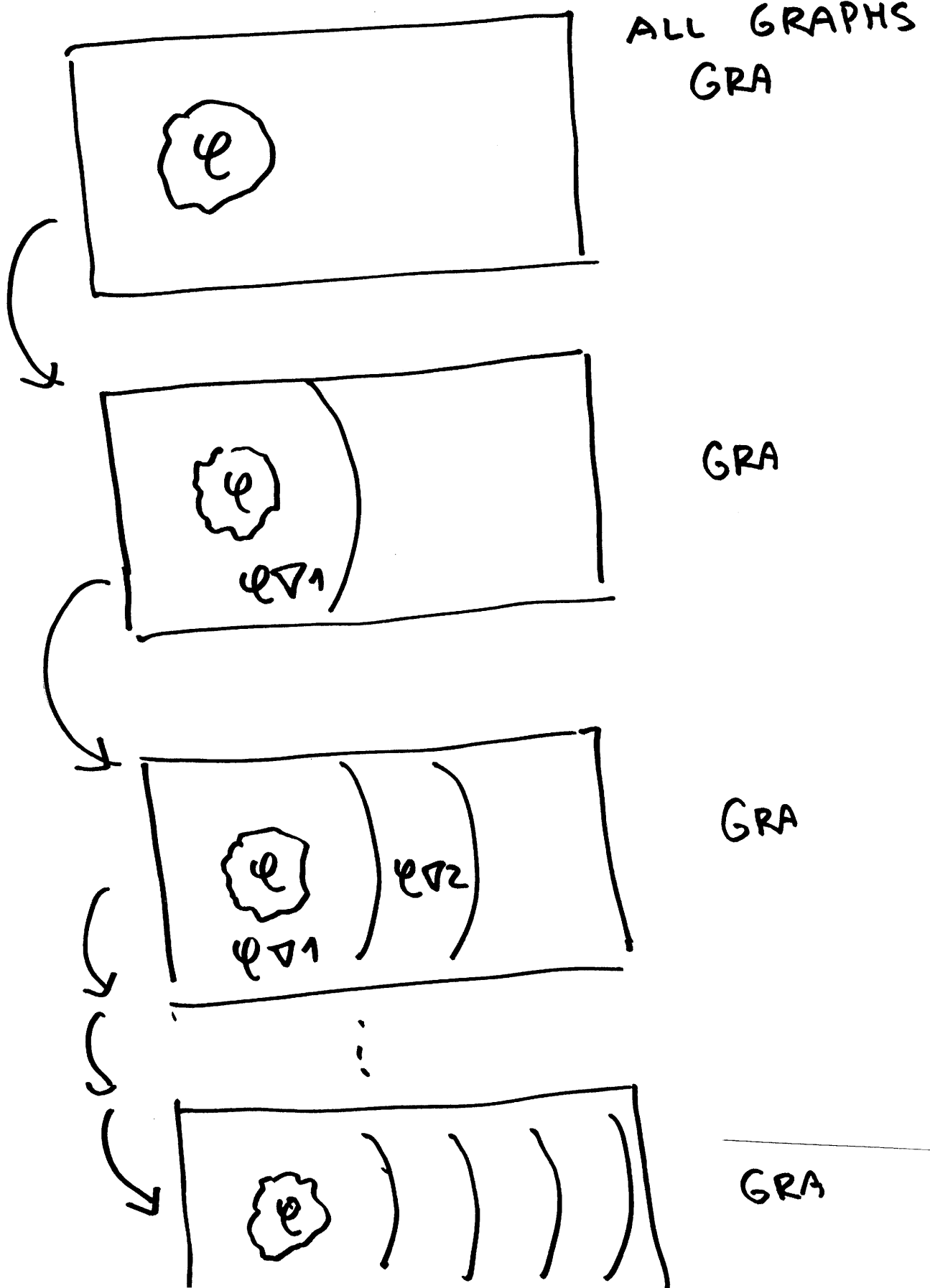
Class Resolution ($r=1$)



- If there exists r such that $\mathcal{C} \nabla r$ contains all graphs, then \mathcal{C} is *somewhere dense*,
- Otherwise \mathcal{C} is *nowhere dense*

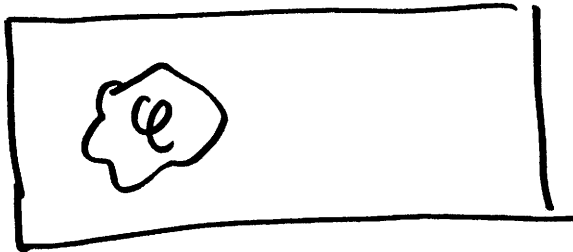
PARAMETRIZATION OF GRAPHS

3''



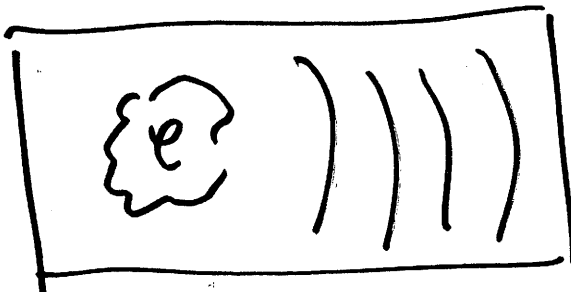
EXAMPLES

PLANAR

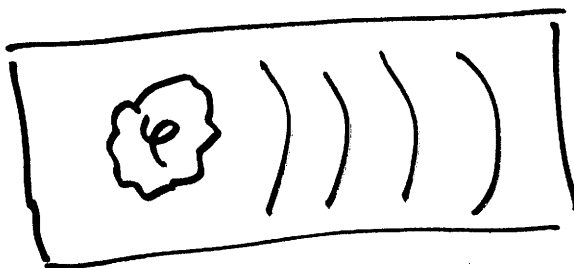


$$e \nabla i = e$$

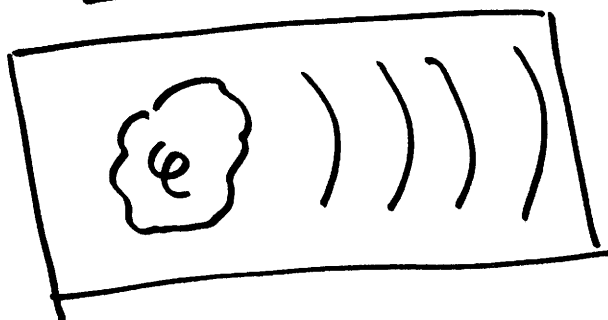
$\Delta \leq d$ BOUNDED DEGREE



FORBIDDEN TOPOLOGICAL MINOR



$\Delta \leq \text{GIRTH}$



SCALLING OF ALL GRAPHS

- CLASS RESOLUTION CAPTURES MANY COMBINATORIAL PROPERTIES
- TIME IS A GOOD PARAMETRIZATION
LEADS TO EFFECTIVE ALGORITHMS
- LEADS TO DICHOTOMY

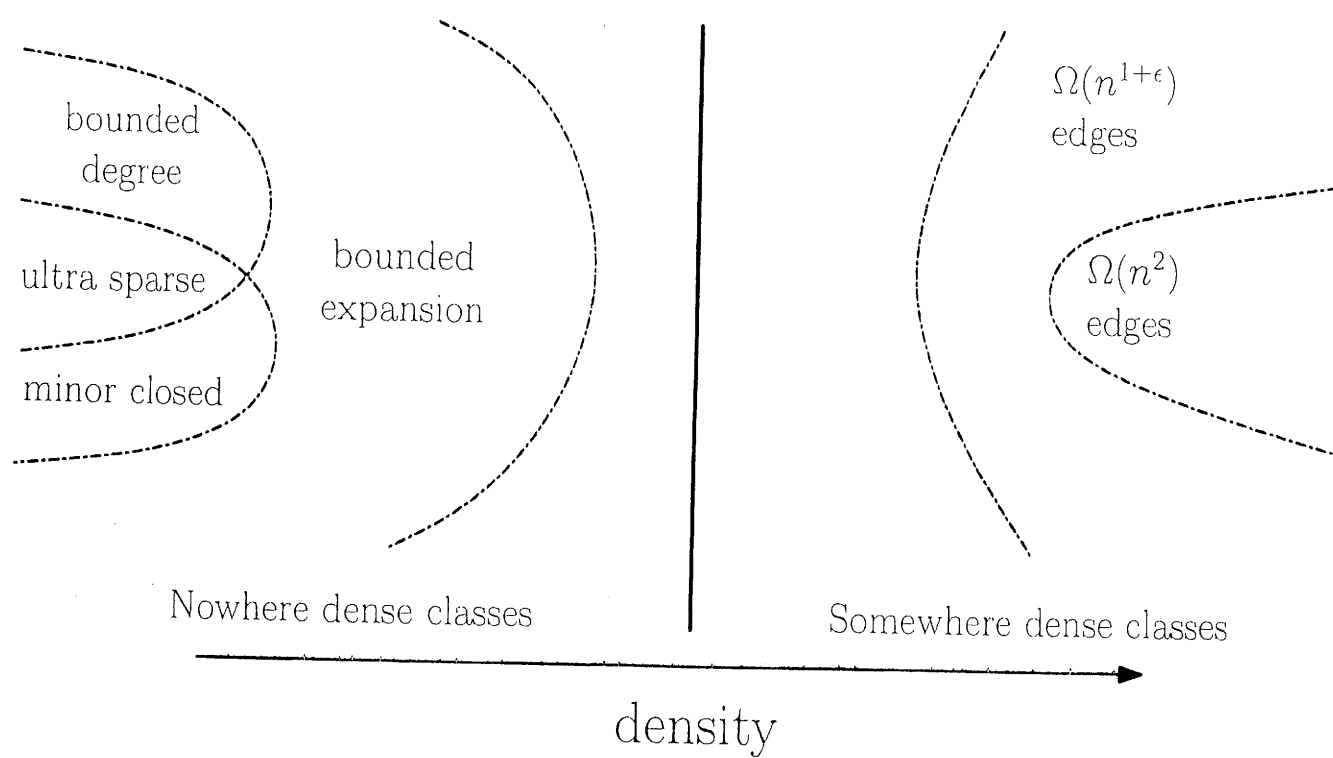
DEF

φ is SOMEWHERE DENSE

IF THERE EXISTS i_0 SUCH THAT
 $\varphi \nabla i_0$ IS THE CLASS OF ALL GRAPHS

φ is NOWHERE DENSE OTHERWISE

ND VS SD
 DICHOTOMY



NOT ARBITRARY DEF

POSSIBLE TO DESCRIBE BY
MANY (VIRTUALLY ALL)

GRAPH PARAMETERS :

$\alpha, \omega, \chi, w_{\text{COL}}$

ALSO BY

EDGE DENSITIES OF

$\varphi \nabla i$ SHALLOW MINORS

$\varphi \tilde{\nabla} i$ SHALLOW TOPOLOGICAL
MINORS

$\varphi \Delta i$ SHALLOW IMMERSIONS

ALSO BY COUNTING

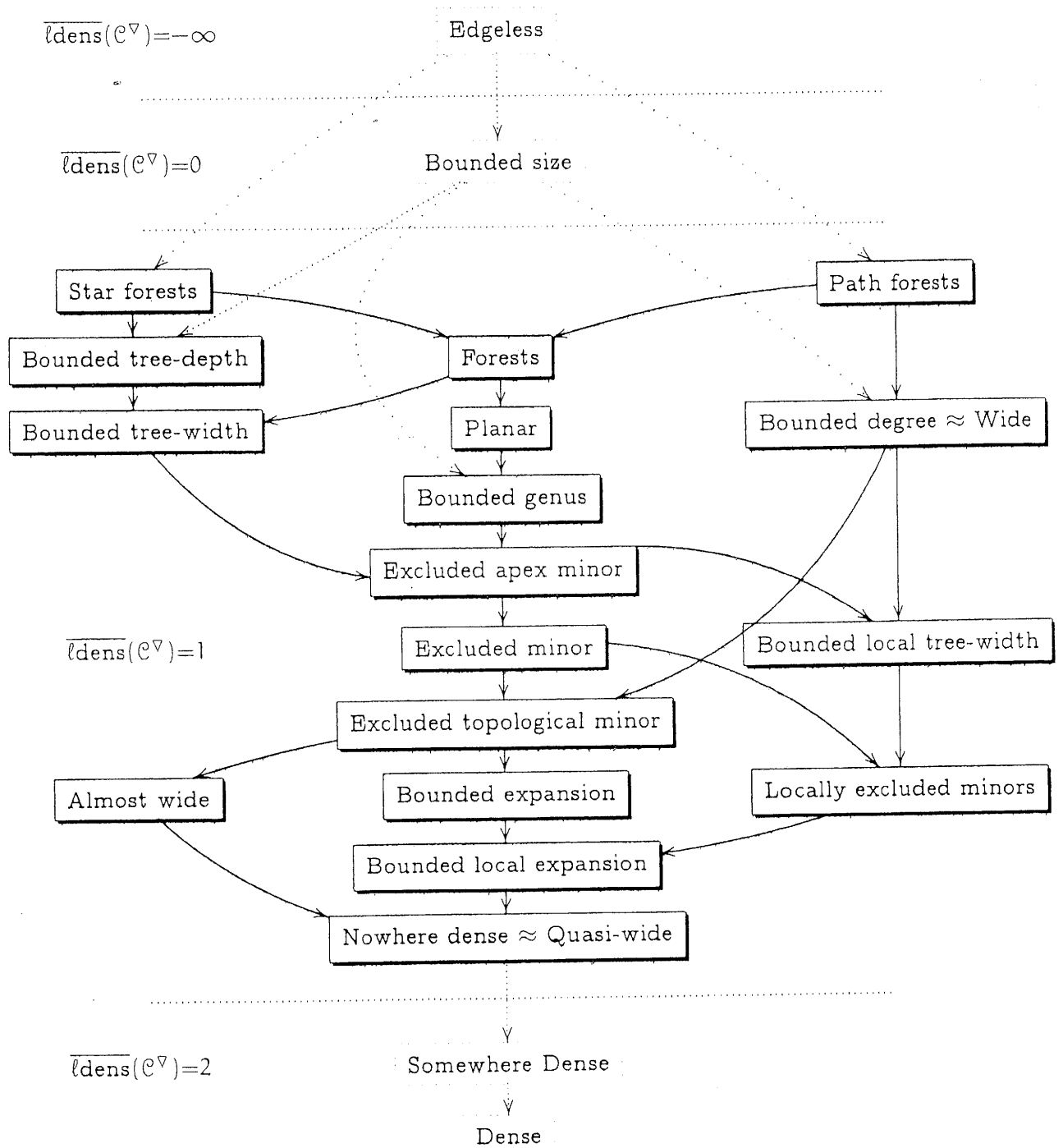
CHARACTERISATION OF ND

(5')

Let \mathcal{C} be an unbounded size infinite class of graphs, let F be a graph with at least one edge and let q be a positive integer. Then the following conditions are equivalent:

- (1) \mathcal{C} is a class of nowhere dense graphs,
- (2) for every integer r , $\mathcal{C} \nabla r$ is not the class of all finite graphs,
- (3) for every integer r , $\mathcal{C} \tilde{\nabla} r$ is not the class of all finite graphs,
- (4) \mathcal{C} is a uniformly quasi-wide class,
- (5) $H(\mathcal{C})$ is a quasi-wide class,
- (6) $\lim_{r \rightarrow \infty} \limsup_{G \in \mathcal{C} \nabla r} \frac{\log \|G\|}{\log |G|} = 1$,
- (7) $\lim_{r \rightarrow \infty} \limsup_{G \in \mathcal{C} \tilde{\nabla} r} \frac{\log \|G\|}{\log |G|} = 1$,
- (8) $\lim_{r \rightarrow \infty} \limsup_{G \in \mathcal{C}} \frac{\log \nabla_r(G)}{\log |G|} = 0$,
- (9) $\lim_{r \rightarrow \infty} \limsup_{G \in \mathcal{C}} \frac{\log \tilde{\nabla}_r(G)}{\log |G|} = 0$,
- (10) $\lim_{p \rightarrow \infty} \limsup_{G \in \mathcal{C}} \frac{\log \chi_p(G)}{\log |G|} = 0$,
- (11) $\lim_{i \rightarrow \infty} \limsup_{G \in \mathcal{C} \nabla i} \frac{\log \chi(G)}{\log |G|} = 0$,
- (12) $\lim_{p \rightarrow \infty} \limsup_{G \in \mathcal{C}} \frac{\log \text{col}_p(G)}{\log |G|} = 0$,
- (13) $\lim_{p \rightarrow \infty} \limsup_{G \in \mathcal{C}} \frac{\log \text{wcol}_p(G)}{\log |G|} = 0$,
- (14) for every integer c , the class $\mathcal{C} \bullet K_c = \{G \bullet K_c : G \in \mathcal{C}\}$ is a class of nowhere dense graphs,
- (15) $\lim_{i \rightarrow \infty} \limsup_{G \in \mathcal{C} \nabla i} \frac{\log(\#F \subseteq G)}{\log |G|} < |F|$,
- (16) for every polynomial P , the class \mathcal{C}' of the 1-transitive fraternal augmentations of directed graphs \vec{G} with $\Delta^-(\vec{G}) \leq P(\nabla_0(G))$ and $G \in \mathcal{C}$ form a class of nowhere dense graphs,

like randomness! (one graph among!)



Inclusion map of some hereditary classes.

EXPLANATION I.

log-DENSITY:

$$\frac{\log \|G\|}{\log |G|} < 1 + \epsilon \dots \|G\| < |G|^{1+\epsilon}$$

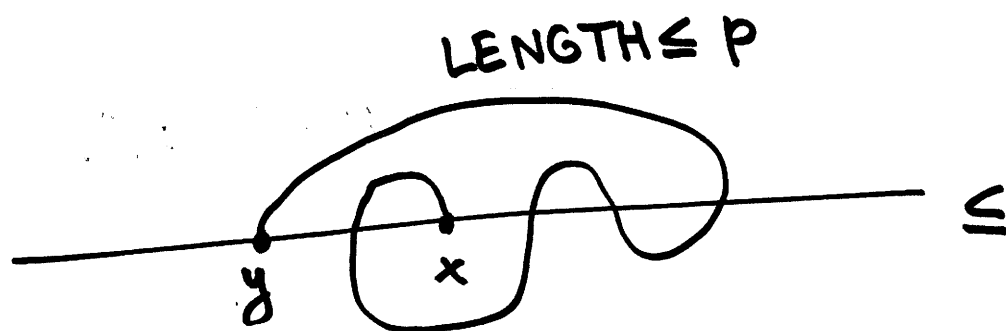
GRAD

$$\nabla_r(G) = \max_{H \in G} \nabla_r H$$

$$\frac{\|H\|}{|H|}$$

"r-DEGENERACY"

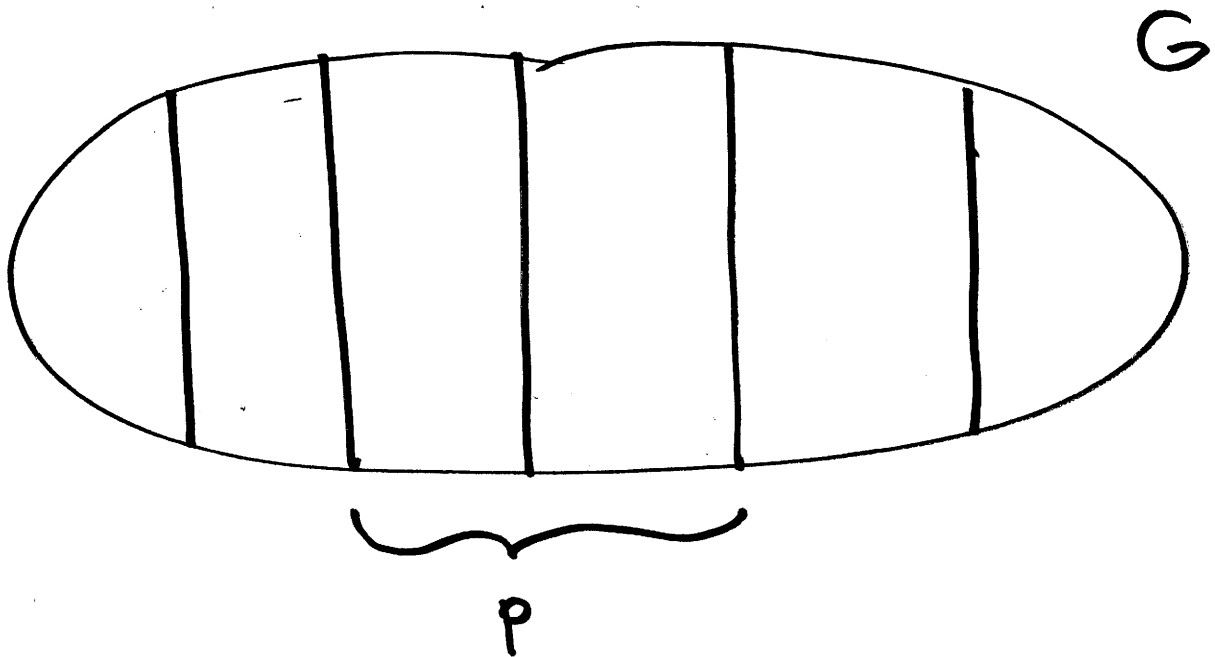
WCOL_p



#y TO THE LEFT WHICH ARE p-REACHABLE

(KIERSTED, YANG)

EXPLANATION

II
 $\chi_p \equiv$ LOW TREE DEPT DECOMPOSITION


ANY p CLASSES INDUCE SUBGRAPH
WITH TREE DEPTH $\leq p$

$td(G) =$ MIN HEIGHT OF A ROOTED TREE T
SUCH THAT $G \subseteq \text{CLOS}(T)$

↑
"ANCESTOR RELATION"

EXPLANATION III.r- INDEPENDENT $r- ind \in ind$

$$A \subseteq V(G) \quad \text{dist}_G(x, y) > r.$$

QUASIWIDE \mathcal{Q}

$$\forall r \exists s(r) \forall n \exists N :$$

$$\forall G \in \mathcal{Q} \text{ HOLDS}$$

$$|G| > N \Rightarrow \exists S (|S| \leq s(r))$$

$G - S$ HAS r -INDEPENDENT
SET A

$$|A| \geq n$$

~ SCATTERED SETS

$$ND \iff \lim_{n \rightarrow \infty} \limsup_{G \in \mathcal{C}} \frac{\log \nabla_n(G)}{\log |G|} = 0$$

$$\nabla_n(G) = \max_{H \in \mathcal{G}_n} \frac{\|H\|}{|H|}$$

$$\Downarrow$$

$$\|H\| = o(|H|^{\varepsilon+1})$$

FOR EVERY $\varepsilon > 0$

ALMOST LINEAR ALGORITHMS

SPECIAL CASE

$$f(n) \stackrel{\text{DEF}}{=} \limsup_{G \in \mathcal{C}} \nabla_n(G) < \infty$$

BOUNDED EXPANSION \equiv degenerated $\forall n$

EXPANSION FUNCTION

- CONSTANT (PROPER MINOR)
CLOSED
- EXPONENTIAL (REGULAR d)
- POLYNOMIAL (GEOMETRIC
INTERSECTION)
- ⋮
- ~~EVERY~~ GROWTH POSSIBLE
ARBITRARY FAST

SMALL EXPANSION \Rightarrow GOOD PROPERTIES

— SUBEXPONENTIAL GROWTH



SUBLINEAR SEPARATORS

\Downarrow (DVOŘÁK, NORINE)

— EXPANSION $c^{n^{1/3-\epsilon}}$
YIELDS SMALL CLASS

(# OF LABELLED GRAPHS)
 $\leq n! \alpha^n$

(9.)

- SUBEXPONENTIAL CLIQUE-GROWTH



φ IS HYPERFINITE
AND THUS

$$\forall k \exists c(k) \\ G-c(k) \text{ wif} \\ \text{hom} \leq k$$

EVERY MONOTONNE PROPERTY
IS TESTABLE IN THE BOUNDED
DEGREE MODEL

(BENJAMINI, SCHRAMM, SHAPIRA)

EXTENSION OF

w
 w -EXPANSION

$$i \mapsto \sup_{G \in \mathcal{C} \nabla i} w(G)$$

SUB-EXPONENTIAL

w -EXPANSION

$$\lim_{i \rightarrow \infty} \sup_{G \in \mathcal{C} \nabla i} \sup_{G \in \mathcal{C} \nabla i}$$

$$\frac{\log w(G)}{i} = 0$$

(VERY) SMALL EXPANSION

SUBLINEAR
SEPARATORS

SMALL
CLASS

ALL MONOTONNE
PROPERTIES
TESTABLE
IN THE BOUNDED
DEGREE MODEL

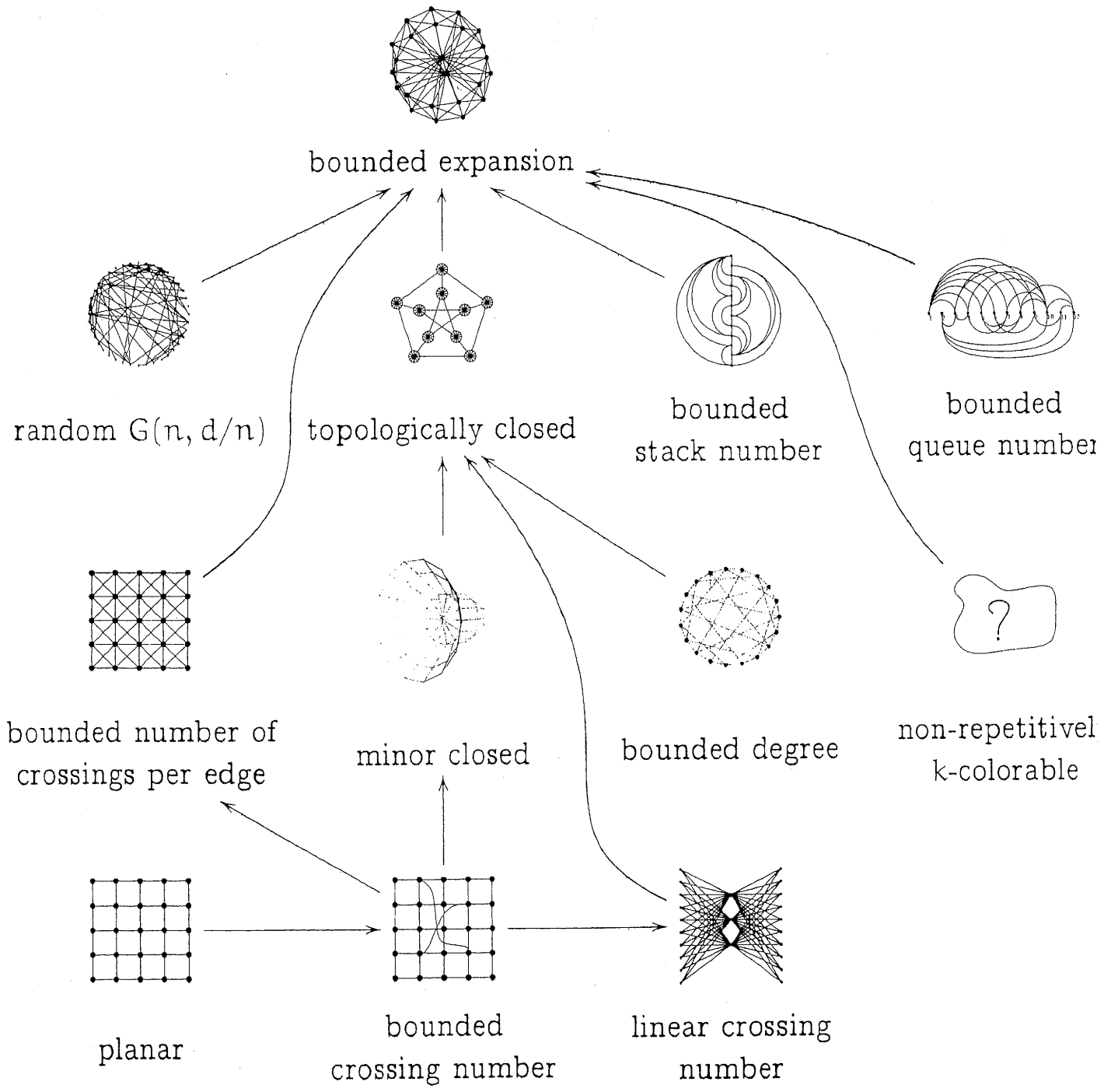
INCLUDES ALL PROPER MINOR CLOSED
CLASSES

CHARACTERISATION OF BOUNDED EXPANSION CLASSES

Let \mathcal{C} be a class of graphs. Then the following conditions are equivalent:

- (1) \mathcal{C} has bounded expansion,
- (2) for every integer r , $\sup_{G \in \mathcal{C}} \nabla_r(G) < \infty$,
- (3) for every integer r , $\sup_{G \in \mathcal{C}} \tilde{\nabla}_r(G) < \infty$,
- (4) for every integer p , $\sup_{G \in \mathcal{C}} \chi_p(G) < \infty$,
- (5) for every integer p , $\sup_{G \in \mathcal{C}} \text{col}_p(G) < \infty$,
- (6) for every integer p , $\sup_{G \in \mathcal{C}} \text{wcol}_p(G) < \infty$,
- (7) for every integer c , the class $\mathcal{C} \bullet K_c = \{G \bullet K_c : G \in \mathcal{C}\}$ has bounded expansion,
- (8) \mathcal{C} has low tree-width colorings,
- (9) \mathcal{C} has low tree-depth colorings,
- (10) for every integer p , there exists an integer $X(p)$ such that every graph $G \in \mathcal{C}$ has a p -centered colorings using at most $X(p)$ colors,
- (11) for every integer k , the class \mathcal{C}' of the 1-transitive fraternal augmentations of directed graphs \vec{G} with $\Delta^-(\vec{G}) \leq k$ and $G \in \mathcal{C}$ form a class with bounded expansion,
- (12) the class \mathcal{C} is a degenerate class of graphs (that is: $\nabla_0(G)$ is bounded on \mathcal{C}) and there exists a function F such that every orientation \vec{G} of a graph $G \in \mathcal{C}$ has a transitive fraternal augmentation $\vec{G} = \vec{G}_1 \subseteq \vec{G}_2 \subseteq \dots \subseteq \vec{G}_i \subseteq \dots$ where $\Delta^-(\vec{G}_i) \leq Q(\Delta^-(\vec{G}), i)$,
- (13) there exists a function f such that every graph $G \in \mathcal{C}$ has a transitive fraternal augmentation $\vec{G} = \vec{G}_1 \subseteq \vec{G}_2 \subseteq \dots \subseteq \vec{G}_i \subseteq \dots$ where $\Delta^-(\vec{G}_i) \leq f(i)$.

EXAMPLES OF BOUNDED EXPANSION



(+ D. WOOD)

BOUNDED EXPANSION



LINEAR ALGORITHM FOR SUBGRAPH
PROBLEM

LINEAR ALGORITHM FOR COLORING
 χ_p

$$\chi = \chi_1 \leq \chi_2 \leq \chi_3 \leq \dots \leq \chi_\infty = td$$

↑
STAR
COLORING

↑
CENTERED
COLORING

HOMOMORPHISM
ORDER

COUNTING

X

DECISION PROBLEM

STATISTICS
TESTING

DENSE GRAPHS

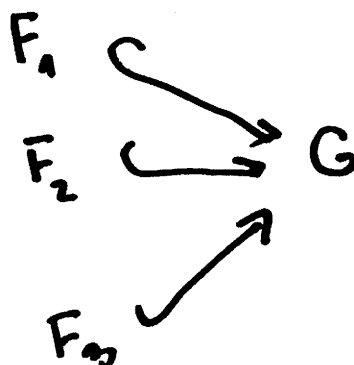
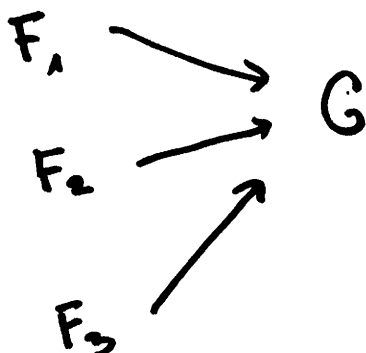
(LOVA'SZ &)

$$t(F, G) = \frac{\text{hom}(F, G)}{|G|^{|F|}}$$

VERY
SPARSE GRAPHS

(BENJAMINI, SCHRAMM)
....

$$\text{dens}(F, G) = \frac{\# F \subseteq G}{|G|}$$



LOOKING FOR THE EXPONENT
(DEGREE OF FREEDOM)

— HOW IS $\frac{\log(\#F \subseteq G)}{\log|G|}$ BOUNDED

WHEN G IS RESTRICTED TO
A CLASS \mathcal{C} ?

— HOW MANY VERTICES CAN BE
CHOSEN INDEPENDENTLY WHEN
LOOKING FOR A COPY OF F ?

THM

FOR EVERY INFINITE CLASS OF GRAPHS \mathcal{C}

AND EVERY GRAPH F

$$\lim_{i \rightarrow \infty} \limsup_{G \in \mathcal{C} \forall i} \frac{\log(\#F \subseteq G)}{\log |G|} \in$$

$$\{-\infty, 0, 1, \dots, \alpha(F), |F|\}$$

WHERE $\alpha(F)$ IS INDEPENDENCE NUMBER

- DEGREES OF FREEDOM \rightarrow RANDOMNESS

$$- \rho_F(G) = \frac{\text{hom}(F, G)}{|G|^{\alpha(F)}} \rightarrow \text{LIMITS}$$

- TREE REDUCTIONS \rightarrow REGULARITY

COROLLARY

\mathcal{C} NOWHERE DENSE



$$\lim_{i \rightarrow \infty} \limsup_{G \in \mathcal{C} \cap \mathcal{C}_i} \frac{\log(\#F \subseteq G)}{\log |G|}$$

\in

$$\{-\infty, 0, 1, \dots, \alpha(F)\}$$

FOR EVERY F .

WITH AT LEAST
ONE EDGE
SO THAT $\alpha(F) < |F|$.

PROOF

IT SUFFICES TO PROVE



PROOF COMBINES ALL CHARACTERIZATIONS

— TREE DEPTH td

— LOW TREE DEPTH DECOMPOSITIONS
 χ_p

— STEPPING UP LEMMA
 FOR " (k, F) -SUNFLOWERS"

— COUNTING OF COLORED TREES

PROOF II

FIRST CASE: $F = K_2$

THM (TRICHOTOMY THM)

FOR EVERY INFINITE CLASS \mathcal{C}
THE LIMIT

$$\lim_{n \rightarrow \infty} \limsup_{G \in \mathcal{C} \nabla_i} \frac{\log \|G\|}{\log |G|}$$

$$\wedge \{-\infty, 0, 1, 2\}$$

$$\leq 1$$

IFF

\mathcal{C} IS NOWHERE DENSE

$$2$$

IFF

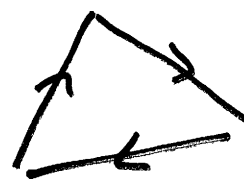
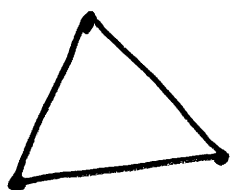
\mathcal{C} IS SOMEWHERE DENSE

THM (CHARACTERIZATION BY ORIENTATIONS)

LET \mathcal{C} BE A CLASS OF GRAPHS.

① THE CLASS $\vec{\mathcal{C}}$ OF ALL ORIENTATIONS OF ALL GRAPHS IN \mathcal{C} HAS ARD

② \mathcal{C} HAS BOUNDED EXPANSION.



ORIENTATION

THM

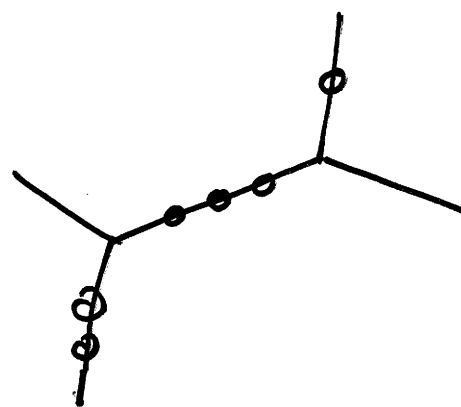
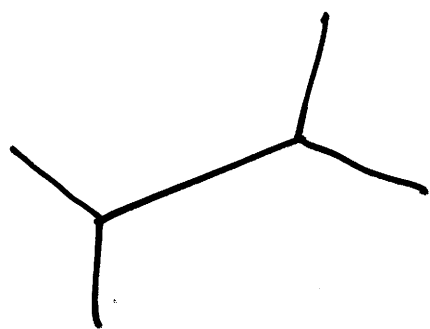
(CHARACTERIZATION)
BY SUBDIVISIONS

LET \mathcal{C} BE A TOPOLOGICALLY
CLOSED CLASS OF GRAPHS.



① \mathcal{C} HAS ARD

② \mathcal{C} HAS BOUNDED
EXPANSION.



SUBDIVISION

ANY SUBDIVISION BELONGS TO \mathcal{C}

III

TOPOLOGICALLY CLOSED

ERDÖS - HAJNAL CONJ.

$\exists f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ SUCH THAT

$$\chi(G) \geq f(n, \ell) \Rightarrow \exists G' \subseteq G$$

$$\chi(G') \geq n$$

&

$$\text{girth}(G') \geq \ell.$$

$\ell = 4$ (RÖDL)

WEAK ODD GIRTH CONJ.

$\exists \tilde{f}: \mathbb{N}^3 \rightarrow \mathbb{N}$ SUCH THAT .

$$\chi(G) \geq \tilde{f}(n, \ell, s) \Rightarrow \exists G' \subseteq G$$

$$\text{EITHER } \chi(G') \geq n \text{ \& } \text{ODD GIRTH}(G') \geq \ell$$

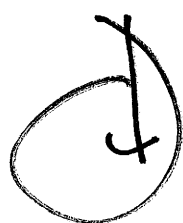
$$\text{OR } G' \text{ IS } \leq s \text{ SUBDIVISION OF } K_n.$$

THM

(CHARACTERIZATION BY
FO DEFINABILITY)

LET \mathcal{C} BE MONOTONE TOPOLOGICALLY
CLOSED.

ASSUME WOGC.



1. \mathcal{C} HAS ARD
2. \mathcal{C} HAS BOUNDED EXPANSION
3. FOR EVERY p THERE EXISTS
A GRAPH H_p WITH ODD
GIRTH $\geq p$ SUCH THAT
 $\text{CSP}(H_p) \cap \mathcal{C}$ IS
EQUIVALENT (ON \mathcal{C})
WITH FO FORMULA ϕ_p :

$$\forall G \in \mathcal{C} \left(G \models \phi_g \Leftrightarrow G \rightarrow H_g \right)$$

OPEN PROBLEMS (DREAMS)

- IS THERE A NOWHERE DENSE CLASS \mathcal{C} WHICH IS ALGEBRAICALLY UNIVERSAL
- IS THERE A GOOD STATISTICS OF SHALLOW TOPOLOGICAL SUBGRAPHS

?

STATISTICS OF POWERS
OF MATRICES

"SD ANALYSIS"



P.OSSONA DE MENDEZ, J.N.

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